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(12) **United States Patent**  
**Fryburg et al.**

(10) **Patent No.:** **US 6,610,746 B2**  
(45) **Date of Patent:** **Aug. 26, 2003**

(54) **SYNERGISTIC EFFECT OF A SULFONYLUREA AND/OR NON-SULFONYLUREA K<sup>+</sup>ATP CHANNEL BLOCKER, AND A PHOSPHODIESTERASE 3 TYPE INHIBITOR**

(75) **Inventors:** **David A. Fryburg**, East Lyme, CT (US); **Janice C. Parker**, Ledyard, CT (US)

(73) **Assignee:** **Pfizer Inc.**, New York, NY (US)

(\*) **Notice:** Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

(21) **Appl. No.:** **09/829,874**

(22) **Filed:** **Apr. 10, 2001**

(65) **Prior Publication Data**

US 2002/0013268 A1 Jan. 31, 2002

#### **Related U.S. Application Data**

(60) Provisional application No. 60/196,728, filed on Apr. 13, 2000.

(51) **Int. Cl.<sup>7</sup>** ..... **A61K 31/64; A61K 38/28**

(52) **U.S. Cl.** ..... **514/592; 514/3; 514/350; 514/352; 514/356; 514/396; 514/592**

(58) **Field of Search** ..... **514/3, 350, 352, 514/396, 357, 592, 600**

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**Primary Examiner**—Christopher S. F. Low

**Assistant Examiner**—Chih-Min Kam

(74) **Attorney, Agent, or Firm**—Peter C. Richardson; Gregg C. Benson; Carl J. Goddard

(57) **ABSTRACT**

The present invention provides methods of treating non-insulin dependent diabetes mellitus, insulin resistance, Syndrome X, diabetic neuropathy, diabetic nephropathy, diabetic retinopathy, diabetic cardiomyopathy, polycystic ovary syndrome, cataracts, hyperglycemia, or impaired glucose tolerance, the methods comprising the step of administering to a patient having or at risk of having non-insulin dependent diabetes mellitus, insulin resistance, Syndrome X, diabetic neuropathy, diabetic nephropathy, diabetic retinopathy, diabetic cardiomyopathy, polycystic ovary syndrome, cataracts, hyperglycemia, or impaired glucose tolerance a synergistic amount of: 1) a sulfonylurea, a non-sulfonylurea K<sup>+</sup> ATP channel blocker, or a sulfonylurea and a non-sulfonylurea K<sup>+</sup> ATP channel blocker; and 2) a cAMP phosphodiesterase type 3 inhibitor. The present invention also provides kits and pharmaceutical compositions that comprise: 1) a sulfonylurea, a non-sulfonylurea K<sup>+</sup> ATP channel blocker, or a sulfonylurea and a non-sulfonylurea K<sup>+</sup> ATP channel blocker; and 2) a cAMP phosphodiesterase type 3 inhibitor. The present invention also relates to kits and pharmaceutical compositions that comprise 1) a sulfonylurea, a non-sulfonylurea K<sup>+</sup> ATP channel blocker, or a sulfonylurea and a non-sulfonylurea K<sup>+</sup> ATP channel blocker; 2) a cAMP phosphodiesterase type 3 inhibitor; and 3) an additional compound useful for the treatment of non-insulin dependent diabetes mellitus, insulin resistance, Syndrome X, diabetic neuropathy, diabetic nephropathy, diabetic retinopathy, diabetic cardiomyopathy, polycystic ovary syndrome, cataracts, hyperglycemia, or impaired glucose tolerance.

**8 Claims, 1 Drawing Sheet**



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(19) **United States**(12) **Patent Application Publication** (10) **Pub. No.: US 2003/0216294 A1**  
Fryburg et al. (43) **Pub. Date: Nov. 20, 2003**(54) **SYNERGISTIC EFFECT OF A  
SULFONYLUREA AND/OR  
NON-SULFONYLUREA K<sup>+</sup>ATP CHANNEL  
BLOCKER, AND A PHOSPHODIESTERASE 3  
TYPE INHIBITOR**(75) **Inventors: David A. Fryburg, East Lyme, CT  
(US); Janice C. Parker, Ledyard, CT  
(US)****Correspondence Address:****PFIZER INC.****PATENT DEPARTMENT, MS8260-1611****EASTERN POINT ROAD****GROTON, CT 06340 (US)**(73) **Assignee: Pfizer Inc.**(21) **Appl. No.: 10/456,371**(22) **Filed: Jun. 5, 2003****Related U.S. Application Data**(62) **Division of application No. 09/829,874, filed on Apr.  
10, 2001, now Pat. No. 6,610,746.**(60) **Provisional application No. 60/196,728, filed on Apr.  
13, 2000.****Publication Classification**(51) **Int. Cl.<sup>7</sup> ..... A61K 38/28; A61K 31/4439;  
A61K 31/426; A61K 31/175**(52) **U.S. Cl. .... 514/3; 514/365; 514/342;  
514/592**(57) **ABSTRACT**

The present invention provides methods of treating non-insulin dependent diabetes mellitus, insulin resistance, Syndrome X, diabetic neuropathy, diabetic nephropathy, diabetic retinopathy, diabetic cardiomyopathy, polycystic ovary syndrome, cataracts, hyperglycemia, or impaired glucose tolerance, the methods comprising the step of administering to a patient having or at risk of having non-insulin dependent diabetes mellitus, insulin resistance, Syndrome X, diabetic neuropathy, diabetic nephropathy, diabetic retinopathy, diabetic cardiomyopathy, polycystic ovary syndrome, cataracts, hyperglycemia, or impaired glucose tolerance a synergistic amount of: 1) a sulfonylurea, a non-sulfonylurea K<sup>+</sup> ATP channel blocker, or a sulfonylurea and a non-sulfonylurea K<sup>+</sup> ATP channel blocker; and 2) a cAMP phosphodiesterase type 3 inhibitor. The present invention also provides kits and pharmaceutical compositions that comprise: 1) a sulfonylurea, a non-sulfonylurea K<sup>+</sup> ATP channel blocker, or a sulfonylurea and a non-sulfonylurea K<sup>+</sup> ATP channel blocker; and 2) a cAMP phosphodiesterase type 3 inhibitor. The present invention also relates to kits and pharmaceutical compositions that comprise 1) a sulfonylurea, a non-sulfonylurea K<sup>+</sup> ATP channel blocker, or a sulfonylurea and a non-sulfonylurea K<sup>+</sup> ATP channel blocker; 2) a cAMP phosphodiesterase type 3 inhibitor; and 3) an additional compound useful for the treatment of non-insulin dependent diabetes mellitus, insulin resistance, Syndrome X, diabetic neuropathy, diabetic nephropathy, diabetic retinopathy, diabetic cardiomyopathy, polycystic ovary syndrome, cataracts, hyperglycemia, or impaired glucose tolerance.



US005977154A

**United States Patent** [19]

Bell et al.

[11] **Patent Number:** 5,977,154  
 [45] **Date of Patent:** \*Nov. 2, 1999

[54] **SELECTIVE  $\beta$ 3 ADRENERGIC AGONIST**

[75] **Inventors:** Michael Gregory Bell; Christine Ann Droste; Cynthia Darshini Jesudason, all of Indianapolis; Christopher John Rito, Mooresville; Anthony John Shuker, Indianapolis; Mark Alan Winter, Indianapolis, all of Ind.

[73] **Assignee:** Eli Lilly and Company, Indianapolis, Ind.

[\*] **Notice:** This patent issued on a continued prosecution application filed under 37 CFR 1.53(d), and is subject to the twenty year patent term provisions of 35 U.S.C. 154(a)(2).

[21] **Appl. No.:** 08/882,931

[22] **Filed:** Jun. 26, 1997

**Related U.S. Application Data**

[63] Continuation of application No. 08/708,621, Sep. 5, 1996, abandoned  
 [60] Provisional application No. 60/004,082, Sep. 21, 1995.

[51] **Int. Cl.<sup>6</sup>** ..... C07D 413/10; A61K 31/40

[52] **U.S. Cl.** ..... 514/394; 514/359; 514/406; 514/414; 514/415; 514/234.5; 514/253; 514/318; 514/322; 544/124; 544/132; 544/139; 544/140; 544/144; 544/364; 544/366; 544/370; 544/371; 544/373; 546/194; 546/199; 546/268.4; 546/273.4; 546/275.7; 546/277.4

[58] **Field of Search** ..... 514/394, 359, 514/406, 414, 415, 234.5, 253; 548/259, 309.7, 362.5, 503, 306.1, 465

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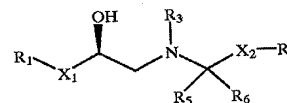
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**Primary Examiner**—Fiona T. Powers  
**Attorney, Agent, or Firm**—Steven G. Davis

[57] **ABSTRACT**

The present invention is in the field of medicine, particularly in the treatment of Type II diabetes and obesity. More specifically, the present invention relates to selective  $\beta$ 3 adrenergic receptor agonists useful in the treatment of Type II diabetes and obesity. The invention provides compounds and method of treating type II diabetes, comprising administering to a mammal in need thereof compounds of the Formulas I and II:

(I), (II)

**46 Claims, No Drawings**

引用非特許文献

特許出願の番号

特願2007-508679

作成日

平成23年 5月30日

作成者

川畠 洋祐 4765 4C00

発明の名称

心筋の病気を治療するための方法および組成物



本複製物は、特許庁が著作権法第42条第2項第1号の規定により複製したものです。  
取扱いにあたっては、著作権侵害とならないよう十分に注意ください。

## 220A ABSTRACTS - Cardiac Function and Heart Failure

1144-138

### L-Type Calcium Channel Inhibitor Diltiazem Prevents Diastolic Dysfunction and Stress-Induced Cardiac Decompensation and Death in a Mouse Model of Familial Hypertrophic Cardiomyopathy

Dirk Westermann, Ralf Kockmann, Heinz-Peter Schulze, Paul Steendijk, Carsten Tschöpe, Charité University Medical Center, Berlin, Germany, Georgetown University Medical Center, Georgetown, VA

The cardiac troponin T (TnT) T99 mutation has been linked to familial hypertrophic cardiomyopathy (FHC) and a high incidence of sudden death. To investigate the effect of this mutation on left ventricular (LV) function, we determined LV pressure-volume (PV) relationships in transgenic mice expressing human wild type (WT) and mutant TnT (T99). A color-conductance catheter was introduced in the LV (n=8/group). Load-independent end-diastolic PV relationships were obtained (EDPV0) under basal and stress conditions (0.5g nifedipine (nifedipine) 1g). After treatment with the calcium channel inhibitor diltiazem we investigated the PV function in another set of T99 mice. Basal conditions: T99 mice showed increased systolic function compared to WT: EF (54.3±3.4% vs. 51.1±5.0%; SV (25.1±5.5 vs. 27.1±1.8; CO: 168.8±13.0 vs. 121.8±10.7 ml/min; \*p<0.05 without differences in HR and LVR. Load dependent diastolic pressure in the LV (EDP) were unchanged, but the load independent EDPV0 was increased (0.5±0.1 vs. 0.3±0.0; \*p<0.05), showing increased LV stiffness leading to a diastolic dysfunction. Stress conditions: HR (564±21 vs. 626±20 bpm) and LVP (128±8.8 vs. 140±11.3 mmHg; \*p<0.05) responses were similar in all groups, but systolic function of T99 mice decreased compared to WT (EF: 25.1±5.5 vs. 21.7±5.4%; SV: 14.0±3.3 vs. 22.7±4.4; CO: 89.1±15.2 vs. 109.1±15.0 ml/min; \*p<0.05). Diltiazem induced diastolic dysfunction in T99 compared to WT (EDP: 17.1±2.2 vs. 8.4±2.2 mmHg; \*p<0.05). This diastolic decompensation due to stress conditions leads to death in all T99, but in none of the WT animals. Diltiazem prevented the reduction in SV, EF, CO and the rise in EDPV0 without affecting HR significantly compared to non-treated T99 and decreased mortality due to stress to 25%.

T99 mice show an increased cardiac stiffness under basal conditions, and a diastolic dysfunction leading to decompensation and death under stress. Diltiazem prevented cardiac decompensation and death. These data put an inside into the hemodynamic pathology of the T99 mutation leading to FHC and why sudden death is common in FHC.

1144-139

### β2-adrenergic Receptor Activation Decreases Peripheral Vascular Resistance in Heart Failure by Restoring Endothelial Dependent Vasorelaxation via Endothelial Nitric Oxide Synthase Upregulation

Hosam M. Thal, Ben Do, Raymond Hoang, Peter Spencer, John Indyk, Steve Cockman, Mohamed Gaballa, Southern Arizona VA Health Care System, Tucson, AZ, Sarver Heart Center at The University of Arizona, Tucson, AZ

Background: Peripheral vascular resistance (PVR) is increased in heart failure (HF). Therapy designed to decrease PVR by restoring nitric oxide (NO) mediated endothelial dependent (ED) vasorelaxation may prove to be vital in improving survival. Recent data suggests that beta 2-adrenergic receptor (β2-AR) activation may increase NO bioavailability. This study was performed to evaluate whether β2-AR activation with BRL, a β2-AR agonist, decreases PVR via NO mediated ED vasorelaxation in HF. Methods: Sprague-Dawley rats with HF after coronary artery ligation were randomized into untreated controls and BRL treated. After four weeks of therapy, hemodynamic data was obtained and aortic vasorelaxation in response to isoproterenol with and without L-NMMA were performed. Additionally, aortic pulmonary artery endothelial cells in culture were treated for 24 hours with BRL at 10 μM and 20 μM concentrations. Western blots for endothelial NO synthase (eNOS) protein concentration was performed. Results: In HF rats treated with BRL, heart rate, systolic blood pressure, and left ventricular (LV) EF were decreased (p<0.05) compared to untreated HF rats. LV and diastolic pressure (LVEDP) was unchanged. BRL significantly improved endothelial dependent vasorelaxation (77.1 ± 15.3 vs 94.6 ± 17.3 ± 0.8 %, p<0.05) compared to untreated HF rats at peak isoproterenol dose. Addition of L-NMMA completely blunted all vasorelaxation responses. Bovine cell cultures incubated with BRL resulted in greater (p<0.05) than two fold increase of eNOS compared to untreated cell cultures. Conclusions: β2-AR activation decreases peripheral vascular resistance in heart failure by restoring endothelial dependent vasorelaxation via endothelial nitric oxide synthase upregulation.

1144-140

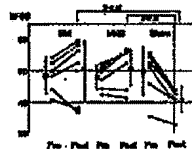
### Direct Comparison of Bone Marrow Stromal Versus Mononuclear Cells in Murine Cardiac Function After Cellular Cardiomyoplasty

Yoshitaka Matsuda, Igor Klem, Enrica Velasquez, Brad E. Sliem, Bryce H. Davis, Robert M. Jaski, Duke University Medical Center, Durham, NC

Background: Cellular cardiomyoplasty, transplantation of bone marrow cells has been reported to improve cardiac function but the mechanism is unknown. Thus, in a mouse cardiomyoplasty model, we compared the functional effects of potentially myogenic bone marrow stromal cells (BM), potentially myogenic bone marrow mononuclear cells (MNC), or media alone (sham) with histological myofiber (MF) control where myofibers are clear. Methods: C57BL/6 mice (n=8, each group) were subjected to LV cryoablation. Animals received intraperitoneal injections of 10<sup>6</sup> BM, MNC or media 7 days after injury. Bioluminescence imaging (BLI) EGFP-paired segmental FLASH images were acquired on a 1.5T clinical scanner (spaced 10 minutes) before, and again 4 weeks after injection. Results: After injection, all animals showed poor ejection fraction (EF, %) (57±11 in BM, 58±11 in MNC and 50±15 in sham) and at injection areas were detectable. At 4 weeks EF was improved in BM (61±20) and MNC (68±14) similar to MF, but

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decelerated in the sham group (58±8). Conclusions: Although both BM and MNC cells stabilize cardiac function to a similar degree as myofibers, histological evidence suggests differing mechanisms of angiogenesis versus myogenesis, respectively.



## POSTER SESSION

## 1145 Heart Failure: Miscellaneous Topics

Tuesday, March 09, 2004, Noon-2:00 p.m.

Morial Convention Center, Hall G

Presentation Hour: 1:00 p.m.-2:00 p.m.

1145-115

### Concomitant Heart Failure in Rheumatoid Arthritis: Predictors, and the Effect of Antitumor Necrosis Factor Therapy

Frederick Wolfe, Kaleb Michalek, Alan Maddipati, Arthritis Research Center Foundation, Wichita, KS, Carlsburg, Inc., Midvale, UT

Background: Cardiovascular morbidity (e.g. pericarditis, diastolic dysfunction) is increased in rheumatoid arthritis (RA) patients through elevation of proinflammatory cytokines (C3, C4) in RA patients in vivo. Elevated tumor necrosis factor (TNF-α) levels have been identified as potential factors in CHF development. However, clinical studies have demonstrated limited value of anti-TNF blockade in patients with CHF. This study examined background CHF risk factors in RA and osteoarthritis (OA) patients, CHF prevalence and incidence rates in RA patients, and evaluated the relationship of anti-TNF agents and rates of CHF. Methods: Cohorts of RA patients (n=18,171) and OA patients (n=6,894) in a longitudinal outcome study of the National Data Bank for Rheumatic Diseases were assessed via questionnaire and medical record review for CHF during a two-year period ending in 8/2002. Propensity scores were used to adjust for the risk of anti-TNF prescription. Results: Adjusted prevalence of CHF in RA patients was increased over that in OA patients (3.5% vs. 2.2%). CHF risk factors (e.g., hypertension, prior MI, diabetes, advanced age) were similar between RA and OA patients through measures of RA severity (DAS, education, weight) were confounders to CHF rates. Adjusted rates of CHF were lower in anti-TNF treated RA patients (n=5,832) than in non-anti-TNF RA treated patients (2.3% vs. 3.5%, average treatment effect -1.2% [-1.5 to -0.9]). The rate of non-coronary related cases was low (0.5%) and unrelated to anti-TNF therapy. Conclusions: In 18,171 RA patients, rates of CHF were increased compared to osteoarthritis OA patients. Non-RA factors predicting CHF are the same in RA patients as in patients from general population studies, but RA activity/severity measures like predicted heart failure. Patients receiving anti-TNF therapy had lower rates of prevalent CHF compared to non anti-TNF treated patients. Incidence rates of CHF were low and unrelated to treatment.

1145-116

### Prevalence and Mechanism of Functional Mitral Regurgitation in Dilated Cardiomyopathy: Baseline Data From The Acorn Trials

Ronald W. Clark, Spencer Hobbs, Maril Joseph, Steven Bollig, Michael Adler, et al. CH, Mayo Clinic, Rochester, MN, Acorn Cardiovascular Inc., St. Paul, MN

Background: Functional mitral regurgitation (MR) in dilated cardiomyopathy has significant prognostic implications, but its mechanism needs to be elucidated. The aim of the study was to determine the prevalence and the main echocardiographic factors describing the severity of MR in these patients. Methods: From the baseline echocardiographic results of Acorn cardiac support device trials, 124 patients with dilated cardiomyopathy without organic mitral valve disease were identified. Echocardiographic parameters were correlated with the severity of MR assessed by color flow imaging. Results: There were 111 patients (72%); 29 in grade I (26%), 18 in grade II (16%), 29 in grade III (26%), and 35 in grade IV (31%). In univariate analysis, systolic and diastolic left ventricular dimensions (p<0.01), systolic and diastolic aortic root size and area (p<0.0001), left atrial area and volume (p<0.0001), left ventricular distance from papillary muscle to annulus aortic (p<0.0001), leaflet height (p<0.0001) and leaflet area (p<0.0001) of mitral valve, and stroke volume (p<0.005) showed significant correlation with the severity of MR. Left ventricular volume at end-diastole did not show significant correlation. In multivariate analysis, only leaflet area showed significant correlation with severity of MR (p<0.0001). Conclusions: Functional MR was found in more than two thirds of patients with dilated cardiomyopathy, and severity of MR was mainly related with the leaflet area of the mitral valve. Therefore, treatment interventions for functional MR may have to be directed to reduce the leaflet area of regurgitant valve in addition to reducing the size of the mitral annulus.

PubMed

Display Settings: Abstract

[Nihon Yakurigaku Zasshi](#). 2002 Nov;120(1):109P-111P.

**[Beta 3-adrenoceptor-mediated relaxation of guinea-pig gastric fundus smooth muscle: cAMP-independent characteristics and a primary role of 4-aminopyridine-sensitive voltage-dependent K<sup>+</sup> (Kv) channels].**

[Article in Japanese]

[Horinouchi T](#), [Tanaka Y](#), [Koike K](#).

Department of Chemical Pharmacology, Toho University School of Pharmaceutical Sciences, 2-2-1 Miyama, Funabashi-City, Chiba 274-8510, Japan.

**Abstract**

beta-Adrenoceptor subtypes which mediate relaxation of guinea-pig gastrointestinal smooth muscles in response to catecholamines ((-)-isoprenaline, (-)-noradrenaline and (-)-adrenaline) and beta 3-adrenoceptor agonists (BRL37344 and (+/-)-CGP12177A) are predominantly beta 3-adrenoceptors. Although cAMP-PKA system is thought to play a substantial role in the smooth muscle relaxation mediated via beta 1- and beta 2-adrenoceptors, there is little information on the role of cAMP in beta 3-adrenoceptor-mediated relaxation. The present study was carried out to elucidate the role of cAMP in beta 3-adrenoceptor-mediated relaxation of guinea-pig gastric fundus smooth muscle. Furthermore, possible contribution of two types of K<sup>+</sup> (voltage-dependent and Ca(2+)-activated K<sup>+</sup>, BKCa; voltage-dependent, Kv) channels was also examined pharmacomechanically. In gastric fundus smooth muscle, catecholamines and beta 3-adrenoceptor agonists elicited potent relaxations in the presence of beta 1- and beta 2-adrenoceptor antagonists. All of these relaxations were not diminished by an adenylate cyclase inhibitor, SQ-22,536 (100 microM), which indicates their characteristic of cAMP-independency. SQ-22,536-resistant, beta 3-adrenoceptor-mediated relaxations were strongly attenuated by a Kv channel blocker, 4-aminopyridine (3 mM), but not by iberiotoxin (100 nM), a selective blocker of BKCa channel. The present results indicate that 4-aminopyridine-sensitive Kv channels play a primary role in cAMP-independent relaxation of guinea-pig gastric fundus smooth muscle in response to the stimulations of beta 3-adrenoceptors.

PMID:12491798[PubMed - indexed for MEDLINE]

Publication Types, MeSH Terms, Substances

LinkOut - more resources

引用非特許文献

特許出願の番号

特願2007-508679

作成日

平成23年 5月30日

作成者

川寄 洋祐 4765 4C00

発明の名称

心筋の病気を治療するための方法および組成物

本複製物は、特許庁が著作権法第42条第2項第1号の規定により複製したものです。  
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Journal of  
Autonomic Pharmacology  
20, 253-258

## Functional identification of $\beta_3$ -adrenoceptors in the guinea-pig ileum using the non-selective $\beta$ -adrenoceptor antagonist ( $\pm$ )-bupranolol

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### Summary

1 To clarify whether there is a species difference or a tissue difference in  $\beta_3$ -adrenoceptors, the  $\beta_3$ -adrenoceptors mediating relaxations to catecholamines ((-)-isoprenaline, (-)-noradrenaline and (-)-adrenaline), a selective  $\beta_3$ -adrenoceptor agonist BRL37344 and a non-conventional partial  $\beta_3$ -adrenoceptor agonist ( $\pm$ )-CGP12177A (a potent  $\beta_1$ - and  $\beta_2$ -adrenoceptor antagonist with a partial  $\beta_3$ -adrenoceptor agonist property) were investigated in the guinea-pig ileum.

2 Catecholamines and  $\beta_3$ -adrenoceptor agonists induced concentration-dependent relaxations of pre-contracted strips of the guinea-pig ileum. The rank order for their relaxing potency was (-)-isoprenaline ( $pD_{21}$  7.60) > BRL37344 (7.05) > (-)-noradrenaline (6.38) > ( $\pm$ )-CGP12177A (6.25) > (-)-adrenaline (6.07).

3 In the presence of the non-selective  $\beta_1$ - and  $\beta_2$ -adrenoceptor antagonist ( $\pm$ )-propranolol (1  $\mu$ M), only small rightward shifts of the concentration-response curves (CRCs) to these agonists were observed and the rank order of potency of agonists was BRL37344 ( $pD_{21}$  7.00) > ( $\pm$ )-CGP12177A (6.17) > (-)-isoprenaline (6.01) > (-)-noradrenaline (5.69) > (-)-adrenaline (5.41).

4 In the presence of ( $\pm$ )-propranolol (1  $\mu$ M), the additional presence of ( $\pm$ )-bupranolol (3–30  $\mu$ M), a non-selective  $\beta_1$ -,  $\beta_2$ - and  $\beta_3$ -adrenoceptor antagonist, caused a concentration-dependent rightward shift of the CRCs to catecholamines and  $\beta_3$ -adrenoceptor agonists. Schild plot analyses of ( $\pm$ )-bupranolol against these agonists gave  $PA_2$  values of 6.02 ((-)-isoprenaline), 6.03 ((-)-noradrenaline), 6.01 ((-)-adrenaline), 6.56 (BRL37344) and 5.74 (( $\pm$ )-CGP12177A), respectively. All Schild plot slopes were not significantly different from unity. The  $PA_2$  values of ( $\pm$ )-bupranolol obtained for the guinea-pig  $\beta_3$ -adrenoceptors were about one log unit less than the values obtained for the rat  $\beta_3$ -adrenoceptors and about two log units less than the values obtained for dog  $\beta_3$ -adrenoceptors.

5 These results confirm that functional  $\beta_3$ -adrenoceptors are present in the guinea-pig ileum and that the relaxations of these agonists are mainly mediated via  $\beta_3$ -adrenoceptors in this tissue. The differential antagonistic potency of ( $\pm$ )-bupranolol may suggest that there is a species difference between the three species (guinea-pig, dog and rat) in their  $\beta_3$ -adrenoceptors.

### Introduction

$\beta$ -Adrenoceptors were initially subclassified by Lands, Arnold, McAuliff, Luduena & Brown Jun (1967a) and Lands, Luduena & Buzzo (1967b) into  $\beta_1$ - and  $\beta_2$ -adrenoceptor subtypes. In the guinea-pig ileum, the  $\beta$ -adrenoceptor-mediated relaxation was suggested to be mediated via a homogeneous population of  $\beta_1$ -adrenoceptor subtype (Grassby & Broadley, 1984), however, Bond & Clark (1987) reported the presence of both propranolol-sensitive

( $\beta_1$ - and/or  $\beta_2$ -adrenoceptor-mediated) and insensitive responses. Recently, several studies showed  $\beta$ -adrenoceptors in the ileum with characteristics distinct from  $\beta_1$ - and  $\beta_2$ -adrenoceptor subtypes and referred to as  $\beta_3$ -adrenoceptors or atypical  $\beta$ -adrenoceptors (for review, see Manara, Croci & Landi, 1995).

We have reported that  $\beta_3$ -adrenoceptors or atypical  $\beta$ -adrenoceptors are involved in mediating the relaxant response of the guinea-pig duodenum and the guinea-pig gastric fundus (Horinouchi &

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Koike, 1999a, b). Furthermore, Horinouchi & Koike (1999a, b) showed that the  $pA_2$  value of ( $\pm$ )-bupranolol against (-)-isoprenaline and  $\beta_2$ -adrenoceptor agonist ( $\pm$ )-CGP12177A was 6.02-6.08 and 5.70-5.80, respectively. However, several  $pA_2$  values for ( $\pm$ )-bupranolol have been reported: the value against (-)-isoprenaline is 8.19 at  $\beta_2$ -adrenoceptors in the dog detrusor (Yamazaki *et al.*, 1998) and the value against ( $\pm$ )-CGP12177A is 6.70 at  $\beta_2$ -adrenoceptors in the rat fat cell (Galitzky *et al.*, 1997). It remains possible that there is a species difference or a tissue difference in the distribution of  $\beta_2$ -adrenoceptors.

The aim of the present study was to characterize the  $\beta_2$ -adrenoceptors involved in relaxant responses in the guinea-pig ileum using functional experiments with catecholamines ((-)-isoprenaline, (-)-noradrenaline and (-)-adrenaline),  $\beta_2$ -adrenoceptor agonists (BRL37344 and ( $\pm$ )-CGP12177A) and a non-selective  $\beta_1$ -,  $\beta_2$ - and  $\beta_3$ -adrenoceptor antagonist ( $\pm$ )-bupranolol. To clarify whether there is a species difference or a tissue difference in  $\beta_2$ -adrenoceptors, we compared  $pA_2$  values for antagonism by ( $\pm$ )-bupranolol of the  $\beta_2$ -adrenoceptors of the guinea-pig ileum with published values for the  $\beta_2$ -adrenoceptors obtained from the guinea-pig duodenum, the guinea-pig gastric fundus, the dog detrusor and the rat fat cell.

## Methods

### Mechanical responses

Male Hartley guinea-pigs weighing 300-500 g were killed by cervical dislocation and the distal ileum was removed. After washing out the luminal contents, longitudinal smooth muscle from the ileum was isolated by rubbing the ileum with a cotton swab. Each segment 2-3 cm in length was set up in a 20-ml organ bath under an initial resting tension of 500 mg in oxygenated (a mixture of 95%  $O_2$  and 5%  $CO_2$ ) Ringer-Locke solution maintained at 32 °C with desmethylinipramine (1  $\mu M$ ; to block neuronal uptake of catecholamines), normetazaphrine (10  $\mu M$ ; to block extraneuronal uptake of catecholamines) and phentolamine (10  $\mu M$ ; to block  $\alpha$ -adrenoceptors). The composition (mM) of Ringer-Locke solution was as follows: NaCl, 154; KCl, 5.6;  $CaCl_2$ , 2.2;  $MgCl_2$ , 2.1;  $NaHCO_3$ , 5.9 and glucose, 2.8. Changes in tension of strips were recorded isometrically. Strips were allowed to equilibrate for at least 30 min before experimental procedures were begun.

The relaxant action of  $\beta$ -adrenoceptor agonists was determined by measuring relaxation of histamine-induced contraction evoked by addition of the agonists. Initially, two concentration-response curves (CRCs) to histamine were constructed for each tissue, to determine a concentration of histamine which gave submaximal contraction. A sub-

maximal concentration of histamine was used.

CRCs to  $\beta$ -adrenoceptor agonists were constructed by cumulative addition to histamine-contracted strips until a maximal relaxant response was observed and the relaxation induced by agonists was expressed as a percentage of the maximal agonist (3  $\mu M$  (-)-isoprenaline) response. CRCs were constructed at 30 min interval, allowing 30 min equilibration time for antagonists, which were added at the end of each CRC.

In experiment testing the effect of antagonists on the relaxation induced by BRL37344 or ( $\pm$ )-CGP12177A, only one CRC to the agonist (BRL37344 or ( $\pm$ )-CGP12177A) was constructed in any one tissue. In these cases paired experiments were carried out. If CRCs to (-)-isoprenaline were not significantly different in the paired tissues then one tissue was subsequently treated with BRL37344 in the absence of antagonist. The antagonist was present for 30 min before the construction of CRCs to BRL37344.

### Data analysis

The data were presented as mean  $\pm$  SEM of 6-8 experiments. Agonistic potency was expressed as the  $pD_2$  value (Van Rossum, 1963). The intrinsic activity (IA) of an agonist was measured relative to the maximal relaxation induced by the full agonist (-)-isoprenaline (3  $\mu M$ ). A ligand in which an IA was significantly lower than 1 was defined as a partial agonist. The  $pA_2$  values for antagonists, as defined by Arunlakshana & Schild (1959), were calculated according to the method of Tallarida, Cowan & Adler (1979). Statistical analyses were performed with the Newman-Keuls test when appropriate. A  $P$ -value of less than 0.05 was considered statistically significant.

### Drugs

(-)-Isoprenaline hydrochloride, (-)-noradrenaline bitartrate, (-)-adrenaline bitartrate, ( $\pm$ )-propranolol hydrochloride, histamine dihydrochloride, desmethylinipramine hydrochloride and normetazaphrine hydrochloride were purchased from Sigma Chemical Co. (St Louis, MO, USA). BRL37344 [(R\*, R\*)-( $\pm$ )-4-[2-[(2-(3-chlorophenyl)-2-hydroxyethyl)amino]propyl]phenoxycetic acid] was obtained from Nacal Tesque (Kyoto, Japan). ( $\pm$ )-CGP12177A ([4-[3-[[1,1-dimethyl-2-hydroxypropoxy]-1,3-dihydro-2H-benzimidazol-2-one] hydrochloride] was from Research Biochemicals (Natick, MA, USA). Phentolamine mesylate was provided by Novartis (Basel, Switzerland). ( $\pm$ )-Bupranolol hydrochloride was a gift from Kaken Pharmaceutical Co., Ltd (Tokyo, Japan). All the drugs were dissolved in

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distilled water. All other chemicals used were of analytical reagent grade.

## Results

### Effects of catecholamines

In the absence of (*s*)-propranolol (1  $\mu$ M), the three catecholamines relaxed histamine-induced tone in the guinea-pig ileum (Fig. 1a). The  $pD_2$  values and intrinsic activities are shown in Table 1. (*-*)-Noradrenaline and (*-*)-adrenaline were partial agonists as their intrinsic activities ( $0.90 \pm 0.02$  and  $0.92 \pm 0.02$ , respectively) were significantly lower

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than 1 ( $P < 0.05$ ). The relative rank order of potency was (*-*)-isoprenaline > (*-*)-noradrenaline > (*-*)-adrenaline.

(*s*)-Propranolol (1  $\mu$ M) weakly antagonized the relaxant responses to catecholamines without reducing the maximum relaxation and caused only 39-, 5- and 3-fold rightward shifts of CRCs to (*-*)-isoprenaline, (*-*)-noradrenaline and (*-*)-adrenaline, respectively (Fig. 1b). In the presence of (*s*)-propranolol (1  $\mu$ M), the rank order of agonist potency was (*-*)-isoprenaline > (*-*)-noradrenaline > (*-*)-adrenaline (Fig. 1b; Table 1).

### Effects of $\beta_2$ -adrenoceptor agonists

In the absence of (*s*)-propranolol (1  $\mu$ M), BRL37344 and (*s*)-CGP12177A caused relaxation of the guinea-pig ileum concentration-dependently (Fig. 2a, b). BRL37344 was similar in potency to (*-*)-isoprenaline and (*s*)-CGP12177A was somewhat less potent than (*-*)-isoprenaline (Table 1). However, the maximum responses obtained with BRL37344 and (*s*)-CGP12177A were significantly lower than that achieved with (*-*)-isoprenaline (Fig. 2a, b), indicating that the two  $\beta_2$ -adrenoceptor agonists acted as a partial agonist in this tissue. The CRCs to BRL37344 and (*s*)-CGP12177A were not affected by (*s*)-propranolol (1  $\mu$ M, Fig. 2a, b).

### Effects of (*s*)-bupranolol on relaxation induced by catecholamines and $\beta_2$ -adrenoceptor agonists

The effects of (*s*)-bupranolol on agonist-induced relaxation, in the presence of (*s*)-propranolol (1  $\mu$ M), were shown in Figs 3-5 and 6a, b. With concentrations of (*s*)-bupranolol, ranging from 3 to 30  $\mu$ M, clear rightward shifts of the concentration-response curves to these agonists were consistently observed. The Arunlakshana-Schild plot of the data revealed the  $pA_2$  values for (*s*)-bupranolol against catecholamines and  $\beta_2$ -adrenoceptor agonists to be  $6.02 \pm 0.05$  [(*-*)-isoprenaline, slope:  $1.02 \pm 0.02$ ,  $n = 6$ ],  $6.03 \pm 0.05$  [(*-*)-noradrenaline,  $1.03 \pm 0.03$ ,  $n = 7$ ],  $6.01 \pm 0.03$  [(*-*)-adrenaline,  $1.04 \pm 0.04$ ,  $n = 8$ ],  $6.56 \pm 0.02$  (BRL37344,

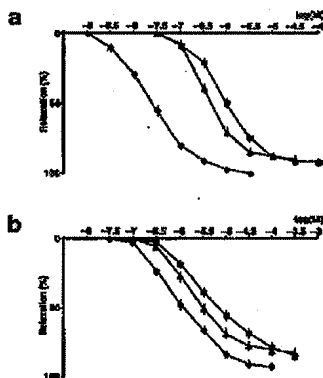


Figure 1 Concentration-response curves for (*-*)-isoprenaline (●), (*-*)-noradrenaline (▲) and (*-*)-adrenaline (▼) (a) in the absence of (*s*)-propranolol and (b) in the presence of (*s*)-propranolol (1  $\mu$ M). Ordinate: relaxation (%), expressed as a percentage relative to the maximum relaxation induced by (*-*)-isoprenaline (3  $\mu$ M); abscissa: concentration ( $\mu$ M) of the test drugs. Each point represents the mean  $\pm$  SEM of 6 to 8 experiments.

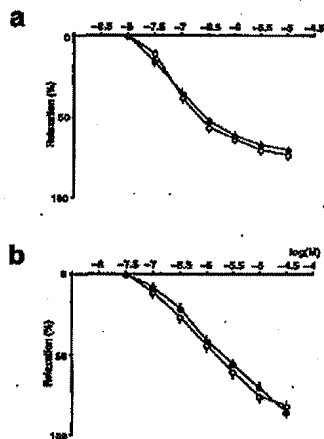
Table 1 Potencies of catecholamines and  $\beta_2$ -adrenoceptor agonists and effects of (*s*)-propranolol (1  $\mu$ M) on the guinea-pig ileum

Agonist	Absence of ( <i>s</i> )-propranolol		Presence of ( <i>s</i> )-propranolol	
	$pD_2$ value	IA	$pD_2$ value	IA
( <i>-</i> )-Isoprenaline	$7.60 \pm 0.04$	1	$6.01 \pm 0.06$	$0.99 \pm 0.03$
( <i>-</i> )-Noradrenaline	$6.38 \pm 0.05$	$0.90 \pm 0.02$	$5.69 \pm 0.05$	$0.82 \pm 0.02$
( <i>-</i> )-Adrenaline	$6.07 \pm 0.04$	$0.92 \pm 0.02$	$5.41 \pm 0.02$	$0.84 \pm 0.03$
BRL37344	$7.05 \pm 0.04$	$0.73 \pm 0.03$	$7.00 \pm 0.02$	$0.70 \pm 0.02$
( <i>s</i> )-CGP12177A	$6.25 \pm 0.04$	$0.81 \pm 0.02$	$6.17 \pm 0.03$	$0.85 \pm 0.03$

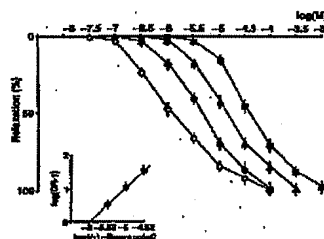
Values are mean  $\pm$  SEM from 6 to 8 experiments.  $pD_2$  value =  $-\log EC_{50}$  ( $EC_{50}$ : concentration of agonist producing half-maximum response). IA is calculated for each agonist in the absence or presence of (*s*)-propranolol (1  $\mu$ M) relative to the maximum relaxation induced by (*-*)-isoprenaline (3  $\mu$ M) in the absence of (*s*)-propranolol.

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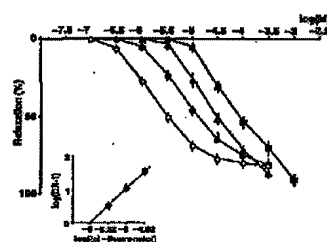


**Figure 2** Effect of (S)-propranolol (1  $\mu$ M) on concentration-response curves for BRL37344 and (S)-CGP12177A: (a) BRL37344 only (O), BRL37344 plus (S)-propranolol (1  $\mu$ M) (●); (b) (S)-CGP12177A only (O), (S)-CGP12177A plus (S)-propranolol (1  $\mu$ M) (●). Ordinate: relaxation (%), expressed as a percentage relative to the maximum relaxation induced by (-)-isoprenaline (3  $\mu$ M); abscissa: concentration ( $\mu$ M) of the test drugs. Each point represents the mean  $\pm$  SEM of 6 to 8 experiments.

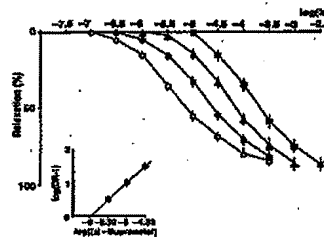


**Figure 3** Effect of (S)-bupranolol on concentration-response curves for (-)-isoprenaline in the presence of (S)-propranolol (1  $\mu$ M). Control, no (S)-bupranolol (O); (S)-bupranolol 3  $\mu$ M (●); (S)-bupranolol 10  $\mu$ M ( $\Delta$ ); (S)-bupranolol 30  $\mu$ M ( $\square$ ). Ordinate: relaxation (%), expressed as a percentage relative to the maximum relaxation induced by (-)-isoprenaline (3  $\mu$ M); abscissa: concentration ( $\mu$ M) of the test drugs. Each point represents the mean  $\pm$  SEM of six experiments. The inset shows the corresponding Schild plot calculated from the individual experiments.

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**Figure 4** Effect of (S)-bupranolol on concentration-response curves for (-)-norepinephrine in the presence of (S)-propranolol (1  $\mu$ M). Control, no (S)-bupranolol (O); (S)-bupranolol 3  $\mu$ M (●); (S)-bupranolol 10  $\mu$ M ( $\Delta$ ); (S)-bupranolol 30  $\mu$ M ( $\square$ ). Ordinate: relaxation (%), expressed as a percentage relative to the maximum relaxation induced by (-)-isoprenaline (3  $\mu$ M); abscissa: concentration ( $\mu$ M) of the test drugs. Each point represents the mean  $\pm$  SEM of seven experiments. The inset shows the corresponding Schild plot calculated from the individual experiments.



**Figure 5** Effect of (S)-bupranolol on concentration-response curves for (-)-adrenaline in the presence of (S)-propranolol (1  $\mu$ M). Control, no (S)-bupranolol (O); (S)-bupranolol 3  $\mu$ M (●); (S)-bupranolol 10  $\mu$ M ( $\Delta$ ); (S)-bupranolol 30  $\mu$ M ( $\square$ ). Ordinate: relaxation (%), expressed as a percentage relative to the maximum relaxation induced by (-)-isoprenaline (3  $\mu$ M); abscissa: concentration ( $\mu$ M) of the test drugs. Each point represents the mean  $\pm$  SEM of eight experiments. The inset shows the corresponding Schild plot calculated from the individual experiments.

$1.01 \pm 0.01$ ,  $n = 8$ ) and  $5.74 \pm 0.03$  ((S)-CGP12177A,  $1.00 \pm 0.06$ ,  $n = 8$ ). The slope of each regression line was not significantly different from unity (Figs 3-5 and 6a, b; Table 2).

#### Discussion

The presence of  $\beta_1$ -adrenoceptors or the atypical  $\beta$ -adrenoceptor has now been generally accepted. The high potency of a novel class of  $\beta$ -adrenoceptor agonists (Arch *et al.*, 1984) has provided strong

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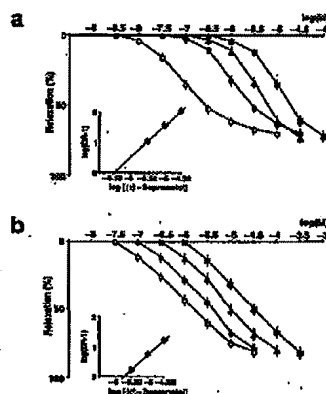


Figure 6 Effect of (a)-bupranolol on concentration-response curves for ZRL37344 (a) and (a)-CGP12177A (b) in the presence of (a)-propranolol (1 μM). Control, no (a)-bupranolol (○); (a)-bupranolol 3 μM (△); (a)-bupranolol 10 μM (◇); (a)-bupranolol 30 μM (□). Ordinate relaxation (%), expressed as a percentage relative to the maximum relaxation induced by (-)-isoprenaline (3 μM); abscissa: concentration (M) of the test drug. Each point represents the mean ± SEM of eight experiments. The inset shows the corresponding Schild plot calculated from the individual experiments.

support for the existence of  $\beta_2$ -adrenoceptors. These receptors were reported to be abundantly present in adipose and gastrointestinal tissue (for review see Arch & Kaumann, 1993).

The present study was designed to characterize the  $\beta_2$ -adrenoceptors mediating relaxation of the guinea-pig ileum to catecholamines and selective  $\beta_2$ -adrenoceptor agonists. The potency of catecholamines and selective  $\beta_2$ -adrenoceptor agonists was very similar to values reported for the guinea-pig duodenum (Horinouchi & Koike, 1999a) and the

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guinea-pig gastric fundus (Horinouchi & Koike, 1999b), indicating the involvement of similar  $\beta_2$ -adrenoceptor populations in the three tissues. Propranolol (1 μM) shifted CRCs to the catecholamines to a variable extent, which indicated variable contribution of  $\beta_1$ - and  $\beta_2$ -adrenoceptors to relaxations induced by these agonists. The relaxant responses induced by  $\beta_2$ -adrenoceptor agonists were not antagonized by (a)-propranolol (1 μM). As (a)-propranolol is a very potent and non-selective classical  $\beta$ -adrenoceptor antagonist, it can be concluded that  $\beta_2$ -adrenoceptor agonist-induced relaxations of the guinea-pig ileum were not mediated by classical  $\beta$ -adrenoceptors but solely by  $\beta_2$ -adrenoceptors, whereas catecholamine-induced relaxations were shown to involve both classical  $\beta_1$ - and/or  $\beta_2$ -adrenoceptors and, predominantly,  $\beta_1$ -adrenoceptors. The order of potency of the catecholamines was of the same rank order as in the rat jejunum in which  $\beta_2$ -adrenoceptor responses are observed (MacDonald, Forbes, Gallacher, Hoopes & McLaughlin, 1994). This was not affected by (a)-propranolol (1 μM) and provides strong support for the view that  $\beta_2$ -adrenoceptors were quantitatively more abundant than classical  $\beta_1$ - and/or  $\beta_2$ -adrenoceptors.

The non-selective  $\beta$ -adrenoceptor antagonist (a)-bupranolol, at a dose much higher than that antagonizing  $\beta_1$ - and  $\beta_2$ -adrenoceptor-mediated effects (Kaumann & Molenaar, 1996), also antagonized the  $\beta_2$ -adrenoceptor-mediated effects (Arch & Kaumann, 1993). In order to assay the  $\beta_2$ -adrenoceptors, we included (a)-propranolol (1 μM) in functional competition experiments to block coexisting  $\beta_1$ - and  $\beta_2$ -adrenoceptors. (a)-Bupranolol antagonized the relaxant responses to catecholamines and selective  $\beta_2$ -adrenoceptor agonists in a concentration-dependent manner.  $pA_2$  values for (a)-bupranolol against catecholamines and  $\beta_2$ -adrenoceptor agonists were not significantly different from the  $pA_2$  values obtained in the guinea-pig duodenum and the guinea-pig gastric fundus (Horinouchi & Koike, 1999a, b), suggesting there is no tissue difference between the three tissues

Table 2 Comparison of  $pA_2$  values and Schild slopes for bupranolol against catecholamines and  $\beta_2$ -adrenoceptor agonists on the guinea-pig, dog and rat tissues

	Guinea-pig ileum		Dog duodenum	Rat fat cell
	$pA_2$ value	Slope	$pA_2$ value	$pA_2$ value
(-)-Isoprenaline	6.02 ± 0.05	1.02 ± 0.02	8.19*	
(-)-Noradrenaline	6.03 ± 0.05	1.03 ± 0.03		
(-)-Adrenaline	6.01 ± 0.03	1.04 ± 0.04		
ZRL37344	6.56 ± 0.02	1.01 ± 0.01		
(a)-CGP12177	5.74 ± 0.03	1.00 ± 0.06		6.70†

Values are mean ± SEM from 6 to 8 experiments.

\*Value from Yamashiki et al. (1996).

†Value from Galichy et al. (1997).

Significant (\* $P$  < 0.05, † $P$  < 0.05) against corresponding values obtained in guinea-pig ileum.



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with respect to  $\beta_1$ -adrenoceptor-mediated responses. However, the  $pA_2$  value for (±)-bupranolol of 6.02 against (±)-isoprenaline in the guinea-pig ileum was lower than the value of 8.19 in the dog detrusor reported by Yamazaki *et al.* (1998). Furthermore, the value of 5.74 against (±)-CGP12177A in the guinea-pig ileum was also lower than the value of 6.70 in the rat fat cell reported by Galitzky *et al.* (1997). Thus, the  $pA_2$  values of  $\beta_1$ -adrenoceptor antagonists obtained in guinea-pig tissues were about one or two log units lower than the values obtained in the dog and rat tissues, indicating that there is a species difference between  $\beta$ -adrenoceptors stimulated by (±)-isoprenaline and (±)-CGP12177A. The reasons for these differences in potency for  $\beta_1$ -adrenoceptor antagonists are not apparent, but have been suggested to result from  $\beta_1$ -adrenoceptor heterogeneity and/or species differences because of variations in the  $\beta_1$ -adrenoceptor amino acid sequence (Blin, Nahmias, Drumare & Strosberg, 1994; De Ponti, Gibelli, Crema & Lecchini, 1995).

In conclusion, the present study suggests that in the guinea-pig ileum, relaxation responses induced by catecholamines and selective  $\beta_2$ -agonists were mediated predominantly by  $\beta_2$ -adrenoceptors, whereas  $\beta_1$ - and/or  $\beta_2$ -adrenoceptors play a subordinate role. In addition, our present results taken together with our previous studies (Hornouchi & Koike, 1999a, b) on  $\beta_2$ -adrenoceptors in the guinea-pig duodenum and gastric fundus, suggest that there is no tissue difference in  $\beta_2$ -adrenoceptor profile in these tissues. However, as (±)-bupranolol was more potent at both the dog and rat  $\beta_2$ -adrenoceptors than in the guinea-pig, it is possible that there is a species difference in the pharmacological profile of  $\beta_2$ -adrenoceptor between these species.

#### Acknowledgements

Kaken Pharmaceutical Co., Ltd (Tokyo, Japan) are thanked for the gift of (±)-bupranolol hydrochloride.

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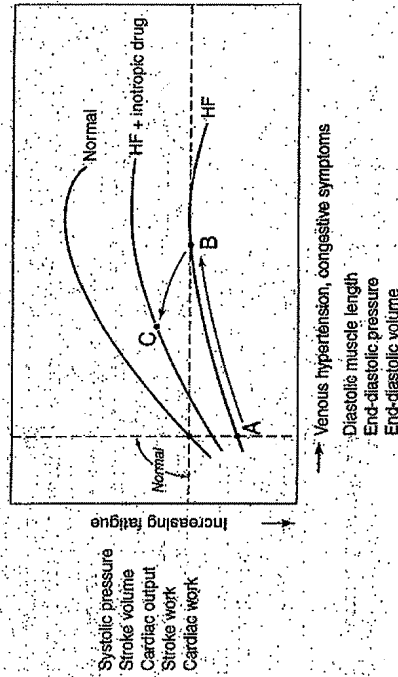
1999

# 203 / HEART FAILURE

(Congestive Heart Failure)

*Symptomatic myocardial dysfunction resulting in a characteristic pattern of hemodynamic, renal, and neurohormonal responses.*

(For heart failure in children, see Ch. 261.)



**FIG. 203-1. Frank-Starling relationship.** The ordinate (systolic pressure, stroke volume, cardiac output, stroke work, cardiac work) represents the ability of the ventricle to function as a pump. The abscissa (diastolic muscle length, end-diastolic pressure, end-diastolic volume) depicts the direct or indirect measurements of length or stretch of myocardial fiber. Dotted lines depict resting normal values; the normal curve includes point of intersection. Under normal conditions, left ventricular systolic pressure, stroke volume, and work increase rapidly as the myocardial fiber is lengthened at end-diastole. There is a family of ventricular function curves depicting cardiac performance under normal and abnormal conditions. During heart failure (HF) consequent to reduced contractility, ventricular performance falls sharply (point A). Reduced stroke volume causes increased end-diastolic volume with consequent stretching of diastolic muscle length. Ventricular function moves to the right on a relatively flat ventricular function curve to achieve relatively normal resting cardiac performance (point B). Thus, adequate resting cardiac performance results from increased ventricular diastolic volume and pressure. Treatment of the failing ventricle with an inotropic drug improves the ventricular function curve (point C), which, however, remains abnormal. Afterload reduction may have similar effects. This diagram assumes a direct relationship between diastolic muscle length, end-diastolic pressure, and end-diastolic volume, a relationship that is generally true when ventricular contractility is reduced. This relationship does not apply to HF due to increased myocardial diastolic stiffness; cardiac output is usually normal, end-diastolic pressure is high, yet diastolic muscle length may be normal. The problem in disease causing increased diastolic stiffness is a markedly reduced myocardial compliance, hence abnormally high ventricular filling pressure and congestion with adequate ventricular emptying. (Adapted from Spann JF, Mason DT, Zelis R: "Recent advances in the understanding of congestive heart failure (II)." *Modern Concepts of Cardiovascular Disease* 98:79-84, 1970, used with permission of the American Heart Association, Inc.)

extract more  $O_2$ , and mixed venous blood  $O_2$  content falls considerably.  $A - V_{O_2}$  may increase to about 12 to 14 mL/dL. Increased  $A - V_{O_2}$  due to lower venous  $O_2$  content is a common adaptive mechanism in HF.

The **oxyhemoglobin dissociation curve** (see Fig. 203-2) influences  $O_2$  availability to the tissues and can provide another reserve mechanism in HF. The position of this curve is frequently expressed as  $P_{50}$  (the partial pressure of  $O_2$  in blood at 50% oxyhemoglobin saturation). An increase in the normal

$P_{50}$  ( $27 \pm 2$  mm Hg) indicates a rightward shift of the oxyhemoglobin dissociation curve (decreased affinity of Hb for  $O_2$ ). For a given  $P_{O_2}$ , less  $O_2$  is combined with Hb, and the saturation is lower; thus, at the capillary level, more  $O_2$  is released and available to the tissues. Increased hydrogen ion concentration (reduced pH) shifts the curve to the right (Bohr effect), as does increased concentration of 2,3-diphosphoglycerate in RBCs, which alters the spatial relationships within the Hb molecule.

No definition of heart failure (HF) is entirely satisfactory. Congestive heart failure (CHF) develops when plasma volume increases and fluid accumulates in the lungs, abdominal organs (especially the liver), and peripheral tissues.

## Physiology

At rest and during exercise, cardiac output (CO), venous return, and distribution of blood flow with  $O_2$  delivery to the tissues are balanced by neurohumoral and intrinsic cardiac factors. Preload, the contractile state, afterload, the rate of contraction, substrate availability, and the extent of myocardial damage determine left ventricular (LV) performance and myocardial  $O_2$  requirements. The Frank-Starling principle, cardiac reserve, and the oxyhemoglobin dissociation curve play a role.

**Preload** (the degree of end-diastolic fiber stretch) reflects the end-diastolic volume, which is influenced by diastolic pressure and the composition of the myocardial wall. For clinical purposes, the end-diastolic pressure, especially if above normal, is a reasonable measure of preload in many conditions. LV dilatation, hypertrophy, and changes in myocardial distensibility or compliance modify preload.

The **contractile state** in isolated cardiac muscle is characterized by the force and velocity of contraction, which are difficult to measure in the intact heart. Clinically, the contractile state is often expressed as the ejection fraction (LV stroke volume/end-diastolic volume).

**Afterload** (the force resisting myocardial fiber shortening after stimulation from the relaxed state) is determined by the chamber pressure, volume, and wall thickness at the time of aortic valve opening. Clinically, afterload approximates systemic BP at or shortly after aortic valve opening and represents peak systolic wall stress. The heart rate and rhythm also influence cardiac performance.

**Reduced substrate availability** (eg, of fatty acid or glucose), particularly if  $O_2$  availability is reduced, can impair the vigor of cardiac contraction and myocardial performance.

**Tissue damage** (acute with MI or chronic with fibrosis due to various diseases) impairs local myocardial performance and imposes an additional burden on viable myocardium. The Frank-Starling principle states that the degree of end-diastolic fiber stretch (preload) within a physiologic range is proportional to the systolic performance of the ensuing ventricular contraction (Fig. 203-1). This mechanism operates in HF, but, because ventricular function is abnormal, the response is inadequate. If the Frank-Starling curve is depressed, fluid retention, vasoconstriction, and a cascade of neurohumoral responses lead to the syndrome of CHF. Over time, LV remodeling (change from the normal ovoid shape) with dilatation and hypertrophy further compromises cardiac performance, especially during physical stress. Dilatation and hypertrophy may be accompanied by increased diastolic stiffness.

**Cardiac reserve** (unused ability of the resting heart to deliver  $O_2$  to the tissues) is an important component of cardiac function during emotional or physical stress. Its mechanisms include increases in heart rate, systolic and diastolic volume, stroke volume, and tissue extraction of  $O_2$ . For example, in well-trained young adults during maximal exercise, heart rate may increase from 55 to 70 at rest to 180 beats/min; CO (stroke volume  $\times$  heart rate) may increase from its normal resting value of 6 to  $\geq 25$  L/min; and  $O_2$  consumption can increase from 250 to  $\geq 1500$  mL/min. In the normal young adult at rest, arterial blood contains about 18 mL  $O_2$ /dL of blood, and mixed venous or pulmonary artery blood contains about 14 mL/dL. The arteriovenous  $O_2$  difference ( $A - V_{O_2}$ ) is thus about  $4.0 \pm 0.4$  mL/dL. Even maximal CO during exercise is insufficient to meet tissue metabolic needs; hence, the tissues

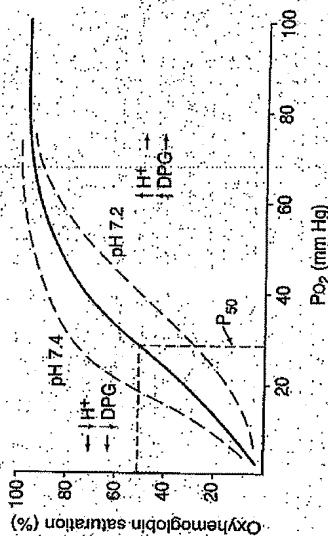


FIG. 208-2. Oxyhemoglobin dissociation curve. Arterial oxyhemoglobin saturation (ordinate) is related to partial pressure of  $O_2$  (abscissa).  $P_{50}$  ( $PO_2$  at 50% saturation) is normally 27 mm Hg. The dissociation curve is shifted to the right by increased hydrogen ion ( $H^+$ ) concentration and increased RBC diphosphoglycerate (DPG). The curve is shifted to the left by decreased  $H^+$  and lower RBC diphosphoglycerate. It is characterized by a leftward shifting of the curve has a decreased affinity for  $O_2$ . Hb characterized by a leftward shifting of the curve has an increased affinity for  $O_2$ .

### Classification and Etiology

In many forms of heart disease, the clinical manifestations of HF may reflect impairment of the left or right ventricle.

**Left ventricular (LV) failure** characteristically develops in coronary artery disease, hypertension, and most forms of cardiomyopathy and with congenital defects (eg, ventricular septal defect, patent ductus arteriosus with large shunts).

**Right ventricular (RV) failure** is most commonly caused by prior LV failure (which increases pulmonary venous pressure and leads to pulmonary arterial hypertension) and tricuspid regurgitation. Mitral stenosis, primary pulmonary hypertension, multiple pulmonary emboli, pulmonary artery or valve stenosis, and RV infarction are also causes. Volume overload and increased systemic venous pressure may also occur in polycythemia or overtransfusion, acute renal failure with overhydration, and obstruction of either vena cava simulating HF. In these conditions, myocardial function may be normal.

HF is manifest by **systolic or diastolic dysfunction**, or both. Combined systolic and diastolic abnormalities are common.

In systolic dysfunction (primarily a problem of ventricular contractile dysfunction), the heart fails to provide tissues with adequate circulatory output. A wide variety of defects in energy utilization, energy supply,

electrophysiologic functions, and contractile element interaction occur, which appear to reflect abnormalities in intracellular  $Ca^{++}$  modulation and cyclic adenosine monophosphate (cAMP) production. Systolic dysfunction has numerous causes; the most common are coronary artery disease, hypertension, and dilated congestive cardiomyopathy. There are many known and probably many unidentified causes for dilated cardiomyopathy. More than 20 viruses have been identified as causal. Toxic substances damaging the heart include alcohol, a variety of organic solvents, certain chemotherapeutic drugs (eg, doxorubicin),  $\beta$ -blockers, Ca blockers, and antiarrhythmic drugs.

Diastolic dysfunction (resistance to ventricular filling not readily measurable at the bedside) accounts for 20 to 40% of cases of HF. It is generally associated with prolonged ventricular relaxation time, as measured during isovolumic relaxation (the time between aortic valve closure and mitral valve opening when ventricular pressure falls rapidly). Resistance to filling (ventricular stiffness) directly relates to ventricular diastolic pressure; this resistance increases with age, probably reflecting myocyte loss and increased interstitial collagen deposition. Diastolic dysfunction is presumed to be dominant in hypertrophic cardiomyopathy, circumstances with marked ventricular hypertrophy (eg, hypertension, advanced aor-

tic stenosis), and amyloid infiltration of the myocardium.

**High output failure** is HF associated with a persistent high CO that eventually results in ventricular dysfunction. Conditions associated with high CO include anemia, beriberi, thyrotoxicosis, pregnancy, advanced Paget's disease, and arteriovenous fistula. CHF may develop in high-output states but is often reversible by treating the underlying cause. CO is elevated in various forms of cirrhosis, but the onset of congestion reflects cardiac and hepatic mechanisms of fluid retention.

### Pathophysiology

In LV failure, CO declines and pulmonary venous pressure increases. Elevated pulmonary capillary pressure to levels that exceed the oncotic pressure of the plasma proteins (about 24 mm Hg) leads to increased lung water, reduced pulmonary compliance, and a rise in the  $O_2$  cost of the work of breathing. Pulmonary venous hypertension and edema resulting from LV failure significantly alter pulmonary mechanics and thereby ventilation/perfusion relationships. Dyspnea correlates with elevated pulmonary venous pressure and the resultant increased work of breathing, although the precise cause is debatable. When pulmonary venous hydrostatic pressure exceeds plasma oncotic pressure, fluid extravasates into the capillaries, the interstitial space, and the alveoli. Pleural effusions characteristically accumulate in the right hemithorax and later bilaterally. Lymphatic drainage is greatly enhanced but cannot overcome the increase in lung water. Un氧ygenated pulmonary arterial blood is shunted past nonaerated alveoli, decreasing mixed pulmonary capillary  $PO_2$ . A combination of alveolar hypoventilation due to increased lung stiffness and reduced  $PAO_2$  is characteristic of LV failure. Thus, arterial blood gas analysis reveals an increased pH and a reduced  $PaO_2$  (respiratory alkalosis) with decreased saturation reflecting increased intrapulmonary shunting. Typically,  $PaO_2$  is reduced also. A  $PaO_2$  above normal signifies alveolar hypoventilation possibly due to respiratory muscle failure and requires urgent ventilatory support.

In RV failure, systemic venous congestion symptoms develop. Moderate hepatic dysfunction commonly occurs in CHF secondary to RV failure, with usually modest in-

creases in conjugated and unconjugated bilirubin, prothrombin time, and hepatic enzymes (eg, alkaline phosphatase, AST, ALT). However, in severely compromised circulatory states with markedly reduced splanchnic blood flow and hypotension, increases due to central necrosis around the hepatic veins may be severe enough to suggest hepatitis with acute liver failure. Reduced aldosterone breakdown by the impaired liver further contributes to fluid retention.

In systolic dysfunction, inadequate ventricular emptying leads to increased preload, diastolic volume, and pressure. Sudden (as in MI) and progressive (as in dilated cardiomyopathy) myocyte loss induces ventricular remodeling, resulting in increased wall stress accompanied by apoptosis (accelerated myocardial cell death) and inappropriate ventricular hypertrophy. Later, the ejection fraction falls, resulting in progressive pump failure. Systolic HF may primarily affect the LV or the RV (see above), although failure of one ventricle tends to lead to failure of the other.

In diastolic dysfunction, increased resistance to LV filling as a consequence of reduced ventricular compliance (increased stiffness) results in prolonged ventricular relaxation (an active state following contraction) and alters the pattern of ventricular filling. Ejection fraction may be normal or increased. Normally, about 80% of the stroke volume enters the ventricle passively in early diastole, reflected in a large e wave and smaller a wave on pulsed-wave Doppler echocardiography. Generally, in diastolic LV dysfunction the pattern is reversed, accompanied by increased ventricular filling pressure and a-wave amplitude.

Whether the failure is primarily systolic or diastolic and regardless of which ventricle is affected, various hemodynamic, renal, and neurohumoral responses may occur.

**Hemodynamic responses:** With reduced CO, tissue  $O_2$  delivery is maintained by increasing  $A-VO_2$ . Measurement of  $A-VO_2$  with systemic arterial and pulmonary artery blood samples is a sensitive index of cardiac performance and reflects, via the Fick equation ( $VO_2 = CO \times A-VO_2$ ), CO (inversely related) and the body's  $O_2$  consumption ( $VO_2$ —directly related).

Increased heart rate and myocardial contractility, arteriolar constriction in selected vascular beds, venoconstriction, and Na and

water retention compensate in the early stages for reduced ventricular performance. Adverse effects of these compensatory efforts include increased cardiac work, reduced coronary perfusion, increased cardiac preload and afterload, fluid retention resulting in congestion, myocyte loss, increased K excretion, and cardiac arrhythmia.

**Renal responses:** The mechanism by which an asymptomatic patient with cardiac dysfunction develops overt CHF is unknown, but it begins with renal retention of Na and water, secondary to decreased renal perfusion. Thus, as cardiac function deteriorates, renal blood flow decreases in proportion to the reduced CO, the GFR falls, and blood flow within the kidney is redistributed. The filtration fraction and filtered Na decrease, but tubular reabsorption increases.

**Neurohumoral responses:** Increased activity of the renin-angiotensin-aldosterone system influences renal and peripheral vascular response in HF. The intense sympathetic activation accompanying HF stimulates the release of renin from the juxtaglomerular apparatus near the descending loop of Henle in the kidney. Probably, decreased arterial systolic stretch secondary to declining ventricular function also stimulates renin secretion. Reflex and adrenergic stimulation of the renin-angiotensin-aldosterone system produces a cascade of potentially deleterious effects. Increased aldosterone levels enhance Na reabsorption in the distal nephron, contributing to fluid retention. Renin produced by the kidney interacts with angiotensinogen, producing angiotensin I from which is cleaved the octapeptide angiotensin II by ACE. Angiotensin II has various effects believed to enhance the syndrome of CHF, including stimulation of the release of arginine vasopressin (AVP), which is antidiuretic hormone (ADH); vasoconstriction; enhanced aldosterone output; efferent renal vasoconstriction; renal Na repletion; and increased norepinephrine release. Angiotensin II is also believed to be involved in vascular and myocardial hypertrophy, thus contributing to the remodeling of the heart and peripheral vasculature, which contributes to HF in various myocardial and other heart diseases.

Plasma norepinephrine levels are markedly increased, largely reflecting intense sympathetic nerve stimulation, because plasma epinephrine levels are not increased.

High plasma norepinephrine levels in patients with CHF are associated with a poor prognosis.

The heart contains many neurohormonal receptors ( $\alpha_1$ ,  $\beta_1$ ,  $\beta_2$ ,  $\beta_3$ , adrenergic, muscarinic, endothelin, serotonin, adenosine, angiotensin II). In patients with HF,  $\beta_1$  receptors (which constitute 70% of cardiac  $\beta$  receptors), but not the other adrenergic receptors, are down-regulated, potentially adversely affecting myocardial function. This down-regulation, which is probably a response to intense sympathetic overdrive, has been detected even in asymptomatic patients with the early stages of HF. Altered myocardial stimulator or receptor functions for various other neurohormonal factors may adversely influence myocyte performance in HF.

Serum levels of atrial natriuretic peptide (released in response to increased atrial volume and pressure load) and brain natriuretic peptide (released from the ventricle in response to ventricular stretch) are markedly increased in patients with CHF. These peptides enhance renal excretion of Na, but, in patients with CHF, the effect is blunted by decreased renal perfusion pressure, receptor down-regulation, and perhaps enhanced enzymatic degradation. Serum atrial natriuretic peptide appears to be important for diagnosis and prognosis in CHF and correlates well with functional impairment.

AVP is released in response to a fall in BP or ECF volume and by the effects of various neurohormonal stimuli. An increase in plasma AVP diminishes excretion of free water by the kidney and may contribute to the hyponatremia of HF. AVP levels in CHF vary, but experimental AVP blockers have increased water excretion and serum Na levels.

**Other sequelae:** Protein-losing enteropathy characterized by marked hypoalbuminemia, ischemic bowel infarction, acute and chronic GI hemorrhage, and malabsorption may result from severe chronic venous hypertension. Peripheral gangrene in the absence of large vessel occlusion or chronic irritability and decreased mental performance may result from chronic markedly reduced  $P_{O_2}$  reflecting severely reduced cerebral blood flow and hypoxemia.

Cardiac cachexia (loss of lean tissue  $\geq 10\%$ ) may accompany severely symptomatic HF. The failing heart produces tumor necro-

sis factor- $\alpha$ , which is a key cytokine in the development of catabolism and possibly of cardiac cachexia. Marked anorexia is characteristic of the syndrome. Restoring cardiac function to normal can reverse cardiac cachexia.

### Symptoms and Signs

HF may be predominantly right-sided or left-sided and may develop gradually or suddenly (as with acute pulmonary edema).

Cyanosis may occur with any form of HF. The cause may be central and may reflect hypoxemia. A peripheral component due to capillary stasis with increased  $A-V_{O_2}$  and resultant marked venous oxygen desaturation may also be present. Improved color of the nail bed with vigorous massage suggests peripheral cyanosis. Central cyanosis cannot be altered by increasing local blood flow.

**LV failure:** Pulmonary venous hypertension may become apparent with tachycardia, fatigue on exertion, dyspnea on mild exercise, and intolerance to cold. Paroxysmal nocturnal dyspnea and nocturnal cough reflect the redistribution of excess fluid into the lung with the recumbent position. Occasionally, pulmonary venous hypertension and increased pulmonary fluid manifest as bronchospasm and wheezing. Cough may be prominent, and pink-tinged or brownish sputum due to blood and the presence of HF cells is common. Frank hemoptysis due to ruptured pulmonary varices with massive blood loss is uncommon but may occur. Signs of chronic LV failure include diffuse and laterally displaced apical impulse, palpable and audible ventricular ( $S_3$ ) and atrial gallops ( $S_4$ ), accentuated pulmonary second sound, and inspiratory basilar rales. Right-sided pleural effusion is common.

**Acute pulmonary edema** is a life-threatening manifestation of acute LV failure secondary to sudden onset of pulmonary venous hypertension. A sudden rise in LV filling pressure results in rapid movement of plasma fluid through pulmonary capillaries into the interstitial spaces and alveoli. The patient presents with extreme dyspnea, deep cyanosis, tachypnea, hyperpnea, restlessness, and anxiety with a sense of suffocation. Pallor and diaphoresis are common. The pulse may be thready, and BP may be difficult to obtain. Respirations are labored, and rales are widely dispersed over both lung fields

anteriorly and posteriorly. Some patients manifest marked bronchospasm or wheezing (cardiac asthma). Noisy respiratory efforts often render cardiac auscultation difficult, but a summation gallop, merger of  $S_3$  and  $S_4$ , may be heard. Hypoxemia is severe.  $CO_2$  retention is a late, ominous manifestation of secondary hypoventilation and requires immediate attention.

**RV failure:** The principal symptoms include fatigue, awareness of fullness in the neck, fullness in the abdomen, with occasional tenderness in the right upper quadrant (over the liver), ankle swelling, and, in advanced stages, abdominal swelling due to ascites. Edema over the sacrum is likely in supine patients. Signs include evidence of systemic venous hypertension, abnormally large a or v waves in the external jugular pulse, an enlarged and tender liver, a murmur of tricuspid regurgitation along the left sternal border, RV  $S_3$  and  $S_4$ , and pitting edema of the lowest parts of the body.

### Diagnosis

Although symptoms and signs (eg, exertional dyspnea, orthopnea, edema, tachycardia, pulmonary rales, a third heart sound, jugular venous distention) have a diagnostic specificity of 70 to 90%, the sensitivity and predictive accuracy are low.

**Recommended laboratory tests** include CBC, blood creatinine, BUN, electrolytes (eg, Mg, Ca), glucose, albumin, and liver function tests. Thyroid function test results should be assessed in patients with atrial fibrillation and in selected, especially older, persons. In patients with suspected coronary artery disease, stress testing with radionuclide or ultrasound imaging or coronary angiography may be indicated. Endocardial biopsy is of limited usefulness.

ECG should be performed in all patients with HF, although findings are not specific; ambulatory ECG is not generally useful. Various abnormalities (eg, of ventricular hypertrophy, MI, or bundle-branch block) may provide etiologic clues. Recent onset of rapid atrial fibrillation may precipitate acute LV or RV failure. Frequent premature ventricular contractions may be secondary and may subside when the HF is treated.

Chest x-ray should be performed in all patients. Pulmonary venous congestion and interstitial or alveolar edema are characteristics of pulmonary edema. Kerley B lines



reflect chronic elevation of left atrial pressure and chronic thickening of the intralobular septa from edema. Microvascular volume increases, most strikingly in dependent areas, ie, the bases in upright posture. Careful examination of the cardiac silhouette, evaluation of chamber enlargement, and a search for cardiac calcifications may reveal important etiologic clues.

**Echocardiography** can help evaluate chamber dimensions, valve function, ejection fraction, wall motion abnormalities, and LV hypertrophy. Doppler or color Doppler echocardiography accurately detects pericardial effusion, intracardiac thrombi, and tumors and recognizes calcifications within the cardiac valves, mitral annulus, and the wall of the aorta. Underlying coronary artery disease is strongly suggested by localized or segmental wall motion abnormalities. Doppler studies of mitral and pulmonary venous inflow are often useful in identifying and quantitating LV diastolic dysfunction.

### Treatment

Even in the most urgent situation, the cause of HF must be determined. Correct-

able conditions require immediate treatment, which usually begins before the etiologic evaluation is completed. For patients requiring hospitalization, initial nonspecific treatment includes bed rest, with the head elevated or chair rest with the feet dependent, nasal  $O_2$  (often at 3 L/min for 24 to 36 hr), and sedation as needed.

**Drug treatment of systolic dysfunction:** Drug treatment of systolic dysfunction primarily involves diuretics, ACE inhibitors, digitalis, and  $\beta$ -blockers; most patients are treated with at least two of these classes.

**Diuretics** (see TABLE 203-1) may improve ventricular function even in asymptomatic patients. Loop diuretics are preferred; the most commonly used is IV or po furosemide. IV doses (usually 20 to 40 mg, increased to 320 mg if needed) are often used initially because of quick onset and peak action in about 30 minutes. In resistant cases, chlorothiazide 250 mg IV, bumetanide 0.5 to 2 mg po, 0.5 to 1.0 mg IV, or metolazone po (dosage varies with formulation) may have an additive effect. Overdose of loop diuretics may cause hypovolemia, hyponatremia, hypomagnesemia and profound hypokalemia,

so close electrolyte monitoring is essential. Diuretics may also induce renal failure and enhance the intense sympathetic stimulation characteristic of HF. K-sparing drugs may be used to offset the K-losing effects of loop diuretics, but hypokalemia may complicate their use. Thiazide diuretics are not usually effective in patients with advanced symptoms of CHF.

The clinical efficacy of diuretics depends on dietary Na restriction using a stepped approach: eliminating salt at the table and avoiding heavily salted foods; eliminating salt from cooking and consuming about 1.2 to 1.8 g/day of Na<sup>+</sup>; and, in the most severely ill, consuming < 1 g/day of Na through restriction to low-Na foods. A log of daily weight should be maintained by the patient to enhance ambulatory care of HF and to help prevent recurrent hospitalizations by detecting early evidence of Na<sup>+</sup> and water accumulation.

ACE inhibitors cause peripheral arterial and venous vasodilation, sustained decreases in LV filling pressure at rest and on exercise due to ventodilation, decreased systemic vascular resistance, favorable effects on remodeling, possible improved diastolic function, probable reduced loss of myocardial cells, and a negative inotropic effect on the failing heart. Various ACE inhibitors enhance survival in HF and reduce the incidence of recurrent angina and MI in coronary artery disease. Volume expansion and renal failure reduce their usual benefit. Side effects include a decrease in BP (sometimes severe) in almost all patients, especially those with hyponatremia. Moderate renal insufficiency may result from vasodilation of the efferent glomerular arteriole. K retention may occur due to reduced aldosterone effect, especially in patients receiving K supplements. Cough occurs in 5 to 20% of patients, probably due to accumulation of bradykinin as a result of reduced breakdown to inactive metabolites. Occasionally, rash or dysgeusia occurs. Angioneurotic edema is rare but can be life threatening.

ACE inhibitors are started in small doses, which are gradually increased, then continued indefinitely; doses should be adjusted upward as tolerated. Usual doses are captopril 25 to 50 mg/day, enalapril and lisinopril 2.5 to 5 mg/day, and quinapril 10 mg/day. Although an early effect may be observed, the full drug effect is usually not seen for 2

to 4 wk or considerably longer. Large doses produce a similar frequency of side effects as lower doses but are more effective (studies showing survival and other benefits have generally used large doses).

The dose of a coadministered diuretic may frequently be reduced, especially if ACE inhibitor-induced renal insufficiency occurs. Aspirin may reduce the effect of ACE inhibitors in HF, possibly because it inhibits the effects of kinins.

The angiotensin II receptor blocker losartan 25 to 50 mg/day has effects similar to those of ACE inhibitors, although comparative trials have not been reported. Theoretically, cough should not occur because losartan does not influence kinins.

Digitalis preparations have many actions, including weak inotropism; blockade of the atrioventricular node, thus slowing the ventricular rate in atrial fibrillation or prolonging PR time in sinus rhythm; weak vasoconstriction; and improved renal blood flow. The drug is widely prescribed in the USA, although its role continues to be debated and its usefulness in HF in the absence of atrial fibrillation is controversial.

Digoxin is the most commonly prescribed digitalis preparation. It is excreted by the kidney with an elimination half-life of 36 to 48 h in patients with normal renal function. Patients with reduced renal function require lower doses. Oral bioavailability of digoxin tablets is around 65 to 75%. Digoxin, an alternative in patients with known or suspected renal disease, is largely excreted in the bile and is thus not influenced by abnormal renal function.

Digoxin modestly improves LV function, allows reduced diuretic dosage, and reduces the need for hospitalization. Unlike ACE inhibitors, digoxin does not improve exercise tolerance. When digoxin is withdrawn in HF, the hospitalization rate and symptoms increase, although digoxin does not appear to influence mortality. Thus, digoxin is useful in symptomatic HF when used with diuretics and an ACE inhibitor. Digoxin is most effective in patients with large LV end-diastolic volumes and a third heart sound.

Digoxin (0.25 to 0.50 mg/day depending on body size) in patients with normal renal function will achieve full digitalization in about 1 wk (5 half-lives). Digoxin 1 mg IV administered as 0.5 mg initially, then 0.25 mg at 8 and 16 h (or 1.25 mg po administered as 0.5 mg

TABLE 203-1. THE PHARMACOKINETICS OF DIURETICS

Drug	Dosage* and Route	Onset	Peak	Duration
<b>Loop diuretics</b>				
Furosemide	20–120 mg IV	5 min	30 min	2 h
	20–60 mg po daily or bid	30 min	1–2 h	6–8 h
Bumetanide	0.5–1 mg IV	5 min	30–45 min	2 h
	0.5–2 mg/day po	0.5–1 h	1–2 h	4–6 h
Torsemide	20–100 mg IV	5 min	15–30 min	12–16 h
	20–100 mg/day po	30 min	1 h	12–16 h
<b>Thiazides</b>				
Chlorothiazide	250–500 mg IV q 12 h	15 min	30 min	2 h
	250–500 mg po bid	1–2 h	4 h	6–12 h
Chlorthalidone	50–100 mg/day po	2 h	2–6 h	24–72 h
Hydrochlorothiazide	25–50 mg/day po	2 h	4–6 h	6–12 h
Indapamide	2.5–5 mg/day po	1–2 h	2 h	36 h
Metolazone	5–10 mg/day po	1 h	2 h	12–24 h
<b>K-sparing drugs</b>				
Spirinolactone	25–200 mg/day po	3 days†	1–2 h	2–3 days
Triamterene	100 mg po bid	2–4 days	2–4 h	7–9 h
Amiloride	5–10 mg/day po	2 h	3–4 h	24 h

\*Clinically accepted dosages and intervals in heart failure are not strictly determined by pharmacokinetics. †Although plasma levels are detected in 2 h, the maximum diuretic effect occurs in 3–8 days. Adapted from Cody RJ: "Diuretic therapy," in *Heart Failure*, edited by Poole-Wilson PA, Colucci WS, Massie BM, et al. New York, Churchill Livingstone, 1987.

Initially, then 0.25 mg at 8, 16, and 24 h), should achieve adequate levels in tissue and plasma in the absence of toxicity. These doses are followed by 0.125 to 0.375 mg/day depending on body size; the elderly rarely need > 0.125 mg/day. Patients with reduced renal function require lower doses.

Digoxin (and all digitalis glycosides) has a narrow therapeutic-toxic threshold. About 80% of the therapeutic effect can be achieved with serum levels of 1.0 to 1.5 ng/mL, generally well below the toxic threshold of  $\geq 2$  ng/mL. In the management of atrial fibrillation, moderately low doses of digoxin may be combined with  $\beta$ -blockers or Ca blockers (eg, verapamil, diltiazem), which have a significant antioventricular blocking effect, to control ventricular rate at rest or during exercise.

Digoxin prolongs conduction in the atrioventricular node. First-degree heart block is common and, if not progressive, digoxin doses are need not be adjusted. Wenckebach phenomenon may occur. The most important toxic effects of digitalis are life-threatening arrhythmias due to complete heart block or ventricular arrhythmia. Digitalis increases the automaticity of Purkinje fibers and may enhance reentry, resulting in coupled extrasystoles, ventricular fibrillation, or ventricular tachycardia. Bidirectional ventricular tachycardia is pathognomonic of digitalis toxicity. Nonparoxysmal junctional tachycardia in the presence of atrial fibrillation is a serious sign of digitalis toxicity but is frequently overlooked.

Hypokalemia and hypomagnesemia (often caused by diuretics) potentiate the capacity of digoxin to induce malignant ventricular arrhythmia or heart block. Recognition and treatment of electrolyte depletion are mandatory in patients taking diuretics and digoxin, except in the presence of antioventricular block in which a temporary pacemaker must be functioning prior to correcting the electrolyte abnormality.

Other manifestations of digitalis toxicity include nausea, vomiting, anorexia, diarrhea, confusion, amblyopia, and rarely xerophthalmia.

The first step in treating digitalis toxicity is to discontinue the drug. The ECG should be closely monitored, and if serum K is low, 80 mEq of potassium chloride IV should be given in 1 L 5% D/W at 6 mL/min (0.6 mEq/min). Low serum Mg is treated with magne-

sium sulfate 1 g q 6 h for four doses IM or IV if mild or 5 g/h in 5% D/W over 3 h (28 mg/min). Administration of digoxin immune Fab (if available) is better than administration of another antiarrhythmic drug. Ventricular arrhythmias are treated with lidocaine or phenytoin. Heart block with slow ventricular rate is best treated with a temporary ventricular pacemaker. Isoproterenol is contraindicated because of the increased tendency to ventricular arrhythmia.

Several inotropic drugs have been evaluated in the treatment of HF, but, except for digoxin, preparations have shown increased mortality.

With careful administration of  $\beta$ -blockers, some patients, especially those with chronic dilated cardiomyopathy, will improve clinically and may have reduced mortality. Therapy must be initiated with caution using 1/4 to 1/10 of the standard daily dose, with a very gradual increase to the standard dose, if tolerated, over several weeks.

After initial treatment of HF by  $\beta$ -blockers, heart rate falls, stroke volume and filling pressure are unchanged, and myocardial  $O_2$  consumption falls. With the slower heart rate, diastolic function improves. Ventricular filling returns to a more normal pattern (increasing in early diastole) that appears less restrictive. Improved myocardial function is measurable after 6 to 12 mo, with an increase in ejection fraction, a fall in LV filling pressure, and an increase in CO. Functionally, exercise capacity appears to improve.

Carvedilol, a 3rd-generation nonselective  $\beta$ -blocker, is also a vasodilator with  $\alpha$  blockade and an antioxidant. Randomized controlled trials have shown significant reduction in all-cause mortality and cardiac events in patients with mildly symptomatic CHF and ejection fraction  $\leq 0.35$ . Ventricular function is significantly improved. In a patient taking stable doses of diuretics, ACE inhibitors, and digoxin, the recommended starting dose of carvedilol is 3.125 mg bid for 2 wk with cautious upward titration by doubling the dose every 2 wk to the highest level tolerated to a maximum of 25 mg bid for those weighing < 85 kg and 50 mg bid for those weighing  $\geq 85$  kg.

Vasodilators improve ventricular function by reducing systolic ventricular wall stress, aortic impedance, ventricular cham-

ber size, and valvular regurgitation. An improved balance between myocardial  $O_2$  supply and demand results. Acutely ill patients with severe pulmonary congestion and deteriorating ventricular function may respond to IV nitroglycerin or nitroprusside.

Addition of hydralazine and isosorbide dinitrate to standard triple therapy of HF may improve hemodynamics and exercise tolerance and reduce mortality in refractory patients. Hydralazine is initiated at 25 mg 4 times/day and increased every 3rd day to a maximum of 300 mg/day, although most patients with refractory HF cannot tolerate dosages > 200 mg/day without developing hypotension. Isosorbide dinitrate is administered at 20 mg 3 or 4 times/day and increased to a maximum of 160 mg/day. Patients must be carefully monitored for hypotension as the dosage is increased; hospitalization may be required. Benefit may not be evident for several weeks. Except in acutely ill or refractory cases of HF, vasodilators have been replaced by ACE inhibitors, which are easier to use and usually better tolerated.

The use of Ca blockers in patients with reduced LV function causing HF has been disappointing. Several Ca blockers have shown a deleterious effect (nifedipine, diltiazem, verapamil) or lack of evidence of clinical or hemodynamic improvement (nisoldipine, nifedipine, felodipine).

Amlodipine is well tolerated in CHF. It significantly reduces mortality in patients with idiopathic dilated cardiomyopathy. Amlodipine (or another long-acting vasoselective Ca blocker such as felodipine) may be useful in patients with cardiomyopathy whose HF is insufficiently controlled by diuretics, ACE inhibitors, digitalis, and  $\beta$ -blockers. Amlodipine may also be useful in treating associated angina or hypertension.

**Drug treatment of diastolic dysfunction:** Patients with diastolic dysfunction cannot tolerate reduced BP or plasma volume. Thus, diuretics, ACE inhibitors, and vasodilators are usually contraindicated. Treatment of HF in hypertrophic cardiomyopathy (see below) with a  $\beta$ -blocker, verapamil, or disopyramide aims to reduce cardiac contractility; thus, digoxin is also contraindicated. Successful treatment of hypertension or valve replacement for aortic stenosis will decrease LV hypertrophy and reduce ventricular stiffness. Generally, treatment of dominant systolic dysfunction will improve

diastolic dysfunction. Management of patients with extensive ventricular infarction (eg, in an infarct) remains unsatisfactory. Slowing the heart rate with a  $\beta$ -blocker prolongs diastole, possibly improving ventricular relaxation and allowing a more normal ventricular-filling pattern.

**Drug treatment of arrhythmia:** Sinus tachycardia is common in HF but generally subsides with effective treatment of the HF. If tachycardia is persistent, associated causes should be sought (eg, overactive thyroid, pulmonary emboli, fever, anemia), and cautious treatment with a  $\beta$ -blocker may be considered. Uncontrolled atrial fibrillation may contribute importantly to LV dysfunction. Some patients have well-controlled ventricular rates at rest that become very rapid during minimal emotional or physical stress. Judicious treatment with digoxin,  $\beta$ -blockers, or Ca blockers (eg, verapamil, diltiazem) alone or in combination is often effective. Occasionally, dosages that control the tachycardia induce periods of asystole. Insertion of a pacemaker with maintenance on large doses of drugs that block atrioventricular conduction or complete or partial ablation of the atrioventricular node may be required. Ventricular extrasystoles are common in HF. They are generally ignored in the absence of sustained ventricular tachycardia because most subside with successful treatment of HF.

Amiodarone, a vasodilator, has antiarrhythmic effects and direct negative inotropic action and is anti-ischemic. However, in HF, amiodarone 200 to 360 mg/day improves LV function, possibly because its vasodilating effect overcomes its negative inotropic action. Some studies suggest improved survival in cardiomyopathy, especially hypertrophic obstructive cardiomyopathy or when of ischemic origin. Paradoxically, treatment of ventricular arrhythmia with other antiarrhythmics except  $\beta$ -blockers in HF has not reduced mortality.

Treatment of arrhythmia in HF may be difficult because antiarrhythmic drugs other than amiodarone and  $\beta$ -blockers have adverse proarrhythmic effects in the presence of LV dysfunction. If rapid atrial fibrillation does not respond to therapy with digoxin,  $\beta$ -blockers, or Ca blockers, nonpharmacologic treatment with permanent pacemaker insertion and complete or partial atrioventricular node ablation should be considered.

Treatment of acute pulmonary edema includes administration of  $O_2$  by mask, the upright position if tolerated, morphine IV 1 to 5 mg once or twice, and IV furosemide 0.5 to 1.0 mg/kg. If hypoxia is severe (pulse oximeter) or  $CO_2$  retention is evident (arterial blood gas), tracheal intubation and assisted ventilation may be required. Rapid evaluation of the cause of HF by history, physical examination, ECG, and, if indicated, echocardiogram should be undertaken. Specific treatment depends on etiology: a vasodilator for severe hypertension; an IV antiarrhythmic or cardioversion for supraventricular or ventricular tachycardia; and an IV Ca blocker, IV  $\beta$ -blocker, IV digoxin, or cardioversion to slow the ventricular rate in paroxysmal atrial fibrillation.

Acute MI is the commonest cause of acute LV failure. If BP is maintained, treatment is as above, adding sublingual nitroglycerin 0.4 mg, repeated in 5 min, followed by IV nitroglycerin 10 to 100  $\mu$ g/min. A thrombolytic drug should be administered, if indicated. Because fluid status before onset of acute HF is usually normal in MI patients, diuretics are less useful and may precipitate hypotension. If BP falls or shock develops, IV dobutamine and intra-aortic balloon pump (counterpulsation) may be required. Emergency coronary angiography and evaluation for PTCA or bypass surgery may be undertaken in patients who fail to improve.

**Treatment of refractory HF:** Various factors may cause a failure to respond to appropriate treatment or a gradual loss of effective response after an initial favorable result. Causes include suboptimal quadruple therapy, deteriorating renal function, occult thyroid disease, anemia, treatment-induced hypotension, supervening arrhythmia (eg, atrial fibrillation with rapid ventricular response, intermittent ventricular tachycardia), alcohol consumption, and the adverse effects of concomitant drug administration (especially NSAIDs). If treatable causes are not found, additional medical therapy or referral for surgery can be considered.

**Surgery:** Heart transplantation is the only treatment that potentially alters the natural history of HF long-term. Currently, 1- and 3-yr survival are about 82 and 75%, however, mortality while waiting for a donor is 12 to 15%. Dynamic cardiomyoplasty has been used experimentally to boost LV function by wrapping the latissimus dorsi muscle around

the heart and stimulating this skeletal muscle repetitively. Functional status has been reported to improve in about 80% of patients. Another experimental procedure attempts to relieve wall tension by removing ventricular strips and reducing LV volume, but outcome data are limited. Several implantable ventricular assist devices are being evaluated. Ventricular assist with an external power source has been successful in supporting selected patients with refractory HF before heart transplantation. Newer devices in which the power source is inserted wholly within the body, thus reducing the major complication of infection, are also being evaluated.

**End-of-life care:** Death is inevitable in patients with progressive disease who are not transplant candidates. Severe symptoms cannot be controlled. Care must focus on relief of pain and suffering (see Ch. 284).

## CARDIOMYOPATHY

*Any structural or functional abnormality of the ventricular myocardium, except congenital developmental defects, valvular disease, systemic or pulmonary vascular disease, isolated pericardial nodal, or conduction system disease, or epicardial coronary artery disease, unless chronic diffuse myocardial dysfunction is present.*

Cardiomyopathy has many causes (see TABLE 203-2). Pathophysiologic classification (dilated congestive, hypertrophic, or restrictive cardiomyopathy) by means of history, physical examination, and invasive or noninvasive testing is most useful initially (see TABLE 203-3). If no cause can be found, cardiomyopathy is considered primary or idiopathic.

### DILATED CONGESTIVE CARDIOMYOPATHY

*Disorders of myocardial function with heart failure in which ventricular dilation and systolic dysfunction predominate.*

#### Etiology and Pathophysiology

The most common identifiable cause in temperate zones is diffuse coronary artery

TABLE 203-2. ETIOLOGY AND PATHOPHYSIOLOGIC CLASSIFICATION OF CARDIOMYOPATHY

Etiology	Pathophysiology
<b>Dilated congestive cardiomyopathy (acute or chronic)</b>	Diffuse (all chambers involved)
Chronic diffuse myocardial ischemia (coronary artery disease)	Nondiffuse (one or more chambers spared)
Infections (acute or chronic): bacteria, spirochetes, rickettsia, viruses (including HIV), fungi, protozoa, helminths	
Granulomatous diseases: sarcoidosis, granulomatous or giant cell myocarditis, Wegener's granulomatosis	
Metabolic disorders: nutritional disorders (beriberi, selenium deficiency, carnitine deficiency, kwashiorkor), familial storage disorders, uremia, hypokalemia and hypomagnesemia, hypophosphatemia, endocrinopathy (diabetes mellitus, hyperthyroidism or hypothyroidism, pheochromocytoma, acromegaly), morbid obesity	
Drugs and toxins: ethanol, cocaine, antihypertensives, cobalt, psychotherapeutic drugs (tricyclic, quindryclic, phenothiazine), catecholamines, cyclophosphamide, radiation	
Neoplasms	
Connective tissue disorders	
Hereditary familial neuromuscular and neurologic disorders (Friedreich's ataxia)	
Pregnancy (peripartum period)	
<b>Hypertrophic cardiomyopathy</b>	Asymmetric (obstructive [subaortic or midventricular], nonobstructive, and apical)
Hereditary autosomal dominant, pheochromocytoma, acromegaly, neurofibromatosis	Symmetric
<b>Restrictive cardiomyopathy</b>	Diffuse (obliterative and nonobliterative)
Anyloidosis; diffuse systemic sclerosis; hemochromatosis; endocardial fibrosis, fibroelastosis, and Löffler's disease; neoplasms; Gaucher's disease	Nondiffuse

disease with diffuse ischemic myopathy; for other causes, see TABLE 203-2.

Most commonly, at presentation there is chronic myocardial fibrosis with diffuse loss of myocytes. In some patients, the underlying pathologic process is believed to start with an acute myocardial phase (probably viral in most cases), followed by a variable latent phase, then a phase of chronic fibrosis and diffuse loss of myocardial myocytes due to an autoimmune reaction to virus-altered myocytes. Regardless of the cause, the result is dilation, thinning, and compensatory hypertrophy of the remaining myocardium (see Fig. 203-3) interspersed with fibrosis. Altered ventricular geometry often leads to secondary functional mitral or tricuspid regurgitation and atrial dilation. The primary result is impaired ventricular systolic function reflected by a low ejection fraction (EF).

Cardiac output is maintained through tachycardia and an increased end-diastolic volume, which increases wall tension and myocardial  $O_2$  demand. Diastolic compliance and pressure become abnormal only late in the disease.

#### Symptoms and Signs

The disorder is usually chronic, presenting with effort dyspnea and fatigue due to elevated left ventricular diastolic pressure and low cardiac output. Because both ventricles may be affected, symptoms and signs of right ventricular failure are also often prominent. Less often, when an infective agent is responsible, the disorder begins as acute myocarditis associated with fever. Infection with coxsackievirus B is most common in temperate zones (see VIRAL INFECTIONS in Ch. 265), whereas Chagas' disease due to *Trypanosoma cruzi* is most prevalent in



TABLE 203-3. DIAGNOSIS AND MANAGEMENT OF CARDIOMYOPATHIES

	Dilated Congestive	Hypertrophic	Restrictive
Clinical examination	Left and right heart failure Cardiomegaly Functional AV valve regurgitation S <sub>3</sub> and S <sub>4</sub> Nonspecific ST- and T-wave abnormalities Q waves	Angina, effort dyspnea, syncope, sudden death Ejection ± mitral regurgitation murmurs S <sub>1</sub> -bifid carotid Rapid carotid upstroke Left ventricular hypertrophy Deep septal Q waves	Effort dyspnea and fatigue Left ± right heart failure Functional AV valve regurgitation Left ventricular hypertrophy or low voltage
ECG		No cardiomegaly No or mild cardiomegaly	
X-ray	Cardiomegaly Pulmonary venous congestion		
Echocardiography	Dilated hypokinetic ventricles ± mural thrombus	Hypertrophied ventricle ± mitral systolic anterior motion ± asymmetric hypertrophy	Increased wall thickness ± cavity obliteration
Hemodynamics	Normal or high EDP, low EF, diffusely dilated hypokinetic ventricles ± AV valve regurgitation Low CO	High EDP, high EF ± outflow subvalvular gradient ± mitral regurgitation Normal or low CO	High EDP, dip and plateau diastolic left ventricular pressure curve Normal or low CO
Pathophysiology	Systolic dysfunction	Diastolic dysfunction ± outflow obstruction	Diastolic dysfunction
Therapy	Preload and afterload reduction, $\beta$ -blockers, inotropic drugs, anticoagulants	Reduced contractility with $\beta$ -blocker or Ca blockers ± septal myotomy or myectomy, AV pacing	Endocardial resection Phlebotomy for hemochromatosis
Prognosis	70% 5-yr mortality	4% yr mortality	70% 5-yr mortality

AV = atriocentric; EF = ejection fraction; EDP = end-diastolic pressure; EF = ejection fraction; CO = cardiac output; S<sub>3</sub> = third heart sound; S<sub>4</sub> = fourth heart sound

Central and South America (see EXTRINSIC-INTERNAL PROTOZOAL in Ch. 161). Dilated congestive cardiomyopathy is increasingly common in patients with AIDS (see Ch. 163).

Physical examination reveals a normal or low BP, sinus tachycardia, basal rales, neck vein distention with prominent a and v waves (see Fig. 197-1) and hepatogastric reflux and peripheral pitting edema. In severe cases, hepatomegaly, ascites, and skeletal muscle wasting occur. The precordium often has a diffuse parasternal lift, and a diastolic impulse coincides with a third heart sound (S<sub>3</sub>) gallop and a murmur of mitral regurgitation at the apex. The murmur of tricuspid regurgitation at the lower left sternal border

may be heard, increasing with inspiration and associated with regurgitant waves in the neck veins and systolic pulsation of the liver.

In a few patients, the pathologic process is isolated to one ventricle (usually the left), thus altering the clinical picture. A rare form involving only the right ventricle is characterized by atrial arrhythmias and sudden death due to malignant ventricular tachyarrhythmias.

Mural thrombus formation in any chamber is frequent once chamber dilation is significant. Cardiac arrhythmias often complicate the acute myocardial phase and late chronic dilated phase.

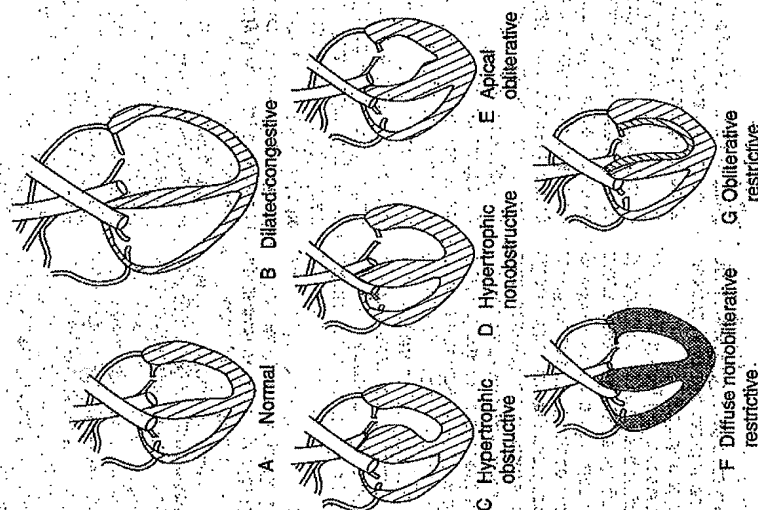


FIG. 203-3. Cardiomyopathies according to pathophysiologic type. (A) Normal; (B) systolic dysfunction; (C-G) diastolic dysfunction.

### Diagnosis

Diagnosis depends on the characteristic history and physical examination and exclusion of other causes of ventricular failure (eg systemic hypertension, primary valvular disease, MI). The ECG may show sinus tachycardia, low-voltage QRS, and nonspecific ST segment depression with low-voltage or inverted T waves. Sometimes pathologic Q waves are present in the precordial leads, simulating remote MI. Left bundle branch block is common. In about 25% of cases, differentiation from previous MI may be further complicated by chest pain, which may mimic angina pectoris but most often is atypical in character and position and not clearly related to exertion.

Chest x-ray reveals cardiomegaly that usually involves all chambers. Pleural effusion, particularly on the right, often accompanies raised pulmonary venous pressure and interstitial edema. M-mode and two-dimensional echocardiography shows dilated, hypokinetic cardiac chambers with reduced fractional shortening and rules out primary valvular disease or segmental wall motion abnormalities, as seen in discrete MI. Echocardiography also may reveal a mural thrombus, which frequently complicates dilated congestive cardiomyopathy. Radionuclide studies show diffusely dilated, hypokinetic cardiac chambers. In acute myocarditis, gallium scanning may identify an acute inflammatory phase (see RADIOLOGIST INQUIRY in Ch. 198), whereas MRI reveals abnormal myocardial tissue texture.

Cardiac catheterization is reserved for patients in whom the diagnosis is in doubt after

Noninvasive investigation, particularly when chest pain is a symptom. Cardiac output can be normal or low, but EF is depressed and diffuse hypokinesis is seen on angiography. Valvular gradients and calcification are absent, and the coronary arteries are normal. Left ventricular end-diastolic pressure is elevated late in disease. Myocardial biopsy of either ventricle can be performed during catheterization. When special studies show a disproportionately low cardiac output in other forms of heart disease, the possibility of a coexisting cardiomyopathy should be considered.

### Prognosis

The prognosis generally is poor. 70% of patients die in  $< 5$  yr. Fifty percent of deaths are sudden, suggesting malignant arrhythmia. Unless a treatable primary cause can be found and eliminated (eg, alcohol, an infective agent), no specific therapy can prolong life. Prognosis is better if reactive hypertrophy is adequate to preserve ventricular wall thickness and is worse if thinning of ventricular walls is marked. Poor prognosis is related to poor ventricular function or frequent ventricular arrhythmia on 24-hr ECG monitoring. Males survive half as long as females. Blacks survive half as long as whites.

### Treatment

Treatment is specific for any underlying cause (eg, toxoplasmosis, thyrotoxicosis, beriberi) and may include elimination of potential toxins or myocardial depressants, therapy for low cardiac output and heart failure, and treatment of complications. However, most often, no cause is found. If possible, alcohol, some psychotherapeutic drugs, and electrolyte disorders should be eliminated. Therapy for heart failure and low cardiac output depends on the appropriate balance of afterload reduction, inotropic drugs, and preload reduction to optimize cardiac output and relieve systemic and pulmonary venous congestion.

Combined afterload and preload reduction with ACE-inhibitors (eg, captopril, enalapril, lisinopril) or hydralazine plus nitrate (eg, isosorbide dinitrate) is the mainstay of therapy. These drugs favorably alter prognosis. Carvedilol and possibly other  $\beta$ -blockers prolong life and reduce morbidity. Digoxin glycosides, which reduce morbidity, are of value as weak inotropic drugs and for con-

trolling the ventricular rate in patients with atrial fibrillation. Diuretics can lower left and right ventricular filling pressures so that pulmonary edema or significant hepatic congestion is avoided. Use of a phosphodiesterase inhibitor (eg, aminophylline, milrinone [unavailable in USA]) or intermittent short-term (48- to 72-hr) catecholamine infusion with dopamine or dobutamine is undergoing trials and temporarily helps some patients. These therapies have not been shown to prolong life. Corticosteroids with or without azathioprine and equine antithymocyte globulin may shorten the acute phase of certain inflammatory myocardial myopathies proved by biopsy (eg, acute postviral or sarcoid myocarditis) but do not alter the course of chronic myopathy and are no longer used. Therefore, proof of active myocarditis on biopsy is recommended before starting corticosteroids or azathioprine.

Because of the risk of mural thrombus formation, prophylactic oral anticoagulant therapy helps prevent systemic or pulmonary emboli (see Ch. 72). Cardiac arrhythmias, which often complicate acute myocardial phase myopathy and late chronic dilated phase, are treated with antiarrhythmic drugs as required (see Ch. 205). *CAUTION: Most antiarrhythmics have a depressant effect on myocardial contractility; thus, potent negative inotropic drugs (eg, disopyramide, procainamide) are best avoided. The proarrhythmic effect of class I antiarrhythmic drugs (eg, encainide, flecainide) is greater the worse the ventricular function. Permanent pacemakers may be required if heart block complicates the chronic dilated phase; however, atrioventricular block during acute myocarditis often resolves, and permanent pacing usually is not needed.*

In patients with underlying diffuse coronary artery disease with diffuse ischemic myopathy, therapy for angina pectoris with nitrates,  $\beta$ -blockers, and Ca blockers may be indicated (see Ch. 202). However, the benefit of Ca blockers in controlling angina must be weighed against the negative inotropic effects; Ca blockers, except for amlodipine or felodipine, are best avoided. Some studies suggest that low-dose  $\beta$ -blockers may be of benefit for some patients in whom a significant compensatory adrenergic response is causing chronic down-regulation of cardiac myocyte  $\beta$ -adrenoceptors. Therapy must be started with very low doses (eg, carvedilol

Identifiable causes are listed in TABLE 208-2.

### Pathology and Pathophysiology

The cardiac muscle is abnormal with cellular and myofibrillar disarray, although this finding is not specific to hypertrophic cardiomyopathy. Usually, the interventricular septum is hypertrophied more than the left ventricular posterior wall (asymmetric septal hypertrophy). In the most common asymmetric form of hypertrophic cardiomyopathy, there is marked hypertrophy and thickening of the upper interventricular septum below the aortic valve. During systole, the septum thickens and the anterior leaflet of the mitral valve, already abnormally oriented due to the abnormal shape of the ventricle, is sucked toward the septum, producing outflow tract obstruction. This is termed hypertrophic obstructive cardiomyopathy or asymmetric hypertrophic septal stenosis. It further reduces cardiac output, which is already abnormally low because of diastolic dysfunction caused by the noncompliant, hypertrophied ventricle.

Congenital hypertrophy is autosomally dominant in cases of asymmetric septal hypertrophy but not in other varieties. The most common abnormality is a missense point mutation in exon 13 of the  $\beta$ -cardiac myosin heavy chain gene on the DNA locus of chromosome 14. Less often, an abnormal  $\alpha/\beta$  cardiac myosin heavy chain hybrid gene is present. Other gene defects can also cause the disorder.

The major consequence of hypertrophy is that the stiff, noncompliant chamber (usually the left ventricle) resists diastolic filling, leading to elevated end-diastolic pressure, which raises pulmonary venous pressure. Angina pectoris results from an imbalance between  $O_2$  demand by the hypertrophied myocardium and  $O_2$  supply via the coronary arteries, which may be compromised by the noncompliant myocardium. Inadequate capillary density relative to myocyte size as well as hyperplasia and hypertrophy of the intima and media of intramyocardial coronary arteries, which compromise lumen diameter, also produces ischemia in hypertrophic cardiomyopathy in the absence of epicardial coronary artery disease.

Effort-induced light-headedness and syncope are caused by inadequate cardiac output, sometimes worsened by an outflow tract

6.25 mg or metoprolol 5 mg bid), and thorough evaluation for worsening of heart failure is essential. If tolerated, the dose is increased to 25 mg bid and 50 mg bid, respectively. Greatest benefit occurs with younger patients with dilated congestive cardiomyopathy of short duration. Females benefit more than males. Short-acting nitroglycerine normally acts as a vasodilator and afterload reducer; however, in the presence of cardiac decompensation, the reflex sympathetic response to arteriolar vasodilation may already be maximal, and the direct negative inotropic effect of the drug may manifest with a worsening of heart failure.

Appropriate rest, sleep, and stress avoidance are important, but prolonged bed rest should be prescribed only as symptoms dictate. Physical exercise within the limits imposed by symptoms improves overall well-being and may slightly prolong life.

Because of the grim prognosis, these patients represent the greatest proportion of heart transplantation recipients. Patients selected should be without associated systemic disease, psychologic disorders, or high, irreversible pulmonary vascular resistance, and they should generally be  $< 60$  yr old, because younger patients are the preferred recipients of these scarce organ resources.

A surgical procedure involving removal of strips of myocardium to remodel the dilated ventricle has shown promise in uncontrolled trials. Controlled trials comparing this procedure with optimal medical therapy are underway. A procedure whereby the latissimus dorsi muscle is wrapped around the failing ventricle and stimulated by a skeletal muscle pacemaker has been shown to be of no value.

Several ventricular assist devices having an internal power source with or without an external power source are being used to keep the patient alive pending heart transplantation or as long-term therapy instead of transplantation.

### HYPERTROPHIC CARDIOMYOPATHY

*Congenital or acquired disorders characterized by marked ventricular hypertrophy with diastolic dysfunction in the absence of an afterload demand (eg, valvular aortic stenosis, coarctation of the aorta, systemic hypertension).*

gradient in those with asymmetric septal hypertrophy. The fall in cardiac output results related to effort-induced filling period shortening of the diastolic filling period in the noncompliant, hypertrophied ventricle reduces preload and leads to increased apical position of the anterior leaflet of the mitral valve against the hypertrophied ventricular septum. Exercise also lowers peripheral vascular resistance and hence aortic root diastolic pressure. This may induce ischemia, which may lead to nonsustained ventricular or atrial arrhythmia, causing syncope. Syncope in hypertrophic cardiomyopathy is a clinical marker of increased probability of sudden death, which is believed to result from ventricular tachycardia or fibrillation.

Infective endocarditis can complicate hypertrophic cardiomyopathy because of mitral valve abnormality that appears to result from the altered ventricular geometry with anterior positioning of the papillary muscles and mitral apparatus and the Venturi effect produced by the rapid early systolic flow through the outflow tract. Heart block is sometimes a late complication. Mitral regurgitation leads to an intracavitary gradient at the papillary muscle level. The distal left ventricle may ultimately thin and dilate in an aneurysmal manner.

### Symptoms, Signs, and Diagnosis

Clinical manifestations may occur alone or in any combination: Chest pain is usually typical angina related to exertion. Syncope is usually exertional and due to a combination of ischemia, arrhythmia, outflow tract obstruction, and poor diastolic filling of the ventricle. Dyspnea on exertion results from poor diastolic compliance of the left ventricle, which leads to a rapid rise in left ventricular end-diastolic pressure as flow increases. Outflow tract obstruction, by lowering cardiac output, may contribute to the dyspnea. Systolic function is preserved, and fatigability is seldom a complaint. Palpitations are produced by ventricular or atrial arrhythmias. Thus, symptoms of hypertrophic cardiomyopathy may simulate those of aortic stenosis or coronary artery disease.

Physical examination usually clarifies the differential diagnosis. Signs of raised venous pressure (eg, jugular venous distention, ascites, ankle edema, pleural effusion) are rare until the terminal phase. BP and heart rate

are usually normal. The carotid pulse in cases with asymmetric septal hypertrophy and outflow tract obstruction has a brisk upstroke, a bifid peak due to dynamic obstruction in the latter part of systole, and a rapid downstroke. Precordial palpation reveals the apex beat in its normal position, with a sustained thrust due to left ventricular hypertrophy. Sometimes a biphasic apical thrust can be appreciated in cases with severe outflow obstruction.

Systolic murmurs are usually present, but patients with apical and symmetric hypertrophic cardiomyopathy may have no murmur. Most common is a crescendo-decrescendo ejection-type murmur that does not radiate to the neck; it is best heard at the left sternal edge in the 3rd or 4th intercostal space. This murmur is caused by obstruction of left ventricular ejection (produced in systole when the hypertrophied interventricular septum and the anterior leaflet of the mitral valve approach each other). A mitral regurgitation murmur due to distortion of the mitral apparatus is heard in some patients. It has a characteristic blowing quality and is best heard at the apex, radiating toward the left axilla. Rarely early or midsystolic clicks are heard. In some patients with right ventricular outflow tract narrowing, a systolic ejection murmur is heard in the second interspace at the left sternal border. An  $S_3$ , almost always present, indicates a forceful atrial contraction against a poorly compliant left ventricle in late diastole.

The ejection murmur of hypertrophic cardiomyopathy can be altered by maneuvers to decrease venous return, reducing left ventricular diastolic volume and increasing apical position of the anterior mitral valve leaflet with the hypertrophied interventricular septum. Thus, Valsalva maneuver increases the intensity of the murmur, as will maneuvers to lower aortic pressure (eg, amyl nitrite inhalation) or a postextrasystolic contraction, by increasing the outflow tract pressure gradient. Handgrip raises aortic pressure, thereby reducing the murmur's intensity.

### Laboratory Findings

Noninvasive tests to confirm the diagnosis have generally replaced heart catheterization. The ECG usually shows voltage criteria for left ventricular hypertrophy. Asymmetric septal hypertrophy is often suggested by very deep septal Q waves in leads I, aVL,  $V_6$ .

and  $V_6$  a QS complex sometimes occurs in  $V_1$  and  $V_2$ , simulating previous septal infarction. The T waves are abnormal in most cases; the most common finding is deep symmetric T-wave inversion in leads I, aVL,  $V_6$ , and  $V_5$ . ST segment depression in the same leads is common. The P wave is often broad and notched in leads II, III, and aVF, with a biphasic P wave in  $V_1$  and  $V_2$ , indicative of left atrial hypertrophy. Preexcitation phenomenon of the Wolff-Parkinson-White syndrome type occurs more often than by chance alone and is one of the mechanisms of arrhythmia-induced palpitations.

The chest x-ray is often deceptively normal looking because hypertrophy occurs at the expense of the ventricular cavities; a globular left ventricular contour within a normal-sized cardiac silhouette may be the only abnormality. Cardiac fluoroscopy will rule out aortic valve calcification.

M-mode and two-dimensional echocardiography with Doppler study is the best noninvasive diagnostic technique. Thickened ventricular walls can be measured, allowing forms of hypertrophic cardiomyopathy to be differentiated (see Fig. 203-3). Anterior positioning of the papillary muscles and mitral apparatus is usual. Outflow tract obstruction can often be quantitated by observing the degree of systolic anterior movement of the anterior leaflet of the mitral valve and its degree and duration of apposition to the hypertrophied interventricular septum. Doppler velocity analysis of flow across the ventricular outflow tract can quantitate the gradient and area of the stenotic segment and is particularly useful for monitoring the effect of medical or surgical treatment. Doppler analysis of mitral inflow velocity in diastole usually shows evidence of diastolic dysfunction of the left ventricle; left ventricular fractional shortening and ejection fraction (EF) are normal or increased. Midsystolic closure of the aortic valve sometimes occurs in patients with severe outflow tract obstruction. Radionuclide angiography shows a small ventricular cavity with a normal or high EF.

Cardiac catheterization is usually performed only when surgical therapy is considered. Intraventricular pressure gradients may be found in the left and, less commonly, the right ventricle. The gradient rises in a postextrasystolic beat, during Valsalva maneuver, and after any nitrite inhalation.

End-diastolic pressure is often high because of poor ventricular compliance. EF is normal or high. Ventriculography shows characteristic chamber deformity depending on the form of hypertrophic cardiomyopathy and sometimes confirms mitral valve regurgitation. The coronary arteries are usually widely patent with torrential flow, although sophisticated metabolic studies may reveal myocardial ischemia due to intramyocardial artery lumen reduction, capillary/myocyte imbalance, and abnormal ventricular wall stress. In older patients, coronary artery disease may coexist.

A few cases gradually lose myocytes, probably from chronic diffuse ischemia as a result of capillary/myocyte imbalance. As myocytes die, they are replaced by diffuse fibrosis, and the hypertrophied ventricle, with diastolic dysfunction gradually becomes dilated with systolic dysfunction and comes an end-stage congestive cardiomyopathy.

### Prognosis

Prognosis is guarded; the annual mortality rate is 4%. (The mortality rate is inversely proportional to the age at which symptoms appear and greatest in those with frequent nonsustained ventricular tachycardia, syncope, or resuscitated sudden death.) Family history of sudden death in young patients and angina or effort dyspnea in patients > 45 yr denote a worse prognosis. Sudden death is most common, with chronic heart failure occurring less often. Genetic counseling is appropriate for patients with asymmetric septal hypertrophy, which appears to accelerate during puberty.

### Treatment

Therapy is directed primarily at abnormal diastolic compliance.  $\beta$ -Adrenergic blockers and Ca blockers alone or in combination are the mainstays of treatment. Both decrease myocardial contractility, which dilates the heart and decreases outflow obstruction, improving diastolic ventricular function.  $\beta$ -Blockers and rate-limiting Ca blockers also slow the heart rate, prolonging the diastolic filling period and thus decreasing outflow obstruction.  $\beta$ -Blockers with intrinsic sympathomimetic action (eg, pindolol, oxprenolol, acebutolol) are best avoided. Ca blockers vary in their negative inotropic effect and arterial vaso-

dilator capacity. It is important to choose a weak vasodilator that has a significant depressant effect on contractility. Verapamil is the Ca blocker of choice for hypertrophic cardiomyopathy.

Drugs that reduce preload (eg, nitrates, diuretics, ACE inhibitors, angiotensin blockers) decrease chamber size and make the signs and symptoms worse. Inotropic drugs (eg, digitals, glycosides, catecholamines) worsen outflow tract obstruction, do not relieve the high end-diastolic pressure, and may induce arrhythmias. Vasodilators increase the outflow tract gradient and produce a reflex tachycardia that further decreases ventricular diastolic function. Although antiarrhythmic therapy can be prescribed for arrhythmias proved by ECG or 24-h ambulatory monitoring, there is no evidence that it alters the risk of sudden death. However, uncontrolled retrospective studies of amiodarone suggest it may reduce mortality in patients with nonsustained ventricular tachyarrhythmias or syncope. The antiarrhythmic action of  $\beta$ -blockers may help to prevent sudden death, but this has not been proved. Disopyramide has a negative inotropic effect and has been used as an antiarrhythmic and as a negative inotropic drug.

Defibrillators have been implanted in persons who have been resuscitated from sudden death. Although this treatment is reasonable, it has not been proven to reduce overall mortality in hypertrophic cardiomyopathy. Antibiotic prophylaxis for infective endocarditis should be recommended (see Ch. 208). Competitive sports should be avoided because many sudden deaths occur with increased exertion.

Patients who progress to the dilated congestive phase of the disease are managed in the same manner as those with dilated cardiomyopathy with predominant systolic dysfunction.

Septal myotomy or myomectomy is reserved for patients with incapacitating symptoms despite medical therapy, in whom outflow tract obstruction has been shown by echocardiography and catheterization studies. It lessens symptoms in most carefully selected cases but does not alter mortality. Selective septal infarction using absolute ethanol injection through steerable catheters inserted in septal perforator branches of the anterior descending artery has shown

promise and may be an alternative to septal myotomy. In a few cases, mitral valve resection or replacement has been done for severe mitral dysfunction; this coincidentally eliminates the outflow tract gradient. Dual chamber pacemakers have been used to alter the sequence of ventricular depolarization in some patients with outflow tract obstruction. In most cases, the severity of obstruction was reduced and symptoms lessened. The long-term effect of this treatment and the effect on mortality require further study.

### RESTRICTIVE CARDIOMYOPATHY

*Myocardial disorders characterized by rigid, noncompliant ventricular walls that resist diastolic filling of one or both ventricles, most commonly the left.*

This form of cardiomyopathy is the least prevalent.

#### Etiology and Pathology

The cause is usually unknown (for identified causes, see Table 203-2). Anyloidosis involving the myocardium is usually systemic, as is iron infiltration in hemochromatosis. Sarcoidosis and Fabry's disease involve the myocardium, and nodal conduction tissue can be involved. Löffler's disease (a subcategory of hyperesophilic syndrome with primary cardiac involvement) is a cause of restrictive cardiomyopathy. It occurs in the tropics. It begins as an acute arteritis with eosinophilia, with subsequent thrombus formation on the endocardium, chordae, and atrioventricular valves, progressing to fibrosis. Endocardial fibrosis occurs in temperate zones and involves only the left ventricle.

Restrictive cardiomyopathy is divided into a diffuse nonobstructive variety in which the myocardium is infiltrated by abnormal substance (eg, amyloidosis) and an obliterative variety in which the endocardium and subendocardium are fibrosed (eg, endomyocardial fibrosis). Either may be nondiffuse if the disease affects only one chamber or part of one chamber unevenly.

#### Pathophysiology

The pathophysiologic consequences include endocardial thickening or myocardial infiltration with loss of myocytes, compensatory hypertrophy, and fibrosis. Any of these may lead to atrioventricular valve

malfunction resulting in mitral or tricuspid regurgitation. Involvement of nodal and conduction tissue results in sinoatrial node dysfunction, and, in some cases, various grades of heart block. Amyloidosis can involve the coronary arteries.

The main hemodynamic consequence of these pathologic states is diastolic dysfunction with a rigid, noncompliant chamber with a high filling pressure. Systolic function may deteriorate if compensatory hypertrophy is inadequate in cases of infiltrated or fibrosed chambers. Murmur thrombosis and systemic emboli can complicate the restrictive or obliterative variety.

#### Symptoms, Signs, and Diagnosis

Similar to hypertrophic cardiomyopathy, the main dysfunction is abnormal compliance and diastolic filling of one or both ventricles, most commonly the left. Symptoms are due to high diastolic pressure, giving rise to pulmonary venous hypertension with effort dyspnea and orthopnea and peripheral edema when the right ventricle is involved. Limited effort is a consequence of a fixed cardiac output due to resistance to ventricular filling. Angina and syncope are uncommon, but atrial and ventricular arrhythmias and heart block are common.

Physical examination reveals a quiet precordium, a low volume and rapid carotid pulse, pulmonary rales, and pronounced neck vein distention with a rapid descent (see Fig. 197-1). An  $S_4$  is heard in virtually all cases, and an  $S_3$  may occur and must be differentiated from a precordial knock, but often no murmur is present. In some cases, a murmur of functional mitral or tricuspid regurgitation results from changes in chordae or ventricular geometry as a result of infiltration or fibrosis of myocardium and endocardium. Symptoms and signs thus closely mimic constrictive pericarditis; noninvasive tests—including  $E_T$ , which demonstrates a normal pericardium—may help make this differentiation, but occasionally even heart catheterization is not diagnostic, and thoracotomy is required to explore the pericardium.

The ECG is usually nonspecifically abnormal, showing ST segment and T-wave abnormalities and sometimes low voltage. Pathologic Q waves sometimes occur without previous MI. Left ventricular hypertrophy due to compensatory hypertrophy of the myo-

cardium sometimes occurs. On chest x-ray, the heart size is often normal or small but can be enlarged in late-stage amyloidosis or hemochromatosis.

Echocardiography shows normal systolic function. The area is often dilated. Disease due to amyloidosis shows an unusually bright echo pattern from the myocardium. Echocardiography helps differentiate constrictive pericarditis with its thickened pericardium, but paradoxical septal motion can occur in either disorder. Myocardial hypertrophy often occurs in restrictive myopathy. MRI reveals abnormal myocardial texture in diseases with myocardial infiltration (eg, of amyloid or iron).

Cardiac catheterization and myocardial biopsy are often necessary. High atrial pressure with a prominent y descent and an early diastolic dip followed by a high diastolic plateau in the ventricular pressure curve are found. Unlike in constrictive pericarditis, diastolic pressure usually is a few millimeters of mercury higher in the left ventricle than in the right. Angiography reveals normal sized ventricular cavities with normal or decreased systolic shortening. Functional atrioventricular valve regurgitation may result from infiltration of myocardium and papillary muscles or endocardial thickening. Biopsy can demonstrate endocardial fibrosis and thickening, myocardial infiltration with iron or amyloid, or chronic myocardial fibrosis. Coronary angiography is normal, except in rare cases of amyloidosis involving the epicardial coronary arteries.

Primary causes of restrictive cardiomyopathy should be sought (eg, renal biopsy for amyloidosis, iron studies or biopsy for hemochromatosis).

#### Prognosis and Treatment

Prognosis is poor (see Table 203-3), similar to that of dilated congestive cardiomyopathy (see above).

No therapy is available for most patients. Diuretics must be used with caution because they can lower preload, on which the noncompliant ventricles depend to maintain cardiac output. Digitalis does little to alter the hemodynamic abnormality and may be dangerous in amyloidosis cardiomyopathy, in which extreme digitalis sensitivity is common. Afterload reducers may induce profound hypotension and usually are not of value.



Hemothromatosis may improve with regular phlebectomy to reduce the body's iron stores, and patients with active biopsy-proven sarcoidosis will respond to corticosteroids. Patients in the acute phase of the hyperesoinophilic syndrome may respond to corticosteroids and cytotoxic drugs (eg, hydroxyurea). Rarely, in the chronic phase, patients with endocardial fibroelastosis or Löffler's disease improve after surgical debulking of the endocardial fibrotic and thrombotic thickening and freeing up of chordae and valve tissue. Sometimes atrioventricular valve replacement has helped severe functional atrioventricular valve regurgitation. In some cases of significant compensatory hypertrophy, Ca blockers might be of value. Hemodynamic monitoring during initiation of such therapy to confirm its efficacy is prudent.

## COR PULMONALE

*Right ventricular enlargement secondary to a lung disorder that produces pulmonary artery hypertension. (eg, intrinsic pulmonary disease, abnormal chest bellows, depressed ventilatory drive).*

Cor pulmonale does not refer to right ventricular (RV) enlargement secondary to left ventricular (LV) failure, congenital heart disease, or acquired valvular heart disease. It is usually chronic but may be acute and reversible.

### Etiology

Acute cor pulmonale usually results from massive pulmonary embolization but often occurs as acute reversible exacerbations of chronic cor pulmonale in patients with COPD, usually during acute respiratory infection. Chronic cor pulmonale is usually caused by COPD (chronic bronchitis, emphysema) and less often by extensive loss of lung tissue from surgery or trauma, chronic unresolved pulmonary emboli, primary pulmonary hypertension (see below), pulmonary veno-occlusive disease, scleroderma, diseases leading to diffuse interstitial fibrosis, kyphoscoliosis, obesity with alveolar hyperventilation, neuromuscular diseases involving respiratory muscles, and idiopathic alveolar hypoventilation.

### Pathogenesis

Cor pulmonale is directly caused by alterations in pulmonary circulation that lead to

pulmonary arterial hypertension, thereby increasing the mechanical load on RV emptying (afterload). Pulmonary hypertension (see also PRIMARY PULMONARY HYPERTENSION, below) can be caused by irreversible reduction in the size of the vascular bed, as in diseases primarily affecting pulmonary blood vessels (eg, embolization [see Ch. 72], scleroderma) or in massive loss of lung tissue (eg, from emphysema or surgery).

However, the most important mechanism leading to pulmonary hypertension is alveolar hypoxia, which results from localized inadequate ventilation of well-perfused alveoli or from a generalized decrease in alveolar ventilation. Alveolar hypoxia, whether acute or chronic, is a potent stimulus of pulmonary vasoconstriction, and chronic alveolar hypoxia also promotes hypertrophy of smooth muscle in the pulmonary arterioles. These hypertrophied vessels respond to subsequent acute hypoxia. Hypercapnic acidosis augments the pulmonary vasoconstriction. During chronic hypoxia, pulmonary hypertension may be intensified by increased blood viscosity arising from secondary polycythemia and by increased cardiac output. However, increased pulmonary capillary pressure does not contribute per se to the pathogenesis of pulmonary arterial hypertension in cor pulmonale. Hypoxemia and acidosis often aggravate independent disease of the LV and intensify respiratory insufficiency if LV failure induces pulmonary edema.

### Symptoms, Signs, and Diagnosis

Cor pulmonale should be suspected in all patients with one of the underlying causes (see Etiology, above). Exertional dyspnea is the most common symptom of pulmonary hypertension. Some patients suffer syncope or fatigue on exertion, and substernal anginal pain is common. Physical signs include left parasternal systolic lift and a loud pulmonary second sound. Murmurs due to functional tricuspid and pulmonary insufficiency may occur. These signs of right heart enlargement appear early and are readily discernible in acute cor pulmonale. Chest x-rays show RV and proximal pulmonary artery enlargement with distal arterial attenuation. ECG evidence of RV hypertrophy correlates well with the degree of pulmonary hypertension. An RV gallop rhythm (S<sub>3</sub> and S<sub>4</sub>), distended jugular veins (with a dominant

a wave unless tricuspid regurgitation is present), hepatomegaly, and edema may occur in patients with RV failure.

Echocardiographic or radionuclide evaluation of LV and RV function is important. Arterial blood gases are helpful because appreciable hypoxemia, hypercapnia, and acidosis are unusual in left heart failure unless frank pulmonary edema is present. Because pulmonary hyperinflation and bullae cause a realignment of the heart in these patients, the physical examination, x-rays, and ECG may be relatively insensitive indicators of RV enlargement.

Diagnosis of pulmonary hypertension may require right heart catheterization. Echocardiographic measurement of RV systolic pressure is the best noninvasive method.

In cor pulmonale due to disease of the pulmonary parenchyma, clinical manifestations of the primary disease frequently overshadow those of cor pulmonale. Major symptoms and signs (dyspnea, cough, cyanosis, wheezing) also occur in left heart failure, and differentiation may require noninvasive or invasive testing.

### Treatment

Therapy for primary lung disorders is discussed in §6. Therapy for right heart failure is discussed above.

Phlebotomy during hypoxic cor pulmonale has been suggested, but beneficial effects of decreased blood viscosity are not likely to outweigh the effects of reducing the O<sub>2</sub>-carrying capacity of the blood; also, substantial polycythemia is uncommon in hypoxic cor pulmonale. Digitalis is not effective in hypoxic cor pulmonale; many patients who improve with digitalis and vasodilators may have clinically masked LV dysfunction. Diuretics can improve pulmonary gas exchange in hypoxic cor pulmonale, presumably by relieving extravascular fluid accumulation in the lungs. However, vigorous use of diuretics can lead to metabolic alkalosis, which diminishes the effectiveness of CO<sub>2</sub> as a respiratory stimulus. K and Cl losses must be carefully replaced when diuretics are used.

Continuous use of O<sub>2</sub> decreases pulmonary hypertension, prevents polycythemia in hypoxic patients, and reduces mortality. Pulmonary vasodilators (eg, hydralazine, Ca blockers, nitrous oxide, prostacyclin) have not proven effective. Patients with chronic cor pulmonale are at increased risk of ve-

nous thromboembolism, which may be reduced by long-term anticoagulation.

## PRIMARY PULMONARY HYPERTENSION

*A very uncommon obliterative disease of unknown cause involving medium and small pulmonary arteries resulting in right ventricular failure or fatal syncope 2 to 5 yr after detection.*

Women are affected five times more often than men. The median age at diagnosis is 35 yr; younger patients have a worse prognosis. Initial hyperplasia and consequent narrowing of the vessel lumen are always present. Areas of medial hypertrophy and hyperplasia, irreversible plexiform lesions, and necrotizing arteritis (plexogenic arteriopathy) occur in more advanced cases. Similar vascular lesions and clinical course have been observed in some patients with cirrhosis and in a few patients taking the appetite suppressant drug combination, dexfenfluramine-phenmetrine (fen-phen), no longer marketed in the USA.

Progressive exertional dyspnea occurs in > 95% of cases. Precordial pain and syncope on exertion are less common. Raynaud's phenomenon and arthralgias occur in many patients, often years before the apparent onset of primary pulmonary hypertension.

### Diagnosis and Treatment

Diagnosis is suspected on the basis of clinical manifestations, but all known causes of cor pulmonale (see above) must be excluded, especially those that may be modified by therapy (eg, pulmonary embolism). Physical examination shows, to a variable extent, the manifestations of cor pulmonale. Echocardiography, ventilation/perfusion scanning, pulmonary function testing, and cardiac catheterization are usually necessary to exclude other causes of pulmonary hypertension. Pulmonary angiography should be undertaken if ventilation/perfusion scans show unmatched segmental or larger perfusion defects. This scan pattern is not seen in primary pulmonary hypertension but suggests chronic thrombotic occlusion of pulmonary arteries due to unresolved pulmonary embolism, which can be helped in some cases by thromboendarterectomy. Pulmonary angiography may reveal chronic mural thrombi, even when arteriography is

negative. The need for open lung biopsies is controversial.

A few patients can respond to vasodilators (eg, prostacyclin, nifedipine) with drastic reductions in pulmonary artery pressure. However, vasodilators should first be found effective in the cardiac catheterization laboratory. Injudicious use of these drugs has resulted in marked deterioration or fatality. Increasingly, long-term oral nifedipine is being given at dosages determined empirically during cardiac catheterization. Sustained IV infusions of prostacyclin (a vasodilator and inhibitor of platelet aggregation) via implanted catheters using portable mini-

pumps have been effective in use for > 1 yr, improving the quality of life and reducing the urgency of lung transplantation. To prevent silent recurrent embolism or in situ thrombosis and because venous stasis can be a consequence of right heart failure, long-term oral coumarin anticoagulation is usually used if not contraindicated; the prothrombin time is kept between 1.5 and 1.75 times normal (INR, 2 to 3—see Laboratory Findings under Hemostasis in Ch. 131).

Unilateral or bilateral lung transplantation has become an established procedure in primary pulmonary hypertension.

## 204 / SHOCK

*A state in which blood flow to and perfusion of peripheral tissues are inadequate to sustain life because of insufficient cardiac output or maldistribution of peripheral blood flow, usually associated with hypotension and oliguria.*

Shock may be due to hypovolemia, vasodilation, or cardiogenic causes (poor cardiac output) or a combination. The fundamental defect in shock is reduced perfusion of vital tissues due (usually) to hypotension, so that  $O_2$  delivery or uptake is inadequate for aerobic metabolism, resulting in a shift to anaerobic respiration with increased production and accumulation of lactic acid. When shock persists, impaired organ function is followed by irreversible cell damage and death. The degree of systemic hypotension necessary to cause shock varies and often is related to preexisting vascular disease. Thus, a modest degree of hypotension that is tolerated well by a young, relatively healthy person might result in severe cerebral, cardiac, or renal dysfunction in a patient who has significant arteriosclerosis.

**Hypovolemic shock:** Hypovolemic shock is associated with inadequate intravascular volume (absolute or relative), which produces diminished ventricular filling and reduced stroke volume. Unless compensated for by increased heart rate, it results in decreased cardiac output.

A common cause is acute hemorrhage (eg, from trauma, peptic ulcer, esophageal varices, or aortic aneurysm). Hemorrhage may

TABLE 204-1. LOSS OF BODY FLUID IN HYPOVOLEMIC SHOCK

Nature of Loss	Mechanism of Loss
Fluid lost from the body surface area	Thermal or chemical injury
Fluid sequestered in the peritoneal cavity	In generalized peritonitis after perforation of the GI tract or pancreatitis
Fluid pooled within or lost from the GI tract	Vomiting or diarrhea resulting from small- or large-bowel obstruction, paralytic ileus, or gastroenteritis
Excessive renal fluid loss	In diabetes mellitus or insipidus, in adrenal insufficiency, in "salt-losing" nephritis, in the polyuric phase after acute tubular damage, and with potent diuretics
Intravascular fluid lost to the extravascular space	Increased capillary permeability secondary to anoxia, cardiac arrest, or acute hypersensitivity (anaphylactic) reactions in which shock is also due to arteriolar vasodilation

Ch. 156) may be partly due to the vasodilatory effects of endotoxin or other chemical mediators on resistance vessels, thereby decreasing vascular resistance. In addition, some patients with acute MI and shock appear to have inadequate compensatory vasoconstriction in response to decreased cardiac output. If cardiac output does not increase commensurate with the reduction in vascular resistance, arterial hypotension develops. Below a critical systemic BP, vital organs will be inadequately perfused. Myocardial dysfunction secondary to inadequate coronary perfusion or other mechanisms (eg, release of myocardial depressant factor or other toxic substances) may complicate shock due to vasodilation.

**Cardiogenic shock:** A relative or absolute reduction in cardiac output due to factors other than inadequate intravascular volume may result in shock. Causes are described in TABLE 204-2.

### Symptoms and Signs

Symptoms and signs may be due to shock itself or to the underlying disease process. Mentation may be preserved, but lethargy, confusion, and somnolence are common. The hands and feet are cold, moist, and often cyanotic and pale. Capillary filling time is prolonged, and, in extreme cases, a bluish reticular pattern may appear over large areas. The pulse is weak and rapid unless heart block or terminal bradycardia is present; sometimes, only femoral or carotid pulses can be felt. Tachypnea and hyperventilation are present, but apnea may be a terminal event when the respiratory center fails due

to inadequate cerebral perfusion. BP taken by cuff tends to be low (<90 mm Hg systolic) or unobtainable, but direct measurement by intra-arterial cannula often gives significantly higher values.

In septic shock, a type of vasodilatory shock (see also Ch. 156), fever, usually preceded by chills, is generally present. Elevated cardiac output is associated with diminished total peripheral resistance, possibly accompanied by hyperventilation and respiratory alkalosis. Thus, early symptoms may include a shaking chill, a rapid rise in temperature, warm flushed skin, a bounding pulse, and falling and rising BP (hyper-

TABLE 204-2. MECHANISMS THAT CAUSE CARDIOGENIC SHOCK

Mechanism	Cause
Mechanical interference with ventricular filling or preload	Tension pneumothorax, cardiac tamponade, atrial tumor or clot
Interference with ventricular emptying or afterload	Pulmonary embolism, prosthetic valve malfunction
Impaired myocardial contractility	Myocardial ischemia or MI, myocarditis, drugs
Abnormalities of cardiac rhythm	Tachycardia, bradycardia
Excessive volume demands	Acute mitral or aortic regurgitation, ruptured interventricular septum

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## Rapid Report

### Inhibition of HERG K<sup>+</sup> current and prolongation of the guinea-pig ventricular action potential by 4-aminopyridine

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4-Aminopyridine (4-AP) has been used extensively to study transient outward K<sup>+</sup> current ( $I_{To}$ ) in cardiac cells and tissues. We report here inhibition by 4-AP of HERG (the human *ether-à-go-go*-related gene) K<sup>+</sup> channels expressed in a mammalian cell line, at concentrations relevant to those used to study  $I_{To}$ . Under voltage clamp, whole cell HERG current ( $I_{HERG}$ ) tails following commands to +30 mV were blocked with an  $IC_{50}$  of  $4.4 \pm 0.5$  mM. Development of block was contingent upon HERG channel gating, with a preference for activated over inactivated channels. Treatment with 5 mM 4-AP inhibited peak  $I_{HERG}$  during an applied action potential clamp waveform by ~59%. It also significantly prolonged action potentials and inhibited resurgent  $I_K$  tails from guinea-pig isolated ventricular myocytes, which lack an  $I_{To}$ . We conclude that by blocking the  $\alpha$ -subunit of the  $I_K$  channel, millimolar concentrations of 4-AP can modulate ventricular repolarisation independently of any action on  $I_{To}$ .

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4-Aminopyridine (4-AP) has been used extensively in cardiac electrophysiology to study the physiological roles of transient outward potassium current ( $I_{To}$ ; Wang *et al.* 1991; Gillis *et al.* 1998; Elizalde *et al.* 1999; Lei *et al.* 2000; Kocic *et al.* 2002) and, in recent years, of the 'ultra-rapid' delayed rectifier current ( $I_{Kr}$ ; Li *et al.* 1996; Yue *et al.* 1996). 4-AP is generally considered selective for these currents in the heart, with micromolar concentrations being used to block  $I_{To}$  (Li *et al.* 1996) and millimolar concentrations to block  $I_{To}$  (typically 0.5 to 10 mM; e.g. Wang *et al.* 1991; Gillis *et al.* 1998; Elizalde *et al.* 1999; Lei *et al.* 2000; Kocic *et al.* 2002). However, limited published data raise the possibility that 4-AP may not be entirely selective for  $I_{To}$  over the rapid delayed rectifier K<sup>+</sup> current ( $I_K$ ) at concentrations used to study  $I_{To}$  (Mitcheson & Hancox, 1999; Liu *et al.* 1999; Zhang *et al.* 2000). Given the importance of  $I_K$  in regulating action potential repolarization and duration (Mitcheson & Sanguinetti, 1999), a non-selective effect of 4-AP on  $I_K$  would significantly influence the interpretation of data from experiments in which 4-AP is applied to cardiac muscle cells or tissues. The aim of this study was to determine whether or not 4-AP inhibits ionic current ( $I_{HERG}$ ) carried by K<sup>+</sup> channels encoded by HERG (the human *ether-à-go-go*-related gene, which encodes the  $\alpha$ -subunit of the  $I_K$  channel; Warmke & Ganetzky, 1994). 4-AP was found both to inhibit  $I_{HERG}$  recorded from human embryonic

kidney cells and to prolong action potentials from guinea-pig ventricular myocytes, which lack  $I_{To}$ . These findings have widespread implications for the use of 4-AP as an investigative tool in cardiac muscle electrophysiology.

## METHODS

### Maintenance of a mammalian cell line stably expressing HERG

Measurements of  $I_{HERG}$  were made from human embryonic kidney (HEK 293) cells stably expressing HERG (cell line generously donated by Professor Craig Isenbary, University of Wisconsin; Zhou *et al.* 1998). Cells were passaged using a non-enzymatic agent (Splitix, AutogenBioclear) and plated out onto fragments of sterilized glass coverlips in 30 mm petri dishes containing a modification of Dulbecco's modified Eagle's medium with Glutamax-1 (DMEM; Gibco), supplemented with 10% fetal bovine serum, 400  $\mu$ g ml<sup>-1</sup> gentamicin (Gibco) and 400  $\mu$ g ml<sup>-1</sup> geneticin (G418; Gibco). Cells were incubated at 37°C (5% CO<sub>2</sub>) for a minimum of 2 days prior to any electrophysiological study.

### Isolation of guinea-pig ventricular myocytes

Male adult guinea-pigs were killed by cervical dislocation (a Schedule 1 procedure according to the Home Office UK Animals (Scientific Procedures) Act 1986) and ventricular myocytes were enzymatically dispersed from both ventricles using a method described previously (Levi & Isenbary, 1996). Prior to use, the isolated cells were kept at 4°C in Kraft-Brühe medium (Isenbary & Klockner, 1982).



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### Electrophysiological recordings

Cells were superfused with a normal Tyrode solution, which contained (mM): 140 NaCl, 4 KCl, 2.5 CaCl<sub>2</sub>, 1 MgCl<sub>2</sub>, 10 glucose, 5 Hepes, (titrated to pH 7.45 with NaOH). Experimental solutions were applied using a home-built, warmed solution delivery system capable of changing the bathing solution surrounding a cell in <1 s. Patch-pipettes were fire-polished to a resistance of 3.5–5 MΩ. The pipette solution for *I<sub>HERG</sub>* measurement contained (mM): 150 KCl, 1 MgCl<sub>2</sub>, 5 EGTA, 5 MgATP, 10 Hepes (titrated to pH of 7.2 with KOH). A K<sup>+</sup>-based, EGTA-free pipette solution containing 10 mM NaCl was used for action potential recordings. The 'pipette-to-bath' liquid junction potential was small (–3.2 mV) and was uncorrected. Series resistance (*R<sub>s</sub>*) values lay typically between 4–7 MΩ and were compensated by > 70%. The expected voltage drop across residual uncompensated *R<sub>s</sub>* during *I<sub>HERG</sub>* measurements was therefore only small (–3.5 mV or less) and no correction was made for this. Measurements were made at 37 ± 1 °C.

### Drugs

4-AP powder (Sigma) was dissolved directly in normal Tyrode solution to make test solutions of different concentrations referred to in the Results; pH was corrected to 7.45 with HCl.

### Voltage protocols and analysis

Measurements were made using an Axopatch 200 or 200A amplifier (Axon Instruments) and a CV-201/2A headstage. Voltage-clamp commands were generated using 'WinWCP' (John Dempster, Strathclyde University, UK) or pCLAMP (v 6.0, Axon Instruments). Data were recorded via a Digidata interface (Axon Instruments) and stored on the hard disk of a personal computer.

### Concentration–response relationships

*I<sub>HERG</sub>* tails were measured on repolarization to –40 mV after 1 s depolarizing voltage commands to +30 mV. 4-AP concentrations between 100 μM and 100 mM were each applied to a minimum of five different cells. The mean fractional blockade was obtained as:

$$\text{Fractional block} = 1 - \frac{I_{\text{HERG}}(\text{4-AP})}{I_{\text{HERG}}(\text{CONTROL})} \quad (1)$$

where *I<sub>HERG</sub>(4-AP)* and *I<sub>HERG</sub>(CONTROL)* represent tail current amplitudes in the presence and absence of 4-AP, respectively. The agent was rapidly acting (maximal effects of a given concentration typically being observed within ~2–4 pulses), and its effects could be observed without any marked overlying current run-down. Concentration–response data were fitted by the equation:

$$\text{Fractional block} = \frac{1}{1 + \left(\frac{IC_{50}}{[4-AP]}\right)^h} \quad (2)$$

where *IC<sub>50</sub>* is [4-AP] producing half-maximal inhibition of the *I<sub>HERG</sub>* tail and *h* is the Hill coefficient for the fit.

### *I<sub>HERG</sub>* inhibition at different voltages

From –80 mV, 2 s depolarizations were applied to potentials between –40 and +40 mV. *I<sub>HERG</sub>* tails were measured on repolarization to –40 mV. Current–voltage (*I*–*V*) relations (not shown) were constructed for each of eight cells in the absence and presence of 5 mM 4-AP. Half-maximal activation voltages were

obtained by fitting each *I*–*V* relation with a modified Boltzmann equation:

$$I = \frac{I_{\text{max}}}{1 + \exp\left(\frac{V_h - V_m}{k}\right)} \quad (3)$$

where *I* = *I<sub>HERG</sub>* tail amplitude following test potential *V<sub>m</sub>*, *I<sub>max</sub>* is the maximal *I<sub>HERG</sub>* tail current observed, *V<sub>h</sub>* is the potential at which *I<sub>HERG</sub>* was half-maximally activated and *k* is the slope factor describing *I<sub>HERG</sub>* activation.

Voltage-dependent activation curves for *I<sub>HERG</sub>* were obtained by calculating activation variables at 2 mV intervals between –80 and +40 mV. Values for *V<sub>h</sub>* and *k* derived from fits to experimental *I*–*V* data with eqn (3) were inserted into the following equation:

$$\text{Activation parameter} = \frac{1}{1 + \exp\left(\frac{V_h - V_m}{k}\right)} \quad (4)$$

where the 'activation parameter' at any test potential, *V<sub>m</sub>*, occurs within the range 0 to 1 and *V<sub>h</sub>* and *k* have similar meanings to those in eqn (3).

### Envelope of tails

From –80 mV, membrane potential was stepped to +40 mV for varying time periods between 12.5 and 600 ms and was repolarized to –40 mV in order to monitor *I<sub>HERG</sub>* tail amplitude. After control measurements, each cell was equilibrated in 4-AP-containing solution while at the holding potential and the protocol was then resampled. For each cell, the fractional block of *I<sub>HERG</sub>* tails at each test pulse duration was determined using eqn (1). The plot of mean fractional block versus test pulse duration was then fitted with an equation of the form:

$$\text{Fractional block} = A - \left(A \exp\left(-\frac{x}{\tau}\right)\right) \quad (5)$$

where *A* represents maximal fractional block, *x* represents pulse duration and *τ* is the time constant of development of blockade (ms).

### Action potential voltage clamp and measurements from guinea-pig ventricular myocytes

For action potential clamp measurements of *I<sub>HERG</sub>* from HEK cells, the command waveform used was the same as that employed in previous studies of *I<sub>HERG</sub>* from our laboratory (Hancox *et al.* 1998a,b).

Action potentials were elicited from guinea-pig ventricular myocytes by brief suprathreshold current pulses at 15 s intervals. For measurements of guinea-pig *I<sub>K</sub>* tails, membrane potential was held at –80 mV, depolarized to –40 mV for 20 ms, then depolarized to +20 mV for 500 ms. *I<sub>K</sub>* tails were measured during subsequent repolarization to –40 mV.

### Data analysis and presentation

Data are presented as means ± S.E.M. Statistical comparisons were made using Student's paired *t* test or one-way analysis of variance (ANOVA) with a Bonferroni *post hoc* test. *P* values of less than 0.05 were taken as significant.

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4-Aminopyridine blocks HERG K<sup>+</sup> current

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## RESULTS

Figure 1A shows that 4-AP produced a concentration-dependent inhibition of  $I_{HERG}$  and Fig. 1B shows the mean concentration-response relationship for this effect. The  $IC_{50}$  derived from the data was  $4.4 \pm 0.5$  mM (Hill coefficient  $0.7 \pm 0.1$ ). The voltage dependence of the effect was assessed by applying commands to a range of test potentials (Fig. 1Ci and Cii). No  $I_{HERG}$  tail inhibition was evident after pre-pulses to  $-30$  mV and  $-20$  mV, whilst at more positive potentials marked inhibition of  $I_{HERG}$  was observed (Fig. 1Ci and Cii). Mean data are shown in Fig. 1D, which also contains mean activation curves in control (continuous line) and 4-AP (dashed line). In the presence of 4-AP a leftward shift in the half-maximal activation voltage ( $V_{1/2}$ ) by  $-7.4 \pm 0.9$  mV,  $n = 8$ ;  $P < 0.0001$ ,  $t$  test) was observed. The activation shift may account for the apparent augmentation/ lack of blockade of by 4-AP observed at  $-30$  and  $-20$  mV. The voltage range over which inhibition was voltage dependent corresponded with that over which voltage-dependent activation of  $I_{HERG}$

developed (Mergenthaler *et al.* 2001; Paul *et al.* 2001). Treatment with 5 mM 4-AP did not significantly alter the mean deactivation time course of tails measured at  $-40$  mV, following a voltage command to  $+30$  mV.

The dependence of  $I_{HERG}$  inhibition by 4-AP upon duration of depolarization was studied using an 'envelope of tails' protocol (Trudeau *et al.* 1995) (see Fig. 2A and B). Figure 2A shows representative current traces obtained in control (Fig. 2Ai) and in 4-AP (Fig. 2Aii); Fig. 2B shows a plot of the resulting mean levels of fractional block against pulse duration. The time course of development of blockade was described by a mono-exponential curve that intersected the origin, with a time constant of  $32.3 \pm 6.4$  ms ( $n = 5$ ). Considered collectively with Fig. 1D these data demonstrate that inhibition of  $I_{HERG}$  by 4-AP requires channel gating to occur.

An additional protocol (see Fig. 3A, lower trace) was employed to ascertain whether or not 4-AP showed a preference for activated or inactivated HERG channels. In

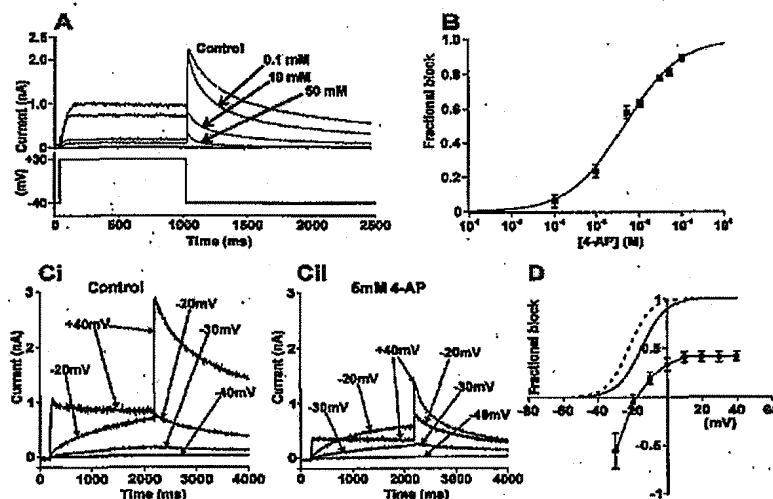


Figure 1. Concentration and voltage-dependent inhibition of  $I_{HERG}$  by 4-AP

A, upper traces show representative current traces illustrating the effect of different concentrations of 4-AP. Lower trace represents voltage protocol used. B, concentration response relation for  $I_{HERG}$  tail blockade by 4-AP ( $n = 5-8$  cells at each concentration). C, representative records in the absence (Ci) and presence (Cii) of 5 mM 4-AP. D, the mean ( $\pm$  S.E.M.) fractional block of  $I_{HERG}$  by 5 mM 4-AP over a range of potentials ( $n = 8$  cells).  $I_{HERG}$  activation curves are shown in the absence (continuous line) and presence (dashed line) of 5 mM 4-AP.

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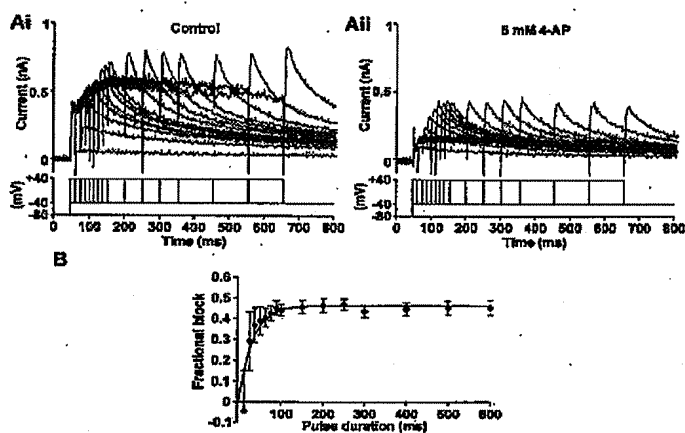


Figure 2. Time dependence of  $I_{h, \text{max}}$  block by 4-AP

A, traces from an 'envelope of tails' protocol, in the absence (Ai) and presence (Aii) of 5 mM 4-AP. Lower traces represent the voltage protocol used. B, the mean ( $\pm$  S.E.M.) fractional block of  $I_{h, \text{max}}$  with varying pulse duration ( $n = 5$  cells). The  $\tau$  for development of inhibition was  $32.3 \pm 6.4$  ms ( $n = 5$ ).

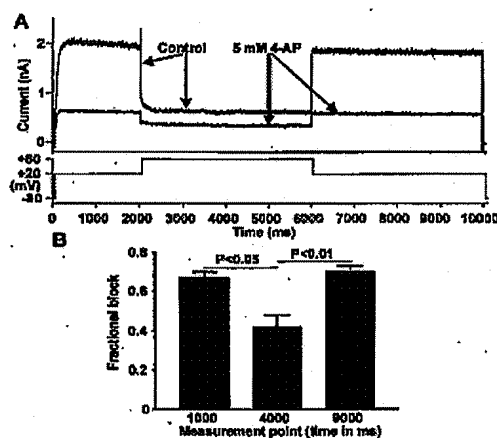


Figure 3. Effect of strong depolarisation on the action of 4-AP

A, current records (upper traces) elicited by the voltage protocol shown (lower trace), applied from a holding potential of  $-80$  mV. Arrows indicate traces obtained in control and 4-AP. B, bar charts ( $n = 5$  cells) compare the mean level of blockade at 1000 ms into protocol (at  $+20$  mV), at 4000 ms (at  $+80$  mV) and at 9000 ms (after membrane potential was returned to  $+20$  mV).

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control solution,  $I_{HERG}$  amplitude decreased markedly during a depolarisation from +20 to +80 mV (Fig. 3A, upper traces, Control) as a consequence of increased  $I_{HERG}$  inactivation. In the presence of 5 mM 4-AP, blockade of  $I_{HERG}$  at +20 mV was greater than that at +80 mV (Fig. 3A, upper traces, 4-AP). Mean data shown in Fig. 3B indicate that blockade during the +80 mV step ('4000 ms') was significantly lower than that at +20 mV ('1000 ms' and 9000 ms'). Thus, when  $I_{HERG}$  was reduced by extensive  $I_{HERG}$  inactivation the blocking effect of 4-AP was diminished. This observation suggests that 4-AP binds preferentially to activated over inactivated HERG channels. In further experiments we observed an acceleration of the rate of development of  $I_{HERG}$  inactivation by 4-AP (data not shown). This effect is in marked contrast with that reported for externally applied tetraethylammonium ions (TEA), which slow the distinctive, rapidly developing C-type inactivation of  $I_{HERG}$  (Smith *et al.* 1996). This action of 4-AP could have resulted either from a genuine modification of inactivation gating, or from the rapid development of open-channel block during the protocol used to study inactivation.

The effect of 4-AP on  $I_{HERG}$  elicited by an applied ventricular action potential waveform was also determined (Fig. 4A). In control solution,  $I_{HERG}$  amplitude increased progressively through the action potential plateau and was maximal near -30 mV (-30.2 ± 3.4 mV;  $n = 5$ ; cf. Hancox *et al.* 1998a). Treatment with 5 mM 4-AP attenuated current throughout the action potential command, inhibiting maximal  $I_{HERG}$  by 58.5 ± 4.1% ( $n = 5$ ). Also, the voltage at which  $I_{HERG}$  peaked was shifted by -10.6 ± 4.1 mV, consistent with the voltage shift in current activation described in Fig. 1. These data suggest that 4-AP might increase the action potential duration in native cardiac tissue through blockade of native  $I_{K}$ , independent of any inhibitory action on  $I_{TO1}$ . Therefore, we measured action potentials from guinea-pig

ventricular myocytes, which lack  $I_{TO1}$  (Coraboeuf *et al.* 1998). 4-AP exerted little effect immediately after the action potential peak, but the times to reach both 50% and 90% repolarization ( $APD_{50}$  and  $APD_{90}$ , respectively) were significantly prolonged by 4-AP (Fig. 4B; in control: mean  $APD_{50} = 162.2 \pm 12.2$  ms and  $APD_{90} = 184.3 \pm 12.2$  ms; in 4-AP:  $APD_{50} = 210.9 \pm 14.6$  ms and  $APD_{90} = 243.1 \pm 14.8$  ms,  $n = 8$ ;  $P < 0.01$  and  $P < 0.001$ , respectively). In complementary voltage-clamp experiments, resurgent  $I_K$  tails (sensitive to the  $I_K$  blocker E-4031) from guinea-pig myocytes were also inhibited by 4-AP (Fig. 4C).

## DISCUSSION

The dependence of HERG blockade by 4-AP upon channel gating observed in this study differs from the mechanism underlying its blockade of cardiac  $I_{TO1}$ , which depends considerably upon the agent binding to the closed channel (Castle & Slawsky, 1993; Wang *et al.* 1995).  $I_{TO1}$  from rat, rabbit and human have been reported to be blocked by 4-AP with  $IC_{50}$  values of 0.14, 0.33 and 0.67 mM, respectively, whilst Kv4.3 current exhibits an  $IC_{50}$  of 1.54 mM (Xu *et al.* 1998; Faivre *et al.* 1999; Lei *et al.* 2000). Therefore, whilst 4-AP is clearly a low affinity HERG blocker, its  $IC_{50}$  for  $I_{HERG}$  inhibition falls within a 4-AP concentration range used to block  $I_{TO1}$  selectively in previous studies (typically 0.5–10 mM; Wang *et al.* 1991; Gillis *et al.* 1998; Blizalze *et al.* 1999; Lei *et al.* 2000; Kocic *et al.* 2002). The fact that some level of  $I_K$  blockade may also have occurred in such experiments is supported by the present data from guinea-pig myocytes, which lack  $I_{TO1}$ , and by previous data regarding reduction of  $I_K$  tails from rabbit nodal cells (Mitcheson & Hancox, 1999). On the basis of our findings, we conclude that millimolar concentrations of 4-AP can block  $I_{HERG}/I_K$  and, thereby, prolong cardiac action potential repolarization independently of any action on  $I_{TO1}$ . In light of these observations, it may be necessary to consider carefully the

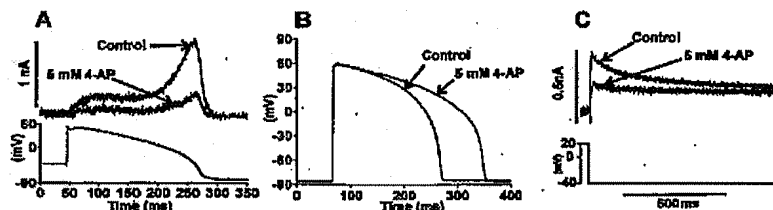


Figure 4. Effect of 4-AP under action potential conditions

A, representative records of  $I_{HERG}$ , after P/4 leak subtraction, (upper traces) activated by ventricular action potential command (lower trace), in control and in the presence of 5 mM 4-AP. B, effect of 5 mM 4-AP on action potentials from guinea-pig ventricular myocytes. C, effect of 4-AP on resurgent  $I_K$  tails from a guinea-pig ventricular myocyte (upper traces; lower trace shows a section of the voltage protocol). A mean inhibition of 71.1 ± 4.3% was observed ( $n = 6$ ).

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interpretation of experiments in which millimolar 4-AP has been used as a pharmacological tool to study cardiac  $I_{K0}$  in species that also exhibit  $I_{K1}$ . Of course, *in vitro* data must only be extrapolated to the *in vivo* situation with caution and there is evidence that 4-AP infusion regimens used to study the role of  $I_{K0}$  in the dog (del Balzo & Rosen, 1992) may actually attain submillimolar plasma levels (Nattel *et al.* 2000). Our data are concordant with the notion that micromolar 4-AP may justifiably be used to study  $I_{K1}$  in cells that exhibit  $I_{K1}$ , as comparatively little  $I_{K1}$  blockade would be expected at the low concentration levels (e.g. Yue *et al.* 1996) required to inhibit  $I_{K0}$ .

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発明の名称

心筋の病気を治療するための方法および組成物

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## Targeted Replacement of Kv1.5 in the Mouse Leads to Loss of the 4-Aminopyridine-Sensitive Component of $I_{K,slow}$ and Resistance to Drug-Induced QT Prolongation

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**Abstract**—The  $K^+$  channel mKv1.5 is thought to encode a 4-aminopyridine (4-AP)-sensitive component of the current  $I_{K,slow}$  in the mouse heart. We used gene targeting to replace mKv1.5 with the 4-AP-insensitive channel rKv1.1 (SWAP mice) and directly test the role of Kv1.5 in the mouse ventricle. Kv1.5 RNA and protein were undetectable, rKv1.1 was expressed, and Kv2.1 protein was upregulated in homozygous SWAP hearts. The density of the  $K^+$  current  $I_{K,slow}$  (depolarizations to +40 mV, pA/pF) was similar in left ventricular myocytes isolated from SWAP homozygotes ( $17 \pm 1$ ,  $n=27$ ) and littermate controls ( $16 \pm 2$ ,  $n=19$ ). The densities and properties of  $I_{K,fast}$ ,  $I_{K,slow}$ ,  $I_{K,slow}$ , and  $I_{K,slow}$  were also unchanged. In homozygous SWAP myocytes, the 50- $\mu$ mol/L 4-AP-sensitive component of  $I_{K,slow}$  was absent ( $n=6$ ), the density of the 20-nmol/L tetraethylammonium-sensitive component of  $I_{K,slow}$  was increased ( $9 \pm 1$  versus  $5 \pm 1$ ,  $P<0.05$ ), and no 100- to 200-nmol/L  $\alpha$ -dendrotoxin-sensitive current was found ( $n=8$ ). APD<sub>90</sub> in SWAP myocytes was similar to controls at baseline but did not prolong in response to 30  $\mu$ mol/L 4-AP. Similarly, QTc (ms) was not prolonged in anesthetized SWAP mice ( $64 \pm 2$ , homozygotes,  $n=9$ ;  $62 \pm 2$ , controls,  $n=9$ ), and injection with 4-AP prolonged QTc only in controls ( $63 \pm 1$ , homozygotes;  $72 \pm 2$ , controls;  $P<0.05$ ). SWAP mice had no increase in arrhythmias during ambulatory telemetry monitoring. Thus, Kv1.5 encodes the 4-AP-sensitive component of  $I_{K,slow}$  in the mouse ventricle and confers sensitivity to 4-AP-induced prolongation of APD and QTc. Compensatory upregulation of Kv2.1 may explain the phenotypic differences between SWAP mice and the previously described transgenic mice expressing a truncated dominant-negative Kv1.1 construct. (Circ Res. 2001;88:940-946.)

**Key Words:** potassium channels ■ heart ■ genetically engineered mice  
■ drug-induced long-QT syndrome ■ arrhythmias

Transgenic and gene-targeting technologies have led to marked advances in the understanding of the molecular basis of cardiac repolarization in the mouse.<sup>1,2</sup> Dominant-negative transgenic mice overexpressing mutated Kv1.x, Kv2.x, Kv4.x, and HERG  $\alpha$  subunits have less repolarizing  $K^+$  current, varying degrees of cardiac action potential duration (APD) and QT prolongation, and arrhythmias.<sup>3-7</sup> In these experiments, the transgene may interact with several related  $K^+$  channels in the heart, the phenotype may depend on the details of the transgene design,<sup>1,8</sup> and the relationship of individual gene products to the phenotype may be unclear. Gene targeting of  $K^+$  channels using embryonic stem (ES) cells circumvents several of these difficulties by directly knocking out a single gene product.<sup>9-12</sup> However, gene targeting usually leads to loss of the gene in multiple organs and throughout development and is still subject to compensatory upregulation of other genes. Cross-mating lines of

mice with different mutations provides one mechanism to sort out these interactions.<sup>14</sup>

We previously reported dominant-negative transgenic mice that overexpress in the heart an N-terminal fragment of the rat brain  $K^+$  channel rKv1.1, have QT prolongation, and lack a rapidly activating, slowly inactivating, 4-aminopyridine (4-AP)-sensitive  $K^+$  current,  $I_{K,slow}$ , in their ventricular myocytes.<sup>1,15</sup> These mice have both spontaneous and inducible ventricular arrhythmias, attributable at least in part to increased dispersion of repolarization and refractoriness between the apex and the base of the heart.<sup>16,17</sup> Although these mice have decreased protein levels of Kv1.5, the transgene may disrupt other cardiac  $K^+$  channels, including Kv1.4, which has been shown to encode  $I_{K,slow}$ .<sup>14</sup> Thus, the precise role of the loss of Kv1.5 in the pathogenesis of the phenotype is uncertain. In addition, nothing is known about the relationship of Kv1.5 to Kv2.x, the subunits responsible for the 4-AP-resistant component of  $I_{K,slow}$ .

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Here we report gene-targeted mice in which mKv1.5 is replaced by the 4-AP-insensitive channel subunit rKv1.1 (SWAP mice). The 4-AP-sensitive component of  $I_{K_{slow}}$  is absent in ventricular myocytes isolated from these animals, proving definitively that Kv1.5 underlies this current. Of note, total  $I_{K_{slow}}$  is unchanged at least in part because of the upregulation of the tetraethylammonium (TEA)-sensitive component encoded by Kv2.1. As a result, SWAP mice have normal cellular APDs and QT intervals on baseline electrocardiograms (EKGs) and resistance to drug-induced prolongation of APD and QT intervals after exposure to 4-AP.

### Materials and Methods

All animal experiments were approved by the Institutional Animal Care and Use Committees at the University of Pittsburgh or Washington University School of Medicine.

### Gene Targeting

The mouse Kv1.5 gene (mKv1.5) was cloned (genomic SV129 library, Stratagene), restriction-mapped, and sequenced. A targeting construct was engineered using a 2-kb 3' arm consisting of the promoter and 3'-untranslated region (UTR) of mKv1.5, the rat Kv1.1 K<sup>+</sup> channel (rKv1.1) tagged with the 9-amino-acid hemagglutinin tag (HA) and cloned into the *Sma*I site located at position -6 of mKv1.5 (relative to the ATG start codon), a neomycin resistance cassette (Neo<sup>r</sup>), a 3-kb 3' arm starting at the *Xba*I site in the 3'-UTR of mKv1.5, and the thymidine kinase gene (TK) for negative selection (Figure 1A). Homologous recombination with this construct should yield rKv1.1 driven by the mKv1.5 promoter, although the effect of the Neo<sup>r</sup> cassette is unknown, and any 3' regulatory elements may be lost.

Electroporation of ES cells, identification of ES cell lines heterozygous for the targeted allele, blastocyst injection to obtain chimeras, and mating with C57BL/6 mice to obtain germ-line transmission and mice heterozygous for the targeted allele (SWAP heterozygotes) were done as previously described.<sup>8</sup> Mice were backcrossed into the C57BL/6 line two additional generations. Male and female SWAP heterozygotes were then mated to yield the 2- to 7-month-old SWAP homozygotes, SWAP heterozygotes, and wild-type littermate controls used in the subsequent experiments. All mice were genotyped using genomic Southern blots.

### Electrophysiology

The coding region of mKv1.5 was cloned into the high-expression oocyte vector pGH19K after addition of a Kozak consensus sequence.<sup>18</sup> The HA epitope was added to the 3' end of rKv1.1 by polymerase chain reaction (PCR) (rKv1.1-HA). Channel properties were tested in *Xenopus* oocytes injected with in vitro transcribed cRNA (50 ng/oocyte) using the 2-microelectrode voltage-clamp technique as previously described.<sup>19</sup>

Single ventricular myocytes were isolated either from the entire left ventricle or separately from the left ventricular apex and septum, and whole-cell voltage- and current-clamp studies were performed at room temperature or 35°C using a Dagan 3900A (Dagan Corporation) or an Axopatch-1D (Axon Instruments) patch-clamp amplifier interfaced to a microcomputer equipped with a Digidata 1200 Series analog-to-digital interface and pClamp 7 software (Axon Instruments).<sup>20</sup> Membrane capacitance and series resistance were compensated electronically (>85%). Voltage errors were <6 mV and not corrected. Only data from cells with input resistances >0.7 GΩ were analyzed.

### EKGs and Ambulatory Telemetry

Three lead EKGs (leads I, II, and AP) were performed on mice anesthetized with avertin (0.5 mg/kg) using subcutaneous electrodes, a differential amplifier (Warner DP301), and an analog-to-digital

converter (MacLab, ADInstruments). Signals were digitized at 1 kHz and stored on computer. QT interval (ms) was determined as the time to 95% return to baseline by an individual blinded to genotype and corrected for heart rate using the formula  $QT_c = QT(\sqrt{RR/100})$ .<sup>21,22</sup> At least 6 days after implantation of the telemetry device (TA10ETA-F20, Data Sciences), 24-hour ambulatory EKG recordings were performed digitized at 400 Hz, stored on disk, and analyzed by hand for arrhythmias and using custom software designed to determine heart rate and heart rate variability.

### Data Analysis

Voltage-clamp data were analyzed using Clampfit 6.0.5 (Axon Instruments), and current densities, normalized for cell capacitance (pA/pF), are reported. The amplitudes of the  $I_{K_{slow}}$ ,  $I_{K_{fast}}$ , and  $I_A$  were determined by fitting the decay phase of the outward K<sup>+</sup> currents to the sum of two or three exponentials, as described previously.<sup>9,14,20</sup> Correlation coefficients were determined, and the  $\chi^2$  test was used to assess the quality of the fits. The amplitudes of the drug-sensitive currents were obtained by offline digital subtraction of the records obtained before and after drug application. All data are presented as mean  $\pm$  SEM. Statistical significance was determined using one-way ANOVA followed by Student-Newman-Keuls test for comparison between groups or Student's *t* test with correction for multiple comparisons, with  $P < 0.05$  defined as significant.

### Results

#### Expression of mKv1.5 and rKv1.1-HA In Vitro

In vitro transcribed cRNA from mKv1.5 yielded delayed rectifier currents with minimal inactivation (Figure 1B). Steady-state inactivation curves (generated in 50 mmol/L rubidium chloride from isochronal tail current measurements) gave a total gating valence ( $z = 4.6 \pm 0.1 e^-$ ) and a voltage of 10% activation ( $V_{10\%} = -32 \pm 2$  mV) ( $n = 6$ ). This gating valence is 23% lower and  $V_{10\%}$  is shifted 20 mV to the right compared with rKv1.1 ( $P < 0.01$ ).

Addition of the 9-amino-acid HA epitope to rKv1.1 did not affect the currents when injected into *Xenopus* oocytes. We subcloned rKv1.1-HA into the prokaryotic/eukaryotic vector pBK-CMV (Stratagene, California) and transfected the construct into COS cells. The HA epitope was easily detected using monoclonal antibodies both on Western blots and by immunofluorescence (data not shown).

#### Properties of SWAP Mice

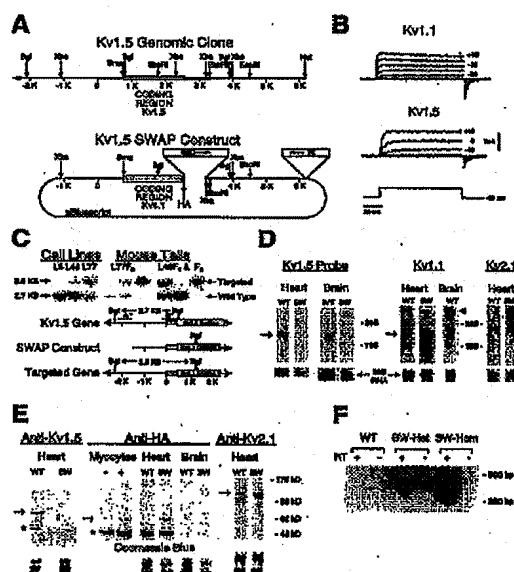
Two lines of targeted ES cells were identified (SWAPL77 and SWAPL46; Figure 1C). Additional insertion sites of the rKv1.1 transgene were excluded by genomic Southern blot using a probe within the transgene. The targeted band in the SWAPL46 ES line was reproducibly weaker than the native band, suggesting that the targeted allele was less abundant. This could result from either a mixed population of ES cells or a degree of aneuploidy. Both ES lines underwent germ-line transmission, however, and heterozygotes had one copy of each allele in equal abundance. Experimental results were confirmed on both lines of mice.

SWAP homozygotes from both lines appeared phenotypically normal, and there was no evidence of increased mortality. Hearts were not hypertrophied, and histology of the hearts was normal (data not shown). No overt neurological phenotype was evident in the mice.



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**Figure 1.** Targeted replacement of mKv1.5 by rKv1.1. **A**, Schematic representation of the mouse Kv1.5 genomic clone (top) and the targeting construct (bottom). The coding regions of Kv1.5 and Kv1.1 are labeled, and the heavier black line indicates the untranslated regions of Kv1.5 (5'-UTR and 3'-UTR). NEO and TK represent the neomycin resistance cassette and the thymidine kinase cassette, respectively. **B**, Expression of rKv1.1 and mKv1.5 in *Xenopus* oocytes; 100-ms depolarizing steps to the voltage indicated were used. **C**, Genomic Southern blots of BglII-digested DNA from 3 NEO- and TK-resistant cell lines (top left) and from mouse tails (top right). A schematic diagram showing the expected band sizes and location of the probes is shown below. Probe A (A\*) was used for the blot shown. Note that only lines L77 and L46 underwent targeting events and that the targeted allele for the L46 cell line appears less abundant than the wild-type allele (see text). Mouse tail DNA for the L77 line comes from an F<sub>2</sub> cross of 2 heterozygotes, whereas the tail DNA for the L46 line comes from the F<sub>1</sub> and F<sub>2</sub> offspring. **D**, Northern blot analysis with 25 µg/lane of total RNA from the heart and brain of a wild-type mouse (WT) and a SWAP homozygote (SW) probed with cDNA fragments from the N-terminal part of the Kv1.5 coding region (left), the Kv1.1 coding region (middle), and the 3' end of Kv2.1 (right). Arrows point to native Kv1.5 expression and to rKv1.1 transgene expression, whereas the arrowhead points to expression of the native mKv1.1 gene. Note that faint expression of mKv1.1 is present in the whole-heart RNA; this may represent Kv1.1 in cells other than myocytes. Results were repeated using at least 3 mice from each line. To correct for loading during quantitative measurements, Kv2.1 signals were measured on a PhosphorImager and normalized to the signals obtained when the blots were reprobed with  $\alpha$ -actin. **E**, Representative Western blot using a rabbit polyclonal anti-Kv1.5 antibody (Upstate Biotechnology, New York, NY), an antibody to the HA epitope (anti-HA, Sigma Immunochemicals, St. Louis, Mo), and an anti-Kv2.1 antibody (Alomone Laboratories, Jerusalem, Israel) on crude membrane preparations (100 to 200 µg of protein/lane) from hearts and brains of a wild-type mice, SWAP homozygotes, and cultured rat neonatal cardiac myocytes transfected with either an empty plasmid (–) or a construct containing rKv1.1-HA driven by the  $\alpha$ -myosin heavy chain promoter (+).<sup>22</sup> Identical results were obtained on hearts from both lines of mice. Arrows indicate the bands specific for Kv1.5, Kv1.1-HA, and Kv2.1, whereas asterisks indicate nonspecific bands. Equal protein loading from tissue samples is confirmed by Coomassie blue-stained gels. **F**, RT-PCR on cDNA from hearts of wild-type mice, SWAP heterozygotes (SW-Het), and SWAP homozygotes (SW-Hom). PCR was performed using a sense primer in the 5'-UTR of Kv1.5 and an antisense primer in the coding region of Kv1.1, followed by Southern transfer of the products and probing with a radiolabeled oligonucleotide in the 5'-UTR of Kv1.5. Parallel reactions were performed in the absence of RT to exclude genomic contamination. Note that only Kv1.1 expression driven by the transgene would be detected by this technique.

#### K<sup>+</sup> Channel Expression in SWAP Mice

Kv1.5 RNA and protein were absent in hearts from SWAP homozygotes (Figures 1D and 1E). Kv1.1 RNA was detected in SWAP homozygotes by Northern blot (Figure 1D), and reverse transcriptase (RT)-PCR was used to confirm the expression of the transgene (Figure 1F). Using antibodies against the HA epitope, we

detected a specific band at ~62 kDa in the hearts of SWAP mice that was identical in size to the band produced by transfecting rat neonatal cardiac myocytes with rKv1.1-HA (Figure 1E). We did not detect rKv1.1-HA protein in the brain, although native mKv1.1 protein was quite abundant and runs at ~72 kDa, as previously described (data not shown).<sup>22</sup>

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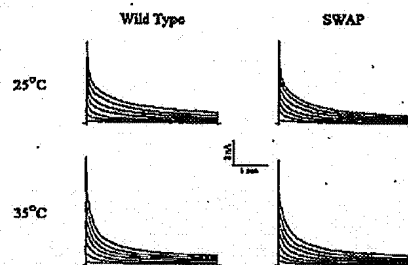


Figure 2. Voltage-gated outward  $K^+$  currents from left ventricular myocytes isolated from wild-type and homozygous SWAP mice appear similar. From a holding potential of  $-70$  mV, currents were elicited using 4-second depolarizing pulses to potentials between  $-20$  and  $+40$  mV presented at 10-second intervals. Current recordings from the same cells at both  $25^\circ\text{C}$  and  $35^\circ\text{C}$  are illustrated. Note that the inactivation of the outward currents is accelerated at  $35^\circ\text{C}$ .

Kv2.1 RNA expression was not significantly changed in homozygous SWAP compared with control ventricles ( $0.95 \pm 0.14$ ,  $n=3$  each; Figure 1D), but Kv2.1 protein was increased by Western blot analysis ( $n=3$  each; Figure 1E).

#### $K^+$ Current Densities Are Unchanged in SWAP Myocytes

Figure 2 shows representative currents from voltage-clamped, randomly dispersed, left ventricular myocytes isolated from SWAP homozygotes and wild-type controls obtained using 4-second depolarizing steps from a holding potential of  $-70$  mV to potentials between  $-20$  and  $+40$  mV. No differences are apparent in the amplitudes or inactivation rates at either  $25^\circ\text{C}$  or  $35^\circ\text{C}$ . The mean  $\pm$  SEM peak outward  $K^+$  current densities at  $+40$  mV at  $25^\circ\text{C}$  were  $46 \pm 2$  pA/pF in cells isolated from SWAP homozygotes ( $n=27$ ),  $45 \pm 2$  pA/pF in cells from SWAP heterozygotes ( $n=8$ ), and  $47 \pm 3$  pA/pF in cells from littermate controls ( $n=19$ ).

The decay phases of the outward currents recorded from these left ventricular myocytes were well-fitted by the sum of 2 exponentials, consistent with the expression of  $I_{K_{fast}}$ ,  $I_{K_{slow}}$ , and the steady-state noninactivating current  $I_{K_{ss}}$ .<sup>9,20</sup> The density of  $I_{K_{slow}}$  (at  $+40$  mV) was similar for cells from SWAP homozygotes ( $17 \pm 1$  pA/pF;  $n=27$ ), SWAP heterozygotes ( $14 \pm 2$  pA/pF;  $n=8$ ), and controls ( $16 \pm 2$  pA/pF;  $n=19$ ). In addition, the decay time constants for  $I_{K_{slow}}$  (at  $+40$  mV) were indistinguishable for cells isolated from SWAP homozygotes ( $1259 \pm 51$  ms;  $n=27$ ), SWAP heterozygotes ( $1177 \pm 62$  ms;  $n=8$ ), and controls ( $1270 \pm 48$  ms;  $n=19$ ).

Similar experiments were performed on cells isolated separately from either the left ventricular apex or septum of SWAP mice. The mean  $\pm$  SEM peak outward  $K^+$  current densities in the left ventricular apex ( $n=14$ ) and septum ( $n=8$ ) were  $60 \pm 6$  and  $29 \pm 3$  pA/pF, respectively. These values, as well as the densities of  $I_{K_{fast}}$ ,  $I_{K_{slow}}$ , and  $I_{K_{ss}}$ , are indistinguishable from those measured in wild-type apex and septal cells.<sup>9,14,20</sup> In addition, analysis of the currents in septal

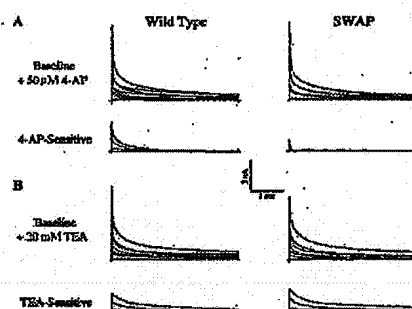


Figure 3. The 4-AP-sensitive component of  $I_{K_{slow}}$  is absent and the TEA-sensitive component of  $I_{K_{slow}}$  is upregulated in myocytes from SWAP homozygotes. A, Outward currents of myocytes isolated from wild-type and homozygous SWAP mice before (black) and after (red) application of  $50 \mu\text{mol/L}$  4-AP. Cells were depolarized from the holding potential of  $-70$  mV to between  $-30$  and  $+30$  mV in  $20$ -mV steps at  $25^\circ\text{C}$ . Note that the 4-AP-sensitive component of  $I_{K_{slow}}$  is absent in myocytes from homozygous SWAP mice and that the residual rapidly inactivating current represents the small fraction of  $I_{K_{fast}}$  blocked by the low concentration of 4-AP. B, Outward  $K^+$  currents before and after application of  $20 \text{ mmol/L}$  TEA. The TEA-sensitive component of  $I_{K_{slow}}$  is increased in the myocytes from Kv1.5-targeted SWAP mice.

cells isolated from SWAP mice revealed that the mean  $\pm$  SEM  $I_{K_{ss}}$  density ( $8.2 \pm 0.8$  pA/pF) and inactivation time constant ( $\tau_{inact} = 199 \pm 21$  ms) are not significantly different from those determined for  $I_{K_{ss}}$  in wild-type cells.<sup>9,14,20</sup> These results are consistent with previous findings demonstrating that Kv1.4 underlies  $I_{K_{ss}}$  and does not contribute to  $I_{K_{slow}}$ . They also suggest that Kv1.5 and Kv1.4 do not coassemble to form functional heteromultimeric voltage-gated  $K^+$  channels in mouse ventricular myocytes.

#### 4-AP-Sensitive Component of $I_{K_{slow}}$ Is Absent in SWAP Myocytes

After exposure to  $50 \mu\text{mol/L}$  4-AP, wild-type myocytes showed a marked decrease in  $I_{K_{slow}}$  (Figure 3A), as has been



Figure 4. Action potentials in myocytes isolated from SWAP homozygotes are not prolonged by low concentrations of 4-AP. In the current-clamp mode, action potentials were evoked by 3-ms suprathreshold current injections at a rate of 1 Hz. Recordings obtained at  $25^\circ\text{C}$  before (solid line) and after (dashed line) application of  $30 \mu\text{mol/L}$  4-AP are superimposed.

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shown in previous studies.<sup>3,4,9,15,20</sup> This low concentration of 4-AP also blocked  $I_{K_{ATX}}$  by ~20%, an observation also consistent with previous studies.<sup>20</sup> The 50- $\mu$ M/L 4-AP-sensitive component of  $I_{K_{ATX}}$ , however, was completely absent in myocytes isolated from SWAP homozygotes ( $n=6$ ). This provides strong evidence that Kv1.5 encodes an  $\alpha$  subunit necessary for this current. In contrast, the component of  $I_{K_{ATX}}$  sensitive to 20 mM TEA (at +40 mV; Figure 3B) was increased in myocytes from SWAP homozygotes ( $9 \pm 1$  pA/pF,  $n=11$ ) compared with controls ( $5 \pm 1$  pA/pF,  $n=6$ ;  $P<0.05$ ). At this concentration, TEA partially blocks the component of  $I_{K_{ATX}}$  encoded by Kv2.1 channels.<sup>4,20</sup> Together with the Western blot data (Figure 1E), these findings suggest that functional Kv2.1 channels are upregulated in response to the loss of Kv1.5.

We were unable to identify any currents in myocytes from either wild-type or SWAP mice that were sensitive to 100 to 200 nM/L  $\alpha$ -dendrotoxin (DTX,  $n=8$ ). Because Kv1.1 is highly sensitive to DTX, this suggests that functional Kv1.1 channels are not expressed at significant levels in SWAP myocytes.

#### Action Potentials in SWAP Myocytes Are Insensitive to 4-AP

APD<sub>50</sub> values determined at 1-Hz stimulation were similar in current-clamped myocytes isolated from SWAP homozygotes ( $35 \pm 2$  ms,  $n=8$ , at 25°C;  $27 \pm 3$  ms,  $n=7$ , at 35°C) and wild-type controls ( $36 \pm 3$  ms,  $n=16$ , at 25°C;  $25 \pm 1$  ms,  $n=13$ ;  $P=NS$ ). As previously reported, wild-type myocytes show marked APD prolongation in response to low concentrations of 4-AP (Figure 4).<sup>15,20</sup> As predicted from the voltage-clamp studies, myocytes from SWAP mice were insensitive to 30  $\mu$ M/L 4-AP ( $n=3$ ).

#### QT Interval in SWAP Mice Is Insensitive to 4-AP

The effects of 4-AP on QT intervals are shown in Figure 5. Baseline QT interval and QTc were not prolonged in anesthetized homozygous SWAP mice compared with age- and strain-matched controls (QTc:  $64 \pm 2$  ms, homozygotes,  $n=9$ ;  $60 \pm 2$  ms, heterozygotes,  $n=4$ ;  $62 \pm 2$  ms, controls,  $n=9$ ). Injection with 4-AP (10  $\mu$ M/kg IP) prolonged QTc in controls but not in SWAP homozygotes ( $63 \pm 1$  ms, homozygotes;  $66 \pm 2$  ms, heterozygotes;  $72 \pm 2$  ms, controls;  $P<0.05$ ). The heterozygotes had an intermediate degree of QTc prolongation when injected with 4-AP. Injection with 4-AP did not induce arrhythmias in either control or SWAP mice. Heart rate was not significantly changed in anesthetized SWAP homozygotes compared with controls, and injection with saline had no effect on QTc (data not shown).

#### SWAP Mice Have No Increase in Arrhythmias

Mean heart rate, measured using ambulatory telemetry monitors in unanesthetized untethered mice, was similar in SWAP homozygotes compared with littermate controls (610 versus 622 bpm,  $n=3$  each). Standard deviation of cycle length over 24 hours, a gross measure of heart rate variability, was also similar in these mice ( $11 \pm 1$  versus  $10 \pm 7$  ms). In addition, SWAP homozygotes had no increase compared with littermate controls ( $n=4$  each) in the frequency of premature atrial

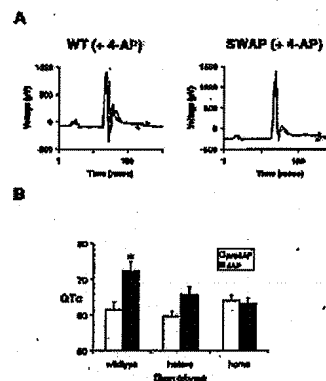


Figure 6. Homozygous SWAP mice are resistant to 4-AP-induced QT prolongation. A, EKG tracings using an anteroposterior lead from wild-type (left) and homozygous SWAP (right) mice before (black) and 15 minutes after (red) injection with 10  $\mu$ M/kg 4-AP. Records are signal averages of 5 consecutive tracings during a period with no heart rate variation. The anteroposterior lead was chosen because of the monophasic nature of the ST segment in this lead. Arrowheads show the point of 95% return to baseline. B, QTc before and after injection with 4-AP. QTc is similar in all mice before 4-AP injection but prolongs only in wild-type mice. hetero indicates SWAP heterozygotes; homo, SWAP homozygotes. \* $P<0.05$  for 4-AP-treated wild-type mice compared with before treatment or with 4-AP-treated homozygotes.

complexes ( $11 \pm 3$  versus  $9 \pm 6$  per day), premature ventricular complexes ( $5 \pm 1$  versus  $5 \pm 2$  per day), or episodes of second-degree atrioventricular block ( $18 \pm 10$  versus  $22 \pm 5$  per day). During the 192 hours of telemetry screened, one SWAP homozygote had an atrial couplet and a ventricular triplet, whereas one control mouse had a ventricular couplet.

#### Discussion

##### Kv1.5 Encodes the 4-AP-Sensitive Component of $I_{K_{ATX}}$

The mouse cardiac K<sup>+</sup> channel subunit mKv1.5 encodes a rapidly activating, very slowly inactivating current when heterologously expressed in *Xenopus* oocytes (Figure 1B). Similar Kv1.5 channel subunits have been cloned from rat and human and are highly sensitive to 4-AP and resistant to TEA.<sup>23-25</sup> On the basis of these pharmacological and kinetic characteristics, Kv1.5 channels were proposed as the molecular basis for  $I_{K_{ATX}}$  in human atrium and  $I_{K_{ATX}}$  in mouse ventricle.<sup>24,27</sup> Antisense experiments in cultured heart cells have provided direct experimental evidence that Kv1.5 contributes to  $I_{K_{ATX}}$  in rat and human.<sup>24,29</sup>

We previously used a dominant-negative transgenic strategy to disrupt channels of the Kv1.x family in the heart, leading to a mouse that lacks the 4-AP-sensitive component of  $I_{K_{ATX}}$ .<sup>3,15</sup> These mice have decreased protein levels of

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Kv1.5, probably because of increased degradation. The transgene does, however, affect other channels, and these experiments do not completely prove the relationship between mKv1.5 and  $I_{K_{slow}}$ . In the present study, we have used gene targeting to selectively eliminate mKv1.5 and showed the selective loss of the 4-AP-sensitive portion of  $I_{K_{slow}}$ . We chose the strategy to replace Kv1.5 with the pharmacologically different channel Kv1.1 with the intention of minimizing other potential changes. The findings presented here definitively link Kv1.5 to a component of  $I_{K_{slow}}$  in the mouse ventricle.

#### Ectopic Expression of Kv1.1 in the Hearts of SWAP Mice

Kv1.1 mRNA and protein are expressed in the hearts of transgenic SWAP mice under the control of the Kv1.5 promoter. (Figures 1D through 1F). We were not able to detect any DTX-sensitive currents, however. This suggests that very few functional Kv1.1 channels are present on the extracellular membranes of mouse ventricular myocytes and that the mouse is functionally acting as a Kv1.5 knockout, although we cannot fully exclude the possibility that heteromultimeric channels containing Kv1.1 are not DTX-sensitive. The smaller size of the rKv1.1 protein expressed in the mouse heart (62 kDa) compared with native mKv1.1 in the brain (72 kDa) points to tissue-specific differences in posttranslational processing.<sup>22</sup> Recent studies have highlighted the importance of helper proteins and  $\beta$  subunits in transporting K<sup>+</sup> channels to the surface membrane.<sup>20,21</sup> Kv1.1 is not normally expressed at high levels in the heart (Figure 1D), and the mechanism to process and successfully insert physiological levels of expressed channels into the surface membrane may be absent.

#### Kv2.1 Upregulation Compensates for the Loss of Kv1.5 in the Hearts of SWAP Mice

Despite the loss of the 50  $\mu$ M/L 4-AP component of  $I_{K_{slow}}$  in myocytes isolated from SWAP mice, there was no decrease in the overall density of  $I_{K_{slow}}$  or of the total outward current compared with controls. SWAP myocytes had an increased density of the 20 mM/L TEA-sensitive component of  $I_{K_{slow}}$  and Western blots showed increased Kv2.1 protein in SWAP hearts. Kv2.1  $\alpha$  subunits produce slowly activating, slowly inactivating, or noninactivating K<sup>+</sup> currents when expressed heterologously in tissue culture, and antibodies to Kv2.1 block currents of this type in hippocampal neurons.<sup>32</sup> Previous studies have shown that the TEA-sensitive component of the rapidly activating, slowly inactivating cardiac current  $I_{K_{slow}}$  is selectively eliminated in transgenic mice overexpressing the dominant-negative Kv2.1N216Flag construct in the heart.<sup>4</sup> Taken together, these data suggest that upregulation of Kv2.1 is one compensatory mechanism for the loss of Kv1.5 in the ventricles of the SWAP mice. We cannot be certain that the entire compensation in  $I_{K_{slow}}$  is attributable to upregulation of Kv2.1. In addition, the differences in the time- and voltage-dependent properties of Kv2.1 between tissue culture cells, hippocampal neurons, and cardiac myocytes likely reflect differences in accessory subunits or posttranslational processing.

Kv2.1 RNA levels are not changed in the SWAP mice, whereas protein levels are increased. The mechanism by which the loss of Kv1.5 leads to posttranscriptional upregulation of Kv2.1 is unknown. The feedback could be based on the action potential shape and ionic currents. Alternatively, proteins that bind to the ion channel subunits could directly mitigate subunit processing, transport to the membrane, or stability.

#### Difference Between Dominant-Negative Transgenic and Gene-Targeted Mice

Both Kv1.x dominant-negative transgenic and Kv1.5 homozygous SWAP mice lack the 4-AP-sensitive component of  $I_{K_{slow}}$ .<sup>3,12</sup> The Kv1.x dominant-negative transgenic mice have QT prolongation and arrhythmias.<sup>3,15-17</sup> In this study, we show that targeted mice lacking mKv1.5 have no QT interval prolongation and no arrhythmias. In fact, these mice are resistant to QT prolongation on exposure to the Kv1.5-blocking agent 4-AP. Likely explanations for the differences include both the effect of the Kv1.x transgene on other cardiac K<sup>+</sup> channels known to be important for repolarization, such as Kv1.4,<sup>8,9,14</sup> and compensatory regulation of other K<sup>+</sup> channels, such as Kv2.1, in the SWAP mouse. Clearly, loss of Kv1.5 alone is insufficient to lead to a highly arrhythmogenic phenotype. These findings highlight the fact that transgenic and gene-targeting techniques give different and complementary information on the role of ion channels in cardiac function.

Additional study of both models, along with mating of different strains to form double mutants, should lead to both a better understanding of the role of individual genes in repolarization and susceptibility to arrhythmias and to insights into the mechanisms by which K<sup>+</sup> channel gene expression is regulated in vivo in the heart.

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## Reviews

This Review is part of a thematic series on **Unanswered Questions in Heart Failure**, which includes the following articles:

Is Depressed Myocyte Contractility Centrally Involved in Heart Failure?

What Is the Role of  $\beta$ -Adrenergic Signaling in Heart Failure?

What Causes Sudden Death in Heart Failure?

Is Abnormal Cell Growth and Hypertrophy the Cause of Heart Failure?

Does Energy Starvation Cause Heart Failure?

What Mechanisms Underlie Diastolic Dysfunction in Heart Failure?

Steven Houser, Guest Editor

# What Is the Role of $\beta$ -Adrenergic Signaling in Heart Failure?

Martin J. Lohse, Stefan Engelhardt, Thomas Eschenhagen

**Abstract**—This review addresses open questions about the role of  $\beta$ -adrenergic receptors in cardiac function and failure. Cardiomyocytes express all three  $\beta$ -adrenergic receptor subtypes— $\beta_1$ ,  $\beta_2$ , and, at least in some species,  $\beta_3$ . The  $\beta_1$  subtype is the most prominent one and is mainly responsible for positive chronotropic and inotropic effects of catecholamines. The  $\beta_2$  subtype also increases cardiac function, but its ability to activate nonclassical signaling pathways suggests a function distinct from the  $\beta_1$  subtype. In heart failure, the sympathetic system is activated, cardiac  $\beta$ -receptor number and function are decreased, and downstream mechanisms are altered. However, in spite of a wealth of data, we still do not know whether and to what extent these alterations are adaptive/protective or detrimental, or both. Clinically,  $\beta$ -adrenergic antagonists represent the most important advance in heart failure therapy, but it is still debated whether they act by blocking or by resensitizing the  $\beta$ -adrenergic receptor system. Newer experimental therapeutic strategies aim at the receptor desensitization machinery and at downstream signaling steps. (*Circ Res.* 2003;93:896-906.)

**Key Words:**  $\beta$ -adrenergic receptors ■ G proteins ■ transgenic mice ■ cardiac hypertrophy ■ apoptosis

The human heart expresses  $\beta_1$ - and  $\beta_2$ -adrenergic receptors at a ratio of about 70:30; both subtypes increase cardiac frequency and contractility.<sup>1,2</sup> In addition,  $\beta_3$ -receptors have been described to mediate negative inotropic effects,<sup>3</sup> but their role remains uncertain.<sup>4</sup> All three subtypes appear to occur in cardiomyocytes, but they seem to possess distinct intracellular signaling and functional properties.<sup>5,6</sup>

Two well-established lines of evidence suggest that the  $\beta$ -adrenergic receptor system plays a major role in heart failure. First, there is a pronounced activation of the sympathetic system in patients with heart failure that is inversely correlated with survival.<sup>7</sup> Second, cardiac  $\beta$ -receptors, in particular the  $\beta_1$  subtype, are downregulated in heart fail-

ure,<sup>1,8</sup> and the remaining receptors are uncoupled from G<sub>i</sub>, presumably via increased activity of the receptor kinases GRK2 and/or GRK5.<sup>9-11</sup> Furthermore, an increase in G<sub>q</sub> subunits antagonizes  $\beta$ -adrenergic signaling.<sup>12-14</sup> Clinically, the use of  $\beta$ -receptor antagonists in heart failure, pioneered in the 1970s,<sup>15</sup> is now standard treatment.<sup>16-19</sup>

In spite of these major advances, many fundamental questions have remained unanswered. Why does the heart express three different  $\beta$ -receptors and what are the differences between the subtypes? Are the alterations of the  $\beta$ -receptors in heart failure detrimental or beneficial? Does the  $\beta$ -receptor system contribute to the pathogenesis of heart failure? And why are  $\beta$ -blockers effective in the treatment of

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heart failure? This review will attempt to elucidate open questions in understanding myocardial  $\beta$ -adrenergic signaling with respect to its role in cardiac hypertrophy and failure.

### $\beta$ -Adrenergic Receptor Subtypes and Their Signaling

#### $\beta$ -Adrenergic Receptors in the Heart

The classical subdivision of  $\beta$ -receptors defines the  $\beta_1$  subtype as the one that stimulates cardiac muscle, and the  $\beta_2$  subtype that relaxes smooth muscle.<sup>20</sup> Both receptors couple to  $G_s$  and thereby elevate cAMP, but distinct downstream signaling decreases contractility in smooth muscle cells and increases it in cardiomyocytes. However, the  $\beta_1$ -receptor contributes to relaxation of blood vessels<sup>21</sup> and the  $\beta_2$ -receptor to cardiac contractility.<sup>2</sup> Even though the predominant cardiac  $\beta_1$  subtype ( $\approx 70\%$  to  $80\%$  depending on species) is the strongest stimulus for cardiac function, the expression levels are quite small: no more than 50 to 70 fmol/mg membrane protein in most species. Therefore, there is little receptor reserve.

Expression of the  $\beta_3$  subtype is essentially limited to adipose tissue,<sup>22</sup> but several groups have reported  $\beta_3$ -receptor effects and mRNA in human, guinea pig, and canine heart and cardiomyocytes.<sup>3,23,24</sup> However, in contrast to its  $G_s$ -mediated signaling in adipose tissue, these reports suggest coupling to a nonclassical  $G_i$ /nitric oxide pathway mediating negative inotropic effects. In mice, cardiac-specific overexpression of  $\beta_3$ -receptors enhanced cardiac contractility,<sup>25</sup> and experiments with  $\beta_1/\beta_2$  knockout mice provided no or very modest  $\beta_3$ -receptor effects.<sup>4,26,27</sup> Thus, the importance of this subtype remains to be defined.

A fourth receptor subtype,  $\beta_4$ , had been postulated to mediate cardiostimulatory effects of the antagonist CGP12177. However, studies with  $\beta_1$ - and  $\beta_2$ -receptor knockout mice have led to the conclusion that the  $\beta_4$  effects are mediated by the  $\beta_1$ -receptor.<sup>28,29</sup>

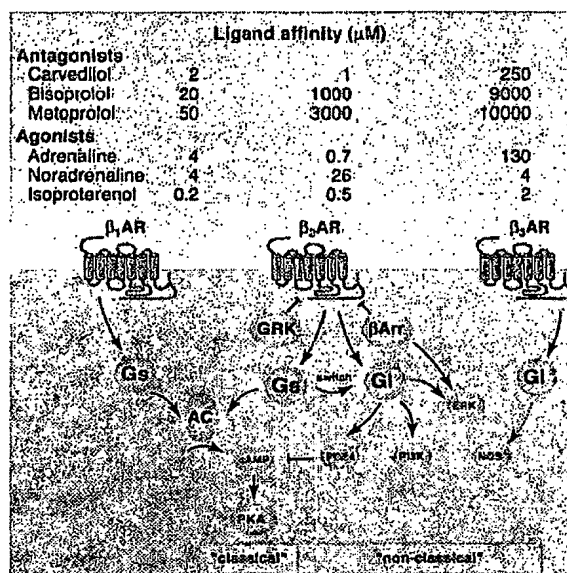
$\beta$ -Receptors occur also on nonmyocyte cells in the heart, and these cells can—eg, by paracrine effects—affect cardiomyocyte function and fate. The communication between these cells will constitute a major research topic.

#### $\beta$ -Adrenergic Receptor Signaling

Why should the heart express several different subtypes of  $\beta$ -adrenergic receptors? Evidence has been accumulating that subtype differences are important for cardiac function and failure.

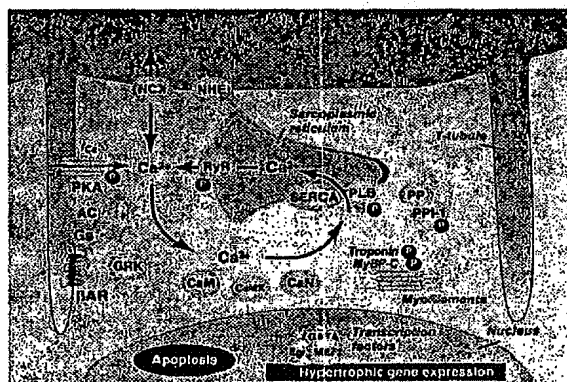
First, the three receptor subtypes have different affinities for their ligands (Figure 1). Second, there is increasing evidence for specific subcellular localizations and distinct signaling pathways. The basic hypothesis is that spatial segregation of receptors allows their association with other—equally segregated—proteins to form “signalosomes” that mediate subtype-specific responses.

Signaling by cardiac  $\beta$ -receptors has been studied in great detail (Figures 1 and 2). The classical common pathway is activation of adenylyl cyclases via  $G_s$ , resulting in increased cAMP levels. The primary target for cAMP is protein kinase A (PKA). PKA phosphorylates several proteins that are



**Figure 1.** Agonist activation and coupling/signaling properties of  $\beta$ -adrenergic receptor subtypes. GRK indicates G protein-coupled receptor kinase;  $\beta$ Arr,  $\beta$ -arrestin; PDE, phosphodiesterase; PI3K, phosphatidylinositol 3-kinase; and AC, adenylyl cyclase. Data from Hoffmann et al.<sup>184</sup>

essential for cardiac function: L-type calcium channels,<sup>30,31</sup> phospholamban,<sup>32</sup> troponin I,<sup>33</sup> ryanodine receptors,<sup>34</sup> myosin binding protein-C (MyBP-C),<sup>35</sup> and protein phosphatase inhibitor-1.<sup>36</sup> This affects cardiomyocyte contractile behavior by increasing  $Ca^{2+}$  influx (L-type channel), increasing  $Ca^{2+}$  reuptake into the sarcoplasmic reticulum (phospholamban/SERCA), and modulating myofilament  $Ca^{2+}$  sensitivity (troponin I, MyBP-C). Another target of cAMP are cAMP-gated HCN pacemaker channels.<sup>37</sup>



**Figure 2.** Calcium cycling in cardiac myocytes and regulation by PKA. AC indicates adenylyl cyclase; RyR, ryanodine receptor; PLB, phospholamban; SERCA, sarcoplasmic reticulum calcium ATPase; CaM, calmodulin; CaMK, calmodulin-dependent kinase; CaN, calcineurin; GRK, G protein-coupled receptor kinase; NCX, sodium-calcium exchanger; NHE, sodium-proton exchanger; and PP, protein phosphatase.

Most studies agree that in cardiomyocytes the cAMP pathway is stimulated through  $\beta_1$ - as well as  $\beta_2$ -receptors. However, even though the  $\beta_2$  subtype causes greater adenylyl cyclase stimulation than the  $\beta_1$  subtype in transfected cells<sup>38,39</sup> and in cardiomyocytes,<sup>40,41</sup> the  $\beta_1$  subtype confers greater functional effects in cardiomyocytes.<sup>2</sup> One explanation for this difference is that cAMP generated by  $\beta_2$ -receptor stimulation is not equivalent to cAMP-generated via  $\beta_1$ -receptors. Another explanation is the existence of additional signaling pathways that modify the G/adenylyl cyclase/PKA pathway.

Such additional nonclassical signaling is particularly important for the  $\beta_2$  (and perhaps the  $\beta_3$ ) subtype, but less for the  $\beta_1$ -receptor. Many coupling proteins have been identified for the three  $\beta$ -receptor subtypes,<sup>42</sup> but only a few of them have been demonstrated in the heart (Figure 1). Nonclassical signaling for the  $\beta_1$ -adrenergic receptor—studied less—may include a calcium signal not inhibitable by inactive cAMP analogues.<sup>43</sup> It will be a major task to elucidate the physiological role(s) of these nonclassical signaling pathways.

### Compartmentation of $\beta$ -Adrenergic Signaling

Receptor-generated signals are usually measured as global changes in second messenger concentrations, which are implicitly assumed to change in a uniform manner. This simplistic view would mean that intracellular signaling uses neither spatial nor temporal information. However, evidence is accumulating that this is not the case, and that compartmentation of intracellular signaling may be more than an excuse for results that are difficult to interpret.

Studies on intact hearts and isolated cardiomyocytes helped to establish this concept.<sup>44,45</sup> Work in the 1970s suggested that PKA activation by prostaglandin E and isoprenaline exerted differential effects on glycogen phosphorylase phosphorylation in rat heart.<sup>46</sup> Similarly, cAMP accumulation via the glucagon-like peptide receptor was recently found to be completely uncoupled from inotropic effects in cardiomyocytes.<sup>47</sup> Different "efficacies" of cAMP generated via  $\beta$ -receptor activation or via direct adenylyl cyclase stimulation with forskolin further suggested spatial compartmentation of cAMP in cardiomyocytes.<sup>48</sup> Recent electrophysiological studies showed that localized stimulation of  $\beta$ -receptors on frog cardiomyocytes activated L-type  $\text{Ca}^{2+}$  channels in the vicinity of the receptors, whereas forskolin activated also distant  $\text{Ca}^{2+}$  channels.<sup>49</sup> Inhibition of isoprenaline effects by acetylcholine<sup>50</sup> and NO were also locally restricted.<sup>51</sup> Imaging of cAMP in neonatal cardiomyocytes showed that the noradrenaline-induced cAMP signal diffused only  $\approx 1 \mu\text{m}$ , but phosphodiesterase inhibition led to generalized cAMP elevation.<sup>52</sup> Thus, cAMP degradation by phosphodiesterases may spatially limit cAMP signals.

Several pieces of evidence point toward differences in compartmentation between  $\beta_1$ - and  $\beta_2$ -receptors.<sup>5,6</sup> First,  $\beta_2$ -receptors can couple, in addition to  $\text{G}_s$ , also to  $\text{G}_i$ , whereas  $\beta_1$ -receptors couple only to  $\text{G}_s$ .<sup>53</sup>  $\text{G}_i$  coupling is enhanced by PKA-mediated  $\beta_2$ -receptor phosphorylation. Second, the PKA phosphorylation pattern induced by  $\beta_1$ - and  $\beta_2$ -receptor stimulation seems different, at least in some species. Two groups found only  $\beta_1$ -induced troponin I phosphorylation in

rats,<sup>54,55</sup> whereas others described phosphorylation via both subtypes in human heart.<sup>56</sup> Phospholamban phosphorylation has been reported after  $\beta_1$ - but not  $\beta_2$ -receptor activation in canine and human cardiomyocytes,<sup>54,57</sup> while in other studies, particularly in human heart, both subtypes caused phosphorylation.<sup>55,56</sup>  $\beta_1$ -Receptor stimulation increased PKA activity in the particulate fraction of cardiomyocytes, whereas after  $\beta_2$ -receptor stimulation this increase was limited to the soluble fraction.<sup>55</sup> In adult rat cardiomyocytes, the complete absence of a cAMP signal after  $\beta_2$ -receptor stimulation has been reported.<sup>58</sup>

Which mechanisms permit spatial compartmentation of cardiomyocyte  $\beta$ -adrenergic signaling? First, receptors might have different cell surface localizations. In one study, the  $\beta_2$  subtype was copurified with cardiomyocyte caveolae, while the  $\beta_1$  subtype was more evenly distributed.<sup>59</sup> In another study, the  $\beta_1$  subtype was preferentially localized in caveolae on rat neonatal cardiomyocytes, and this was taken as a reason for efficient adenylyl cyclase coupling.<sup>40</sup> Second, the receptors are probably embedded into large signalosomes, which might differ between the  $\beta_1$  and the  $\beta_2$  subtype.  $\beta_2$ -Receptor signalosomes containing an entire signaling chain have recently been demonstrated in neurons.<sup>60</sup> And third, postreceptor signaling may also be spatially organized.<sup>61</sup> cAMP signals might be spatially regulated via their site of generation (receptor localization) and via localized destruction (phosphodiesterases). Several experiments show loss of spatial localization after phosphodiesterase inhibition.<sup>48,52,62</sup>  $\beta_2$  Receptors can actively recruit phosphodiesterase 4 to the plasma membrane.<sup>63</sup> Downstream signaling proteins also have specific localizations. In particular, PKA is spatially localized via binding to A-kinase anchoring proteins<sup>64</sup> and the same applies for protein phosphatases<sup>65</sup> and possibly their inhibitors.

In addition to spatial compartmentation,  $\beta$ -receptor coupling is also temporally regulated. First,  $\beta$ -receptors desensitize. This is most prominent for the  $\beta_2$  subtype and small for the  $\beta_1$  subtype.<sup>66,67</sup> Desensitization occurs via receptor phosphorylation either by PKA or by G protein-coupled receptor kinases, GRKs,<sup>68,69</sup> plus  $\beta$ -arrestins.<sup>70</sup> Both mechanisms uncouple receptors from G proteins.<sup>71</sup> In addition, the receptor/ $\beta$ -arrestin complex recruits several proteins that initiate nonclassical signaling pathways. Since the GRK/ $\beta$ -arrestin mechanism is most prominent for the  $\beta_2$  subtype, this explains why nonclassical signaling pathways are most prominent for this subtype. Furthermore, PKA-induced phosphorylation of  $\beta_2$ -receptors promotes switching from  $\text{G}_s$  to  $\text{G}_i$ .<sup>27,72</sup> And finally, prolonged stimulation results in receptor downregulation, ie, a reduction in receptor number.<sup>73</sup> In summary,  $\beta$ -receptor signaling is a multifaceted process, and our current understanding seems still incomplete.

### Alterations of the $\beta$ -Adrenergic System in Heart Failure

Numerous studies show alterations of the cardiac  $\beta$ -receptor system in failing hearts.<sup>1,2,74–76</sup> They include a reduction of the  $\beta_1$  subtype and its mRNA by up to  $\approx 50\%$ , correlated to disease severity,<sup>75,76</sup> while the  $\beta_2$ -receptor levels remained unchanged in most studies. It is still not clear why downregu-



lation in heart failure is specific for the  $\beta_1$  subtype. The remaining  $\beta$ -receptors are desensitized, presumably mostly via GRKs (see below). In addition, up to 2-fold increases of  $G\alpha_i$ —particularly  $G\alpha_{i2}$ —and its mRNA occur early in heart failure<sup>12–14,77</sup> and may cause reduced responsiveness of many  $G_s$ -coupled receptor systems.<sup>78–81</sup> In addition, canine heart failure models show downregulation of  $G\alpha_s$ <sup>82</sup> and of adenylyl cyclases V and VI,<sup>83</sup> which are rate-limiting in the  $\beta$ -receptor system.<sup>84</sup> Heart failure-induced elevated catecholamine levels most likely cause all these alterations that functionally limit the contractile reserve.

Even though these changes have been confirmed repeatedly, their interpretation is uncertain. They can be interpreted either as a beneficial mechanism that protects the heart from the detrimental effects of chronic  $\beta$ -receptor stimulation, including arrhythmias, energy dysbalance, hypertrophy, and apoptosis—even though they deprive the heart from the benefits of short-term  $\beta$ -adrenergic responsiveness. Alternatively, they may lead to further deterioration of heart failure, since they disable the heart to meet its demands. Depending on these interpretations, therapeutic strategies might attempt either to inhibit the  $\beta$ -receptor system even further or to restore its sensitivity. Both apparently contrasting strategies are currently being pursued.

### Role of GRKs in Heart Failure

Increased GRK activity appears to be a major factor contributing to  $\beta$ -receptor desensitization in failing hearts.<sup>85,86</sup> Numerous studies have demonstrated upregulation of GRK activity and GRK2 mRNA in patients and animal models of heart failure and hypertrophy.<sup>11,87,88</sup> This has led to the hypothesis that cardiac function might be restored by inhibiting GRKs.<sup>86</sup> No GRK inhibitors have so far been described that would allow a testing of this hypothesis, but several studies with the C-terminus of GRK2 (“ $\beta$ ARKct”) appear to support it. This 184 amino acid C-terminus inhibits GRK-mediated receptor phosphorylation,<sup>89</sup> and its overexpression has led to reduction of heart failure in several heart failure models.<sup>86,90,91</sup>

However, again the alternative hypothesis is that increased GRK activity is part of a protective adaptation. This hypothesis would be compatible with the long-term damage caused by chronic stimulation or transgenic  $\beta_1$ -receptor overexpression,<sup>92,93</sup> and with the beneficial effects of  $\beta$ -blockers in heart failure patients.<sup>16</sup> In this case, the beneficial effects of  $\beta$ ARKct might be mediated by mechanisms distinct from GRK2 inhibition.

In fact,  $\beta$ ARKct impairs many  $G\beta\gamma$  pathways, and several lines of evidence suggest that  $G\beta\gamma$  inhibition (“scavenging”) is important for its effects. First, the detrimental  $\beta$ -receptor-mediated effects appear to be due more to the cAMP than to nonclassical signaling since (1) transgenic overexpression of the  $\beta_1$  subtype is more detrimental than that of the  $\beta_2$  subtype<sup>93,94</sup> even though the  $\beta_1$  subtype activates essentially only the cAMP pathway and does not internalize well,<sup>6,95</sup> and (2) the detrimental effects of  $\beta_1$ -receptor overexpression are very similar to those of overexpression of  $G\alpha_s$  or PKA.<sup>96–98</sup> Second, GRK2 transgenic mice show no overt cardiac pathology,<sup>99</sup> arguing against a detrimental role of GRK activity

per se. Third, in a transgenic mouse model of heart failure,  $\beta$ -blockers conferred a benefit in addition to  $\beta$ ARKct, suggesting an unrelated mechanism of action for the two principles.<sup>91</sup> And fourth, the protective effects of  $\beta$ ARKct on heart failure progression have been reproduced with N-terminally truncated phosducin,<sup>100</sup> a supposedly “pure”  $G\beta\gamma$ -binding protein<sup>101</sup> that did not restore the cAMP signal.

Thus,  $\beta$ -receptor blockade and  $\beta$ ARKct might be regarded as independent and complementary therapeutic principles in heart failure. The usefulness and the mechanisms of  $\beta$ ARKct and of “pure” GRK inhibitors will be questions for future investigations.

### Cardiac $\beta$ -Adrenergic Receptor Transgenic Mice

Transgenic cardiac overexpression of  $\beta_1$ -receptors in mice at 20 to 30 pmol/mg protein led to marked enhancement of basal contractility<sup>94</sup> but caused no overt cardiac pathology.<sup>102</sup> Adenovirus-mediated  $\beta_2$ -receptor overexpression enhanced myocardial contractility in a rabbit heart failure model.<sup>103</sup> However, later studies showed that 50-fold overexpression of  $\beta_2$ -receptors was well tolerated, whereas 350-fold overexpression induced cardiac pathology.<sup>104</sup> High-density  $\beta_2$ -receptor overexpression rescued left ventricular contractility after myocardial infarction<sup>105</sup> but worsened cardiac function after aortic banding<sup>106</sup> and negatively affected several genetic heart failure models,<sup>86</sup> including  $G\alpha_q$  overexpression.<sup>107</sup> Lower levels (30-fold overexpression) had beneficial effects in the same model,<sup>107</sup> suggesting an expression optimum for enhancing cardiac function via  $\beta_2$ -receptors.

Cardiac overexpression of  $\beta_1$ -receptors in transgenic mice caused cardiomyocyte hypertrophy, followed by fibrosis and heart failure.<sup>93,108</sup> Calcium transients were prolonged, and expression of the sarcoplasmic reticulum (SR) protein junction was reduced.<sup>109</sup> Interestingly,  $\beta_1$ -receptor transgenic mice develop marked cardiomyocyte hypertrophy without a major increase in heart weight,<sup>93</sup> indicating a dramatic loss of ventricular cardiomyocytes, perhaps via apoptosis.<sup>108</sup>  $\beta_1$  as well as  $\alpha_1$  agonists have long been known to cause hypertrophy.<sup>110</sup> These data indicate that the  $\beta_1$ -receptor system is ideally suited for short-term increases in cardiac function but causes marked damage after prolonged activation.

The differences reported between  $\beta_1$ - versus  $\beta_2$ -receptor overexpression are remarkable. Since both subtypes activate cAMP signaling, they must be due to nonclassical, receptor-specific pathways such as  $\beta_2$ -receptor coupling to  $G_i$  and mitogen-activated protein (MAP) kinases.<sup>72,111,112</sup> In addition, compartmentation of cAMP signals might cause differences between  $\beta_1$  and  $\beta_2$ -receptor-generated cAMP.<sup>98</sup> The potential clinical implications of these differences are obvious. For example,  $\beta_1$ -selective antagonists might be superior to non-selective blockers in heart failure, because they would leave the  $\beta_2$ -receptor operational. And increasing  $\beta_2$ -receptors to an optimum level might also be beneficial.<sup>103</sup>

### Which Mechanisms Mediate Detrimental $\beta_1$ -Receptor Effects?

#### Candidate Downstream Targets

The potentially  $\beta_1$ -selective damage must be mediated via  $\beta_1$ -initiated signals. Since the major  $\beta_1$  signal in cardiomyo-

cytes is the cAMP/PKA pathway, the prime suspects are the targets of PKA-mediated phosphorylation. Identifying the relevant targets is difficult since our knowledge is mostly derived from acute  $\beta$ -receptor stimulation, while in heart failure  $\beta$ -adrenergic activation lasts for years. Adaptive changes and transcriptional/translational alterations may dominate long-term responses. A striking example of opposite effects of short- versus long-term  $\beta$ -adrenergic stimulation is the sodium proton exchanger NHE1.

### Phospholamban

The most prominent cardiac target of PKA is phospholamban (PLB), a 52 amino acid phosphoprotein that controls SR  $\text{Ca}^{2+}$  uptake by inhibiting SERCA.<sup>32</sup> PKA-mediated phosphorylation of PLB relieves this inhibition. Experiments with PLB knockout mice indicate that PLB mediates the positive lusitropic and part of the positive inotropic  $\beta$ -receptor effects.<sup>113,114</sup> Even though phospholamban is a major PKA target in cardiomyocytes, it is probably not responsible for the detrimental  $\beta_1$ -receptor effects. First, PLB knockout rescued several (but not all<sup>115,116</sup>) heart failure models including  $\beta_1$ -receptor transgenic mice,<sup>117</sup> suggesting that phospholamban inhibition by PKA is not detrimental. Second, gene therapy approaches inhibiting phospholamban<sup>118,119</sup> or augmenting SERCA<sup>120,121</sup> ameliorated several heart failure models. However, there may be important differences between mice and humans, since two inactivating mutations of phospholamban have recently been reported to cause heart failure in patients.<sup>122,123</sup> At present, it is unclear how these findings can be integrated.

### Other Calcium Regulatory Proteins

Ryanodine receptor (RyR) hyperphosphorylation by PKA leading to increased open probability has been implicated in the pathogenesis of heart failure.<sup>34</sup> Others have disputed this observation<sup>124</sup> or attributed the PKA-induced increase in SR  $\text{Ca}^{2+}$  release to an indirect mechanism involving phospholamban.<sup>125</sup> Thus, the role of RyR phosphorylation in heart failure awaits clarification.<sup>126</sup>

PKA-mediated phosphorylation opens L-type  $\text{Ca}^{2+}$  channels triggering SR  $\text{Ca}^{2+}$  release through the RyR.<sup>114</sup> Basal L-type currents are maintained<sup>127</sup> in heart failure, but single-channel recordings show an increased open probability.<sup>128</sup> Further PKA targets are MyBP-C and troponin I, which may disinhibit myosin actin interaction<sup>35</sup> and reduce  $\text{Ca}^{2+}$  sensitivity of myofilaments,<sup>129</sup> respectively. It is not clear whether these effects contribute to heart failure.

A functional imbalance between pathways in or decreasing diastolic  $\text{Ca}^{2+}$  might lead to elevated cytosolic  $\text{Ca}^{2+}$  levels as a common final pathway in failing cardiomyocytes.<sup>130</sup> The downstream mechanisms exerting possible detrimental  $\text{Ca}^{2+}$  effects and the roles of  $\text{Ca}^{2+}$ -dependent proteins such as calcineurin and CaM kinase remain to be elucidated.<sup>131</sup>

### Protein Phosphatases and Protein Phosphatase Inhibitor-1

Recent evidence indicates a regulatory role for phosphatases in cardiomyocytes.<sup>132</sup> Phosphatase 2A occurs in  $\beta_2$ -receptor signalosomes in neurons<sup>60</sup> and colocalizes with the RyR.<sup>34</sup>

Heart failure is accompanied by increased global protein phosphatase (PP) activity.<sup>132</sup> The protein phosphatase inhibitor-1, PPI-1, inhibits PPI only in its PKA-phosphorylated form and seems to amplify  $\beta$ -adrenergic signaling in cardiomyocytes.<sup>133,134</sup> PPI-1 mRNA, protein, and phosphorylation are reduced by 2- to 5-fold in failing human hearts,<sup>134,135</sup> leading to reduced PPI inhibition.<sup>132</sup> Expression of constitutively active PPI-1 rescued the function of failing cardiomyocytes.<sup>133</sup> In order to delineate a role of PPI-1 in heart failure, the proteins regulated by PPI-1-sensitive dephosphorylation need to be identified.

### Sodium Proton Exchanger NHE1

A remarkable example of how fundamentally short- and long-term effects can differ is the involvement of the cardiac sodium proton exchanger NHE1 in the detrimental effects of chronic  $\beta$ -receptor stimulation. Acute  $\beta$ -receptor stimulation may inhibit NHE1.<sup>136</sup> However, in  $\beta_1$ -receptor transgenic mice NHE1 is upregulated, and pharmacological NHE1 inhibition prevented the development of hypertrophy, fibrosis, and heart failure.<sup>137</sup> The mechanisms of the protective effects of NHE1 inhibition, the roles of intracellular sodium and calcium, and NHE1 regulation remain to be investigated.<sup>137,138</sup>

### Apoptosis

$\beta$ -Receptor stimulation causes apoptosis of isolated rat cardiomyocytes. Different approaches demonstrate proapoptotic effects of  $\beta_1$  and antiapoptotic effects of  $\beta_2$ -receptors.<sup>139–141</sup> These in vitro studies are paralleled by findings in transgenic mice where  $\beta_1$ -receptor and  $\text{G}\alpha$ , overexpression markedly increased cardiomyocyte apoptosis.<sup>108,142</sup> However, the studies differ as to the responsible intracellular signaling pathways.  $\beta_2$ -Receptor-mediated stimulation of p38 MAP kinase<sup>111</sup> and activation of Akt kinase via  $\text{G}_i$ <sup>141</sup> have been proposed as antiapoptotic mediators. The proapoptotic effect of  $\beta_1$ -receptors has been found to be dependent on reactive oxygen species,<sup>143</sup> while others imply PKA-independent activation of CaMK.<sup>43</sup> Thus, the mechanistic links for the opposing effects of  $\beta_1$ - versus  $\beta_2$ -receptor stimulation on cardiomyocyte apoptosis remain uncertain. Nor is it clear how much  $\beta_1$ -receptor-mediated apoptosis contributes to heart failure.<sup>144</sup>

### Impact on Clinical Medicine

#### $\beta$ -Adrenergic Receptor Polymorphisms

Genes for all three  $\beta$ -receptor subtypes contain single nucleotide polymorphisms. Eighteen  $\beta_1$ -receptor variants<sup>145</sup> and 13  $\beta_2$ -receptor variants have been described,<sup>146</sup> but only two  $\beta_1$  and three  $\beta_2$  variant genes are common and have been extensively studied with respect to cardiovascular function (Table). Two attractive hypotheses arise from these studies.

First, the  $\beta_1$ -receptor Gly<sup>389</sup> variant (allele frequency 25% in whites, 42% in blacks) shows reduced cAMP signals<sup>147</sup> and may represent an impaired variant of the potentially harmful  $\beta_1$ -receptor. Clinical studies have given mixed results (Table), suggesting that the more active Arg<sup>389</sup> variant may be detrimental in some contexts. For example, the risk for heart failure in blacks was increased for the Arg<sup>389</sup> variant only when combined with the  $\alpha_{2C}$ -receptor deletion polymorphism

Cardiovascular Role of  $\beta_1$ -Receptor Arg<sup>389</sup>Gly and  $\beta_2$ -Receptor Thr<sup>164</sup>Ile Polymorphisms

Parameter	Experimental System/Subjects	n	Effect Greater for Arg <sup>389</sup> or Gly <sup>389</sup>
<b><math>\beta_1</math>-Receptor Arg<sup>389</sup>Gly polymorphism</b>			
Adenylyl cyclase activity <sup>147</sup>	Stable cell line		Arg <sup>389</sup> > Gly <sup>389</sup>
Inotropic/cAMP response to noradrenaline <sup>168</sup>	Isolated human atria	22-30	Arg <sup>389</sup> > Gly <sup>389</sup>
Inotropic response to noradrenaline <sup>169</sup>	Isolated human atria (mostly $\beta$ -blocker-treated)	87+20	Arg <sup>389</sup> = Gly <sup>389</sup>
			Ser <sup>49</sup> Ser = Ser <sup>49</sup> Gly
Heart rate/RR response to exercise <sup>170</sup>	Healthy volunteers	17	Arg <sup>389</sup> = Gly <sup>389</sup>
Heart rate/QS <sub>2</sub> /renin response to exercise <sup>171</sup>	Healthy volunteers	24	Arg <sup>389</sup> = Gly <sup>389</sup>
RR response to atenolol <sup>172</sup>	Healthy volunteers	34	Arg <sup>389</sup> > Gly <sup>389</sup>
Baseline heart rate and RR <sup>173</sup>	Dobutamine stress echocardiographic patients	142	Arg <sup>389</sup> > Gly <sup>389</sup> carriers
Exercise capacity (peak Vo <sub>2</sub> ) <sup>174</sup>	Heart failure patients	263	Arg <sup>389</sup> > Gly <sup>389</sup>
			Arg <sup>389</sup> Gly <sup>49</sup> > Gly <sup>389</sup> Ser <sup>49</sup>
Left ventricular mass <sup>175</sup>	Renal failure patients	249	Arg <sup>389</sup> > Gly <sup>389</sup>
Hypertension frequency <sup>176</sup>	Case/control and sibling pair analysis	292+265 102 pairs	Arg <sup>389</sup> > Gly <sup>389</sup>
RR response to $\beta$ -blocker <sup>172,177</sup>	Retrospective, hypertension	92+55	Arg <sup>389</sup> = Gly <sup>389</sup>
	Healthy volunteers	21+13	Arg <sup>389</sup> > Gly <sup>389</sup>
Heart failure frequency <sup>178</sup>	Case/control, idiopathic dilated cardiomyopathy	426+395	No association
Heart failure frequency <sup>148</sup>	Case/control in blacks (few whites)	15+2	Arg <sup>389</sup> > Gly <sup>389</sup> only in combination $\alpha_{2A}$ -receptor
Coronary event risk <sup>179</sup>	Retrospective analysis of WOSCOP study population	1554	No association
<b><math>\beta_2</math>-Adrenergic receptor Thr<sup>164</sup>Ile polymorphism</b>			
Adenylyl cyclase activity <sup>28</sup>	Fibroblasts		Thr <sup>164</sup> > Ile <sup>164</sup>
Heart rate/adenylyl cyclase activity <sup>180</sup>	Transgenic mice		Thr <sup>164</sup> > Ile <sup>164</sup>
Heart rate/QS <sub>2</sub> response to terbutaline <sup>181</sup>	Healthy volunteers	12+6	Thr <sup>164</sup> Thr > Thr <sup>164</sup> Ile
Exercise capacity (peak Vo <sub>2</sub> ) <sup>182</sup>	Heart failure patients	18+18	Thr <sup>164</sup> Thr > Thr <sup>164</sup> Ile
Survival <sup>183</sup>	Heart failure patients	10+247	Thr <sup>164</sup> Thr > Thr <sup>164</sup> Ile

(allele frequency 4% in whites, 38% in blacks),<sup>148</sup> which is a risk factor by itself.<sup>149</sup> Large cohort studies investigating more complete sets of such polymorphisms including complete haplotypes will be needed for an answer.

Second, the  $\beta_2$ -receptor Ile<sup>164</sup> variant is a rare variant of the potentially protective  $\beta_2$ -receptor displaying reduced agonist-binding and activity when expressed in fibroblasts<sup>28</sup> or transgenic mice.<sup>150</sup> Healthy volunteers or patients heterozygous for the Ile<sup>164</sup> variant exhibited lower chronotropic and inotropic response to the  $\beta_2$  agonist terbutaline, reduced exercise capacity, and decreased survival in heart failure (Table). These findings support the hypothesis that an impaired  $\beta_2$ -receptor represents a risk factor for cardiovascular disease and particularly heart failure.

The Gly<sup>16</sup> and the Gln<sup>27</sup> variants of the  $\beta_2$ -receptor may exhibit altered agonist-induced downregulation and have been associated with decreased exercise capacity. These data are inconsistent and have been discussed elsewhere.<sup>151</sup>

 **$\beta$ -Blockade in Heart Failure**

Since the pioneering work in the 1970s,<sup>152</sup> it took two decades until  $\beta$ -blockers turned from contraindication to standard treatment in heart failure.<sup>16</sup> Blocking  $\beta$ -receptors when cardiac function depends on sympathoadrenergic drive long appeared counterintuitive. Today, three large studies

with bisoprolol, metoprolol, and carvedilol show a similar reduction in the risk of death by a third or more, a benefit greater than of any other drug used in heart failure.<sup>16</sup>

**Why Do  $\beta$ -Blockers Work in Heart Failure?**

How can long-term application of negative inotropic compounds increase cardiac index, exercise capacity, and survival? Two basic mechanisms might explain this paradox: a block of the detrimental consequences of sustained  $\beta_1$ -receptor stimulation or resensitization of the cardiac  $\beta$ -receptor system. We are not aware of studies that would permit a definitive decision, but several arguments support the role of blocking detrimental  $\beta_1$  effects.

First,  $\beta$ -blockers showed benefits in all patient subgroups, including those with high and low heart rate, blood pressure, and ejection fraction.<sup>18</sup> Thus, the benefit is not restricted to patients with high heart rate. Second,  $\beta$ -blockers exert antiarrhythmic effects, which may explain why they caused larger reductions in sudden deaths than in total mortality. Antiarrhythmic effects are particularly important since altered calcium handling makes failing hearts very susceptible to arrhythmias. Third,  $\beta$ -blockers might prevent the hypertrophic, proapoptotic, and pronecrotic effects of cardiomyocyte  $\beta_1$ -receptor stimulation. Fourth,  $\beta$ -blockers might improve the energy balance in failing hearts, which show energy

starvation<sup>153</sup> and high-energy phosphate depletion,<sup>154</sup> since they reduce heart rate and improve diastolic filling and blood flow.  $\beta$ -Blockers apparently induce a partial switch from fatty acid to glucose metabolism by inhibiting carnitine palmitoyl transferase.<sup>7</sup> And finally,  $\beta$ -blockers reverse failure-specific alterations in cardiac gene expression, which may be involved in progression of the disease.<sup>155–158</sup>

The resensitization hypothesis is supported by the fact  $\beta$ -blockers upregulate  $\beta$ -receptor levels and normalize elevated GRK and  $G\alpha_i$  levels.<sup>155–159</sup> While these receptors are partially available even in the continued presence of  $\beta$ -blockers,<sup>160</sup> it is doubtful whether their increase is functionally relevant.<sup>161,162</sup> However, resensitization of downstream elements does result in enhanced responses to phosphodiesterase inhibitors.<sup>161</sup>

### Differences Between $\beta$ -Blockers

Bisoprolol, carvedilol, and metoprolol have been shown to be beneficial in heart failure, and others may follow. Metoprolol and bisoprolol are  $\beta_1$ -selective and have modest inverse activity (ie, they decrease the spontaneous activity of the receptor in the absence of agonist),<sup>163</sup> whereas carvedilol is nonselective, shows no inverse activity,<sup>163</sup> dissociates slowly from the receptor,<sup>164</sup> and is a radical scavenger and  $\alpha_1$ -receptor antagonist. All three compounds led to similar clinical results, but carvedilol was superior to metoprolol in the recent COMET trial.<sup>165</sup> Methodological criticism aside, this trial does not answer the questions of possibly protective  $\beta_2$ -receptors and whether the additional properties of carvedilol are important. A role for intrinsic activity is supported by the observations that the strong partial agonist xamoterol<sup>163</sup> was detrimental in heart failure,<sup>19</sup> and the weak partial agonist bucindolol<sup>166</sup> was ineffective in the BEST trial.<sup>167</sup> The beneficial  $\beta$ -blockers are neutral (carvedilol) or inverse agonistic (bisoprolol, metoprolol).<sup>163</sup> However, because of the low constitutive activity of the  $\beta_1$ -receptor, it is uncertain how important inverse agonism is. Taken together, the question is still open which pharmacological properties of  $\beta$ -blockers make them effective in heart failure.

### Conclusions

Overwhelming evidence supports a major role for the  $\beta$ -adrenergic system in heart failure. While this system is ideally suited for short-term increases in cardiac performance, its long-term activation is apparently detrimental. These damaging effects appear to be mainly due to stimulation of the  $\beta_1$  subtype, but the responsible signaling pathways need to be identified. Relevant beneficial effects of the  $\beta_2$  subtype remain to be confirmed, again together with the elucidation of the responsible—presumably nonclassical—signaling pathways. And the role of the  $\beta_3$ -receptor in the heart is still unclear.

The many changes in the  $\beta$ -adrenergic system in heart failure are most likely a protective adaptation.  $\beta$ -Blockers presumably act by (further) inhibiting the detrimental effects of  $\beta_1$ -receptor stimulation, but perhaps also by resensitizing downstream signaling elements. Future questions include the role of resensitization, the essential properties of clinically effective  $\beta$ -blockers, and the importance of the many down-

stream signaling steps in finding new strategies for the treatment of heart failure.

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**PHOSPHOLAMBAN DOWN-REGULATION OR SERCA2A OVEREXPRESSION: INTERACTION WITH  $\beta$ AR STIMULATION IN RABBIT MYOCYTES.**

Babar Chaudhri, Kerry Davila, \*Federica del Monte, \*Roger Hajjar, Sian E. Harding, ICSM, London and \*CVRC, Harvard Med. School, Boston, MA, USA

Increasing heart force and speeding relaxation by SERCA2a overexpression using adenoviral vectors has shown promising results in failing human and animal myocytes. However, it has been suggested that stimulation by down-regulation of phospholamban might be preferable, since the maximum effect should be similar to that produced by  $\beta$ ARs, avoiding supraphysiological effects. We have compared directly the effects of SERCA2a overexpression (5-fold) with those of phospholamban down-regulation using antisense (Pib-AS), both mediated by adenovirus. Adult rabbit myocytes were studied 48 h after infection with adenovirus: co-expression of GFP was used to identify infected myocytes. Inotropic responses to Iso were unaffected by Ad.SERCA2a or Ad.Pib-AS (maximum contraction amplitude; control,  $8.6 \pm 0.5$  % shortening; Ad.SERCA2a,  $8.1 \pm 0.4$ %; Ad.Pib-AS,  $9.2 \pm 0.7$ %,  $n=9$  preparations).  $EC_{50}$  values were not significantly different between groups. Both Ad.SERCA2a and Ad.Pib-AS accelerated relaxation ( $P<0.02$ ) (Time-to-50% relaxation (R50), non-infected:  $204 \pm 22$  ms, Ad.SERCA2a:  $106 \pm 15$  ms, Ad.Pib-AS:  $135 \pm 15$  ms). After maximal isoproterenol stimulation ( $1 \mu M$ ) R50 values were, non-infected:  $94 \pm 7$  ms, Ad.SERCA2a:  $70 \pm 8$  ms and Ad.Pib-AS:  $74 \pm 5$  ms. Additivity with  $\beta$ AR stimulation is therefore similar for Pib down-regulation and SERCA2a overexpression, suggesting that other limitations within the cell prevent SERCA2a from producing supraphysiological acceleration of relaxation.

**PHOSPHODIESTERASE III (PDE III) INHIBITION POTENTIATES  $Ca$ -INDUCED, NOT VOLTAGE-GATED, SARCOPLASMIC RETICULUM  $Ca$  RELEASE**  
Xiongwen Chen, Valentino Piacentini III, and Steven R. Houser, CVRG, Temple University, Philadelphia, PA

**BACKGROUND:** Sarcoplasmic reticulum (SR)  $Ca$  release is triggered by the L-type  $Ca$  current ( $I_{CaL}$ ). In addition, cAMP-dependent direct voltage gating has also been described with amrinone, a PDE III inhibitor, proposed as a preferential activator. We studied the effects of PDE III inhibition on  $I_{CaL}$ -induced and voltage-gated SR  $Ca$  release in feline ventricular myocytes (FeVM). **METHODS:**  $I_{CaL}$  and contractions ( $37^\circ C$ ) were measured in FeVM with whole-cell voltage clamp and video-microscopy, respectively. Perforated patch was employed in some experiments to eliminate dialysis of the cytoplasm. The voltage-dependence of contraction (V-C) and the cadmium ( $I_{CaL}$  inhibitor)-sensitive  $I_{membrane}$  were measured before and after amrinone (AMR, 100-500  $\mu M$ ). **RESULTS:** The V-C relationship was bell-shaped under control (Cnt) conditions. AMR increased the rate and magnitude of contraction and the inward current. The V-C relationship broadened, but was still bell-shaped. In Na- and K-free conditions, AMR caused a significant increase in  $I_{CaL}$  during steps to +10 mV (Cnt,  $9.3 \pm 1.2$  vs. AMR,  $13.7 \pm 1.8$  pA/pF,  $p<0.05$ ). AMR also caused a leftward shift in the voltage-dependence of  $I_{CaL}$  activation ( $p<0.05$ ). **CONCLUSIONS:** AMR increases  $I_{CaL}$  and SR  $Ca$  loading in FeVM. The bell-shaped V-C relationship in the presence of AMR is only consistent with  $I_{CaL}$ -induced SR  $Ca$  release. There is no direct voltage-gated SR  $Ca$  release in mammalian cardiac myocytes.

**BIOCHEMICAL CHARACTERIZATION OF THE SARCOPLASMIC RETICULUM MEMBRANE IN CARDIAC FAILURE DUE TO VOLUME OVERLOAD.**

Rita Chávez and Mauricio Díaz-Muñoz, Dept. of Molecular and Cellular Neurobiology, Neurobiology Center, National University of México, Juriquilla, Qro. México.

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**FUNCTIONAL SIGNIFICANCE OF  $\beta_2$ -ADRENERGIC RECEPTOR ACTIVATION IN HEART FAILURE**

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**[Treatment of severe congestive heart failure with the beta-agonist fenoterol (author's transl)].**

[Article in German]

Irmer M, Wollschläger H, Just H.

**Abstract**

The acute hemodynamic effects of the semiselective beta 2-stimulating compound Fenoterol were studied in 7 patients with severe congestive heart failure (IV NYHA) as a result of a low-output-syndrome of varying aetiology (coronary heart disease/cardiomyopathy). The continuous infusion of Fenoterol (2.5 micrograms/min for 60 min) induced the following changes in parameters of pump function: a slight but not yet significant fall of PCPm which we considered as a reference value of LVEDP from  $24 \pm 9$  to  $20 \pm 6$  mm Hg (13%); a highly significant increase in CI from  $1.96 \pm 0.4$  to  $2.71 \pm 0.53$  l/min . m<sup>2</sup> (39%; p less than 0.001) and in SVI from  $18.7 \pm 4.9$  to  $24.8 \pm 8.1$  ml/m<sup>2</sup> (32%; p less than 0.01); a clear reduction of TPR from  $1374 \pm 427$  to  $977 \pm 282$  dyn . s . cm<sup>-5</sup> (28%; p less than 0.001). PVR was reduced from  $245 \pm 158$  to  $192 \pm 85$  dyn . s . cm<sup>-5</sup> (n.s.). There were no significant changes in right ventricular filling pressure ( $15 \pm 8$  to  $15 \pm 9$  mm Hg), mean arterial pressure ( $76 \pm 17$  to  $75 \pm 16$  mm Hg) and heart rate ( $107 \pm 13$  to  $117 \pm 23$  beats/min). The study indicates that the "selective" beta 2-agonist Fenoterol in severe congestive heart failure produces a significant improvement in pump function. We assume the increase in SV to be due to a positive inotropic effect -- caused by beta 1-stimulation -- and a reduction of impedance to left ventricular ejection by decrease in TPR -- caused by beta 2-stimulation. Thus Fenoterol seems to be useful in treatment of severe congestive heart failure with elevated TPR.

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## Recent Developments in the Design of Orally Bioavailable $\beta_3$ -Adrenergic Receptor Agonists

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**Abstract:** The  $\beta_3$ -adrenergic receptor ( $\beta_3$ -AR) has been shown to mediate various pharmacological and physiological effects such as lipolysis, thermogenesis, and relaxation of the urinary bladder. Activation of the  $\beta_3$ -AR is thought to be a possible approach for the treatment of obesity, type 2 diabetes mellitus, and frequent urination. Therefore, the  $\beta_3$ -AR is recognized as an attractive target for drug discovery. On the other hand, activation of the  $\beta_1$ - or  $\beta_2$ -AR can cause undesirable side effects such as increased heart rate or muscle tremors. Consequently, a number of recent efforts in this field have been directed toward the design of selective agonists for the  $\beta_3$ -AR. This review summarizes recent advances in  $\beta_3$ -AR agonists with an emphasis on recent attempts to create potent, selective and orally bioavailable small-molecule agonists.

**Keywords:**  $\beta_3$ -adrenergic receptor, G protein-coupled receptor, agonist, obesity, type2 diabetes mellitus, frequent urination, lipolysis, thermogenesis.

### INTRODUCTION

$\beta$ -adrenoceptors ( $\beta$ -ARs) belong to the superfamily of G protein-coupled receptors that consist of seven membrane-spanning domains, three intra- and three extracellular loops, one extracellular N-terminal domain, and one intracellular C-terminal tail [1]. At present three different  $\beta$ -AR subtypes have been identified using pharmacological methods and through cloning of the receptors [1, 2].  $\beta$ -ARs were originally sub-classified into two different subtypes,  $\beta_1$ - and  $\beta_2$ -ARs by Lands *et al.* in 1967 based on the different physiologic effects of their ligands [3]. This classification has greatly contributed to the elimination of adverse effects as seen with former  $\beta$ -agonists and led to the development of selective  $\beta_1$ - and  $\beta_2$ -AR agonists/antagonists which have been useful in treating cardiovascular diseases and asthma nowadays [4]. In the early 1980s, the existence of a novel  $\beta$ -AR ( $\beta_3$ -AR) was reported primarily in rat adipose tissue [5]. Subsequently, Emorine *et al.* was first to clone human  $\beta_3$ -AR in 1989 and revealed that it mediated metabolic effects such as lipolysis and thermogenesis in adipose tissues [6]. Accordingly, this third  $\beta$ -AR has come to occupy an important position in drug discovery highlighted by its potential role in obesity through its modulation of fat metabolism.

The  $\beta_3$ -AR is mainly located on the surface of both white and brown adipocytes and is stimulated by its endogenous ligands, catecholamines, adrenalin, and noradrenalin. Recent studies indicate that  $\beta_3$ -AR also exists in human heart, gall bladder, gastrointestinal tract, prostate, and urinary bladder detrusor tissues [2]. It has been suggested that stimulation of  $\beta_3$ -AR results in various pharmacological effects such as lipolysis and thermogenesis in adipocytes, reducing of colonic motility, and relaxation of the urinary

bladder detrusor. Therefore, a number of laboratories have been engaged in developing  $\beta_3$ -AR agonists for the treatment of metabolic, gastrointestinal and urologic diseases such as obesity, type 2 diabetes, irritable bowel syndrome, and frequent urination and urinary incontinence [For recent reviews, see Ref. 7-12].

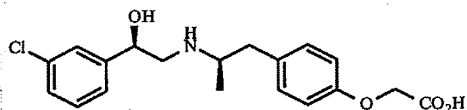
During the early stage of  $\beta_3$ -agonist development (represented hereby, BRL-37344, 1, CL 316,243, 2 and CGP-12177A, 3), the optimization of agonists was conducted in rodent models for the modulation of adipose tissue in human. These compounds showed potent anti-obesity and anti-diabetic effects in rodent type-II diabetic models and subsequently entered clinical trials. However, these clinical studies have been discontinued because of insufficient efficacy, lack of selectivity (some adverse effects were observed such as increased heart rate and muscle tremors), and/or poor pharmacokinetics [12]. It was not revealed until later that, with experiments using cloned human receptors, these compounds not only had partial  $\beta_3$ -agonistic activity but also showed moderate subtype selectivity because of structural differences between human and rodent  $\beta_3$ -ARs [2, 13]. These facts have led us to recognize the importance of using a cloned human receptor assay and that efficacy in the rodent model does not always effectively translate into the human system. Another important issue in the first generation of  $\beta_3$ -agonists was their poor pharmacokinetics that seemed to result from their characteristic ethanolamine and carboxylic acids [14]. In succeeding years, a large number of  $\beta_3$ -agonists have been synthesized and evaluated using cloned human receptors to ensure efficacy [7-9, 11]. In this review, we summarize recent attempts to create potent, selective and orally bioavailable  $\beta_3$ -AR agonists.

### DEVELOPMENT OF $\beta_3$ -AR AGONISTS

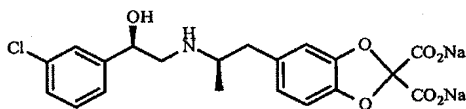
To date, numerous  $\beta_3$ -AR agonists have been identified and evaluated *in vitro* and *in vivo*. The most important feature of these agonists is an aminoalcohol function flanked

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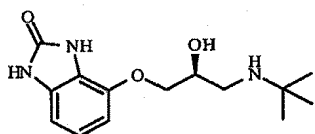
by two aromatic regions, which corresponds to the pharmacophore of the endogenous ligands of ARs. Most early  $\beta_3$ -agonists were designed to have carboxylic acids on the right-hand side (RHS) aromatic region since an acid functionality was found to enhance  $\beta_3$ -AR selectivity [15, 16]. However, developments of those agonists were often hampered because of low oral bioavailability. This low bioavailability is most likely due to poor oral absorption which may be attributed to its highly hydrophilic portions, the aminoalcohol and acid moieties. Consequently, recent efforts in this field have been directed toward mainly the optimization of the RHS region to find orally bioavailable  $\beta_3$ -agonists since the aminoalcohol functionality is thought to be necessary for  $\beta_3$ -AR binding. As for the left-hand side (LHS) aromatic region, a minimal variety of successful modifications has been reported thus far. This region, however, also contributes largely to both agonistic and intrinsic activity (IA). In the following discussion, we summarize progress in the optimization of both the LHS and RHS and also in the discovery of novel  $\beta_3$ -AR agonist scaffolds.



1 (BRL 37344)



2 (CL 316,243)



3 (CGP 12177A)

Fig. (1). Representative First Generation of  $\beta_3$ -AR Agonists.

## Modification of RHS

Researchers at Merck explored a series of benzenesulfonamide  $\beta_3$ -agonists and identified potent, selective and orally bioavailable compounds [17-26]. A hexylurea derivative 4 (L-757,793) was found to be a potent agonist of human  $\beta_3$ -AR ( $EC_{50}$  = 6.3 nM) with high selectivity over  $\beta_1$ - and  $\beta_2$ -ARs (>1000-folds) [17]. The urea moiety, however, was detrimental to oral absorption due to its high polar property. When this compound was administered orally (10 mg/kg) to dogs, no serum glycerol response was recorded. Cyclization of the urea moiety in 4, to reduce the polarity, produced the cyclic ureido derivatives 5 (L-760,087) and 6 (L-764,646). Although these compounds maintained potency and selectivity, they still showed poor oral bioavailabilities (7% in dogs) [18]. Introduction of a cyclopentane moiety at the terminal alkyl group (7, L-766,892) [19] and further optimization afforded the tetrazolone benzenesulfonamide analogue 8 (L-770,644) [20]. L-770,644 was a potent and selective  $\beta_3$ -agonist ( $EC_{50}$  = 13 nM, IA = 75%) with high selectivity over  $\beta_1$ - ( $EC_{50}$  = 1900 nM, IA = 33%) and  $\beta_2$ -ARs ( $EC_{50}$  = 1800 nM, IA = 26%), and showed >100-fold selectivity against a panel of receptors and ion channels. In addition, this compound had significantly superior pharmacokinetics and its oral bioavailability was found to be 27% in both dogs and rats. Subsequent studies in the replacement of the tetrazolone with heterocyclics produced a number of potent  $\beta_3$ -agonists [21-26]. Among them, 4-(trifluoromethyl)phenyl thiazole analogue 9 (L-796,568) was a potent and selective agonist ( $EC_{50}$  = 3.6 nM, IA = 94% for  $\beta_3$ -AR; >1300 and >600-fold selectivity against  $\beta_1$ - and  $\beta_2$ -ARs, respectively) [26]. This compound displayed good oral bioavailability in both dogs (%F = 27) and rats (%F = 17), but low bioavailability in monkeys (%F = 4). It also had an exceptionally long half-life ( $t_{1/2}$  >8h) in all species tested [27]. This compound was >100-fold selective for  $\beta_3$ -AR when tested against a panel of receptors and ion channels, and therefore, was chosen as a clinical candidate. Further modification of the benzenesulfonamide group produced indoline analogues 10 [28] and 11 [29]. Indolinesulfonamide 10 had an  $EC_{50}$  value of 0.93 nM (IA = 86%) and showed high subtype selectivity ( $IC_{50}$  = 2600 and 1300 nM for  $\beta_1$ - and  $\beta_2$ -ARs, respectively). In an *in vivo* study, i.v. administration of compound 10 in anesthetized rhesus monkeys evoked dose-dependent increases in lipolysis and energy expenditure [30]. Despite its high selective profile for monkey  $\beta_3$ -AR ( $EC_{50}$  = 0.43 nM, IA = 80% for monkey  $\beta_3$ -AR, >700-fold selective against monkey  $\beta_1$ - and  $\beta_2$ -ARs), compound 10 elicited facial flushing *via* peripheral vasodilatation and reflex

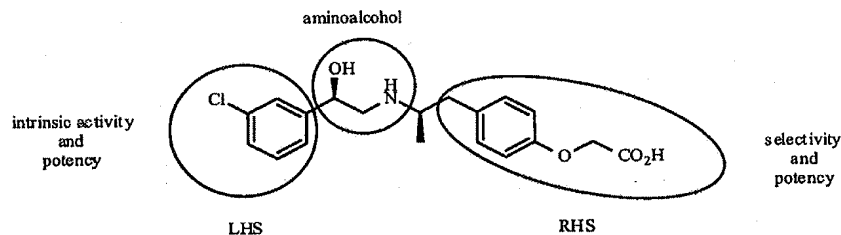


Fig. (2).

tachycardia. It is not certain whether this  $\beta_3$ -AR-evoked vasodilatation was a direct effect of  $\beta_3$ -AR activation in the peripheral vasculature or was a secondary effect to the release or generation of an endogenous vasodilator.

CL 316,243 (**2**) was identified by American Cyanamid (now Wyeth) to have potent activity for the rodent  $\beta_3$ -AR [31], but was subsequently found to be a weak and partial agonist against the human  $\beta_3$ -AR ( $EC_{50}$  = 1.15  $\mu$ M, IA = 63%) [32]. In addition, CL 316,243 showed low oral bioavailability mainly due to the dicarboxylic acid on the RHS [14]. In an effort to enhance potency for human  $\beta_3$ -AR, further exploration was conducted by using a human receptor assay, and compound **12**, possessing a unique piperidine ring on the RHS, was discovered as a lead. After subsequent optimization of **12**, phenylpiperidines **13** [32] and **14** [33], in which the acid moieties were replaced by 2,4-thiazolidinedione and oxadiazolidinedione respectively, were found to be potent and selective human  $\beta_3$ -AR agonists. In *in vivo* tests, both compounds showed significant

thermogenic effects (30% increase in thermogenesis) in human  $\beta_3$ -AR transgenic mice (Tg mice) when administered at a dose of 10 mg/kg. Further investigation of substituents on the RHS afforded sulfonamide **15**, with a  $\beta_3$ -agonistic activity ( $EC_{50}$  = 4 nM, IA = 100%) and >500-fold selectivity over  $\beta_1$ - and  $\beta_2$ -ARs [34]. Concurrent studies on the optimization of the piperidine analogues gave another series of analogues which possess amino acids on the RHS [35]. Leucine analogue **16** ( $EC_{50}$  = 8 nM, IA = 96%) showed an excellent agonistic profile and selectivity for  $\beta_3$ -AR ( $K_i$  = >100 and 3.4  $\mu$ M against  $\beta_1$  and  $\beta_2$ , respectively). Moreover, compound **16** elicited significant thermogenesis (53% increase) in Tg mice *in vivo*.

The Wyeth group also examined other possible modifications to the piperidine scaffold and disclosed 4-aminomethylpiperidine **17** [36] and 4-aminopiperidine urea **18** [37]. Both compounds were potent  $\beta_3$ -agonists with good selectivity and exhibited thermogenesis effects *in vivo*.

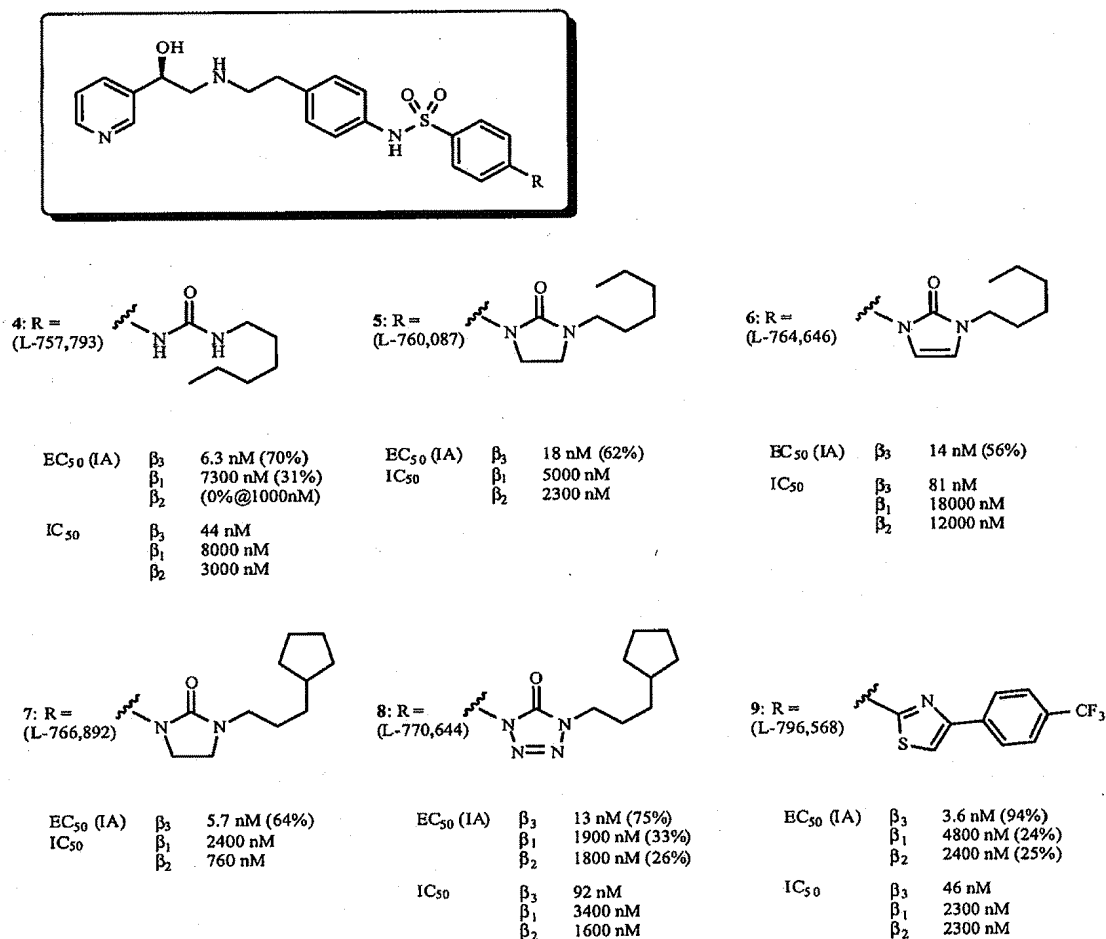
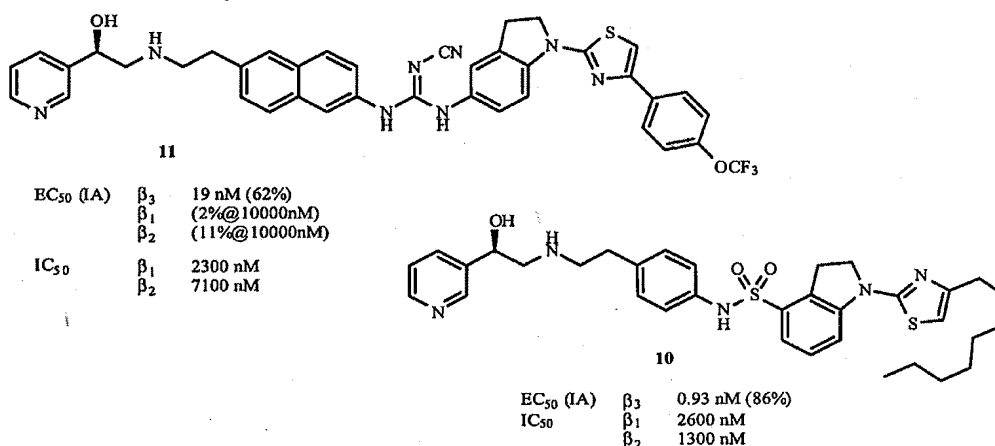


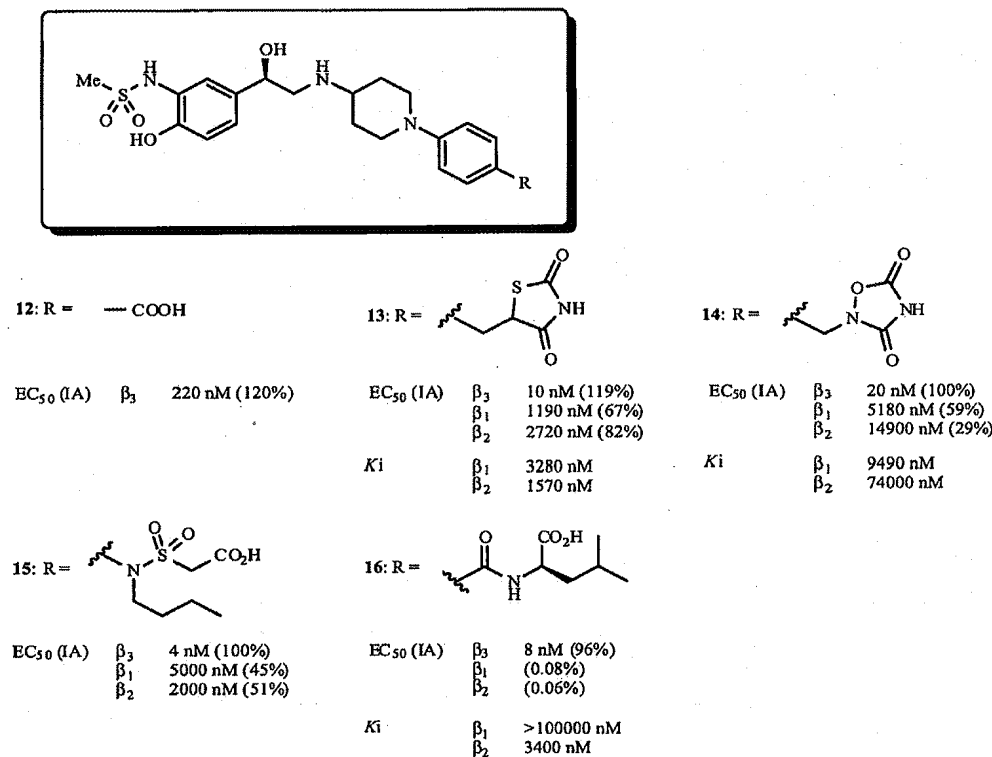
Fig. (3). Structures of Benzenesulfonamide  $\beta_3$ -AR Agonists and Their Activity towards Human  $\beta$ -ARs.



**Fig. (4).** Structures of Indolinesulfonamide  $\beta_3$ -AR Agonists and Their Activity towards Human  $\beta$ -ARs.

Researchers at Bristol-Myers Squibb screened their compound library and identified a subset of hit compounds which were a structurally related series of 4-hydroxy-3-methylsulfonanilidoethanolamines. Optimization of a lead compound having a phenethyl moiety on the RHS led to diarylethylamine **19** (BMS-194449) [38]. This compound

showed potent activity for  $\beta_3$ -AR ( $K_i = 160$  nM,  $IA = 77\%$ ) and good selectivity ( $K_i = 1280$  and  $1120$  nM against  $\beta_1$ - and  $\beta_2$ -ARs, respectively). However, BMS-194449 had an unfavorable pharmacokinetic profile in rats; its oral bioavailability was less than 2%, mainly due to glucuronidation of benzylic and phenolic hydroxyl groups.



**Fig. (5).** Structures of Phenylpiperidine  $\beta_3$ -AR Agonists and Their Activity towards Human  $\beta$ -ARs.

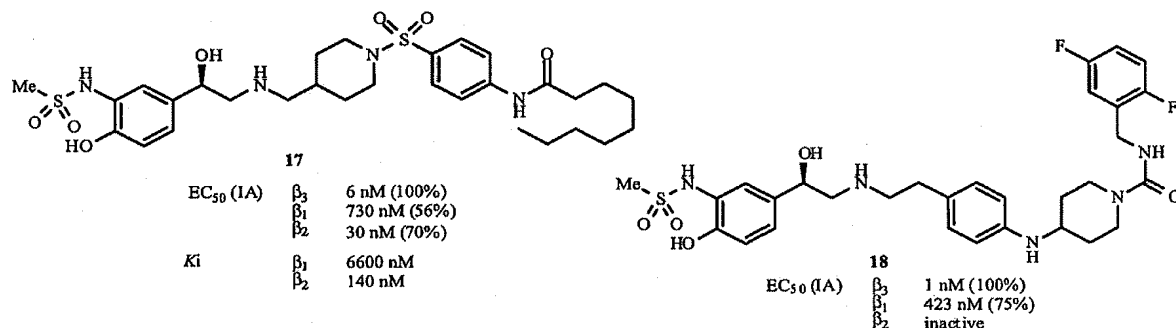


Fig. (6). Structures of Piperidine  $\beta_3$ -AR Agonists and Their Activity towards Human  $\beta$ -ARs.

Intravenous administration of BMS-194449 to 6 volunteers showed no separation between the onset of lipolysis and  $\beta_2$ -AR mediated prolongation of the QT interval. Thus, further studies with BMS-194449 were terminated.

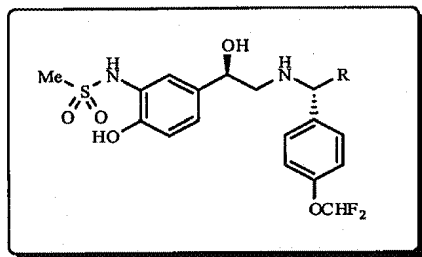
Further optimization of the phenethyl analogue produced compounds **20** (BMS-196085,  $K_i$  = 25 nM, IA = 95%) with an excellent selectivity against  $\beta_1$  and  $\beta_2$ -ARs ( $K_i$  = 756 and 125 nM, respectively) [39]. Unfortunately, BMS-196085 showed poor oral bioavailability (<5%) because of extensive glucuronidation in monkeys. In an initial clinical proof-of-concept study, bolus i.v. administration of BMS-196085 evoked increased levels of free fatty acids in the blood plasma. However, a 2-week continuous i.v. infusion of BMS-196085 resulted in tachycardia and failed to produce statistically significant changes in the resting metabolic rate.

Further explorations of this phenethyl lead compound led to the identification of diethyl phosphonate **21** (BMS-201620), a potent  $\beta_3$ -agonist ( $K_i$  = 93 nM, IA = 93%) with excellent subtype selectivity ( $K_i$  = 4732 and 18042 nM for  $\beta_1$ - and  $\beta_2$ -ARs, respectively) [40]. In an *in vivo* study in anesthetized African green monkeys, BMS-201620 showed a large safety margin between separation of  $\beta_3$ -mediated effects and  $\beta_1$ - and  $\beta_2$ -dependent events. This margin was superior to that of BMS-1944493 and BMS-196085. BMS-201620, however, showed unfavorable biophysical and PK profiles in

rats and African green monkeys, in a similar manner as former clinical candidates; its oral bioavailability was less than 1–2%. Despite its unfavorable PK profile, this compound was chosen as a clinical candidate. Intravenous administration of BMS-201620 to 6 volunteers produced no separation between the onset of lipolysis and prolongation of the QT interval, and thus, further studies were terminated.

Glaxo Wellcome (now GlaxoSmithKline) disclosed a series of  $\beta_3$ -agonists having an anilino-phenylacetic acid on the RHS as exemplified by GR9803 (**22**) [41]. This compound was a potent full agonist of human  $\beta_3$ -AR ( $EC_{50}$  = 16 nM, IA = 117%) but showed moderate subtype selectivity. After an extensive structure-activity relationship (SAR) study on the RHS substituents, compound **23**, which has an acylsulfonamide moiety as a carboxylic acid isostere, was identified as a potent and selective  $\beta_3$ -agonist ( $EC_{50}$  = 1.3 nM, IA = 98%) [42]. Despite its relatively short half-life (1.0 h) in dogs, compound **23** was found to be orally active in mice thermogenesis studies.

In the early 1990s, Dainippon (now Dainippon Sumitomo) identified a series of indolylalkylamines as potent  $\beta_3$ -agonists. Extensive SAR study on substituents of the indole ring produced a potent and selective  $\beta_3$ -agonist, AJ-9677 (**24**) [43, 44]. Interestingly, it is not only a potent full agonist of human  $\beta_3$ -AR ( $EC_{50}$  = 0.062 nM, IA =

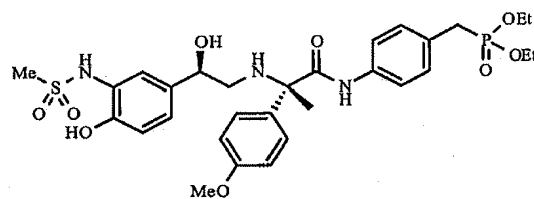


**19:** R = 4-CHF<sub>2</sub>O-Phenyl  
(BMS-194449)

Ki (IA)	$\beta_3$	$\beta_1$	$\beta_2$
	160 nM (77%)	1280 nM	1120 nM

**20:** R = Benzyl  
(BMS-196085)

Ki (IA)	$\beta_3$	$\beta_1$	$\beta_2$
	25 nM (95%)	756 nM (63%)	125 nM (45%)

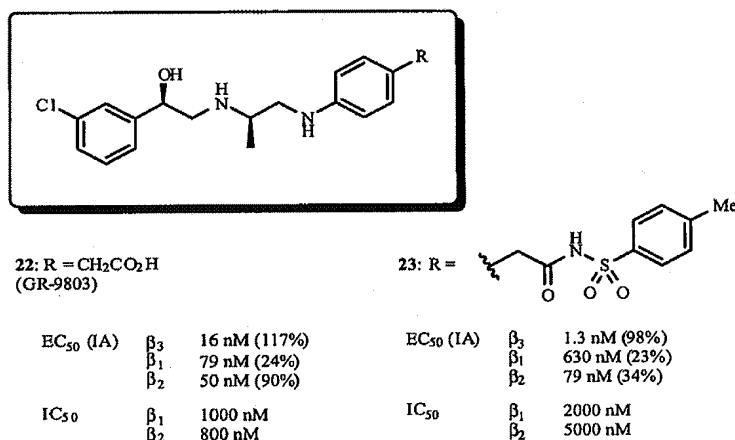


**21 (BMS-201620)**

Ki (IA)	$\beta_3$	$\beta_1$	$\beta_2$
	93 nM	4743 nM	18042 nM

Fig. (7). Structures of  $\beta_3$ -AR Agonists developed by Bristol-Myers Squibb.

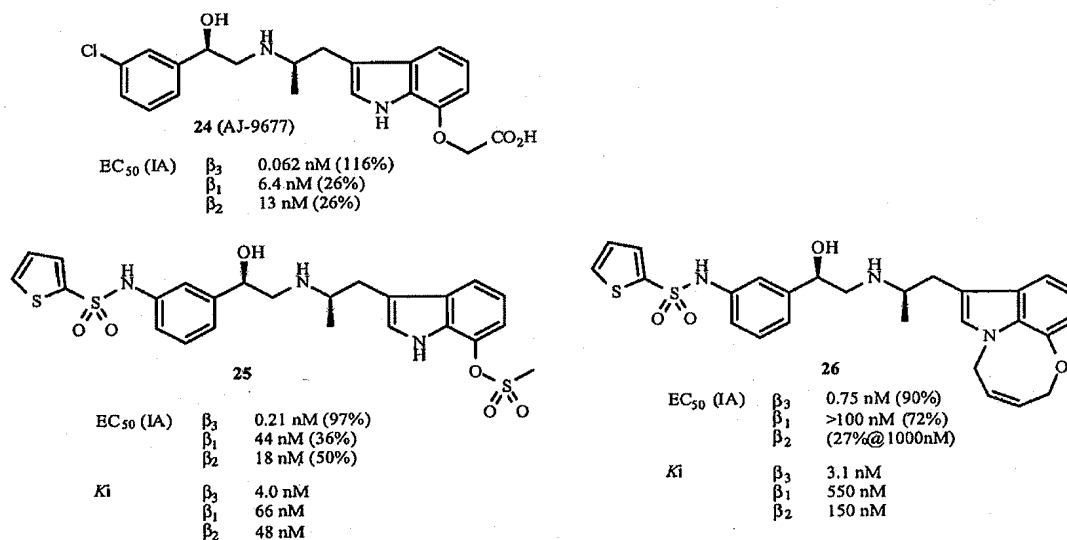


Fig. (8). Structures of β<sub>3</sub>-AR Agonists developed by GlaxoSmithKline.

116%) but also a strong full agonist of rodent β<sub>3</sub>-AR (EC<sub>50</sub> = 0.016 nM, IA = 110%). Chronic oral administration of AJ-9677 to genetically diabetic obese KK-Ay/TA mice for 14 days significantly inhibited body weight gain and decreased glucose, insulin, free fatty acid (FFA), and triglyceride concentrations in plasma [45]. Moreover, AJ-9677 resulted in a marked increase in the expression of uncoupling protein-1 (UCP-1) in white adipose tissues (20- to 80-fold) and upregulation of GLUT4 mRNA and protein levels in both white and brown adipose tissues. AJ-9677 reduced the total weight of white adipose tissue by converting large adipocytes into small adipocytes through increased lipolysis and the induction of UCPs that are associated with the reduction of TNF-α and FFA levels. On the basis of this pharmacological profile, AJ-9677 entered

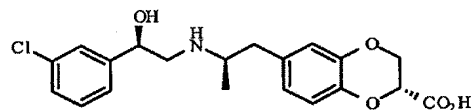
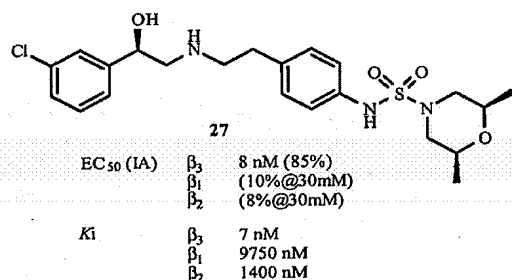
into clinical development as a drug for the treatment of type 2 diabetes and obesity in 1999. Unfortunately, while there has been no safety concerns during the course of the trials, these clinical studies have not been able to show enough efficacy to continue the further development of this agent [46].

Subsequent optimization of the indole derivatives identified a thiophenesulfonamide derivative 25, which substituted a methanesulfonate group in place of the terminal carboxylic acid [47]. Compound 25 was found to be a highly potent β<sub>3</sub>-AR agonist (EC<sub>50</sub> = 0.21 nM, IA = 97%) with excellent selectivity for β<sub>3</sub>-AR over β<sub>1</sub>- and β<sub>2</sub>-ARs (210- and 86-fold, respectively). Unfortunately, this compound had extremely low oral bioavailability due to the lack of cellular permeability. Further effort investigating the

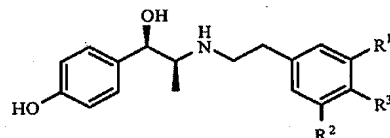
Fig. (9). Structures of Indole-based β<sub>3</sub>-AR Agonists and Their Activity towards Human β-ARs.

effect of various substituents on the indole ring identified a series of 1,7-cyclized indole analogues [48]. Among them, compound **26**, an eight-membered ring analogue with a double bond on its 1,7-linker portion, was found to be a potent  $\beta_3$ -AR agonist ( $EC_{50} = 0.75$  nM, IA = 90%) with extremely high selective profiles for  $\beta_3$ -AR over  $\beta_1$ - and  $\beta_2$ -ARs. In addition, this series of 1,7-cyclized indole derivatives all showed high cellular permeability in Caco-2 cell membrane assays. These results revealed the potential of 1,7-linked tricyclic indole-based derivatives as a new leading set of candidates for human  $\beta_3$ -AR agonists with good oral bioavailability.

Researchers at Pfizer have developed a series of sulfamide-based human  $\beta_3$ -AR agonists [49]. *cis*-3,5-Dimethylmorpholinylsulfamide **27** was found to be a potent  $\beta_3$ -agonist ( $EC_{50} = 8$  nM, IA = 85%) and showed high selectivity against  $\beta_1$ - and  $\beta_2$ -ARs (>1300- and >200-fold, respectively). Although the bioavailability of compound **27** was not determined, this compound showed low human microsomal intrinsic clearance. *In vivo*, compound **27** produced a 35% increase in oxygen consumption relative to the control animals when dosed orally in rats at 30 mg/kg. The fact that a similar *in vivo* response was seen from intraperitoneal administration suggests compound **27** has good oral absorption.



$EC_{50}$ (IA)	$\beta_3$	1.7 nM (52%)
	$\beta_1$	30 nM
	$\beta_2$	2200 nM
$K_i$	$\beta_3$	600 nM
	$\beta_1$	
	$\beta_2$	



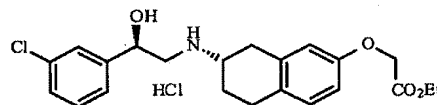
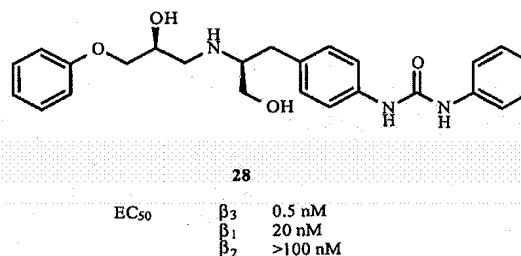
**32:**  $R^1 = R^2 = Cl, R^3 = NHCH_2CO_2H$

**33 (KUL-7211):**  $R^1 = R^2 = H, R^3 = OCH_2CO_2H$

Phenylurea **28**, discovered by the Fujisawa group (now Astellas), is a highly potent agonist of human  $\beta_3$ -AR ( $EC_{50} = 0.5$  nM) with excellent selectivity over  $\beta_1$ - and  $\beta_2$ -ARs (40- and >200-fold, respectively) [50]. Interestingly, this compound has a characteristic hydroxymethyl group at the alpha position of the aminoalcohol, and removal of this hydroxymethyl group resulted in a decrease of both potency and selectivity. Pharmacokinetic studies of compound **28** revealed that it has different oral bioavailability between rats and dogs ( $F = 1.1\%$  and  $20.3\%$ , respectively). These results were attributed to differences in metabolic stability between species.

Benzodioxine derivative **29** (N-5984), synthesized by the Nisshin Flour Milling group, showed good agonistic potency for  $\beta_3$ -AR ( $EC_{50} = 1.7$  nM) but partial intrinsic activity (IA = 52%) [51]. In the binding assay, the  $K_i$  value of N-5984 ( $K_i = 30$  nM) was 14 and 220 times more potent than those of BRL37344 and CL 316,243, respectively. N-5984 is currently under clinical evaluation.

In the early 1990s, Sanofi-Midy (now Sanofi-Aventis) identified a series of phenylethanolaminotetralins (PEATs) as selective  $\beta_3$ -AR agonists in rodents [52]. In the series of PEATs, SR-58611A (**30**) was found to have an excellent profile as a  $\beta_3$ -AR agonist [53]. SR-58611A was a potent inhibitor of rat colonic motility ( $EC_{50} = 3.5$  nM) with



$EC_{50}$ (IA)	$\beta_3$	25 nM (123%)
	$\beta_1$	12000 nM (96%)
	$\beta_2$	36 nM (87%)
$K_i$	$\beta_3$	6640 nM
	$\beta_1$	38500 nM
	$\beta_2$	187 nM

Fig. (10). Structures of  $\beta_3$ -AR Agonists and Their Activity towards Human  $\beta$ -ARs.

minimal cross-reactivity on guinea-pig atrium or rat uterus ( $EC_{50} \geq 30000$  and  $500$  nM, respectively). *In vivo* studies showed that SR-58611A had a good bioavailability and colonic selectivity in conscious rats and dogs. SR-58611A was developed as a potential treatment for irritable bowel syndrome and obesity, and then for depression. In a clinical study for assessment of the effects of SR-58611A on rectal sensory thresholds and compliance during isobaric distension (40 or 240 mg, p.o.), SR-58611A significantly increased the threshold for pain compared with placebo ( $p < 0.01$ ). Neither dose significantly affected rectal compliance [54].

Recently, it has been suggested that  $\beta_3$ -ARs play a significant role in urinary storage [55-60]. In order to discover a new approach for the treatment of urinary bladder dysfunction, the Kissei group has employed ritodrine **31** (a  $\beta_2$ -AR agonist), as a lead compound and synthesized a series of the ritodrine derivatives [61-64]. Dichloroaniline **32** ( $EC_{50} = 14$  nM,  $IA = 85\%$ ) exhibited potent  $\beta_3$ -AR-mediated relaxation of ferret detrusors and high subtype selectivity based on experiments using rat atriums ( $\beta_1$ -AR, 2071-fold) and rat uterus ( $\beta_2$ -AR, 1000-fold) [61]. Compound **32** also showed nearly full agonistic activity to the cloned human  $\beta_3$ -AR. The intravenous administration of this compound significantly reduced urinary bladder pressure in anesthetized male rats ( $ED_{50} = 48$   $\mu$ g/kg) without cardiovascular side effects due to  $\beta_1$ - or  $\beta_2$ -AR mediation.

A more recent study showed that both  $\beta_2$ - and  $\beta_3$ -ARs coexist in the human ureter and that these receptors mediate relaxation induced by adrenergic stimulation.  $\beta_2$ - and  $\beta_3$ -AR dual agonists therefore might prove clinically useful for relieving ureteral colic and promoting stone passage [65, 66]. Phenoxyacetic acid **33** (KUL-7211) was found to be a potent and selective  $\beta_2$ - and  $\beta_3$ -dual agonist in an isolated rat organ assay [64]. They determined the selectivity of this dual agonist for both  $\beta_2$  and  $\beta_3$ -AR against  $\beta_1$ -AR based on the ratio of inhibition of spontaneous uterine contraction (*via*  $\beta_2$ -AR stimulation) or inhibition of colonic contraction (*via*  $\beta_3$ -AR stimulation) versus an increase in atrial rate (*via*  $\beta_1$ -AR stimulation), respectively. The selectivity of KUL-7211 for  $\beta_2$  and  $\beta_3$ -AR were 56.3 and 242.2-folds, respectively. In addition, KUL-7211 relaxed KCl-induced tonic contractions in both rabbit ( $EC_{50} = 1.4$   $\mu$ M, whose ureteral relaxation is mediated *via*  $\beta_2$ -AR stimulation) and canine ( $EC_{50} = 0.30$   $\mu$ M, *via*  $\beta_3$ -AR stimulation) isolated ureters in a concentration dependent manner. Currently, clinical evaluation of KUL-7211 is ongoing.

#### Modification of LHS

The majority of  $\beta_3$ -AR agonists described to date possess a 3-chlorophenyl group attached directly to the aminoalcohol framework. This was discovered by Beecham as the first  $\beta_3$ -AR agonist, BRL37344 [5, 67, 68]. Bristol-Myers Squibb and Wyeth independently have reported a series of 4-hydroxy-3-methylsulfonanilidoethanolamine derivatives, which are potent agonists of human  $\beta_3$ -AR as described above. Researchers at Dainippon reported a series of arylsulfonamide derivatives such as compound **25** [47, 69], which have excellent agonistic profiles *in vitro* but poor oral bioavailability in rats due to low cell permeability [70]. In an effort to improve their oral bioavailability, the effect on

both their agonistic potency and Caco-2 cell permeability by replacing the sulfonamide portion on the LHS was investigated [70]. Replacement of the benzenesulfonamide moiety on the LHS with benzenesulfonate, benzyloxy, benzylamine, or methylation of the N-H proton on the benzenesulfonamide resulted in remarkable improvements in cell permeability. This indicated that the sulfonamide portion is detrimental to cell permeability. Introduction of cinnamylamine on the LHS provided compound **34**, which is a potent agonist of  $\beta_3$ -AR ( $EC_{50} = 1.0$  nM,  $IA = 97\%$ ) and exhibited good oral bioavailability in rats (21%).

Aryloxypropanolamines are another structural class of  $\beta_3$ -AR agonists originally derived from  $\beta$ -blocker agents. Several groups have explored aryloxypropanolamine derivatives and disclosed potent and selective agonists of human  $\beta_3$ -AR [50, 71-81]. Aryloxypropanolamines, however, often exhibit strong antagonistic activity towards  $\beta_1$  and  $\beta_2$  receptors which may cause unwanted side effects [32, 77]. CGP 12177 (**3**) was initially described as a high-affinity antagonist of  $\beta_1$ - and  $\beta_2$ -ARs [82, 83], and later it was demonstrated to be a partial agonist of the rodent and human  $\beta_3$ -AR [84-86]. The relatively high potency of CGP 12177 has prompted the development of other  $\beta_3$ -AR selective aryloxypropanolamines with higher efficacies, such as LY-362884 (**35**), which was developed by Eli Lilly [79]. Further optimization on the left-hand side of LY-362884 produced compound **36** (LY-377604), an orally available carbazole analogue with a potent  $\beta_3$ -AR agonistic activity ( $EC_{50} = 4$  nM) [80, 81]. LY-377604 entered into clinical development as a drug for the treatment of type 2 diabetes and obesity.

The Wyeth group has reported novel cyclic sulfonamide derivatives with aryloxymethyl groups on the LHS, **37** and **38**, that are potent  $\beta_3$ -AR agonists and have excellent selectivity against  $\beta_1$ - and  $\beta_2$ -AR [77, 78]. Compound **37** was active *in vivo* in  $\beta_3$  transgenic mice with a 30% increase in thermogenesis when dosed intraperitoneally at 10 mg/kg [77].

In 1998, Merck proposed a series of aryloxypropanolamines with an arylsulfonamide on the RHS [72-74]. The n-hexyl urea analogue **39** (L-755,507), displayed an excellent activity profile as an extremely potent human  $\beta_3$ -AR agonist ( $EC_{50} = 0.43$  nM), with >440-fold selectivity over  $\beta_1$  and  $\beta_2$  binding [73]. Furthermore, it was only a weak partial agonist of  $\beta_1$ - and  $\beta_2$ -AR ( $IA = 25$  and  $2\%$ , respectively). Acute exposure of rhesus monkeys to L-755,507 elicited lipolysis and metabolic rate elevation, and chronic exposure (4 weeks) increased UCP-1 expression in rhesus brown adipose tissue [87]. Unfortunately, this compound had extremely poor oral bioavailability (<1% in dogs) presumably due to the polar and highly solvated urea moiety [74].

Substitution of both the phenol on the LHS and the urea portion on the RHS led to 3-pyridyloxypropanolamine **40** (L-750,355), which was a selective partial agonist of the human  $\beta_3$ -AR ( $EC_{50} = 10$  nM,  $IA = 49\%$ ) [74]. While the oral bioavailability in rats of L-750,355 was still low (4%), significant improvement in its oral bioavailability was observed in dogs (47%). This result indicated that the replacement of the phenol in the phenoxypropanolamine series with a pyridine moiety results in an increased oral

bioavailability, particularly, in dogs. Despite its highly selective profiles in monkeys, intravenous administration of L-750,355 to anesthetized rhesus monkeys evoked dose-dependent glycerolemia and tachycardia [88]. The  $\beta$ -blocker, propranolol, completely inhibited L-750355-induced tachycardia but did not block L-750355-induced lipolysis, suggesting that the increase in heart rate was

mediated *via* activation of  $\beta_1$ -AR. These observations suggested that L-750355-induced tachycardia may not have been mediated *via* direct activation of cardiac  $\beta_1$ -AR. Rather, it might be reflexogenic in origin, consequent upon evoked increases in metabolic rate and direct  $\beta_3$ -AR mediated peripheral vasodilatation [30].

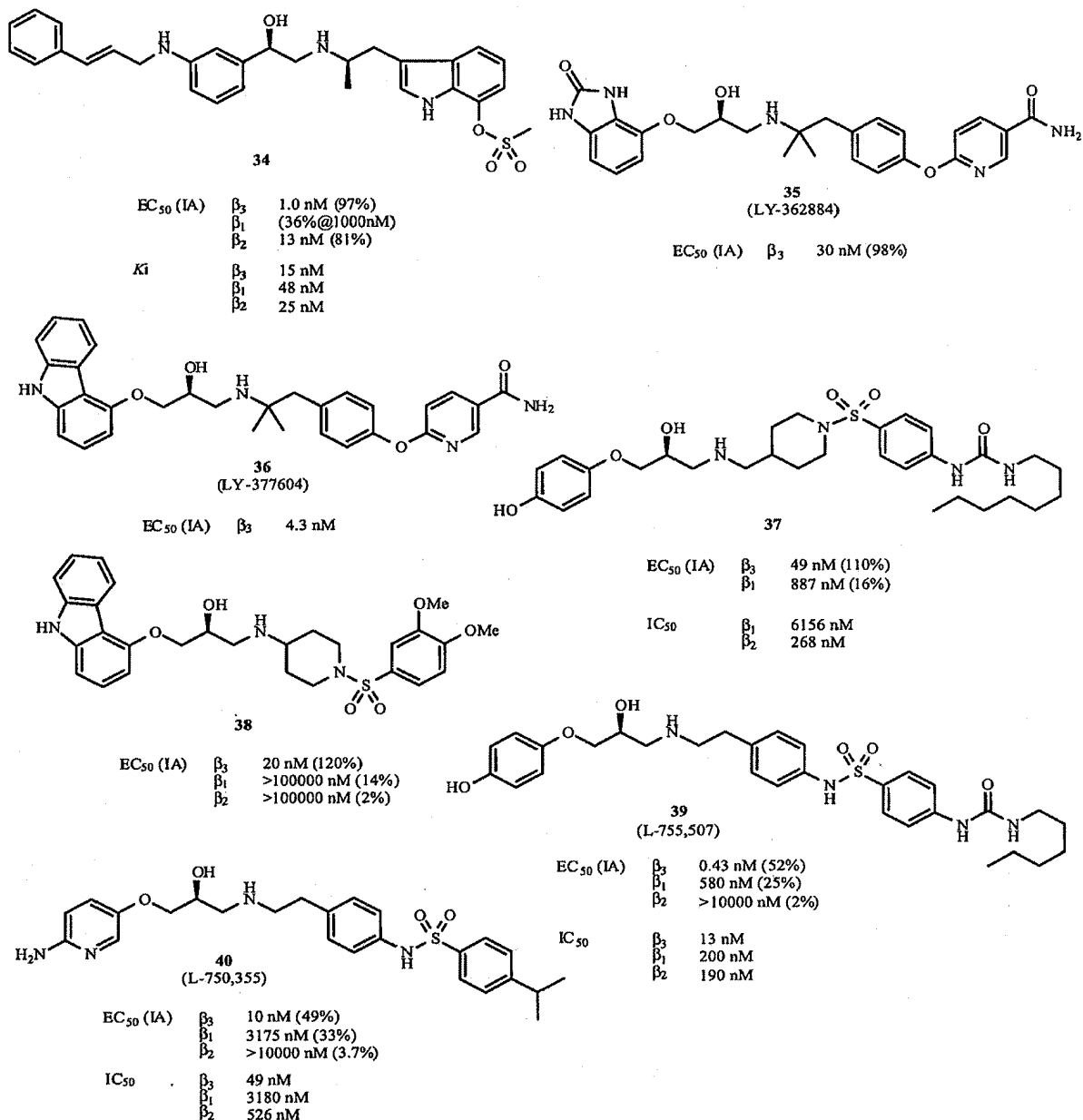


Fig. (11). Structures of  $\beta_3$ -AR Agonists having various LHS and Their Activity towards Human  $\beta$ -ARs.

### Development of New Scaffolds

It would be of great interest to investigate alternative scaffolds to the aminoalcohols used in typical  $\beta_3$ -AR agonists because the highly hydrophilic aminoalcohol moiety is often detrimental to oral absorption. Trimetoquinol **41** (TMQ), used clinically in Japan as a bronchorelaxant, is a known potent  $\beta_3$ -AR agonist ( $EC_{50}$  = 1.7 nM, IA = 92%) [89, 90], but exhibits only marginal selectivity over the  $\beta_1$  and  $\beta_2$ -ARs [91]. Some laboratories, therefore, have focused on structural modifications of TMQ in order to develop selective  $\beta_3$ -AR agonists [91-93].

Merck has disclosed a novel series of  $\beta_3$ -AR agonists derived from TMQ [91]. 4,4-Biphenyl derivative **42** is of particular interest because it was a very potent  $\beta_3$ -AR agonist ( $EC_{50}$  = 6 nM, IA = 91%) and exhibits excellent selectivity over binding to  $\beta_1$ - and  $\beta_2$ -ARs (>300-folds).

Researchers at the University of Tennessee have investigated catechol bioisosteres in an effort to improve the selectivity of TMQ [92, 93]. Aminothiazole **43** was found to be a partial agonist of  $\beta_3$ -AR ( $EC_{50}$  = 112 nM, IA = 67%) and did not activate  $\beta_1$ - and  $\beta_2$ -ARs. It had, however, weak binding affinity for  $\beta_3$ -AR ( $K_i$  = 1480 nM), and thus, did not show any selectivity over binding to  $\beta_1$ - and  $\beta_2$ -ARs ( $K_i$  = 724 and 427 nM, respectively) [92].

### CONCLUDING REMARKS

To date, numerous  $\beta_3$ -AR agonists have been identified, of which several compounds have entered clinical trials for

different indications including type 2 diabetes, obesity, depression, irritable bowel syndrome, and overactive bladder. Several excellent reviews on these compounds in clinical trials have been published [2, 10-12, 94]. Nevertheless, most clinical studies of early  $\beta_3$ -agonists have been discontinued because of a lack of efficacy and/or oral bioavailability. The failure of most clinical trials with these early  $\beta_3$ -agonists seems to be attributed to the lack of agonistic activity and/or moderate selectivity for the human receptors since these compounds were developed based on rodent models. Namely, antagonistic and/or agonistic activity for  $\beta_1$ - and  $\beta_2$ -receptors results in unwanted side effects such as tachycardia and/or tremors [95, 96] that limits the treatment dose. These clinical findings reinforced the importance of developing selective  $\beta_3$ -AR agonists using cloned human receptor assays.

Although clinical developments of the early  $\beta_3$ -agonists were disappointing, several orally active  $\beta_3$ -agonists are still currently under clinical trials as shown in Table 1. Despite the promising effects of  $\beta_3$ -AR agonists in rodents, some clinical trials for the treatment of obesity and diabetes have been halted presumably due to lack of efficacy in humans [94]. In rodents,  $\beta_3$ -ARs are abundantly expressed in white and brown adipose tissues [97]. In humans, however,  $\beta_3$ -ARs are abundant in brown adipose tissues, but only modest amounts of  $\beta_3$ -AR mRNA have been detected in white adipose tissues [98]. Consequently, therapeutic use of  $\beta_3$ -AR agonists for the treatment of obesity and diabetes in humans seems to be open to questions. Recent studies indicate that the amount of brown adipose tissues in human, the key tissues for energy expenditure, may be insufficient to

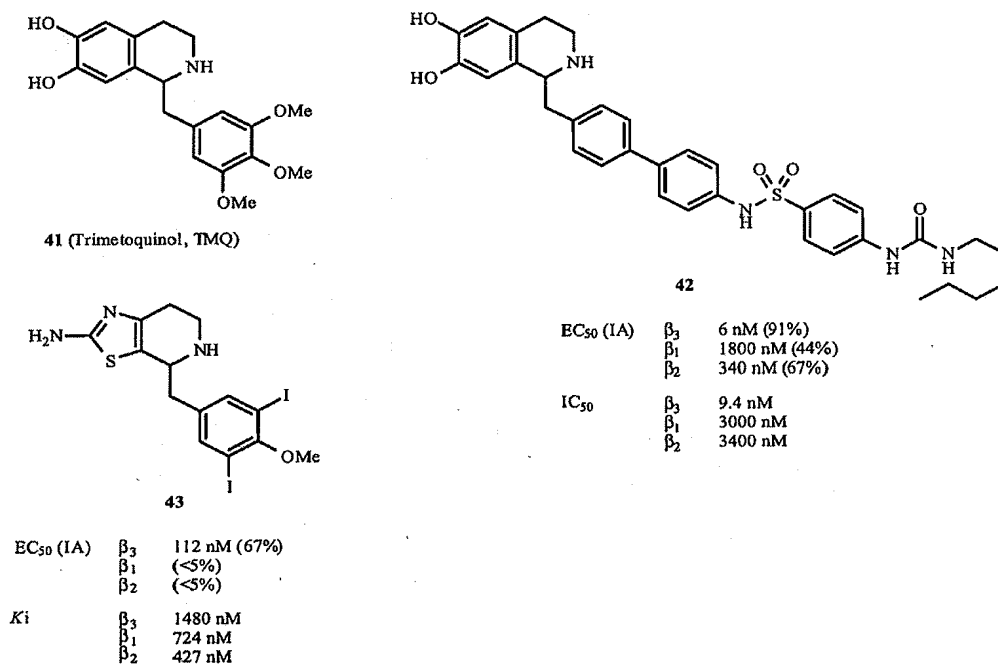


Fig. (12). Structures of TMQ-based  $\beta_3$ -AR Agonists and Their Activity towards Human  $\beta$ -ARs.

Table 1. Summary of  $\beta_3$ -AR Agonists in Current Clinical Trials

Product [Ref.]	Company	Highest Development Phase	Indication
SR-58611 [99]	Sanofi-Aventis	Phase III	Depression
Solabegron (GW-427353) [101]	GlaxoSmithKline	Phase II	Type 2 diabetes, Overactive bladder
L-796568 [104, 105]	Merck	Phase II	Obesity
LY-377604 [106]	Eli Lilly	Phase I	Type 2 diabetes, Obesity
YM-178 [102]	Astellas	Phase II	Overactive bladder
KUC-7483 [103]	Kissei (Originator) Boehringer Ingelheim	Phase I	Overactive bladder, Urinary incontinence
KUL-7211 [103]	Kissei	Phase I	Urolithiasis
N-5984 [107]	Nisshin Kyorin	Phase II	Type 2 diabetes, Obesity

produce satisfactory metabolic effects by  $\beta_3$ -AR agonists. More fundamental information will be necessary to elucidate whether or not more satisfactory results can be achieved with  $\beta_3$ -AR agonists for the treatment of obesity and Type 2 diabetes.

Other potential therapeutic uses of  $\beta_3$ -AR agonists are for the treatment of depression, irritable bowel syndrome, and overactive bladder (Table 1). SR-58611 is currently being evaluated in Phase III trials for depression in France [99]. In a Phase IIa trial in patients with severe recurrent depression, SR-58611 was well tolerated and showed superior efficacy compared to fluoxetine (Selective Serotonin Reuptake Inhibitor, SSRI). A Phase IIb study demonstrated comparable efficacy and tolerability to paroxetine (SSRI). However, it is still unclear whether the antidepressant effect by SR-58611 is mediated via  $\beta_3$ -AR activation because  $\beta_3$ -ARs appear to be absent in the brain in both rodents and humans [2, 100]. SR-58611 was also in phase II clinical trials for irritable bowel syndrome in France but no recent development has been reported for this indication [99]. Solabegron (GW-427353) [101], YM-178 [102], KUL-7211 and KUC-7483 [103] are currently in Phase I/II clinical trials for the treatment of overactive bladder, urinary incontinence, or urolithiasis but no detailed information is available.

Continuing clinical studies will clarify the physiologic effects mediated by  $\beta_3$ -AR and elucidate the potential therapeutic uses of  $\beta_3$ -AR agonists in humans.

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## $\beta_3$ -Adrenoceptors in the cardiovascular system: Putative roles in human pathologies

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### Abstract

The sympathetic nervous system is central for the neurohumoral regulation of the cardiovascular system and is largely involved in many cardiovascular diseases affecting millions of people around the world. It is classically admitted that  $\beta$ -adrenoceptors ( $\beta$ -AR) of the  $\beta_1$  and  $\beta_2$  subtypes mediate the effects of catecholamines on the force of contraction of cardiac muscle, and on the relaxation of vascular smooth muscle. However, the molecular characterization in 1989 of a third  $\beta$ -AR subtype,  $\beta_3$ , and later its identification in human heart has changed the classically admitted paradigm on the regulation of heart function by the  $\beta$ -adrenergic system. In blood vessels,  $\beta_3$ -AR, like  $\beta_1$  and  $\beta_2$ , produced a relaxation. But at the present time, the physiological role of  $\beta_3$ -AR is not clearly identified. Thus, the purpose of this review is to summarize the pharmacological and molecular evidence supporting the functional roles of  $\beta_3$ -AR in cardiovascular tissues of various species, including humans. In addition, this review discusses the potential role of  $\beta_3$ -AR in several cardiovascular diseases and emphasizes their putative involvement as new therapeutic targets.

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**Keywords:**  $\beta$ -adrenergic receptors; Heart; Vessels; Contractility; Heart failure; Hypertension

**Abbreviations:**  $\beta$ -AR,  $\beta$ -adrenoceptor; cAMP, cyclic adenosine monophosphate; BRL 37344, 4-[-[2-hydroxy-(3-chlorophenyl)ethyl-amino]propyl]phenoxyacetate; CHO, Chinese hamster ovary; CL 316 243, 5-(2-[[2-(3-chlorophenyl)-2-hydroxyethyl]-amino]propyl)-1,3-benzodioxole-2,2-dicarboxylate; cGMP, cyclic guanosine monophosphate; CGP 12177A, 4-[3-(*t*-butylamino-2-hydroxypropoxy)benzimidazol-2-one]; CFTR, cystic fibrosis transmembrane conductance regulator; eNOS, endothelial nitric oxide synthase; L-748,328, (S)-N-[4-[2-[[3-(3-aminosulphonyl)phenoxy]-2-hydroxypropyl]-amino]ethyl]phenyl]benzenesulfonamide; L-748,337, (S)-N-[4-[2-[[3-(3-(acetamidomethyl)phenoxy)-2-hydroxypropyl]amino]ethyl]phenyl]benzenesulfonamide; L-NMMA, *N*<sup>G</sup>-monomethyl-L-arginine monoacetate; NO, nitric oxide; PCR, polymerase chain reaction; PKA, cAMP-dependent protein kinase; SR 58611A, (RS)-N-[(2S)-7-ethoxycarbonylmethoxy-1,2,3,4-tetrahydronaphth-2-yl]-(2)-2-(3-chlorophenyl)-2-hydroxyethanamide hydrochloride; SR 59230A, 3-(2-ethylphenoxy)-1-[(1S)-1,2,3,4-tetrahydronaphth-1-ylamino]-(2S)-2-propanol oxalate; TG $\beta_3$ , mice overexpressing human  $\beta_3$ -adrenoceptors in cardiomyocytes.

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## 1. Introduction

The sympathetic nervous system is central for the neurohumoral regulation of the cardiovascular system and is largely involved in many cardiovascular diseases affecting millions of people around the world. During the 1980s, the classification of  $\beta$ -adrenoceptors ( $\beta$ -AR) into 2 subtypes ( $\beta_1$  and  $\beta_2$ ) (Lands et al., 1967) was challenged. Thus, it is now known that 3 different subtypes,  $\beta_1$ -,  $\beta_2$ - and  $\beta_3$ -AR, could at least participate in the regulation of cardiovascular function.  $\beta_3$ -AR differs from  $\beta_1$ - and  $\beta_2$ -AR subtypes by its molecular structure and pharmacological profile. Its stimulation produces specific effects in the cardiovascular system. This review will present an overview of characteristics of  $\beta_3$ -AR and reports on the presence and the roles of  $\beta_3$ -AR in the cardiovascular system and their potential involvement in different pathologies.

## 2. Structure and characteristics of $\beta_3$ -adrenoceptors

### 2.1. Gene

The gene encoding human  $\beta_3$ -AR was cloned in 1989 (Emorine et al., 1989). Since then, the gene has been cloned in rat, mice, bovine, monkey, dog (for review, see Strosberg, 1997), sheep and goat (Forrest & Hickford, 2000). Unlike the genes encoding  $\beta_1$ - and  $\beta_2$ -AR, the gene encoding  $\beta_3$ -AR contains introns. The existence of several exons raises the possibility of alternative splicing and thus of different receptor isoforms with putative distinct pharmacological properties. Among the different species previously cited, variations in length and amino acid content of the sequence of the C-terminus tail have been reported (Fig. 1).

In human, the gene is localized on chromosome 8. The number of exons and introns is controversial. The first structure proposed was 2 exons and 1 intron. The first exon

of 1.7 kb has a high homology with rodents. It encodes for the first 402 amino acids of the receptor. The second exon encodes the last 6 amino acids of the C-terminus tail and the 3' region not translated from the mRNA (Granneman et al., 1992, 1993; Van Spronsen et al., 1993). This structure of 2 exons and 1 intron has also been described in dogs and monkeys (Walston et al., 1997; Lenzen et al., 1998). Thus, 2 putative isoforms with various C-terminus tails could exist (Fig. 1): an isoform of 402 amino acids (isoform A) and an isoform with 6 additional amino acids (isoform C). Another structure with 3 exons and 2 introns has also been proposed for the human gene (Fig. 1; Levasseur et al., 1995). However, the alternative splicing site for the second exon seems to be not functional (Granneman et al., 1992) and strengthens the first hypothesis of a structure with 2 exons.

In rats and mice, the gene encoding  $\beta_3$ -AR contains 3 exons and 2 introns. The first exon of 1.4 kb encodes the first 388 amino acids. In rat, the second exon of 0.7 kb encodes the last 12 amino acids of the C terminus tail. In this species, 2 isoforms of  $\beta_3$ -AR could be expressed: A and B isoforms of 388 and 400 amino acids, respectively (Fig. 1; Van Spronsen et al., 1993). In mice, 2 sites in the exon 2 allow an alternative splicing leading to 2 isoforms,  $\beta_{3a}$  and  $\beta_{3b}$  (Evans et al., 1999). The  $\beta_{3b}$ -AR encoded by the alternately spliced mRNA has a C-terminus that has 17 amino acids following the sequence encoded by the first exon region compared to 13 in the known receptor ( $\beta_{3a}$ -AR) (Fig. 1).  $\beta_{3b}$ -AR mRNA is differentially expressed in mouse tissues, with levels relative to  $\beta_{3a}$ -AR mRNA highest in hypothalamus, cortex and white adipose tissue, and lower in ileum smooth muscle and brown adipose tissue (Evans et al., 1999).

### 2.2. Protein

The  $\beta_3$ -AR, as well as  $\beta_1$ - and  $\beta_2$ -AR, belongs to the G protein-coupled receptors characterized by 7 transmembrane

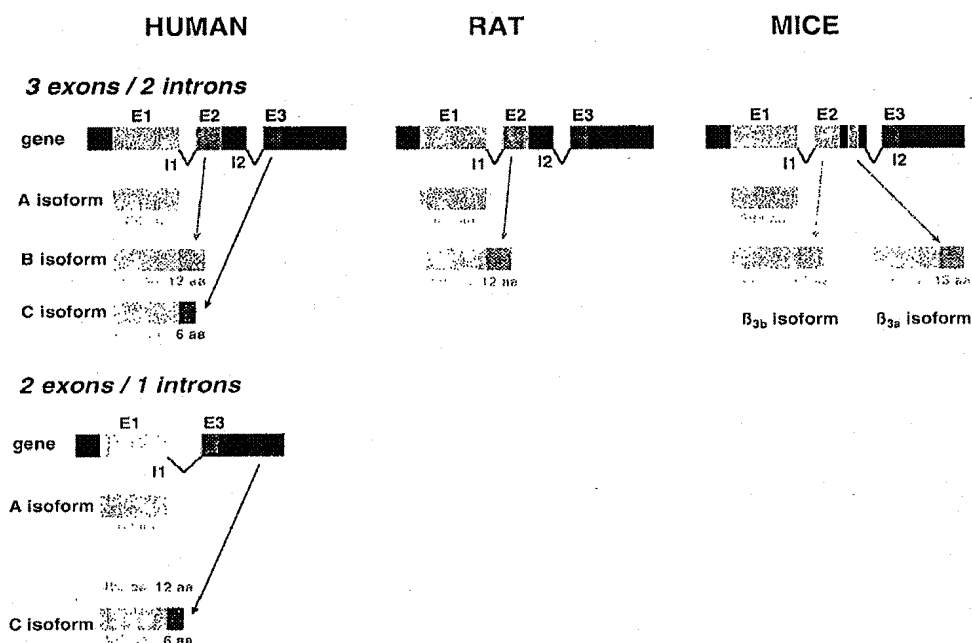


Fig. 1. Putative structures of  $\beta_3$ -AR isoforms obtained after alternative splicing in human, rat and mice. aa: amino acid; E: exon; I: intron.

domains of 22–28 amino acids and having 3 intracellular and 3 extracellular loops (Fig. 2). The N-terminus of all 3  $\beta$ -AR is extracellular and glycosylated, whereas the C-terminus is intracellular. The transmembrane domains, TM3, TM4, TM5 and TM6 are essential for ligand binding (Strosberg & Pietri-Rouxel, 1996). The disulphide bond between Cys110 in the second and Cys189 in the third extracellular loops is essential for ligand binding and activity of the receptor (Moffett et al., 1993). Amino acid sequence homology between  $\beta_1$ -,  $\beta_2$ - and

$\beta_3$ -AR is 40–50% in both man and rat and is restricted almost exclusively to the 7 transmembrane segments and to most of the membrane-proximal regions of intracellular loops. The rat and human  $\beta_3$ -AR are 79% identical; the biggest homology is in the transmembrane domains (94%) whereas the lowest homology is observed in the C-terminus tail and the third intracellular loop.

$\beta_3$ -AR differ from classical  $\beta_1$ - and  $\beta_2$ -AR in their regulatory properties. It is known that desensitization of  $\beta_1$ - and  $\beta_2$ -AR responses upon agonist stimulation involves

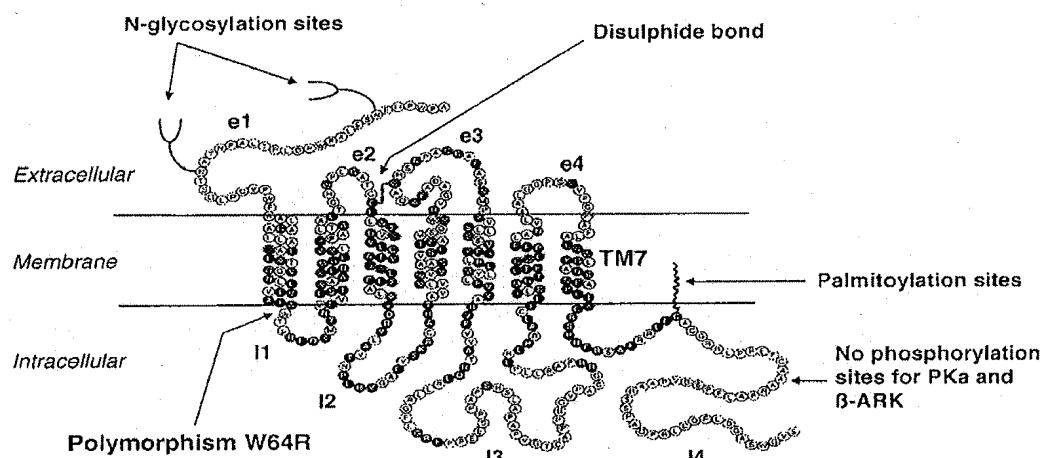


Fig. 2. Primary structure of human  $\beta_3$ -AR. The dark circles represent amino acids identical in the three  $\beta$ -AR subtypes. e: extracellular region; i: intracellular region; TM: transmembrane domain.

phosphorylation of occupied receptors, uncoupling and internalization (Summers et al., 1997). Both  $\beta_1$ - and  $\beta_2$ -AR have serine and threonine residues in the C-terminus tail that act as substrates for G protein-coupled receptor kinases, and consensus sequences for phosphorylation by cyclic adenosine monophosphate (cAMP)-dependent protein kinase (PKA). The  $\beta_3$ -AR lacks a PKA phosphorylation site, and has fewer serine and threonine residues in the C-terminus tail. It has been shown that the  $\beta_3$ -AR was resistant to short term agonist-promoted desensitization. Studies on chimeric  $\beta_2/\beta_3$ -AR show that domains within the C-terminus tail and in second and third intracellular loops of the  $\beta_2$ -AR were the major determinants of desensitization (Liggett et al., 1993; Jockers et al., 1996). Thus, in human myometrium,  $\beta_2$ -AR undergoes functional desensitization after long-term exposure to salbutamol, which is associated with a significant reduction of the number of  $\beta_2$ -AR binding sites. In contrast, a sustained stimulation of  $\beta_3$ -AR did not modify their subsequent functional effects and the number of  $\beta_3$ -AR binding sites remained unchanged after such treatment (Rouget et al., 2004). Thus, these data suggest that following prolonged activation by the sympathetic nervous system, the  $\beta_3$ -adrenergic response may be preserved while the  $\beta_1$ - and  $\beta_2$ -adrenergic responses are diminished.

In mice, it has been shown that the  $\beta_{3b}$ -AR differs from the  $\beta_{3a}$ -AR by the presence in the C-terminal tail of 2 additional serine residues. These are potentially important targets for phosphorylation and may thereby mediate desensitization of the  $\beta_{3b}$ -AR (Evans et al., 1999). Thus, it will be of interest to determine whether the mouse  $\beta_{3b}$ -AR demonstrates a greater tendency towards phosphorylation and associated desensitization. To the best of our knowledge, the desensitization has been mainly studied in Chinese hamster ovary (CHO), adipocytes and digestive tract, and no data are presently available in the cardiovascular system.

Quantification of the  $\beta_3$ -AR protein in comparison with other  $\beta$ -AR subtypes has been proved to be difficult. Radioligand binding studies have been performed with [ $^{125}$ I] cyanopindolol and [ $^3$ H] 4-[3-*t*-butylamino-2-hydroxypropoxy] benzimidazol-2-one (CGP 12177A). However, those compounds showed low potency for the  $\beta_3$ -AR and bound to  $\beta_1/\beta_2$ -AR and also to the low affinity state of  $\beta_1$ -AR. A tritiated enantiomer of the  $\beta_3$ -AR agonist, 4-[-[2-hydroxy-(3-chlorophenyl)ethyl-amino]propyl]phenoxyacetate (BRL 37344), was shown to bind a single population of sites in rat brown adipose tissue but its use has not been reported in tissues with lower expression levels (Klaus et al., 1995).

### 3. Pharmacology of $\beta_3$ -adrenoceptors

$\beta_3$ -AR are pharmacologically characterized by a set of criteria that include (1) high affinity and potency of selective agonists such as BRL 37344, 5-(2-[[2-(3-chlorophenyl)-2-hydroxyethyl]-amino]propyl)-1,3-benzodioxole-2,2-dicarboxylate (CL 316 243) and (*RS*)-*N*-[(2*S*)-7-ethoxycarbonylmethoxy-1,2,3,4-tetrahydronaphth-2-yl]-(2*S*)-2-(3-chlorophenyl)-2 hydroethanamide hydrochloride (SR 58611A) (Arch & Kaumann, 1993; Emorine et al., 1994; Strosberg & Pietri-Rouxel, 1996); (2) partial agonistic

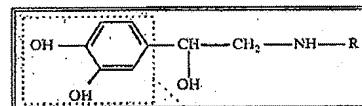
activity of several  $\beta_1$ - and/or  $\beta_2$ -AR antagonists, such as CGP 12177A (Liggett, 1992; Blin et al., 1993; Kaumann & Molenaar, 1996), bucindolol (Blin et al., 1993) and pindolol (Blin et al., 1993); (3) atypically low affinity for conventional  $\beta$ -AR antagonists such as propranolol and nadolol. For a long time,  $\beta_3$ -AR has been also characterized by an atypically low stereoselectivity index for reference agonist and antagonist enantiomers as compared to those reported for  $\beta_1$ - and  $\beta_2$ -AR (Arch & Kaumann, 1993; De Ponti et al., 1996). However, some studies performed with  $\beta_3$ -AR antagonists show different effects between *S,S* enantiomer (3-(2-ethylphenoxy)-1-[(1*S*)-1,2,3,4-tetrahydronaphth-1-ylaminol]-(2*S*)-2-propanol oxalate, SR 59230A) and *R,R* enantiomer (SR 59483A) (De Ponti et al., 1996; Manara et al., 1996). More recently, it has been shown that the degree of stereoselectivity varied between species: the human  $\beta_3$ -AR displayed higher affinities and enantiomeric ratios than the mouse or rat  $\beta_3$ -AR. The degree of stereoselectivity was relatively low for the agonists, isoproterenol and norepinephrine, but was higher for antagonists and, in particular, tertatolol and propranolol (Popp et al., 2004).

#### 3.1. Agonists

$\beta_3$ -AR is activated by catecholamines (Table 1) but at higher concentrations than  $\beta_1$ - and  $\beta_2$ -AR with a  $K_i$  of 1  $\mu$ M

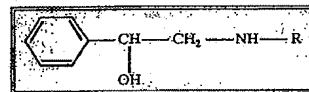
Table 1  
Chemical structure of several  $\beta_3$ -AR agonists and antagonists

##### Catecholamines:



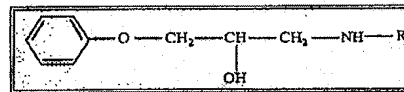
No selective  $\beta_3$ -AR agonists ( $\beta_1/\beta_2/\beta_3$ ): norepinephrine, epinephrine, isoproterenol

##### Phenylethanolamines:



Preferential  $\beta_3$ -AR agonists: BRL 37344, SR 58611A, CL 316 243

##### Aryloxypropanolamines:



Preferential  $\beta_3$ -AR agonists: L 755,507, ICI 201,651  
Partial  $\beta_3$ -AR agonists: CGP 12177A, L 755,507, pindolol

No selective  $\beta_3$ -AR antagonists: 1- to  $\beta_1$ : nuprololol  
Selective  $\beta_3$ -AR antagonists: 1- 247,528, 1- 748,357, SR 59230A

R is specific of a given compound.

(Strosberg, 1997) suggesting that  $\beta_3$ -AR could be activated in situations where catecholamine tone is high.  $\beta_3$ -AR are activated with high affinity and potency by selective agonists belonging to 2 different classes. The first class comprises the phenylethanamines (Table 1), including BRL 37344 (Arch et al., 1984), SR 58611A (Bianchetti & Manara, 1990) and CL 316 243 (Dolan et al., 1994). However, some of those compounds such as BRL 37344 and SR 58611A also possess low affinity for  $\beta_1$ - and  $\beta_2$ -AR (Dolan et al., 1994). The second class includes the aryloxypropanolamines (Table 1), such as CGP 12177A (Pietri-Rouxel & Strosberg, 1995; Lenzen et al., 1998) and cyanopindolol. CGP 12177A is a  $\beta_1$ - and  $\beta_2$ -AR antagonist, and a weak  $\beta_3$ -AR agonist. As it is generally observed with agonists of G protein-coupled receptors, the efficacy and potency of CGP 12177A as a  $\beta_3$ -AR agonist varies dramatically with the expression level of the  $\beta_3$ -AR (Wilson et al., 1996). It is important to note that mouse  $\beta_{3a}$ -AR and  $\beta_{3b}$ -AR expressed in CHO cells shared similar affinity and potency for all  $\beta$ -AR ligands examined (Hutchinson et al., 2002).

Studies performed *in vitro* and *in vivo* described the complexity of  $\beta_3$ -AR pharmacology suggesting a pronounced inter-species variability and the heterogeneous pharmacological profiles of  $\beta_3$ -AR agonists in a given species. For example, agonists display significant differences in efficacy and potency between rodent and human  $\beta_3$ -AR. In addition, affinity and potencies differ according to the assay. Some  $\beta_3$ -AR agonists have been evaluated by measuring cAMP accumulation in whole cells transfected with the human  $\beta_3$ -AR, whereas others were tested in isolated membranes to measure cAMP production by adenylyl cyclase (Sennitt et al., 1998). Not only were agonists usually more potent in the whole cell assay, but relative potencies differed between the 2 assays; the phenylethanamine being more potent relative to the aryloxypropanolamine in the whole cells compared to the membrane assay. Moreover, in binding assays employing [ $^{125}$ I]-iodocyanopindolol as the labeled ligand, the relative potencies of a series of agonists were almost the complete opposite of their relative potencies as stimulants of cAMP accumulation (Arch, 2002).

### 3.2. Antagonists

$\beta_3$ -AR are blocked by nonselective  $\beta$ -AR antagonists such as bupranolol (Langin et al., 1991; Galitzky et al., 1993). As described for  $\beta_3$ -AR agonists,  $\beta_3$ -AR antagonist potencies may also vary. The  $\beta_3$ -AR is also blocked by the selective  $\beta_3$ -AR antagonist SR 59230A (Table 1). However, whereas this compound may be a selective antagonist of the rodent  $\beta_3$ -AR (Kaumann & Molenaar, 1996; Manara et al., 1996; Kubo et al., 1997), its utility as a selective antagonist of the human  $\beta_3$ -AR is less certain (Arch, 2002). Two selective antagonists (Table 1) of the human cloned  $\beta_3$ -AR, (S)-N-[4-[2-[[3-[3-(ami-nosulphonyl)phenoxy]-2-hydroxypropyl]-amino]ethyl]phenyl]benzenesulfonamide (L-748,328) and (S)-N-[4-[2-[[3-[3-(acetamidomethyl)phenoxy]-2-hydroxypropyl]amino]ethyl]phenyl]benzenesulfonamide (L-748,337), have also been described, but in this assay, both compounds show a weak affinity for rodent  $\beta_3$ -AR (Candelore et al., 1999).

### 4. $\beta_3$ -adrenoceptors in the heart

In the heart,  $\beta$ -AR pathways are the primary means of increasing cardiac performance in response to acute or chronic stress. Until last decade, only  $\beta_1$ - and  $\beta_2$ -AR were described in the heart with a  $\beta_1/\beta_2$ -AR ratio of approximately 80:20 (Bristow et al., 1986). The effects of  $\beta_1$ - and  $\beta_2$ -AR are well established both in human and other mammals. Their stimulation produces positive chronotropic and inotropic effects. For  $\beta_1$ -AR stimulation, the linear  $G_s$ -adenylyl cyclase–cAMP–PKA signaling cascade has been classically corroborated. Recent works using recombinant  $\beta$ -AR subtypes, as well as  $\beta$ -AR-deficient mice, have established the existence of a lower state of the  $\beta_1$ -AR, which was guanine nucleotide-sensitive state pre-coupled to stimulatory G proteins. This state was called low affinity state of the  $\beta_1$ -AR (Granneman, 2001). In the heart, its stimulation produced a positive inotropic effect by activation of the cAMP pathway (Sarsero et al., 2003).

The data concerning the signaling pathway mediating the contractile effect of  $\beta_2$ -AR in the heart is not so clear. Like  $\beta_1$ -AR,  $\beta_2$ -AR are linked to  $G_s$  protein. In human heart, it has been reported that  $\beta_2$ -AR mediated maximal or near maximal (positive inotropic, lusitropic) effects by coupling to  $G_{sa}$  protein–cAMP–PKA pathway (Kaumann et al., 1999). In addition to  $G_s$ ,  $\beta_2$ -AR coupled to pertussis toxin-sensitive  $G_i$  proteins (Xiao et al., 1999) and activated the cytosolic phospholipase  $A_2$ /arachidonic acid pathway (Pavoine et al., 1999; Pavoine & Defer, 2005). The involvement of cardiac  $\beta_2$ -AR– $G_{i\alpha}$  pathway may be more important in rodents compared to human. However, in pathological conditions, it has been suggested in human failing heart that this pathway could substitute for a deficient  $G_s$ -adenylyl cyclase pathway in mediating  $\beta_2$ -AR responses (Pavoine et al., 2003). Finally, a direct agonist-promoted association of the  $\beta_2$ -AR with the Na/H exchanger regulatory factor (NHE-RF), a protein that regulates the activity of the Na/H exchanger type 3, has been reported (Hall et al., 1998). This interaction may be critical for a rapid and specific signal transduction from the receptor to its effector in a compartmentalized fashion. However, the physiological relevance of that mechanism remains to elucidate.

In the human heart and in some other species, another  $\beta$ -AR subtype,  $\beta_3$ , has been described. Interestingly, in full contrast with other  $\beta$ -AR subtypes, their stimulation produced a marked decrease in cardiac contractility (Gauthier et al., 2000).

#### 4.1. Contractile effects

In human, *in vivo* studies have shown that  $\beta_3$ -AR agonists produced positive chronotropic effects, which were prevented by  $\beta_1$ - or  $\beta_2$ -AR antagonists and are likely due to baroreflex activation in response to  $\beta_3$ -AR agonist-induced vasodilation (Wheeldon et al., 1993, 1994). In human endomyocardial biopsies from transplanted hearts, we have shown that the classical positive inotropic effect of isoproterenol was inverted to a negative inotropic effect (Gauthier et al., 1996), in the presence of nadolol, a  $\beta_1$ - and  $\beta_2$ -AR antagonist with a low affinity for the native and recombinant  $\beta_3$ -AR (Emorine et al.,

1989; Galitzky et al., 1993). Similar negative inotropic effects were obtained with several  $\beta_3$ -AR agonists including BRL 37344, SR 58611A and CL 316 243. These agonists decreased contractility at concentrations ranging from 0.1 nM to 1  $\mu$ M with nanomolar potency. The relative rank order of potency of these  $\beta_3$ -AR agonists (BRL 37344 > SR 58611A  $\approx$  CL 316 243  $\gg$  CGP 12177A) (Gauthier et al., 1996) was similar to that observed in CHO cells expressing human  $\beta_3$ -AR (Pietri-Rouxel & Strosberg, 1995). CGP 12177A, the partial  $\beta_3$ -AR agonist having  $\beta_1$ - and  $\beta_2$ -AR antagonistic and low-affinity  $\beta_1$ -AR agonistic properties, exerted a cardiodepressant effect which was weaker than that elicited by the preferential  $\beta_3$ -AR agonists (Gauthier et al., 1996). Importantly, effects of  $\beta_3$ -AR agonists can be reproduced with the naturally occurring catecholamine, norepinephrine, at concentrations close to pathophysiologically relevant levels, and in the presence of blockade of the  $\alpha_1$ - and  $\beta_1$ -,  $\beta_2$ -AR with prazosine and nadolol, respectively (Gauthier et al., 1998). Furthermore, the cardiodepressant effect of  $\beta_3$ -AR agonists was unaffected by metoprolol (a  $\beta_1$ -AR antagonist) or nadolol, but was antagonized by bupranolol (Gauthier et al., 1996) which combines  $\beta_1$ -,  $\beta_2$ - and  $\beta_3$ -AR antagonistic properties (Langin et al., 1991; Galitzky et al., 1993). The presence of  $\beta_3$ -AR was strengthened by detection of transcripts encoding for this receptor by polymerase chain reaction (PCR) assays (Gauthier et al., 1996) and  $\beta_3$ -AR proteins, by Western blotting and immunohistochemistry in ventricles from nonfailing human hearts (Moniotte et al., 2001).

In contrast, another group did not report cardiodepressant effects with  $\beta_3$ -AR agonists including BRL 37344 in the presence of nadolol both in human atrium and ventricle (Kaumann & Molenaar, 1997; Molenaar et al., 1997). Furthermore, in human atrium, (-)-pindolol which possessed partial  $\beta_3$ -AR and low affinity state of  $\beta_1$ -AR agonistic properties only produced positive inotropic effects that were not blocked by a selective  $\beta_3$ -AR antagonist, L-748,337 (Joseph et al., 2003) suggesting that this effect did not result from an action on  $\beta_3$ -AR. However, using a selective monoclonal antibody directed against the human  $\beta_3$ -AR (Mab 72c), Chamberlain et al. (1999) showed a positive immunostaining in myocardial cells of human atria, demonstrating the presence of  $\beta_3$ -AR in human atrial tissue. More recently, it has been shown that BRL 37344 produced an increase of contractility of human right atrial trabeculae. However, this effect was abolished in the presence of nadolol indicating that BRL 37344 induced an effect on  $\beta_1/\beta_2$ -AR in human atria (Pott et al., 2003) and fails to directly induce a negative inotropic effect as in human ventricle (Gauthier et al., 1996). Thus, the presence as well as the functional roles of  $\beta_3$ -AR in human normal atria remains to be clarified.

The cardiac effects of several preferential  $\beta_3$ -AR agonists have also shown substantial variability across species and the pharmacological profile of  $\beta_3$ -AR agonists obtained on the human myocardium cannot be extrapolated from usual animal models. Furthermore, using different approaches provide contradictory data. Thus, in guinea-pig atrium, SR 58611A (Bianchetti & Manara, 1990) and CL 316 243 (Dolan et al., 1994) did not modify contractility and heart rate whereas in

Langendorff-perfused guinea pig heart,  $\beta_3$ -adrenergic stimulation induced a negative inotropic effect (Kitamura et al., 2000).

In dogs, intravenously administrated  $\beta_3$ -AR agonists induced a positive chronotropic effect (Tavernier et al., 1992). Because a positive chronotropic effect was not observed in denervated animals, it was concluded that the tachycardia resulted from a baroreceptor-mediated reflex in response to a drop in blood pressure caused by the vasodilatory effect of  $\beta_3$ -AR agonists (Berlan et al., 1994; Shen et al., 1994, 1996). However, in the latter study, after combined autonomic blockade, CL 316 243 still induced a positive inotropic effect in the conscious dog, but its effect on heart rate was lost (Shen et al., 1996). Positive chronotropic and inotropic effects were also reported in isolated dog atrium perfused with blood from a donor dog injected with a  $\beta_3$ -AR agonist, BRL 37344. These effects were attributed to  $\beta_1$ -AR stimulation (Takayama et al., 1993) and could result from baroreceptor activation and catecholamine release in the donor dog. In canine papillary muscles, several  $\beta_3$ -AR agonists produced a negative inotropic effect less pronounced than in human myocardium (about 30% and 50%, respectively). However, the rank order of potency of the  $\beta_3$ -AR agonists was very different from that observed in human myocardium (CGP 12177A > BRL 37344  $\approx$  SR 58611A  $\gg$  CL 316 243) (Gauthier et al., 1999). The negative inotropic effect of these  $\beta_3$ -AR agonists was associated with the detection of  $\beta_3$ -AR transcripts in the same tissues (Gauthier et al., 1999). In canine myocytes, BRL 37344 produced a concentration-dependent decrease of contraction and relaxation. Those effects were not modified by pretreatment of myocytes with  $\beta_1$ - and  $\beta_1/\beta_2$ -AR antagonists, indicating that this response was not mediated by  $\beta_1$ - and  $\beta_2$ -AR. By contrast, they were completely blocked by  $\beta_3$ -AR antagonists, bupranolol and L-748,337, indicating that the negative inotropic action of BRL 37344 resulted from an action on  $\beta_3$ -AR. The relevance of those data has been later strengthened by the detection of  $\beta_3$ -AR transcripts and proteins in dogs (Cheng et al., 2001).

In rat atrium, several preferential  $\beta_3$ -AR agonists (CL 316 243, ZD 2079 and SR 58611A) used at micromolar concentrations did not change chronotropy and inotropy whereas they produced a relaxation of colonic smooth muscle at lower (nanomolar) concentrations (Kaumann & Molenaar, 1996). Likewise, no effect of several  $\beta_3$ -AR agonists was found in rat atria (Cohen et al., 1999) and in rat ventricular preparation except a small effect of BRL 37344 (Gauthier et al., 1999). The relevance of those functional data were reinforced by the absence or the very low expression of  $\beta_3$ -AR found in the rat myocardium (Gauthier et al., 1999). In another study,  $\beta_3$ -AR transcripts and proteins were detected in normal heart (Dincer et al., 2001). However, this report did not exclude the possibility that the  $\beta_3$ -AR originated from brown adipose tissue as no investigation was performed with adipose tissue markers such as adipisin. In rat neonatal cardiomyocytes, no functional response was observed (Germack & Dickenson, 2006) suggesting the absence of  $\beta_3$ -AR.

In wild-type mice,  $\beta_3$ -AR agonists induced no significant effects on contractility. This was corroborated by the absence of

detection of  $\beta_3$ -AR proteins (Tavernier et al., 2003). However, cardiac overexpression of the human  $\beta_3$ -AR in mice (TG $\beta_3$ ) reproduced ex vivo the negative inotropic effects obtained with  $\beta_3$ -AR stimulation in human ventricular tissues (Tavernier et al., 2003). By contrast, in another study performed in vivo in a similar TG $\beta_3$  mouse model, the administration of a  $\beta_3$ -AR agonist, L-755,507, produced a positive inotropic response (Kohout et al., 2001). The different experimental approaches, ex vivo versus in vivo, and the genetic background of the mice (B6D2/F1 vs. B6S/F1 hybrid in the studies of Tavernier et al., 2003 and Kohout et al., 2001, respectively) could partly explain the discrepancy. Furthermore, a single line of mice was investigated in the former study whereas data were collected from several transgenic lines in the latter one. Moreover, the choice of the  $\beta_3$ -AR agonists may be subject to controversy regarding their nature and concentrations used.

#### 4.2. Electrophysiological effects

The mechanisms of  $\beta_3$ -AR-mediated attenuation of cardiac contractility are largely unknown, but the current evidence suggests the involvement of alterations in excitation–contraction coupling and transmembrane ions channels activities. Cardiac electrophysiological effects of the  $\beta_3$ -AR stimulation have not been investigated extensively. In canine and rat cardiomyocytes and in beating guinea-pig heart, the negative inotropic effect of BRL 37344 was associated with an inhibition of L-type calcium channels (Fig. 3) and a decreased amplitude of the intracellular calcium transients (Kitamura et al., 2000; Cheng et al., 2001; Zhang et al., 2005b). In human endomyocardial biopsies,  $\beta_3$ -AR agonists induced a reduction in the amplitude and an acceleration in the repolarization phase of the ventricular action potential in the same range of concentrations that altered mechanical responses (Gauthier et al., 1996). These effects were not observed in ventricular tissues obtained from patients with cystic fibrosis (Leblais et al., 1999), a pathology caused by mutations in the cystic fibrosis transmembrane conductance regulator (CFTR) gene (Riordan

et al., 1989). As CFTR protein is expressed in the human heart (Levesque et al., 1992; Warth et al., 1996), the discordant results obtained with  $\beta_3$ -AR agonists in ventricular action potential of noncystic fibrosis and cystic fibrosis patients could result in part from the activation of a chloride repolarizing current flowing through CFTR channels not functional in cystic fibrosis cardiac muscle. In a heterologous expression system co-expressing human  $\beta_3$ -AR and CFTR,  $\beta_3$ -AR agonists induced CFTR activation through a cAMP–PKA-independent pathway (Leblais et al., 1999). The modulation of action potential repolarization by  $\beta_3$ -AR stimulation could also result from an effect on potassium channels. However, controversial data exist regarding the role of potassium currents. In a recombinant system, it was suggested that  $\beta_3$ -AR activated the potassium channel KvLQT1/MinK (Kathofer et al., 2000). However, in this study, preferential  $\beta_3$ -AR agonists were not used. In guinea-pig cardiomyocytes, BRL 37344 inhibited the potassium channel  $I_{Ks}$  leading to an increase in action potential duration (Bosch et al., 2002). Further work will be needed to determine the putative role of  $\beta_3$ -AR in the regulation of cardiac repolarization.

Concerning the regulation of heart rate by the  $\beta_3$ -AR stimulation, several in vivo studies using preferential  $\beta_3$ -AR agonists such as BRL 37344 and CL 316 243, reported a positive chronotropic effect in dogs and rats (Tavernier et al., 1992; Shen et al., 1994, 1996). However, these effects resulted probably from reflex mechanisms rather than from a direct stimulation of cardiac  $\beta_3$ -AR as they were abolished after sinoaortic denervation in conscious dogs (Tavernier et al., 1992) or after  $\beta_1$ - and  $\beta_2$ -AR blockade in dogs and rats (Shen et al., 1996). Likewise, in humans, the positive  $\beta_3$ -AR-related chronotropic effects described by Wheeldon et al. (1993, 1994) were prevented by  $\beta_1$ - or  $\beta_2$ -AR antagonists and were likely due to baroreflex activation secondary to the vasodilation induced by the  $\beta_3$ -AR agonist. In TG $\beta_3$  mice, heart rate was significantly increased (Tavernier et al., 2003) whereas in another study wild-type and TG $\beta_3$  mice presented similar heart rate (Kohout et al., 2001). The increase in heart rate reported by

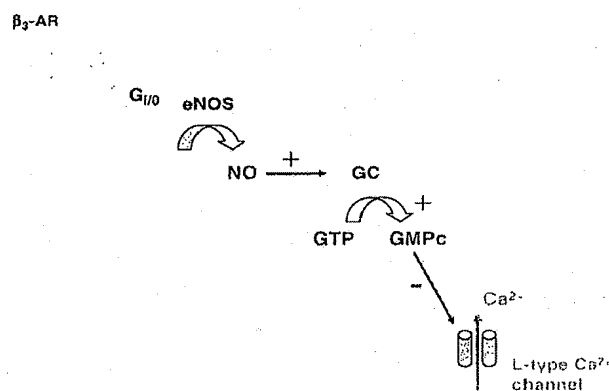


Fig. 3. Signaling pathway of  $\beta_3$ -AR in cardiomyocytes.  $\beta_3$ -AR:  $\beta_3$ -adrenoceptor;  $\text{Ca}^{2+}$ : calcium; cGMP: cyclic guanosine monophosphate; eNOS: endothelial nitric oxide synthase;  $\text{G}_{120}$ :  $\text{G}_{120}$  protein; GTP: guanosine triphosphate; GC: guanylyl cyclase; NO: nitric oxide; +: stimulation; -: inhibition.

Tavernier et al. (2003) could result from the activation of KvLQT1 which is expressed in the mice conduction system (Wang et al., 1996) or by the presence of constitutively active  $\beta_3$ -AR due to their overexpression as previously described for the  $\beta_2$ -AR (Milano et al., 1994). By contrast, as  $I_{Ks}$  is absent in adult mice heart (Kupersmidt et al., 1999), this potassium current could not be involved in the effects observed on heart rate. Then, future works will have to be performed to clarify the role of  $\beta_3$ -AR in heart rate regulation.

#### 4.3. Signaling pathways

In the heart, contrary to  $\beta_1$ - and  $\beta_2$ -AR,  $\beta_3$ -AR are not coupled to  $G_s$  proteins, but to  $G_i$  proteins (Fig. 3). The pretreatment by pertussis toxin of human ventricular tissues, canine and rat cardiomyocytes abolished the negative inotropic effect induced by  $\beta_3$ -AR stimulation (Gauthier et al., 1996; Cheng et al., 2001; Zhang et al., 2005b). In rodent adipocytes,  $\beta_3$ -AR could be coupled both to  $G_i$  and  $G_s$  proteins (Chaudhry et al., 1994; Begin-Heick, 1995). Recently, it has been shown in a recombinant system that the mice  $\beta_{3b}$ -AR isoform coupled to both  $G_s$  and  $G_i$ , while the  $\beta_{3a}$ -AR coupled only to  $G_s$  (Hutchinson et al., 2002). At the present time, no study has evaluated the signaling pathways activated by the stimulation of  $\beta_{3a}$  and  $\beta_{3b}$  isoforms in native systems as well as the existence of those 2 isoforms in the heart.

In human ventricle, the activation of  $G_i$  proteins did not appear to cause an inhibition of adenylyl cyclase, but rather an activation of the nitric oxide (NO) pathway, probably implicating the endothelial nitric oxide synthase (eNOS) (Fig. 3; Gauthier et al., 1998), which is expressed both in endothelial cells and ventricular cardiomyocytes (Schulz et al., 2006). In  $\beta_3$ -AR knockout mice, the cardiac contractility in response to isoproterenol was increased compared to wild-type mice. Albeit this response was not modified after NO synthase inhibition by  $N^G$ -monomethyl-L-arginine monoacetate (L-NMMA) in knockout mice, but was potentiated in wild-type mice (Varghese et al., 2000). As no  $\beta_3$ -AR transcript and protein has been detected in wild-type mouse heart, this effect could result from the presence of  $\beta_3$ -AR in vessels. Furthermore, this study suggests that  $\beta_3$ -AR/NO pathway could act as a negative feedback mechanism opposing the positive inotropic influences of catecholamines in the heart.

The NO production produces an activation of soluble guanylyl cyclase leading to an increase in intracellular cyclic guanosine monophosphate (cGMP) (Fig. 3; Gauthier et al., 1998). Similar results demonstrating an involvement of the same pathway were obtained in canine and rat cardiomyocytes (Cheng et al., 2001; Zhang et al., 2005b). The cGMP elevation could activate different targets: (1) the cGMP-dependent protein kinase, which decreases calcium current through regulation of L-type calcium channels (Mery et al., 1991; Wahler & Dollinger, 1995) or down-regulates the contractile response of myofilament proteins independently of changes in calcium transients (Shah et al., 1994; Goldhaber et al., 1996; Yasuda & Lew, 1997), and (2) the cGMP-stimulated phosphodiesterases (PDE II; Beavo, 1995) which decreases cAMP levels (Mery et

al., 1993). Alternatively, NO might regulate cardiac function in a cGMP-independent manner through covalent modifications of key proteins such as the cytochrome c oxidase (Torres et al., 1995), the creatine phosphokinase (Gross et al., 1996) or L-type calcium channels (Campbell et al., 1996). However, the mechanism involved in the inhibition of L-type calcium current by the  $\beta_3$ -AR stimulation observed in canine and rat cardiomyocytes (Cheng et al., 2001; Zhang et al., 2005b) is not yet elucidated.

In human atrial trabeculae, BRL 37344 did not modify the force of contraction by activating  $\beta_3$ -AR. However, it stimulated directly eNOS activity leading to an increase in NO production (Pott et al., 2003). This study suggests that  $\beta_3$ -AR stimulation in human atrium do not have the same functional significance as in ventricular myocardium. This could be explained by (i) a different coupling in human atrium and ventricle, (ii) a lower  $\beta_3$ -AR expression in right atrium than in left ventricle, where studies are classically performed, and (iii) an overexpression of eNOS in atrium (Bloch et al., 1999). It has been suggested that the atrial  $\beta_3$ -AR system might provide a protective negative feed back mechanism against arrhythmia and sinus tachycardia resulting from an excessive  $\beta_1/\beta_2$ -AR stimulation. However, this hypothesis is not in agreement with the results obtained in TG $\beta_3$  mice, which presented an increase of the basic heart rate (Tavernier et al., 2003). This discrepancy could be explained by the fact that right atrial tissues were obtained from patients with either valvular or coronary disease and the  $\beta_3$ -AR response could be influenced by disease state and/or medication. Further work will be needed to evaluate the putative role of atrial  $\beta_3$ -AR in arrhythmia and sinus tachycardia.

#### 5. $\beta_3$ -adrenoceptors in blood vessels

Over the last 20 years, knowledge of vascular physiology and pharmacology has dramatically changed, mainly because of the recognition of the role of endothelium in vasomotor control. Activation of endothelial receptors induces either vasodilation (Furchgott & Zawadzki, 1980) or vasoconstriction (De Mey & Vanhoutte, 1982). Furthermore, recent work suggests a new perspective of  $\beta$ -AR signaling in the vessels partly in relation with the description of the third  $\beta$ -AR subtype. Stimulation of  $\beta$ -AR leads to a relaxation of vascular smooth muscle, thereby controlling the blood flow distribution in different organs. Both  $\beta_1$ -AR and  $\beta_2$ -AR are involved in vasodilation. Classically, the  $\beta_2$ -AR are the predominant  $\beta$ -AR in the peripheral vessels (Lands et al., 1967), but the involvement of each  $\beta$ -AR subtype varies according to vascular bed and species (Osswald & Guimaraes, 1983). For instance,  $\beta_1$ -AR seem to be predominant in coronary and cerebral arteries (Edvinsson & Owman, 1974; O'Donnell & Wanstall, 1985). Moreover, Krauss et al. (1992) showed that the magnitude of  $\beta$ -AR-mediated responses of epicardial coronary arteries was inversely related to the size of the vessel. Even in a given model, contradictory results can be observed. Thus, in the rat aorta, endothelium-independence, or partial and total dependence for isoprenaline-induced vasodilation, was demonstrated (Konishi & Su, 1983; Brawley et al.,



2000). To explain these contradictory results, Guimaraes and Moura (2001) suggested that the  $\beta$ -AR induced relaxation might be differently observed according to: (i) the precontractive agent used, (ii) the precontraction level and (iii) the expression amount of  $\beta$ -AR.

In this context, the discovery of  $\beta_3$ -AR increases the complexity of the sympathetic regulation in the vascular system.

### 5.1. *In vivo studies*

*In vivo* studies have been conducted to establish the effect of  $\beta_3$ -AR agonist on systemic hemodynamics and regional blood flow distribution in different models.

In conscious rats, Shen et al. (1994, 1996) showed that CL 316 243 and BRL 37244 induced hypotension and peripheral vasodilation, which persisted after blockade of  $\beta_1$ - and  $\beta_2$ -AR, suggesting that BRL 37344 was a potent  $\beta_3$ -AR agonist but less selective than CL 316 243 (Shen et al., 1996). Tracer microspheres were used to evaluate the effect of  $\beta_3$ -AR agonists on some regional blood flow in anesthetized rat. Neither CL 316 243 nor BRL 26830A induced significant blood pressure variation in rat. Nevertheless, blood flow was increased by CL 316 243 in pancreatic islet (Atef et al., 1996) and by BRL 26380A in brown adipose tissue (Takahashi et al., 1992). In addition, several  $\beta_3$ -AR agonists (BRL 35135, CL 316 243, SR 58611A) increased antral gastric mucosal blood flow in rats anesthetized with halothane (Kuratsani et al., 1994).

Genetic knockout techniques have allowed investigations to delineate subtype-specific contributions of  $\beta$ -AR in mouse. Rohrer et al. (1999) observed compensatory vascular  $\beta_3$ -AR responsiveness in  $\beta_1/\beta_2$ -AR double knockout mice. Thus, in those anesthetized mice, CL 316 243 induced an exaggerated hypotensive response comparatively to wild-type mice.

In conscious dogs, Tavernier et al. (1992) demonstrated that BRL 37344 induced hypotension. Similar hypotension has also been observed with BRL 37344 and CL 316 243 in normal and sinoaortic denervated dogs (Berlan et al., 1994). The evaluation of cutaneous blood flow using Laser-Doppler technology showed an increase of skin perfusion. In conscious dogs instrumented and after combined pharmacological blockade, the evaluation of regional blood flow pattern induced by BRL 37344 using radioactive microspheres confirmed the decrease of blood pressure related to peripheral conductance increase and vasodilation in fat tissue and skin (Shen et al., 1994). In the same way, in normal dogs, infusion of SR 58611A induced hypotensive effect by peripheral vasodilation, which was resistant to  $\beta_1/\beta_2$ -AR blockade (Donckier et al., 2001). As in the rat, CL 316 243 seems to be a more specific  $\beta_3$ -AR agonist than BRL 37344 in the dog (Shen et al., 1996). More importantly, the vascular effects of  $\beta_3$ -AR stimulation was most profound in dogs than in other species studied (Shen et al., 1996).

Anecdotally, BRL 37344 induced dose-dependent increases in nasal arterial blood flow and volume of the nasal mucosa (reflecting capacitance vessel function) in anesthetized pigs (Lacroix et al., 1995).

Few works evaluated the effects of  $\beta_3$ -AR stimulation in the cardiovascular system in human and nonhuman primate. In the latter, CL 316 243 and BRL 37344 elicited almost no effect on cardiovascular parameters in conscious monkeys and baboons (Shen et al., 1996). In humans, CGP 12177A, a partial  $\beta_3$ -AR agonist, induced a weaker effect in the control of lipolysis and nutritive blood flow in human subcutaneous abdominal adipose tissue (Barbe et al., 1996). In human healthy volunteers, Wheeldon et al. (1994) evaluated the effects of another  $\beta_3$ -AR agonist BRL 35315. BRL 35315 is rapidly converted to the de-esterified acid metabolite, BRL 37344, *in vivo* and it is believed that effects of BRL 35135 are actually mediated by BRL 37344. This study suggested that BRL 35135 produced most effects by  $\beta_2$ -AR stimulation. To be exhaustive, a recent published placebo-controlled randomized trial showed that a 28 days treatment with L-796,568, a  $\beta_3$ -AR agonist, did not induce any significant cardiovascular changes (Larsen et al., 2002).

### 5.2. *Conductive arteries*

In rat thoracic aorta, Clark and Bertholet (1983) have shown that pindolol, a nonspecific  $\beta$ -AR agonist with partial  $\beta_3$ -AR agonistic activity induced vasodilation resistant to pretreatment with propranolol, suggesting the presence of an atypical  $\beta$ -AR receptor. In the same way, some studies demonstrated that isoproterenol-induced relaxation was resistant to  $\beta_1/\beta_2$ -AR blockade in rat carotid and thoracic aorta (Oriowo, 1994; MacDonald et al., 1999; Trochu et al., 1999).

In thoracic aorta, BRL 37344 induced a concentration-dependent vasorelaxation in phenylephrine ( $\alpha_1$ -AR agonist)-precontracted arterial rings (Oriowo, 1995). Similar relaxant effects were obtained with SR 58611A, which were not modified by pretreatment with nadolol but were antagonized by SR 59230A, a  $\beta_3$ -AR antagonist (Trochu et al., 1999). Endothelium removal strongly reduced the  $\beta_3$ -AR-induced relaxation, indicating that  $\beta_3$ -AR were mainly located on endothelial cells in rat thoracic aorta (Trochu et al., 1999). Endothelial cell localization was latter confirmed by molecular and immunohistochemical approaches (Rautureau et al., 2002). However, these results have been contested. Thus, in the same vascular bed, Brahmadevara et al. (2003) showed that in phenylephrine-constricted rings, but not PGF $2\alpha$ -constricted ones, relaxations to isoproterenol presented a propranolol-resistant component. In phenylephrine-constricted rings, the selective  $\beta_3$ -AR antagonist, SR 59230A, did not antagonize relaxation to BRL 37344 and SR 58611A. In addition, CL 316 243 failed to produce relaxation. In PGF $2\alpha$ -constricted rings, only SR 58611A produced relaxation, which was not affected by SR 59230A. Recently, a study demonstrated that SR 58611A and nebivolol (a selective  $\beta_1$ -AR antagonist with partial  $\beta_3$ -AR agonistic properties) induced a vasodilation in endothelin 1-constricted rings. Vasodilation was antagonized by a selective  $\beta_3$ -AR antagonist (L-748.337) (Rozec et al., *in press*). This study confirms the fact that nebivolol-induced NO-dependent vasorelaxation in rat thoracic aorta resulted, at least in part, from a  $\beta_3$ -AR agonistic effect (de Groot et al., 2003). In summary, multidisciplinary approaches indicate that  $\beta_3$ -AR are present in

rat thoracic aorta, but its vasodilating effects are affected by the constricting agent used. Hence, as suggested by some workers (see for instance, Kozłowska et al., 2005), the constrictor agent should be chosen with great caution for the investigation of the role of  $\beta$ -AR in vessels. Recently, it has been suggested that  $\beta_3$ -AR were involved in the  $\beta$ -AR-mediated relaxation of rat abdominal aorta smooth muscle (Matsushita et al., 2003). However, those data should be taken with caution because the use of only a partial  $\beta_3$ -AR agonist and the endothelial removal was not tested.

In rat isolated carotid artery, as in aorta, propranolol shifted the isoproterenol concentration–relaxation curve to the right without changing the maximum response. Moreover, BRL 37344 also produced a concentration-dependent relaxation of norepinephrine-precontracted artery segments. This relaxation was endothelium-independent and was not affected by propranolol (Oriowo, 1994). Comparable results have been obtained with U-44619 (a thromboxane A2 homolog)-constricted rat isolated carotid arteries (MacDonald et al., 1999). Nevertheless, in the latter study, it was suggested that there may be a different contribution of endothelium of classical  $\beta$ - and  $\beta_3$ -AR-mediated effects, with lesser involvement of endothelium in the  $\beta_3$ -AR-mediated relaxation. In the main mesenteric artery, the presence of atypical  $\beta$ -AR and the lack of  $\beta_3$ -AR have been reported (Kozłowska et al., 2003). The fact that  $\beta_3$ -AR agonists caused relaxation might suggest the involvement of  $\beta_3$ -AR rather than atypical  $\beta$ -AR in this effect. However, a detailed comparison of the order of potencies argued for the opposite conclusion instead.

In mice lacking  $\beta_1$ - or  $\beta_2$ -AR subtypes ( $\beta_1$ -KO,  $\beta_2$ -KO), isoproterenol induced relaxation of segments from thoracic aorta, carotid, femoral arteries. In contrast, in double  $\beta_1/\beta_2$ -KO mice, isoprenaline-relaxation was abolished, suggesting no  $\beta_3$ -AR effect (Chruscinski et al., 2001). Interestingly, in the same double  $\beta_1/\beta_2$ -KO mice, an *in vivo* study showed that the response to the  $\beta_3$ -AR agonist, CL 316 243, alone was significantly increased in the  $\beta_1/\beta_2$ -AR double KO (Rohrer et al., 1999). The results of this study suggested that all  $\beta$ -AR subtypes can mediate vasodilatory responses *in vivo* and that an enhancement of  $\beta_3$ -AR responsiveness is present in mice lacking both  $\beta_1$ - and  $\beta_2$ -AR.

The most popular human conductive vessel used in organ bath studies is the internal mammary artery harvested during coronary artery bypass graft. In endothelium-denuded internal mammary artery precontracted with phenylephrine, BRL 37344 produced a concentration-dependent relaxation suggesting the presence of an atypical  $\beta$ -AR, perhaps  $\beta_3$ -AR, located in smooth muscle cells (Shafiei et al., 2000). Recently, using molecular and biochemical approaches, the presence of  $\beta_3$ -AR was confirmed, but with an endothelial localization (Rozec et al., 2005). Its activation by SR 58611A induced a vasodilating NO-dependent response, unaffected by  $\beta_1/\beta_2$ -blockade, but antagonized by L-748,337, a selective  $\beta_3$ -AR antagonist (Rozec et al., 2005). The tocolytic effect of  $\beta_3$ -AR agonists leads some authors to explore effects of  $\beta_3$ -AR agonists on umbilical and placental vascular beds. In human umbilical artery, BRL 37344 induced a weak vasodilation (Dennedy et

al., 2002). In human placental artery obtained from women with uncomplicated or pre-eclamptic pregnancies, SR 59119A induced relaxation. The functional presence of  $\beta_3$ -AR was strengthened by molecular and biochemical approaches (Rouget et al., 2005).

### 5.3. Resistive arteries

Few studies have been carried out concerning  $\beta_3$ -AR in arteries with diameters between 2 and 300  $\mu$ m, referred to as resistance arteries.

In rat mesenteric microarteries, relaxation mediated by  $\beta$ -AR is due mainly to the stimulation of  $\beta_1$ -AR present throughout the smooth muscle cell layer, with a partial intracellular location (Briones et al., 2005). In this work, evidence against the participation of  $\beta_2$ - and  $\beta_3$ -AR is also reported. Thus, in phenylephrine-precontracted arteries, although salbutamol ( $\beta_2$ -AR agonist), BRL 37344 ( $\beta_3$ -AR agonist) and CGP 12177A (agonist of the low affinity state of  $\beta_1$ -AR) caused relaxation (with a less potency than isoproterenol), CL 316 243 was ineffective. In arteries precontracted with 5-HT or U-46619, isoproterenol produced a concentration-dependent relaxation whereas salbutamol, BRL 37344, CGP 12177A and CL 316243 did not. Furthermore, in phenylephrine-precontracted arteries, the vasodilation induced by BRL 37344 and SR 59230A could be explain by a competitive  $\alpha_1$ -AR antagonism.

In mice lacking  $\beta_1$ - and/or  $\beta_2$ -adrenergic receptor subtypes, several resistive arteries, including distal branches of the femoral artery, epigastric, and mesenteric arteries, were investigated to determine whether they showed a  $\beta$ -adrenergic vasorelaxation (Chruscinski et al., 2001). Among these different vessels, isoproterenol caused relaxation only in the mesenteric artery, and this relaxation was mediated only by the  $\beta_1$ -AR subtype because the effect of isoproterenol was completely absent in vessels from  $\beta_1$ -KO mice. However, in another vascular bed, using videomicroscopy, it has been recently shown that nebivolol (a selective  $\beta_1$ -AR antagonist with partial  $\beta_3$ -AR agonistic properties) dose-dependently relaxed mice coronary resistance microarteries precontracted with prostaglandin F $_{2\alpha}$ . This relaxation was sensitive to NO synthase inhibition, unaffected by the  $\beta_1/\beta_2$ -AR antagonist, nadolol, and prevented by the  $\beta_1/\beta_2/\beta_3$ -AR antagonist, bupranolol. Importantly, nebivolol failed to relax microarteries from  $\beta_3$ -AR-deficient mice (Dessy et al., 2005).

In human coronary microartery preparations,  $\beta_3$ -AR transcripts and protein have been detected. The presence of  $\beta_3$ -AR was confirmed by the vasodilation induced by BRL 37344 and nebivolol in endothelin-1-precontracted microarteries. This relaxation was not affected by pretreatment with nadolol, thereby ruling out a  $\beta_1/\beta_2$ -AR-mediated effect, but was fully abrogated by bupranolol (Dessy et al., 2004, 2005).

In human corpus cavernosum,  $\beta_3$ -AR are localized mainly in smooth muscle cells. Their activation by a preferential  $\beta_3$ -AR agonist, BRL 37344, relaxed longitudinal strips precontracted with phenylephrine. This relaxation was selectively blocked by a specific  $\beta_3$ -AR antagonist, SR 59230A (Cirino et al., 2003).

#### 5.4. Pulmonary circulation

The pulmonary circulation differs from the systemic one in several important aspects, the most important being the hypoxic pulmonary vasoconstriction. The precise mechanisms underlying hypoxic pulmonary vasoconstriction are far from clear. The vasodilator profiles of 3 selective  $\beta_3$ -AR agonists, SR 59104A, SR 59119A and SR 58611A, on the hypoxic vasoconstriction have been investigated in the rat isolated perfused lung preparation (Dumas et al., 1998). The 3  $\beta_3$ -AR agonists caused concentration-dependent relaxation during the pulmonary pressure response. SR 59230A, a  $\beta_3$ -AR antagonist, inhibited vasorelaxant effects of SR 59104A but failed, as with propranolol, to oppose those of SR 59119A and SR 58611A. Based on these results, the authors suggested the existence of an atypical  $\beta$ -AR in rat pulmonary vessels. In the same species, intralobar pulmonary artery precontracted with PGF $2\alpha$ , isoproterenol induced vasorelaxation which was not fully abolished by pre-treatment with nadolol. However, the remaining dilation was not further inhibited by SR 59230A. Moreover, BRL 37344, SR 58611A and CGP 12177A did not elicit vasorelaxation, suggesting the absence of  $\beta_3$ -AR in this vascular bed (Pourageaud et al., 2005). Nevertheless, according to the size of the vessels,  $\beta$ -AR subtypes could be different as suggested by the fact that  $\beta$ -AR mediated vasorelaxation in large arteries was largely NO-dependent, whereas in small arteries a significant

proportion of relaxation was NO-independent (Priest et al., 1997).

In isolated canine pulmonary arterial rings precontracted with norepinephrine, CL 316 243 and BRL 37344 produced a concentration-dependent relaxation, but with a lower potency than isoproterenol and salbutamol suggesting the functional presence of  $\beta_3$ -AR in canine pulmonary artery smooth muscle (Tagaya et al., 1999).

#### 5.5. Venous system

In isolated segments of the rat portal vein, BRL 37344 produced a concentration-dependent inhibition of electrically-induced contractions. This inhibitory effect of BRL 37344 was not antagonized by propranolol. In addition, BRL 37344 inhibited adrenergic transmission in this vascular bed via atypical  $\beta$ -AR that were distinct from  $\beta_3$ -AR and were located pre-junctionally (Yousif & Oriowo, 2002).

In equine digital veins, several  $\beta_3$ -AR agonists, SR 58611A, ZD 2079 and ZM 215001, induced concentration-dependent relaxation. SR 58611A-induced relaxation were unaffected by nadolol ( $\beta_1/\beta_2$ -blocker) but significantly reduced by ZM 215001 used at a high concentration (2  $\mu$ M) and behaved as a  $\beta_3$ -AR antagonist, by endothelium removal or pre-treatment with a NO synthase inhibitor suggesting the presence of  $\beta_3$ -AR

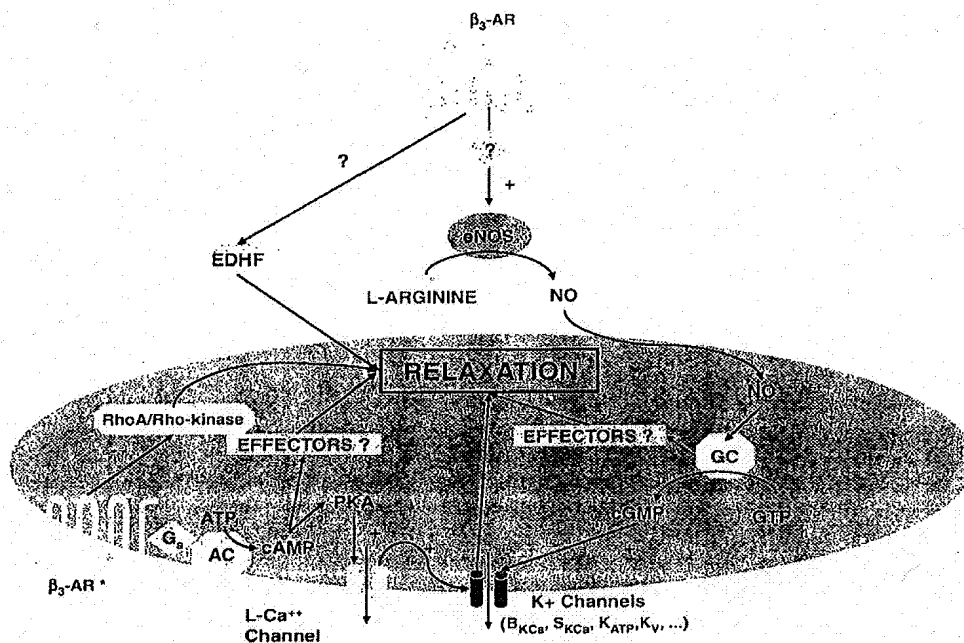


Fig. 4. Main signaling pathways of  $\beta_3$ -AR in the vessels, including all hypothesis regardless the species and vascular beds. AC: adenylyl cyclase; ATP: adenosine triphosphate;  $[Ca^{2+}]_i$ : intracellular calcium concentration; cAMP: cyclic adenosine monophosphate; cGMP: cyclic guanosine monophosphate; EDHF: endothelial derived hyperpolarising factor; eNOS: endothelial nitric oxide synthase; GC: guanylyl cyclase; GTP: guanosine triphosphate;  $G_s$ : stimulatory G protein; NO: nitric oxide; PKA: cAMP-dependent protein kinase.

in equine digital veins (Mallem et al., 2003). However, their roles have yet to be determined.

#### 5.6. Signaling pathways in vascular tissue

Few studies have been carried out to determine the precise signaling pathways involved in vascular  $\beta_3$ -AR activation. As mentioned previously, the cellular localization of  $\beta_3$ -AR varied according to the vascular bed, the vessel size and the species. In the rat thoracic aorta,  $\beta_3$ -AR are mainly located in endothelial cells, and act in conjunction with  $\beta_1$ - and  $\beta_2$ -AR to mediate relaxation through activation of a NO synthase pathway and a subsequent increase in intracellular cGMP levels (Fig. 4; Trochu et al., 1999). In the same model, Rautureau et al. (2002) demonstrated that the  $\beta_3$ -AR-mediated effect, in contrast to the heart, did not result from the activation of a pertussis toxin-sensitive G protein. In this vascular bed,  $\beta_3$ -AR-mediated relaxation involved several potassium channels. The pretreatment of aortic rings by ibicitoxin, glibenclamide, tolbutamide or 4-aminopyridine, significantly reduced the SR 58611A-mediated vasorelaxation, suggesting that  $B_{KCa}$ ,  $K_{ATP}$  and  $K_v$ , respectively, are effectors of the vasorelaxation produced by  $\beta_3$ -AR stimulation in rat thoracic aorta (Fig. 4). However, this inhibition was not complete in each experimental condition suggesting the participation of several classes of potassium channels in this global effect. An involvement of potassium channels in the relaxant effect of  $\beta_3$ -AR was also reported in the rat isolated perfused lung preparation.  $\beta_3$ -AR vasodilated the pulmonary vascular bed, partly through  $B_{KCa}$  and  $S_{KCa}$  channels activation and NO release (Dumas et al., 1999). In rat portal vein myocytes, the activation of  $\beta_3$ -AR stimulated L-type calcium channels through a  $G_{\alpha s}$ -induced stimulation of the cAMP/PKA pathway leading to the subsequent phosphorylation of those channels (Viard et al., 2000). However, it is important to note that in this study, no preferential  $\beta_3$ -AR agonist was tested but only CGP 12177A, which combines partial  $\beta_3$ -AR and low affinity  $\beta_1$ -AR agonistic properties.

The involvement of the cAMP pathway was also suggested in the canine pulmonary artery. In this vessel, the dilating effect of CL 316 243 was endothelium-independent and produced an increased in intracellular cAMP level (Tamaoki et al., 1998).

In the human internal mammary artery, SR 58611A induced an endothelium- and NO-dependent relaxation (Fig. 4; Rozec et al., 2005). In human coronary microarteries precontracted with KCl (thereby preventing vessel hyperpolarization), the relaxation to BRL 37344 was reduced and totally abrogated by the NO synthase inhibitor, L-omega-nitroarginine, confirming the participation of a NO-mediated relaxation (Dessy et al., 2004). The NO synthase-independent relaxation was completely inhibited by calcium-activated potassium channel inhibitors, apamin and charybdotoxin, consistent with an additional endothelium-derived hyperpolarizing factor-like response. Accordingly, membrane potential recordings demonstrated a hyperpolarization in response to  $\beta_3$ -AR stimulation. In human placental arteries, SR 59119A induced an endothelium-independent vasodilation mediated by an intracellular cAMP

increase without modification in the cGMP level (Rouget et al., 2005).

In the human corpus cavernosum,  $\beta_3$ -AR relaxation resulted from the inhibition of the RhoA/Rho-kinase pathway (Cirino et al., 2003).

### 6. Involvement of $\beta_3$ -adrenoceptors in cardiovascular pathologies

#### 6.1. Heart failure

The failing human heart is characterized by a sustained activation of the sympathetic nervous system. The higher catecholamine levels help to maintain cardiac performance over the short-term by increasing contractility and heart rate. At first, the increase of cardiac adrenergic drive is beneficial, but ultimately damaging to the myocardium.

In all models investigated,  $\beta_3$ -AR are upregulated in human heart failure (Moniotte et al., 2001) as well as in cardiomyocytes from dog with pacing-induced cardiac heart failure (Morimoto et al., 2004). However, changes in contractile response did not strictly parallel with  $\beta_3$ -AR abundance. In human, the negative inotropic effect induced by BRL 37344 was blunted in failing heart tissue compared to responses observed in nonfailing heart tissue (Moniotte et al., 2001). In animal models, the upregulation of  $\beta_3$ -AR observed in heart failure was correlated with an increase in the negative inotropic effect induced by the  $\beta_3$ -AR stimulation (Cheng et al., 2001; Morimoto et al., 2004; Zhang et al., 2005b). This discrepancy might result from several factors such as species difference in  $\beta_3$ -AR, variation in severity of heart failure, or the effect of pharmacotherapy on the human failing heart. The rat model of heart failure was associated with a 40–48% mortality during the investigated period (4 months) without precision about the stage of heart failure (Zhang et al., 2005b), which is much higher than would be expected in a population of human heart failing patients. In addition, in the rat and dog models, the authors examined a single left ventricular myocyte obtained from isoproterenol-induced failing rat hearts (Zhang et al., 2005b) or from dog with pacing-induced cardiac heart failure (Morimoto et al., 2004), whereas Moniotte et al. (2001) studied ventricular strips obtained from dilated or ischemia-caused failing human hearts. To support this discrepancy, it is important to note that heart failure is also characterized by an alteration of the vasomotor tonus leading to a decreased vasodilation at baseline and in response to  $\beta$ -AR stimulation. Those alterations lead to impaired peripheral vasodilation and an inappropriate vasoconstriction. This effect is due to the activation of neuro-hormonal systems and endothelial dysfunction. Thus, it is important to take into account the role of endothelial cells in the cardiac response.

Comparing the expression of the different  $\beta$ -AR subtypes, we previously noted that the human failing heart contained a relatively high proportion of  $\beta_2$ -AR due to selective down-regulation of  $\beta_1$ -AR, without change in the total  $\beta_2$ -AR density. In this context, the importance of  $\beta_3$ -AR in the pathogenesis of heart failure is supported by several features: (i)  $\beta_3$ -AR are

coupled to  $G_{i/o}$  proteins that are upregulated during heart failure (El-Armouche et al., 2003); (ii)  $\beta_3$ -AR is relatively resistant to desensitization following activation by an agonist (Strosberg, 1997); (iii)  $\beta_3$ -AR is also resistant to heterologous desensitization, because it lacks the consensus sequences for phosphorylation by PKA and  $\beta$ -ARK (Strosberg, 1997); (iv)  $\beta_3$ -AR is activated at higher catecholamine concentrations than  $\beta_1$ - and  $\beta_2$ -AR (Pietri-Rouxel & Strosberg, 1995) and finally (v)  $\beta_3$ -AR are upregulated at end stage of human heart failure (Moniotte et al., 2001) and in cardiomyocytes from dog with pacing-induced cardiac heart failure (Morimoto et al., 2004). Therefore, in heart failure with the sustained activation of the sympathetic system, the  $\beta_3$ -AR-mediated response may be probably preserved, when  $\beta_1$ - and  $\beta_2$ -AR-mediated responses are reduced (Moniotte & Balligand, 2002). The regulation of cardiac contractility by catecholamines in heart failure indicates opposite compensatory modifications between  $\beta_1/\beta_2$ - and  $\beta_3$ -AR. Several studies also report similar opposite modifications in cardiac  $\beta$ -AR subtypes. Kohout et al. (2001) have reported that  $\beta_1$ -AR expression was lower in TG $\beta_3$  mice. In contrast,  $\beta_3$ -AR knockout mice exhibited an increase in  $\beta_1$ -AR mRNA in white and brown adipose tissue (Susulic et al., 1995). Recently, in neonatal rat cardiomyocytes following chronic exposure to norepinephrine,  $\beta_3$ -AR are functionally up-regulated whereas  $\beta_1$ - and  $\beta_2$ -AR are down-regulated (Gernack & Dickenson, 2006).

The opposite changes that occurred in  $\beta_1$ - and  $\beta_3$ -AR (2- to 3-fold increase) abundance in the human failing left ventricle, with an imbalance between their inotropic influences, may underlie the functional degradation of the human failing heart. However, at earlier stages of the disease, the upregulation of the  $\beta_3$ -AR could be viewed as a compensatory mechanism to prevent further cardiomyocyte damage. In TG $\beta_3$  mice, no histological evidence of myocyte hypertrophy or fibrogenesis was observed (Tavernier et al., 2003), suggesting that the activation of  $\beta_3$ -AR was not responsible for cardiac damage during heart failure. Furthermore, in contrast, Engelhardt et al. (2002) showed that chronic stimulation of  $\beta_1$ -AR lead to hypertrophy, interstitial fibrosis and heart failure in  $\beta_1$ -AR transgenic mice. Thus, over-expression of  $\beta_3$ -AR might protect cardiac damage induced by the high catecholamine level present in heart failure, particularly during the early stages of the disease. The  $\beta_3$ -adrenergic stimulation related-cardiac protection might result from (i) a reduction of the activation of L-type calcium channels and thus, of calcium overload, (ii) a better diastolic function due to NO production, (iii) a preservation of intracellular ATP and creatine phosphate content related to the negative inotropic effect and finally (iv) the vasodilating effects, because arteriolar and venous dilatation would reduce afterload and preload, respectively, and improve the  $O_2$ /MVO $_2$  balance. In a similar way, in nonnal heart, the negative inotropic effect induced by the  $\beta_3$ -adrenergic stimulation might play a role of a «safety-valve» during intense adrenergic stimulation (stress, important physical effort, etc.). Conversely, as heart failure progresses to a later stage, the activation of  $\beta_3$ -AR might become deleterious, with a persistent negative inotropic effect enhancing myocardial depression. In rat failing heart, the effect of  $\beta_3$ -AR agonists aggravated markedly cardiac function and

stimulated cardiac myocytes apoptosis. Thus, if the levels of  $\beta_3$ -AR were too high, they might contribute to the loss of cardiac function and provide the basis of the functional degradation of failing heart (Kong et al., 2004). Further experiments, using complementary approaches (in vitro, ex vivo and in vivo) and appropriate animal models, will be needed to evaluate the involvement of  $\beta_3$ -AR at early and end stages of heart failure.

The beneficial effects of  $\beta_3$ -AR stimulation is further suggested by recent findings concerning the use of 3rd generation  $\beta$ -blockers in heart failure treatment. Thus, although  $\beta$ -AR blockade has been found to improve survival in patients with heart failure, the mechanism responsible for the beneficial effects of blocking  $\beta$ -AR activation could actually be due to the effects of these agents on the vasculature. The  $\beta$ -blockers of 3rd generation have vasodilator action (Toda, 2003) and may be more efficient to treat heart failure than nonvasodilatory ones. The use of such drugs might be particularly relevant to improve tolerability in elderly patients with heart failure, when endothelial vasodilator reserve is limited, age-associated fibrotic changes in the sinus node occur, and higher risk of hypotension and bradychardia are present. Some recent clinical trials are already quite encouraging (Flather et al., 2005). Furthermore, patients with left ventricular hypertrophy seem to be particularly sensitive to the beneficial effect of NO on diastolic function (Matter et al., 1999) and trials in patients with left ventricular dysfunction had already shown a greater improvement in the parameters of diastolic function, associated with an increase in exercise capacity, with nebivolol administration, compared with the traditional  $\beta$ -blockers (Nodari et al., 2003). Moreover, any blockers that could induce NO release and chelate oxygen radicals may provide additional useful actions by blunting apoptosis, necrosis and/or proliferation of myocardial cells. In fact, some of those  $\beta$ -blockers, such as bucindolol and nebivolol, have been described as  $\beta_3$ -AR agonists, with endothelium-dependent vasodilating properties (Gosgnach et al., 2001; De Groot et al., 2003; Rozec et al., in press). A trial using bucindolol in patients with advanced chronic heart failure produced no significant overall survival benefit leading to stop the trial and to withdraw bucindolol (Beta-Blocker Evaluation of Survival Trial Investigators, 2001). However, it is difficult to draw conclusions regarding the absence of benefit of bucindolol because this trial was performed on a demographically diverse group of patients with NYHA class III and IV heart failure, due to primary or secondary dilated cardiomyopathy, and associated with a left ventricular ejection fraction of 35% or lower.

## 6.2. Hypertension

Hypertension results essentially from an imbalance between the vasoconstrictive and vasodilatory mechanisms, partly due to pre- and post-synaptic sympathetic dysfunctions (De Champlain, 2001). A generalized decrease of the  $\beta$ -adrenergic response has been recognized in systemic hypertension in human (Michel et al., 1990) and in various animal models (Feldman, 1987; Michel et al., 1990; Doggrell & Surman, 1995). The main defects identified include  $\beta$ -AR

down-regulation, alteration of G protein levels and impairment of  $\beta$ -AR-G protein-effectors coupling (Werstik & Lee, 2000). However, some studies described that  $\beta$ -AR-mediated relaxation of thoracic aorta and mesenteric arteries of hypertensive rats was unchanged (Borkowski et al., 1992; Boonen et al., 1993) or enhanced (Carvalho et al., 1987) in comparison with what was observed in normotensive rats. Several explanations may exist for those controversial findings regarding  $\beta$ -AR-induced relaxation in arterial hypertension. Thus, the  $\beta$ -AR-mediated relaxation was dependent on: (1) the stage of hypertension (Borkowski et al., 1992); (2) the agent used for preconstriction of vessels (Konishi & Su, 1983; Carvalho et al., 1987) and (3) the preconstriction level (Konishi & Su, 1983; Carvalho et al., 1987). It is important to note that in all those studies, the  $\beta$ -AR relaxant responses have been investigated by using isoproterenol, a nonselective  $\beta$ -AR agonist, and the contribution of each  $\beta$ -AR subtype in the  $\beta$ -adrenergic-mediated relaxation was not determined. There are only few data about the potential role of vascular  $\beta_3$ -AR in hypertension. In a canine perinephritic hypertension model,  $\beta_3$ -adrenergic stimulation has been shown to be beneficial (Donckier et al., 2001). In 12-week-old spontaneously hypertensive rats, we have described an up-regulation of the  $\beta_3$ -AR expression, albeit it was not associated with an increase in the  $\beta_3$ -adrenergic-induced vasorelaxation (Mallem et al., 2004).

Concerning the role of  $\beta$ -blockers in the hypertensive treatment, nebivolol which also possesses  $\beta_3$ -AR agonistic properties, seems the most appropriate  $\beta$ -AR blocker for the management of patients with mild to moderate essential hypertension (Czuriga et al., 2003). In addition, only nebivolol, a  $\beta$ -blocker with NO donor properties, has been shown to improve endothelial function, but this effect results from the increase in NO and not from the  $\beta$ -AR blocking properties of the drug (Thuillez & Richard, 2005).

A missense mutation of the  $\beta_3$ -AR gene, resulting in replacement of tryptophan by arginine at codon 64 (*W64R*), has been described (Walston et al., 1995; Widen et al., 1995; Clement et al., 1995). This single nucleotide polymorphism has been associated with insulin resistance (Widen et al., 1995), obesity in both adults (Clement et al., 1995; Kurabayashi et al., 1996; Mitchell et al., 1998) and children (Endo et al., 2000), hyperinsulinemia (Xiang et al., 1998), an increased capacity to gain weight (Clement et al., 1995), and fasting concentrations of high-density lipoprotein (Thomas et al., 2000) and glucose (Corella et al., 2001). This polymorphism has also been associated with hypertension (Table 2; Tonolo et al., 1999; Ringel et al., 2000; Hao et al., 2004). A study carried out in a large unselected sample of a white male working population in Southern Italy showed that the Trp64Arg variant of the  $\beta_3$ -AR predicts a greater tendency to develop abdominal adiposity and high blood pressure with advancing age (Strazzullo et al.,

Table 2  
Trp64Arg polymorphism in human  $\beta_3$ -AR and hypertension

Population	n	Association	Comments	References
Caucasian population (Hungary)	147 healthy/295 obese children	Yes	Although the prevalence of this polymorphism was similar in children, it seems to be associated with increased adiposity and higher fasting insulin levels	Erhardt et al., 2005
Japanese young	160 non-obese normotensive men	Yes	BP elevation over 5 years	Masuo et al., 2005
European origin student	175 adolescents: 121 normotensive and 54 hypertensive	No	Trp64Arg variant of the $\beta_3$ -AR gene may favor the central adiposity gain	Porto et al., 2004
Japanese population	1685: 935 women and 750 men of the cohort of Funagata Diabetes study	No	Genotype Arg/Arg was associated with obesity and type 2 diabetes	Oizumi et al., 2001
Caucasian population (Spain) with essential hypertension	87	No	Trp64Arg related with a different membrane lipid composition and erythrocyte sodium lithium counter transport values	Pamies-Andreu et al., 2000
Caucasian population (German) with diabetes type 2	417	Yes in men	No association with vascular complications	Ringel et al., 2000
Southern Chinese	802	No	No involvement in the development of diabetes, hypertension or dyslipidaemia, but do suggest a relationship with obesity	Thomas et al., 2000
Sardinian	494	Yes	Association with higher serum triglyceride levels	Tonolo et al., 1999
Japanese with diabetes type 2	83	No		Baba et al., 1998
Caucasian population (Germany)	6450	No	No association with obesity, type 2 diabetes mellitus, dyslipidemia	Buettner et al., 1998
Caucasian (eastern Finland)	375	No	No association with resting metabolic rate, abdominal obesity, increased lipid oxidation, or earlier development of NIDDM	Rissanen et al., 1997
Japanese	173	No	Not associated with essential hypertension, insulin sensitivity, or plasma catecholamine levels in hypertensive subjects	Fujisawa et al., 1997

2001). In a clinical trial (randomized, double-blind fashion), the effect of administration of norepinephrine was compared between 8 nondiabetic normotensive subjects with the Trp64Arg variant and 8 without. The Trp64Arg amino acid variant of the  $\beta_3$ -AR seemed to confer increased sensitivity to the pressure effect of norepinephrine (Melis et al., 2002). This polymorphism seems to facilitate the development of hypertension in the presence of high adrenergic tone. Nevertheless, caution should be taken when associating gene polymorphism with a multifactorial disease like hypertension. An account of environmental conditions and eventual synergistic polymorphism to explain apparent contradictory results. Hypertension is a complex genetic disorder caused by interplay between several 'risk' genes and environmental factors (genetic heritability approximately 30%). Most genetic studies of hypertension use a candidate gene approach and 2 conclusions have been made: (i) there is no association or linkage with the genes studied, or (ii) the hypertension phenotype is heterogeneous and subgroups with hypertension related to certain polymorphisms cannot be identified because of background noise. Studies using intermediate phenotypes suggest the latter is most likely (Agarwal et al., 2005).

In the specific case of eclampsia, pre-eclampsia has been associated with an impairment of  $\beta_3$ -AR responsiveness (Rouget et al., 2005). The Trp64Arg polymorphism of the  $\beta_3$ -AR did not seem to predispose to pre-eclampsia (Malina et al., 2004). Nevertheless, Zhang et al. (2005a) highlighted a puzzling relationship between the polymorphism and the risk of pre-eclampsia.

### 6.3. Obesity and diabetes mellitus

Obesity has now become a major target for drug development not only for affecting obesity per se, but also for managing and preventing comorbid conditions such as diabetes and cardiovascular disease. Under investigation are agents that increase energy expenditure, that is  $\beta_3$ -AR agonists. On the other hand, diabetes mellitus is a prevalent disease often associated with vascular complications, such as microangiopathy and atherosclerosis. Although the mechan-

isms of vascular dysfunction are poorly understood, several studies provide data suggesting alterations in vascular responses to vasoactive agents. Moreover, studies carried out in animals (Turner & White, 1996) and humans (Johnstone et al., 1993) showed that endothelium-dependent vasodilation is impaired in diabetes mellitus. In diabetic rat, the effects of  $\beta$ -AR stimulation on diastolic blood pressure was fully abolished (Gallego et al., 2002). It has been shown as for heart failure,  $\beta_1$ -AR and  $\beta_3$ -AR density decreased and increased respectively in hearts of long-term diabetic rats. This could explain, at least in part, the impairment in cardiac function in chronic diabetes (Dincer et al., 2001). It has been suggested that selective  $\beta_3$ -AR agonists exerted potent anti-diabetic effects in rodent models when given chronically (Arch & Wilson, 1996).

Molecular variations of  $\beta_3$ -AR may also lead to obesity, insulin resistance and type II diabetes mellitus (Table 3). The Trp64Arg polymorphism has been associated with early onset of type II diabetes (Walston et al., 1995), diabetic retinopathy and nephropathy in Japanese type II diabetes patients (Sakane et al., 1997, 1998). More recently, it has been shown that the homozygote of  $\beta_3$ -AR gene Trp64Arg mutation was related with diabetes (Xiu et al., 2004). These various results open new research fields concerning the implication of  $\beta$ -AR, and particularly the  $\beta_3$ -AR subtype in the cardiovascular complication of the diabetes mellitus.

Diabetic retinopathy is the number 1 cause of blindness in humans between the ages of 20 and 74 years (Klein, 1996). Age-related macular degeneration is the predominant cause of blindness in the elderly population (O'Shea, 1996; Harris et al., 1999). Although both diseases involve abnormal blood vessel growth in the eye, the mechanisms underlying this growth remain unknown. Results from these studies demonstrate for the first time that  $\beta_3$ -AR exist in human retinal endothelial cells. Alterations in sympathetic nerve activity may contribute to vascular complications of diabetes and diabetic retinopathy might result in changes in the  $\beta_3$ -AR signaling (Steinle et al., 2003, 2005). If these *in vitro* studies on cultured cells can be extrapolated to the intact retinal circulation, the  $\beta_3$ -AR signaling might initiate retinal endothelial cell proliferation

Table 3  
Trp64Arg polymorphism in human  $\beta_3$ -AR and coronary heart disease

Population	n	Association	Comments	References
Caucasian population (German)	1000 angiographically confirmed Coronary heart disease/1000 control	No	No effect modification by gender and atherogenic risk factors	Stangl et al., 2001
Indo-Mauritian patients	338 with premature coronary heart disease/148 control subjects	No direct link	$\beta_3$ -AR gene variant seems to modulate the effects of $\beta$ -AR blockers on triglyceride and HDL cholesterol concentrations	Manraj et al., 2001
White Americans	271 with incident CHD cases/700 control subjects	Not predictive of incident CHD	Trp64Arg polymorphism is not a major predictor of atherosclerosis	Morrison et al., 1999
Caucasian (Finland)	185 nondiabetic subjects with angiographically confirmed CHD/119 subject with diabetes type 2 and CHD	No	not significantly related to insulin resistance	Pulkkinen et al., 1999
Japanese	428	No		Tamaki et al., 1999
Chinese	137 patients with angiographically documented coronary heart disease/188 normal subjects	No	No association with the insulin resistance syndrome	Sheu et al., 1999



and migration, both of which being critical stages of angiogenesis.

#### 6.4. Coronary artery disease

The localisation of  $\beta_3$ -AR on microcoronary arteries in humans indicates a potential role in coronaropathy (Dessy et al., 2004). Furthermore, nebivolol could induce an angiogenic response related to its  $\beta_3$ -AR agonistic properties (Dessy et al., 2005). It would therefore provide additional cardiovascular benefits in patients with ischemic cardiac or peripheral diseases. However, the roles of  $\beta_3$ -AR in ischaemia and reperfusion and whether their stimulation is protective or deleterious has not been determined.  $\beta_3$ -AR stimulation, which has a negative inotropic effect could therefore spare the heart of excessive oxygen demand, and may therefore be cardioprotective.

A study with a large sample of clinically well-characterized patients indicated that the Trp64Arg polymorphism did not represent a major risk factor for angiographically confirmed coronary artery disease (Stangl et al., 2001). In the same way, this polymorphism is neither a major predictor of atherosclerosis or coronary incidents in a sample of middle-aged white Americans (Morrison et al., 1999).

After coronary artery bypass, the vascular  $\beta$ -AR responses are markedly abnormal, due in part to the exposure of vessels to endogenous catecholamines (Friedman et al., 1995; Sellke et al., 1997). Several mechanisms were suggested to explain  $\beta$ -AR dysfunction after coronary artery bypass: (i) uncoupling of  $\beta$ -AR from the  $G_s$ -protein–adenylyl cyclase complex (attributed to the rapid phosphorylation of the receptor); and/or (ii) impairment of the adenylyl cyclase moiety (Schrantz et al., 1993; Booth et al., 1998). In contrast,  $\beta_3$ -AR are only activated by high concentrations of catecholamines such as isoproterenol (Pietri-Rouxel & Strosberg, 1995). Furthermore,  $\beta_3$ -AR have been shown to be relatively resistant to desensitization (Iwase et al., 2000). The effect of  $\beta_3$ -AR stimulation could increase the graft and the coronary flow after coronary artery bypass graft and the negative inotropic effect induced by  $\beta_3$ -AR agonists in the human heart could reduce the myocardial oxygen consumption (Gauthier et al., 1996). It may result in an improvement of the heart oxygen supply/consumption ratio and thus accommodate for a reduced perfusion more especially as  $\beta_3$ -AR is present in human microcoronary arteries (Dessy et al., 2004).

The IMA malperfusion syndrome is a critical complication of coronary artery bypass graft operations (1.9% and up to 20% after primary coronary bypass and reoperation, respectively) and can have devastating effects on the outcome of a cardiac operation (Carrel et al., 1995). The aetiology of the syndrome is multifactorial, but a spasm of the graft has been frequently suggested and thus, the treatment implicated the use of vasodilator and inotropic drugs (Gaudino et al., 1997). However, the vasoconstrictive effects of inotropic substances could reduce arterial graft flow and worsen the situation (He et al., 1994). In this regard, when  $\beta$ -AR agonists were nevertheless used in the perioperative period, drugs were usually nonselective and presented  $\alpha$ -AR properties (epinephrine, norepineph-

rine, dobutamine, isoproterenol). Furthermore, it was admitted that internal mammary arteries exhibited moderate  $\beta_1/\beta_2$ -AR function, which implied that use of  $\beta$ -AR agonists was not appropriate to reverse vasospasm (Uydes-Dogan et al., 1996). Thus, new  $\beta$ -AR agonists, having  $\beta_3$ -AR agonistic properties, might help to maintain the coronary artery bypass graft flow. However, the balance between global vasodilatory  $\beta$ -AR effect and potential negative inotropic effect of  $\beta_3$ -AR agonistic component must be evaluated, as no in vivo human study has definitely shown a negative inotropic effect of such drugs. Moreover, the specific changes in  $\beta$ -AR pathway in post-operative period constitute another field of investigation, particularly in the case of low post-operative cardiac output.

The routine use of  $\beta$ -AR blockers have been considered for patients who undergo revascularization after acute myocardial infarction in order to reduce mortality (Chen et al., 2000). Although most patients are made asymptomatic after coronary artery bypass, there is evidence that blood flow through internal mammary artery grafts is inadequate for maximal exercise (Kawasuji et al., 1993). The development of a third generation of  $\beta$ -blockers with vasodilating properties resulting from a  $\beta_3$ -AR agonistic effect (nebivolol, bucindolol) might constitute an interesting new way of investigation (de Groot et al., 2003; Toda, 2003; Rozec et al., in press).

#### 7. Conclusion

The characterization of functional  $\beta_3$ -AR in the heart opens new fields of investigations. A lot remains to be done to fully characterize their intracellular signaling pathway(s), in particular the involvement of different ion channels in their cardiac responses as well as their physiological roles in the regulation of the cardiac function in the normal heart. At present time, no hypothesis could explain the discrepancies of  $\beta_3$ -adrenergic effects on cardiac contractility observed in vitro and in vivo. Thus, this point must be clarified. Furthermore, the levels of expression of  $\beta_3$ -AR, relative to those of  $\beta_1$ - and  $\beta_2$ -AR need to be examined across the different cardiac chambers and at different stages of the life. In addition, the factors regulating the abundance of each receptor subtype need to be identified, as well as neural, endocrine or metabolic factors governing the tissue-specific expression of putative  $\beta_3$ -AR isoforms.

In vessels, the presence of 3  $\beta$ -AR subtypes that all produce a vasorelaxation, raises several questions. From a physiological point of view, as vascular  $\beta_3$ -AR are only stimulated by very high doses of catecholamines, they could be reserve receptors that are only activated during extreme or stressful conditions and exercise in order to reduce the blood pressure in response to physiological catecholamines. However, future studies are needed to confirm this hypothesis.

In all cardiovascular pathologies investigated,  $\beta_3$ -AR were increased suggesting that they play an important role in those diseases. A better characterization of  $\beta_3$ -AR and the development of new compounds (agonists and antagonists) acting on  $\beta_3$ -AR could lead to identify new therapeutic targets and to better control the adrenergic system in those pathologies.



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## ENOS is not activated by nebivolol in human failing myocardium

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### Abstract

Nebivolol is a highly selective  $\beta_1$ -adrenoceptor blocker with additional vasodilatory properties, which may be due to an endothelial-dependent  $\beta_3$ -adrenergic activation of the endothelial nitric oxide synthase (eNOS).  $\beta_3$ -adrenergic eNOS activation has been described in human myocardium and is increased in human heart failure. Therefore, this study investigated whether nebivolol may induce an eNOS activation in cardiac tissue. Immunohistochemical stainings were performed using specific antibodies against eNOS translocation and eNOS serine<sup>1177</sup> phosphorylation in rat isolated cardiomyocytes, human right atrial tissue (coronary bypass-operation), left ventricular non-failing (donor hearts) and failing myocardium after application of the  $\beta$ -adrenoceptor blockers nebivolol, metoprolol and carvedilol, as well as after application of BRL 37344, a specific  $\beta_3$ -adrenoceptor agonist. BRL 37344 (10  $\mu$ M) significantly increased eNOS activity in all investigated tissues (either via translocation or phosphorylation or both). None of the beta-blockers (each 10  $\mu$ M), including nebivolol, increased either translocation or phosphorylation in any of the investigated tissues. In human failing myocardium, nebivolol (10  $\mu$ M) decreased eNOS activity. In conclusion, nebivolol shows a tissue-specific eNOS activation. Nebivolol does not activate the endothelial eNOS in end-stage human heart failure and may thus reduce inhibitory effects of NO on myocardial contractility and on oxidative stress formation. This mode of action may be of advantage when treating heart failure patients.

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**Keywords:** eNOS; Nebivolol; Carvedilol; Metoprolol; Heart failure; BRL 37344

### Introduction

Nebivolol is a specific  $\beta_1$ -adrenoceptor blocker, which has been shown to cause vasodilation in various animal species and humans (Bowman et al., 1994; Cockcroft et al., 1995; Gosgnach et al., 2001). It has been suggested that this effect is mediated by an endothelial-dependent release of nitric oxide (NO), because the nebivolol-induced vasodilation can be prevented by

mechanically disrupting the endothelium (Gao et al., 1991) or by inhibition of NO synthase (NOS) (Bowman et al., 1994).

Recently, it was shown that the  $\beta_3$ -adrenergic pathways may be involved in the nebivolol-induced vasodilation/eNOS activation of rat aorta and human umbilical vein endothelial cells (Gosgnach et al., 2001). Additionally, it was shown a few years ago that cardiac  $\beta_3$ -adrenergic stimulation also results in a release of nitric oxide, which decreases force of contraction, especially in human failing myocardium (Gauthier et al., 1996, 1998). This  $\beta$ -adrenergic increase of NO may further depress cardiac contractility in end-stage heart failure.

Several mechanisms for eNOS activation have been discussed (for review see Fleming and Busse, 2003). Under basal conditions, eNOS is located at the cellular membrane close to caveolin. Upon receptor stimulation the caveolin/eNOS

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interaction is initiated and eNOS may translocate from the cell membrane into the cytosol. Besides translocation, eNOS activity can be altered by phosphorylation of the enzyme. Phosphorylation at eNOS serine<sup>1177</sup> has been linked to an increase in eNOS activity, whereas a simultaneous phosphorylation of eNOS serine<sup>1177</sup> and -threonine<sup>495</sup> may be associated with a decline of NO-liberation (Dimmeler et al., 1999; Fleming et al., 2001). Phosphorylation of eNOS at serine<sup>114</sup> may induce a functional uncoupling of eNOS resulting in an increased release of superoxide anions (Fleming and Busse, 2003).

$\beta_3$ -adrenergic stimulation induces different mechanisms of eNOS activation depending on the cardiac region investigated. In human right atrial myocardium,  $\beta_3$ -adrenergic stimulation induces a translocation and a phosphorylation of the eNOS enzyme at serine<sup>1177</sup>, whereas in human left ventricular non-failing myocardium only a phosphorylation of the eNOS enzyme at serine<sup>1177</sup> was observed.  $\beta_3$ -adrenergic stimulation was not followed by a phosphorylation of eNOS threonine<sup>495</sup> or serine<sup>114</sup> (Pott et al., 2003; Brixius et al., 2004).

This study investigates whether application of nebivolol may be associated with an increase in the cardiac eNOS activation in rat and human non-failing myocardium and whether this response is enhanced in end-stage heart failure.

## Materials and methods

### Isolated rat cardiomyocytes

We isolated adult rat cardiomyocytes from healthy male Wistar rats (body weight 220–250 g,  $n=4$ ). The rats were euthanized by cervical dislocation; the heart was excised, rapidly cannulated and perfused with Powell medium. (in mM: NaCl 110, KCl 2.5, MgSO<sub>4</sub> 1.3, KH<sub>2</sub>PO<sub>4</sub> 1.18, NaHCO<sub>3</sub> 3.25, pH 7.4, 37 °C, equilibrated with 95% O<sub>2</sub> : 5% CO<sub>2</sub>) for 10 min with a modified Langendorff apparatus. Hearts were enzymatically digested with collagenase type II (Biochrom) in Powell buffer for 12–15 min and subjected to increasing concentration of calcium. Isolated cardiomyocytes were cultured on laminin coated culture dishes (laminin natural mouse, Coll. Biomedical) in supplemented medium M199 (Gibco) containing per 500 ml Pen/Strep (Sigma) 5 ml; insulin H (Höchst) 7.2 I.E.; non-essential amino-acids (Gibco) 5 ml; MEM-vitamins (Gibco) 10 ml).

### Human myocardial tissue

Human non-failing ventricular trabeculae were obtained from 4 non-failing donor hearts (males, age:  $52 \pm 2$ ) that could not be transplanted due to technical reasons. No cardiac catheterization had been performed in the organ donor group, but none of the donors had a history of heart disease and all had normal left ventricular function as measured by echocardiography.

Failing myocardial tissue was obtained from explanted hearts of 5 recipients at transplantation who had idiopathic dilated cardiomyopathy (stadium D, 4 males, 1 female, age:  $50 \pm 3$ ).

Right atrial myocardium was obtained from patients (7 male, 5 female; age  $72.1 \pm 7.2$  years) who underwent coronary artery bypass grafting operations for coronary heart disease ( $n=8$ ) or valve disease operations ( $n=4$ ). None had clinical signs of heart failure. Medical treatment of right atrial tissue donors consisted of statins, nitrates, angiotensin-converting enzyme inhibitors, platelet inhibitors, diuretics and/or  $\beta$ -adrenoceptor antagonists. The drugs used for general anaesthesia were flunitrazepam, fentanyl and pancuronium bromide with propofol.

The study was approved by the local ethics committee and conforms with the Helsinki Declaration.

## Immunohistochemistry

### Pre-treatment of rat cardiomyocytes

In order to investigate eNOS activation, we performed incubation procedures with rat cardiomyocytes by adding nebivolol (10  $\mu$ M) or BRL 37344 (10  $\mu$ M) for 20 min. Finally, we rinsed each dish in 0.1 M phosphate buffered saline (PBS, in mmol/l: Na<sub>2</sub>HPO<sub>4</sub> 0.08, NaH<sub>2</sub>PO<sub>4</sub> 0.019, NaCl 0.15) buffer at least three times and stored at 4 °C until further immunocytochemical investigation.

### Pre-treatment and fixation of human myocardium

The human myocardial samples were immediately placed in ice-cold pre-aerated modified Tyrode's solution and delivered to the laboratory within 10 min. In order to investigate eNOS activation, we performed pre-incubation procedures with freshly obtained tissue. Muscle strips of right atrial or left ventricular myocardium were suspended in separate organ baths for at least 30 min at 37 °C with oxygenated Tyrode's solution (in mM: NaCl 119.8, KCl 5.4, MgCl<sub>2</sub> 1.05, CaCl<sub>2</sub> 1.8, NaH<sub>2</sub>PO<sub>4</sub> 0.42, glucose 5.05, ascorbic acid 0.28, Na<sub>2</sub>EDTA 0.05, pH: 7.4, 95% O<sub>2</sub>, 5% CO<sub>2</sub>). We then incubated the myocardium for 5 min with either nebivolol, metoprolol, carvedilol, BRL 37344 (each 10  $\mu$ M) or the solvent, before fixing in 4% paraformaldehyde for 4 h. Previous experiments have shown that maximum eNOS activation was reached after 5 min incubation (Pott et al., 2003; Brixius et al., 2004).

After the PFA-incubation, the cardiac tissue was rinsed in 0.1 M PBS for 24 h. Specimens were stored for another 18 h in PBS solution with 18% sucrose for cryoprotection and afterwards frozen at -80 °C with the help of a tissue tek embedding console system.

## Immunocytochemistry

Prior to immunohistochemical examination, 10  $\mu$ m slices of pre-treated myocardial tissue and the dishes with rat cardiomyocytes were placed in a bathing solution of 3% H<sub>2</sub>O<sub>2</sub> and 80% methanol for 30 min. We then permeabilized them with 0.2% Triton-X 100 in 0.1 mol/l PBS. Thereafter, specimens were treated with 5% bovine serum albumine (BSA) solution in PBS. Prior to each step, the sections were rinsed in PBS buffer



at least three times. Incubation with the primary antibody was performed in a PBS-based solution of 0.8% BSA for 12 h at 4 °C. After rinsing with PBS, the sections were incubated with the corresponding secondary biotinylated goat anti-rabbit antibody for 1 h at room temperature. A streptavidin–horseradish peroxidase complex was then applied as a detection system (1:100 dilution) for 1 h. Finally, staining was developed for 3–15 min with 3,3-diaminobenzidine tetrahydrochloride (DAB) in 0.05 mol/l TRIS–HCl buffer and 0.1% H<sub>2</sub>O<sub>2</sub>. Negative control sections were incubated without the primary antibody.

#### TV-densitometry

For intensity analysis of immunostaining in rat cardiomyocytes and human myocardial samples, we measured the grey values of 30 cardiomyocytes from 6 randomly selected areas of each slice. The intensity of immunostaining was reported as a percentage of the mean of measured cardiomyocyte grey value minus the background grey value (%mArGV), where 0% was defined as totally white and 100% as totally black. The background grey value was measured at a cell free area of the slice. For staining intensity detection a Nikon microscope coupled to a black and white video camera connected to a frame grabber was used. The analysis was performed using the Optimas 6.01 image analysis program.

We consequently excluded vascular structures.

#### Materials

The following primary antibodies were used for immunohistochemistry: 1) rabbit anti-eNOS antibody against the bovine

eNOS peptide (599–613) plus additional C-terminal Cys conjugated to KLH (PYNSSPREQHKSYKC) (Biomol, Hamburg, Germany). This antibody has been previously shown to be specific in detecting the eNOS protein after dissociation from caveolin, i.e. after translocation (Bloch, 2001); 2) anti-phospho-eNOS<sup>Ser1177</sup> (Upstate, Lake Placid, USA), corresponding to amino acids 1172–1183 of human eNOS.

As secondary antibody, a biotinylated goat anti-rabbit was used for accentuation. Nebivolol was generously provided by Berlin-Chemie AG, Berlin, Germany; Metoprolol by Astra Gmbh, Wedel, Germany; Carvedilol by Boehringer Mannheim, Germany. The preferential  $\beta_3$ -AR agonist BRL 37344 was obtained from Tocris (Bristol, United Kingdom).

#### Statistical analysis

All data are presented as mean  $\pm$  SEM. Data were analyzed using Student's *t*-test for paired and unpaired data. Significance was considered at *p* value < 0.05.

#### Results

##### Rat cardiomyocytes

To investigate whether nebivolol may influence eNOS activation in cardiac myocytes, possibly due to a  $\beta_3$ -adrenoceptor agonistic activity, we performed immunohistochemical staining using an antibody which specifically detects the activated form of the eNOS protein (Bloch et al., 2001). In addition, eNOS phosphorylation was measured at serine<sup>1177</sup>, a phosphorylation site which has been demonstrated to increase eNOS activity (Dimmeler et al.,

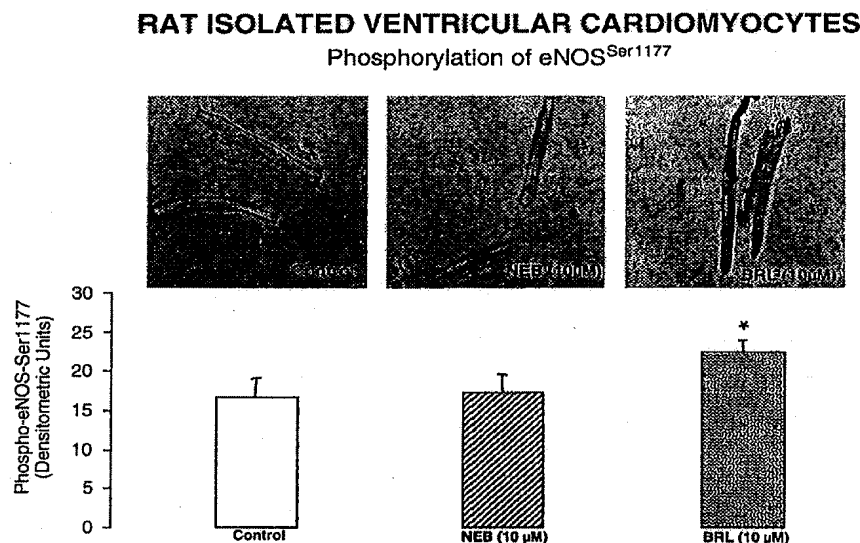


Fig. 1. Immunohistochemical detection of eNOS phosphorylation at serine<sup>1177</sup> (p-eNOS<sup>Ser1177</sup>) in rat ventricular cardiomyocytes. No increase in p-eNOS<sup>Ser1177</sup> staining occurs in rat cardiomyocytes under control conditions and in the presence of nebivolol (NEB, 10  $\mu$ M, 5 min, *n*=4 dishes, 30 cells per dish). After application of BRL 37344 (BRL, 10  $\mu$ M, 5 min, *n*=4 dishes, 30 cells per dish), an increase in p-eNOS<sup>Ser1177</sup> can be observed. \**p*<0.05.

1999). For comparison, we studied eNOS activation in isolated cardiomyocytes after application of BRL 37344 (10  $\mu$ M), a preferential  $\beta_3$ -adrenoceptor agonist, which has been previously shown to induce an eNOS activation via translocation and eNOS phosphorylation at Ser<sup>1177</sup>. Fig. 1 shows original pictures taken from the experiments and summarizes the results.

No alterations of eNOS translocation were observed after application of nebivolol or BRL 37344. eNOS<sup>Ser1177</sup> phosphorylation was only increased after application of BRL 37344.

#### Human right atrial myocardium

Species differences may exist regarding the activation of the  $\beta$ -adrenergic and the eNOS system. In addition, regional differences have been described for eNOS activation following  $\beta_3$ -adrenergic stimulation (Brixius et al., 2004). In order to investigate whether  $\beta$ -adrenoceptor blockers may influence eNOS activation in right atrial human myocardium, we performed immunohistochemical eNOS staining in isolated, electrically stimulated human right atrial myocardium

under control conditions ( $t=0$  min) and after application of nebivolol, metoprolol or carvedilol (10  $\mu$ M,  $t=5$  min). For comparison, experiments were performed in the presence of BRL 37344 (10  $\mu$ M,  $t=5$  min). Figs. 2 and 3 present pictures taken from original immunostainings and summarize the results.

No eNOS activation via translocation or eNOS<sup>Ser1177</sup> phosphorylation was observed in human right atrial myocardium myocytes after application of nebivolol, metoprolol or carvedilol. Only in the presence of BRL 37344 was a significant translocation and eNOS<sup>Ser1177</sup> phosphorylation detected in human right atrial myocardium.

#### Influence of beta-blockers on eNOS activation in human non-failing and failing left ventricular myocardium

Immunohistochemical studies were performed in isolated left ventricular trabeculae of human non-failing and failing left ventricular myocardium in the absence and presence nebivolol, metoprolol, carvedilol or BRL 37344 (each 10  $\mu$ M, 5 min).

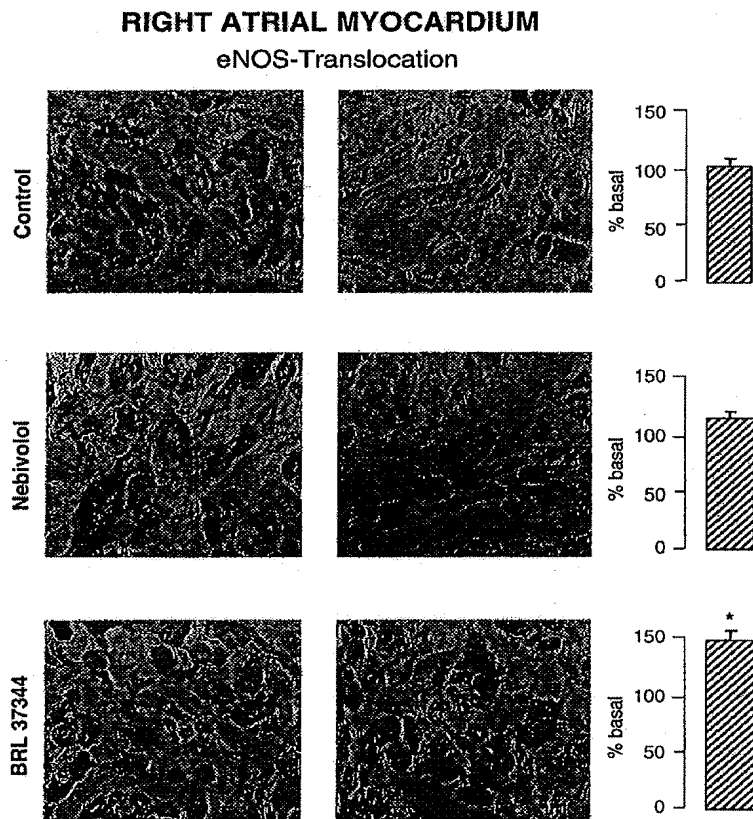


Fig. 2. eNOS translocation in human right atrial myocardium. Only after application of BRL 37344 (10  $\mu$ M, 5 min), can an increase in eNOS translocation be observed. \* $p<0.05$ .

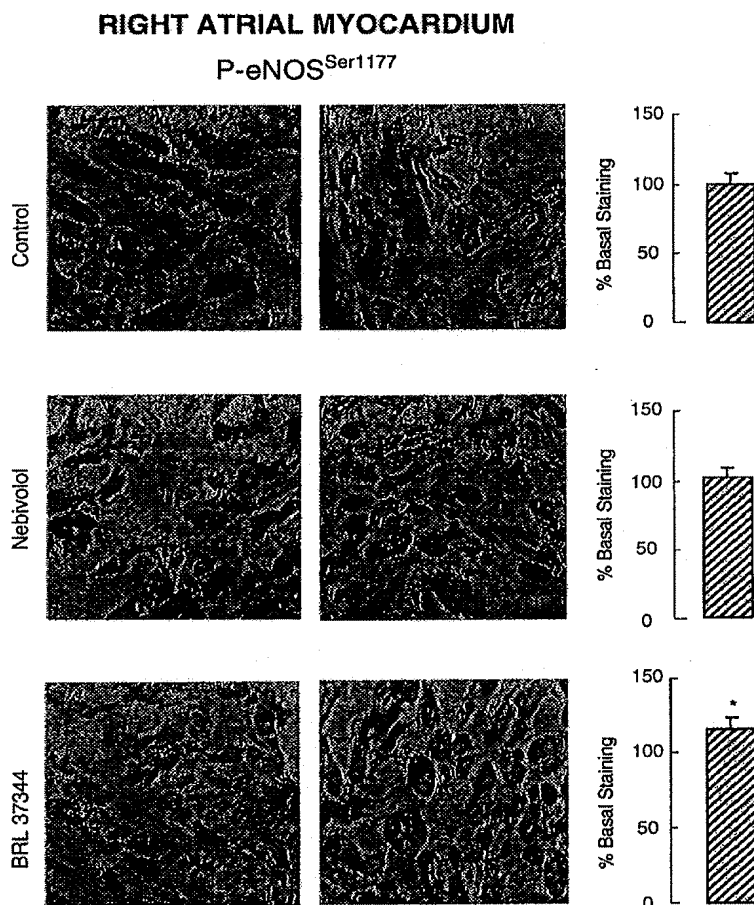


Fig. 3. Immunohistochemical detection of p-eNOS<sup>Ser1177</sup> protein in human right atrial myocardium. Only after application of BRL 37344 (10  $\mu$ M, 5 min), can an increase in eNOS phosphorylation at serine<sup>1177</sup> be observed. \* $p < 0.05$ .

Fig. 4 summarizes the results obtained in human non-failing myocardium. None of the  $\beta$ -adrenoceptor blockers altered eNOS translocation and eNOS<sup>Ser1177</sup> phosphorylation in human non-failing left ventricular cardiac myocytes.

Figs. 5 and 6 show original pictures and summarize the data obtained in human failing myocardium. Only BRL 37344 significantly increased eNOS translocation and Ser<sup>1177</sup> phosphorylation, whereas nebivolol decreased the two parameters compared to control. Metoprolol and carvedilol did not alter eNOS activity.

## Discussion

This study investigated the influence of nebivolol, carvedilol and metoprolol on eNOS activation in rat cardiomyocytes and human non-failing and failing myocardium. Whereas there was a clear reaction of BRL 37344, a preferential  $\beta_3$ -adrenoceptor agonist, on eNOS activation in cardiac tissue, nebivolol, carvedilol and metoprolol did not alter eNOS activity in cardiac

tissue. In human failing myocardium eNOS activation was depressed by nebivolol.

Nebivolol is a specific  $\beta_1$ -adrenoceptor blocker, which has been shown to cause an endothelial-dependent vasodilation by liberation of nitric oxide in various animal species and humans due – at least partly – to a stimulation of the  $\beta_3$ -adrenoceptors (Bowman et al., 1994; Cockcroft et al., 1995; Gosgnach et al., 2001). The eNOS protein is also expressed in cardiomyocytes and there is an indication that  $\beta_3$ -adrenergic stimulation by BRL 37344 may decrease cardiac contractility, especially in human failing myocardium due to eNOS activation and NO liberation (Gauthier et al., 1996, 1998). In this study, nebivolol did not alter eNOS activity in human non-failing myocardium and even depressed eNOS activation in the failing heart. A depression of eNOS activation may be beneficial in human end-stage failing hearts since it may reduce the impairment of cardiac contractility (Gauthier et al., 1996, 1998) and may also depress any oxidative stress which may result from an uncoupling of the eNOS protein (Dixon et al., 2003).

### HUMAN NON-FAILING LEFT VENTRICULAR MYOCARDIUM

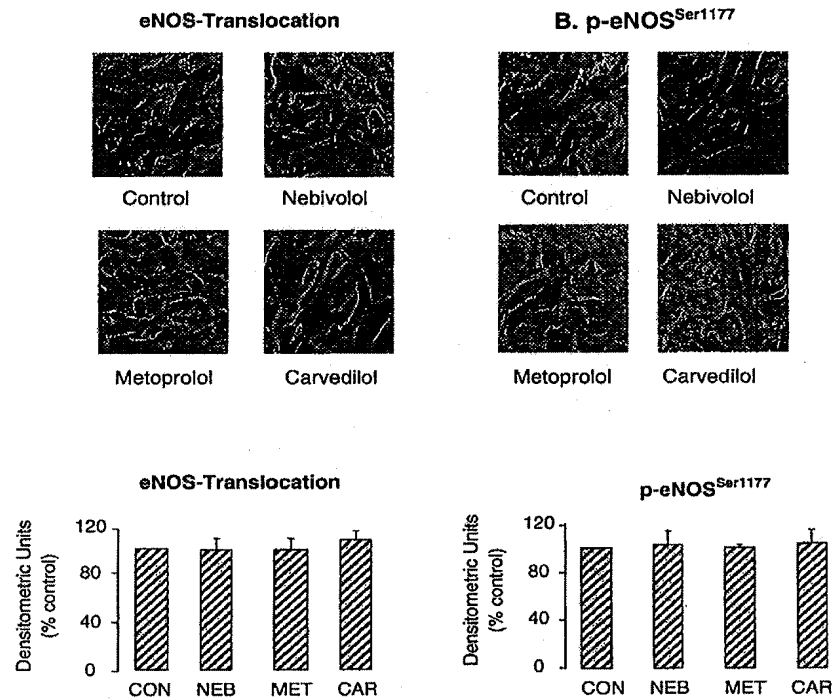


Fig. 4. eNOS translocation and p-eNOS<sup>Ser1177</sup> protein in human left ventricular non-failing myocardium. No alterations were observed in the presence of nebivolol, metoprolol, and carvedilol (each 10  $\mu$ M, 5 min) compared to control.

### HUMAN LEFT VENTRICULAR FAILING MYOCARDIUM

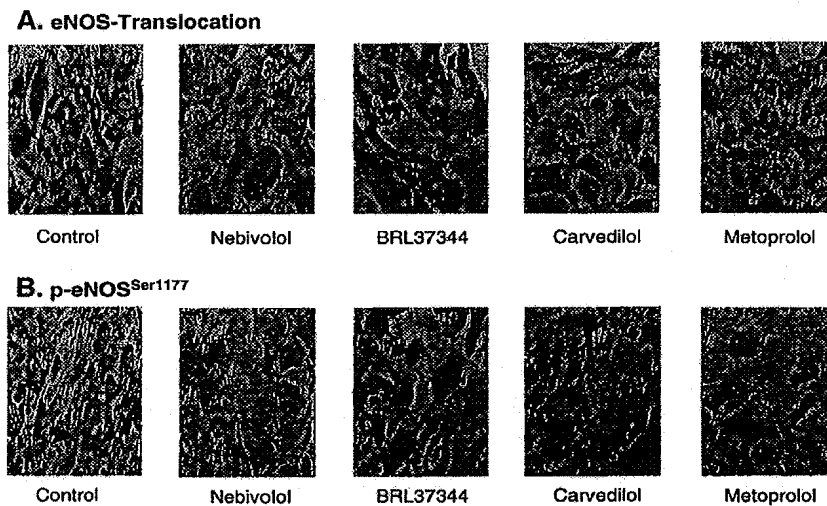


Fig. 5. Immunohistochemical detection of eNOS translocation and p-eNOS<sup>Ser1177</sup> protein in human left ventricular failing myocardium. Only in the presence of BRL 37344 (10 mM, 5 min) was a significant increase in eNOS translocation and phosphorylation detected. Application of nebivolol decreased eNOS translocation and Ser<sup>1177</sup> phosphorylation. No alterations were observed in the presence of metoprolol or carvedilol (each 10  $\mu$ M, 5 min) compared to control.

## HUMAN LEFT VENTRICULAR FAILING MYOCARDIUM

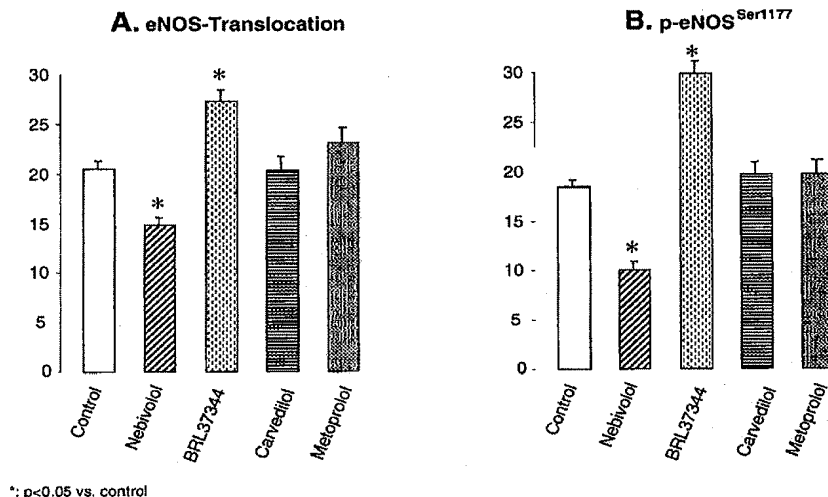


Fig. 6. Summarized data on the immunohistochemical detection of eNOS translocation and p-eNOS<sup>Ser1177</sup> protein in human left ventricular failing myocardium.

The tissue specific lack of eNOS activation by nebivolol in contrast to BRL 37344 may be due to nebivolol's  $\beta_1$ -adrenoceptor selective blocking properties. In contrast to nebivolol,  $\beta_1$ - and  $\beta_2$ -adrenoceptor agonistic properties have been described for BRL 37344, especially in human atrial myocardium (Pott et al., 2003). Thus, an interaction may exist between the three  $\beta$ -adrenoceptor subtypes which may either facilitate or decrease eNOS activation depending on the mechanisms by which the adrenoceptors are influenced by each drug. However, this has to be investigated in further studies.

Although we detected no clear mechanism to explain the lack of cardiac eNOS activation by nebivolol, the high vasoselectivity of NO-liberation by nebivolol may be of great importance regarding the NO- and  $\beta_3$ -adrenoceptor-mediated alterations of heart rate. In clinical settings,  $\beta_3$ -adrenoceptor agonists were shown to increase heart rate and blood pressure in humans (Wheeldon et al., 1994). In addition, in isolated, electrically stimulated human right atrial appendages CGP 12177 (in the presence of 200 nM propranolol to block  $\beta_1$ - and  $\beta_2$ -adrenoceptors) has been shown to cause positive chronotropic effects (Kaumann, 1996).

In conclusion, this study shows that nebivolol, metoprolol and carvedilol do not affect cardiac eNOS activation in human non-failing myocardium and even suppress eNOS activation in human failing myocardium. These vasoselective NO-liberating properties of nebivolol may be of special advantage when treating cardiovascular patients.

#### Acknowledgements

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# Activation of cAMP-dependent Signaling Induces Oxidative Modification of the Cardiac Na<sup>+</sup>-K<sup>+</sup> Pump and Inhibits Its Activity\*

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Cellular signaling can inhibit the membrane Na<sup>+</sup>-K<sup>+</sup> pump via protein kinase C (PKC)-dependent activation of NADPH oxidase and a downstream oxidative modification, glutathionylation, of the  $\beta_1$  subunit of the pump  $\alpha/\beta$  heterodimer. It is firmly established that cAMP-dependent signaling also regulates the pump, and we have now examined the hypothesis that such regulation can be mediated by glutathionylation. Exposure of rabbit cardiac myocytes to the adenylyl cyclase activator forskolin increased the co-immunoprecipitation of NADPH oxidase subunits p47<sup>phox</sup> and p22<sup>phox</sup>, required for its activation, and increased superoxide-sensitive fluorescence. Forskolin also increased glutathionylation of the Na<sup>+</sup>-K<sup>+</sup> pump  $\beta_1$  subunit and decreased its co-immunoprecipitation with the  $\alpha_1$  subunit, findings similar to those already established for PKC-dependent signaling. The decrease in co-immunoprecipitation indicates a decrease in the  $\alpha_1/\beta_1$  subunit interaction known to be critical for pump function. In agreement with this, forskolin decreased ouabain-sensitive electrogenic Na<sup>+</sup>-K<sup>+</sup> pump current (arising from the 3:2 Na<sup>+</sup>:K<sup>+</sup> exchange ratio) of voltage-clamped, internally perfused myocytes. The decrease was abolished by the inclusion of superoxide dismutase, the inhibitory peptide for the  $\epsilon$ -isoform of PKC or inhibitory peptide for NADPH oxidase in patch pipette solutions that perfuse the intracellular compartment. Pump inhibition was also abolished by inhibitors of protein kinase A and phospholipase C. We conclude that cAMP- and PKC-dependent inhibition of the cardiac Na<sup>+</sup>-K<sup>+</sup> pump occurs via a shared downstream oxidative signaling pathway involving NADPH oxidase activation and glutathionylation of the pump  $\beta_1$  subunit.

The membrane Na<sup>+</sup>-K<sup>+</sup> pump transports three Na<sup>+</sup> ions out of and two K<sup>+</sup> ions into cells against their electrochemical gradient using energy derived from hydrolysis of ATP. The Na<sup>+</sup> and K<sup>+</sup> ion gradients generated by the pump serve in secondary co- and counter-transport processes that maintain gradients for H<sup>+</sup>, Ca<sup>2+</sup>, Cl<sup>-</sup>, and various organic molecules. Changes in

the intracellular Na<sup>+</sup> concentration can have wide-ranging effects on the intracellular milieu and, hence, cell function, including excitability, energy metabolism, and excitation-contraction coupling. Regulation of the Na<sup>+</sup>-K<sup>+</sup> pump is, therefore, important for cell homeostasis.

The Na<sup>+</sup>-K<sup>+</sup> pump is a heterodimer that consists of a large  $\alpha$  subunit and a much smaller  $\beta$  subunit. Each exists in several isoforms with  $\alpha_1$  and  $\beta_1$  the most abundantly expressed (1). Hydrolysis of ATP and transport of Na<sup>+</sup> and K<sup>+</sup> are mediated by the  $\alpha$  subunit. The  $\beta$  subunit has a chaperone role in mediating membrane integration of newly synthesized  $\alpha$  subunits. In addition, it influences transport properties of the assembled  $\alpha/\beta$  heterodimer. A conformational rearrangement of the heterodimer during the catalytic cycle may couple the  $\beta$  subunit to function (2).

Oxidative stimuli induce glutathionylation, a reversible oxidative modification, of Cys-46 of the  $\beta_1$  subunit. Glutathionylation and an associated pump inhibition are abolished by mutation of Cys-46 indicating a causal relationship between the oxidative modification and pump function. This is supported by the absence of an effect of oxidative stimuli when expressed pump heterodimers contain wild-type  $\beta_2$  or  $\beta_3$  subunits that do not have a Cys-46 (3). The physiological relevance of glutathionylation is suggested by its coupling to hormone receptors and kinase-dependent signaling pathways. Exposure of cardiac myocytes to angiotensin II (Ang II)<sup>3</sup> increases glutathionylation and inhibits pump activity via protein kinase C (PKC)-dependent activation of NADPH oxidase (3, 4). Conversely, exposure of myocytes to  $\beta_3$  adrenergic receptor agonists decreases glutathionylation from base line and stimulates pump activity via activation of nitric oxide (NO) synthase and NO-dependent downstream pathways (5).<sup>4</sup> Thus, pump stimulation and inhibition are mediated by decreasing or increasing the degree of Cys-46 glutathionylation from base line.

Cyclic AMP-dependent messenger pathways have also been reported to regulate the Na<sup>+</sup>-K<sup>+</sup> pump. Such regulation occurs in many different tissues (6), including the heart (7–16), and is

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<sup>3</sup> The abbreviations used are: Ang II, angiotensin II; PKC, protein kinase C; PKA, protein kinase A; PLMI<sub>p</sub>, electrogenic Na<sup>+</sup>-K<sup>+</sup> pump current; RACK, receptor for activated kinase; DHE, dihydroethidium; SOD, superoxide dismutase; PLC, phospholipase C; Epac, exchange protein directly activated by cAMP.

<sup>4</sup> H. Bundgaard, C. Liu, A. Garcia, E. J. Hamilton, Y. Huang, K. K. Chia, S. H. Hunyor, G. A. Figtree, and H. H. Rasmussen, submitted for publication.

reported to cause either pump stimulation or inhibition. In this study we show that the adenylyl cyclase activator forskolin can induce glutathionylation of the  $\beta_1$  Na<sup>+</sup>-K<sup>+</sup> pump subunit in cardiac myocytes. As expected from the glutathionylation of the subunit (3), exposure of voltage-clamped myocytes to forskolin decreased electrogenic Na<sup>+</sup>-K<sup>+</sup> pump current ( $I_p$ ). Parallel studies on intact myocytes and functional studies on voltage-clamped, internally perfused myocytes implicated the same oxidative signaling pathway in the forskolin-induced glutathionylation of the  $\beta_1$  subunit and decrease in  $I_p$ .

## EXPERIMENTAL PROCEDURES

**Cells**—Ventricular myocytes were isolated from rabbit hearts as described previously (17). They were stored at room temperature until used for experimentation and were used on the day of isolation only. Experimental protocols were approved by our institutional animal ethics and care committee.

**Protein Co-immunoprecipitation and Detection of Glutathionylated Protein**—Cells were lysed in ice-cold buffer containing 150 mmol/liter NaCl, 50 mmol/liter Tris-HCl (pH 8.0), EDTA, and 1% Triton X-100. Protease inhibitors were added. The lysates were clarified by centrifugation at  $16,000 \times g$  for 20 min and incubated with monoclonal antibody to the protein of interest or control IgGs. Protein A/G plus-agarose beads (40  $\mu$ l of a 50% slurry) were added to the supernatant for a further incubation of 1 h at 4°C. Immunoprecipitated proteins were eluted by boiling for 5 min in Laemmli sample buffer. Immune complexes were analyzed by SDS/PAGE and Western blot by probing with antibodies to  $\epsilon$ PKC (BD Biosciences), the receptor for the activated kinase ( $\epsilon$ RACK, BD Biosciences), p22<sup>phox</sup> (Santa Cruz Biotechnology), p47<sup>phox</sup> (Santa Cruz Biotechnology), and the  $\alpha_1$  or  $\beta_1$  subunit of Na<sup>+</sup>-K<sup>+</sup>-ATPase (Upstate Biotechnology). To detect S-glutathionylation of pump subunits, myocytes were loaded with biotinylated glutathione (GSH). After lysis, the biotin-tagged glutathionylated subfraction was precipitated using streptavidin-Sepharose beads (18) and immunoblotted for  $\alpha_1$  and  $\beta_1$  Na<sup>+</sup>-K<sup>+</sup> pump subunits. In separate experiments the  $\beta_1$  subunit immunoprecipitate was immunoblotted with an antibody against glutathionylated protein (anti-GSH antibody, Invitrogen). Western blots were quantified by densitometry using a Las-4000 image reader and Multi Gauge 3.1 software (Fuji Photo Film Co., Ltd.) and normalized against relevant control. Exposure times were adjusted to ensure that the variation in signal intensity was in the linear dynamic range.

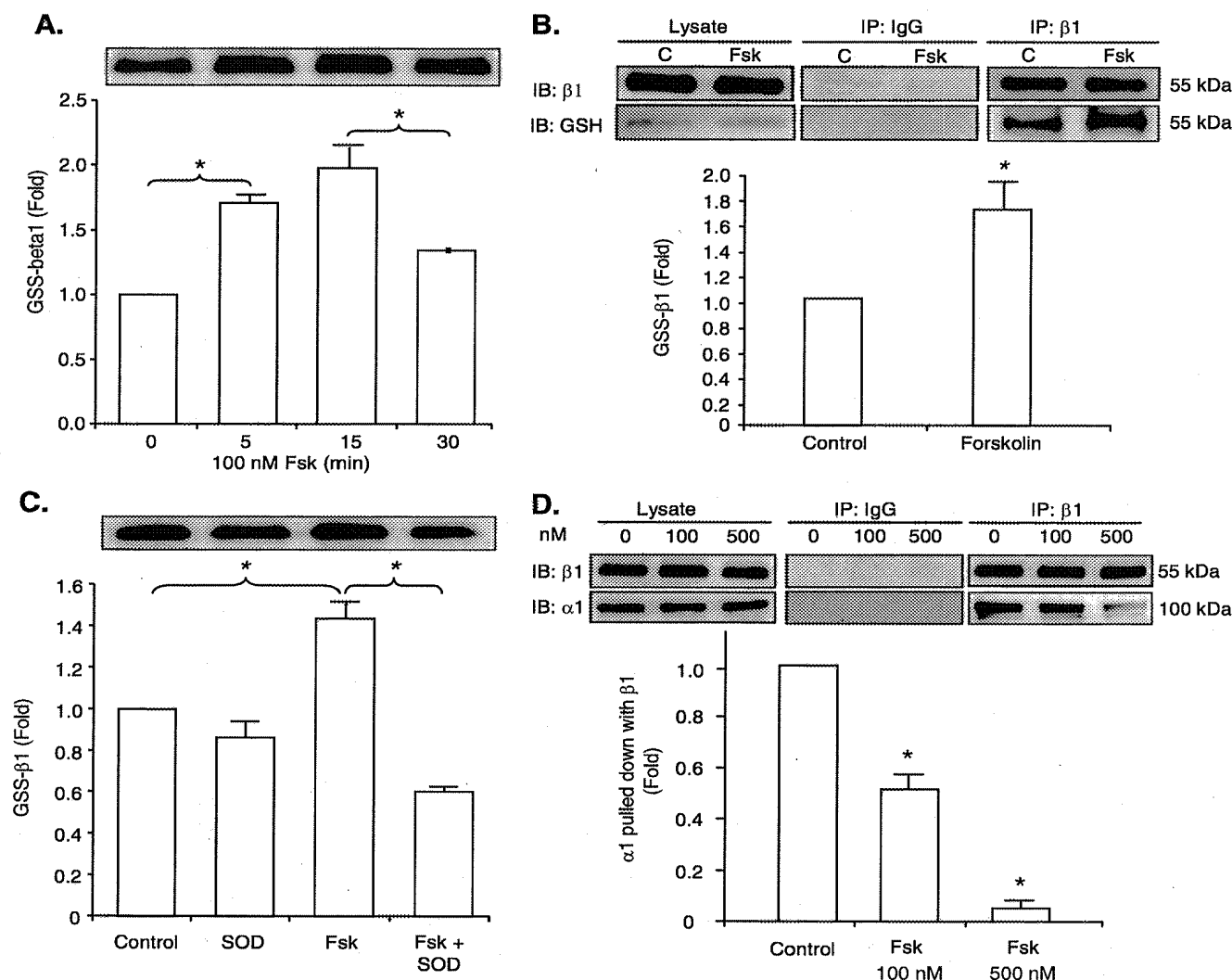
**Fluorescence Microscopy**—The oxidative fluorescent dye dihydroethidium (DHE) was used to image intracellular O<sub>2</sub><sup>-</sup> as described previously (19, 20). Myocytes were incubated in the dark in Krebs solution containing 2  $\mu$ mol/liter DHE for 20 min at 37°C. In some experiments they were preincubated in 170 IU/ml pegylated superoxide dismutase (pegylated SOD, covalently attached polyethylene glycol polymer chain to SOD, which enhances cell association of the enzyme), 10  $\mu$ mol/liter apocynin, or 10  $\mu$ mol/liter myristoylated  $\epsilon$ PKC inhibitory peptide for 20 min before loading with DHE. Myocytes were then exposed to control solutions or solutions containing 100 nmol/liter forskolin for 10 min before fixation in 2% paraformaldehyde on ice for 4 min. They were washed and mounted on poly-L-lysine-coated glass slides in Vectashield and examined

under a laser scanning confocal microscope (Nikon C1) equipped with an argon-krypton laser. The excitation wavelength was 488 nm, and the emission wavelength was 585 nm. The fluorescence images were obtained using constant settings of scanning speed, pinhole diameter, and voltage gain. Myocytes representative of each experimental condition were selected randomly for quantification of fluorescence intensity (Photoshop, Adobe). Only myocytes with clear striations and a rod-like shape were included in the analysis. The average intensity for cells from each experiment was normalized against its control (100%).

**Measurement of  $I_p$  in Voltage-clamped Myocytes**—Solutions and voltage clamp protocol were designed to minimize non-pump membrane currents in voltage-clamped myocytes. We used wide-tipped patch pipettes (4–5  $\mu$ m) filled with solutions containing 5 mmol/liter HEPES, 2 mmol/liter MgATP, 5 mmol/liter EGTA, 70 mmol/liter potassium glutamate, 10 mmol/liter sodium glutamate, and 80 mmol/liter tetramethylammonium chloride. The solution also contained 0.01 mmol/liter L-arginine when indicated. Myocytes were initially superfused with solution containing 140 mmol/liter NaCl, 5.6 mmol/liter KCl, 2.16 mmol/liter CaCl<sub>2</sub>, 1 mmol/liter MgCl<sub>2</sub>, 10 mmol/liter glucose, 0.44 mmol/liter NaH<sub>2</sub>PO<sub>4</sub>, 10 mmol/liter HEPES, and subsequently with a solution that was nominally Ca<sup>2+</sup>-free and contained 2 mmol/liter BaCl<sub>2</sub> and 0.2 mmol/liter CdCl<sub>2</sub>. Na<sup>+</sup>-containing compounds in this solution were replaced with N-methyl-D-glutamine (21) to avoid transmembrane Na<sup>+</sup> influx that might cause an increase in the intracellular Na<sup>+</sup> concentration and secondary pump stimulation. This solution also included 100 nmol/liter forskolin when indicated. Because forskolin is expected to activate a large Cl<sup>-</sup> current, we voltage-clamped myocytes at the equilibrium potential for Cl<sup>-</sup>, calculated from the composition of the superfusate and pipette solution (-14 mV).  $I_p$  was identified as the difference between stable holding current before and after Na<sup>+</sup>-K<sup>+</sup> pump blockade with 100  $\mu$ mol/liter ouabain. Na<sup>+</sup>-K<sup>+</sup> pump currents are small relative to other membrane currents, and it is important for their accurate measurement that holding currents are stable before and after superfusion of ouabain. We used predetermined criteria for stability of holding currents, and the shift in the currents was induced by ouabain (22).  $I_p$  was normalized for membrane capacitance and, hence, cell size. We switched to the ouabain-containing superfusate 8–10 min after the whole-cell configuration had been established in all experiments. As the effect of ouabain is not reversible within the time frame that stable holding currents can be reliably measured (22, 23), the suspension of myocytes was removed at the conclusion of the experimental protocol, and a new aliquot of myocytes was added to the tissue bath in ouabain-free solution. As a consequence, only one myocyte was studied per bath.

**Statistical Analysis**—Results are expressed as the mean  $\pm$  S.E. One-way analysis of variance was used for analysis of co-immunoprecipitation data, and a Student's *t* test was used for paired data, with single tail distribution for analysis of differences in DHE fluorescence intensity levels between experiments and controls. Student's *t* test for unpaired data was used for analysis of patch clamp data. *p* < 0.05 is regarded as significant in all comparisons.





**FIGURE 1. Effect of forskolin on glutathionylation of the Na<sup>+</sup>-K<sup>+</sup> pump  $\beta_1$  subunit and  $\alpha_1/\beta_1$  subunit interaction.** A, the immunoblot shows biotinylated GSS- $\beta_1$  subunit after exposure of myocytes loaded with biotin-GSH to forskolin for 5, 15, or 30 min or vehicle control. B, shown is the effect of forskolin on glutathionylation of the  $\beta_1$  subunit as shown by immunoblotting (IB) the  $\beta_1$  subunit immunoprecipitate (IP) with GSH antibody. The immunoblot of the cell lysate and non-immune IgG control are also shown. C, control. C, shown is the effect of SOD on forskolin-induced glutathionylation as detected by the biotin-GSH technique. D, shown is the effect of forskolin on Na<sup>+</sup>-K<sup>+</sup> pump  $\alpha_1/\beta_1$  subunit co-immunoprecipitation. Representative  $\alpha_1$  and  $\beta_1$  subunit immunoblots after immunoprecipitation with  $\beta_1$  subunit antibody are shown. The histograms show mean densitometry of blots from three-four experiments, each normalized against control (%). IP indicates the antibody used for immunoprecipitation. IB indicates the antibody used for immunoblot. The asterisk indicates significant difference versus control.

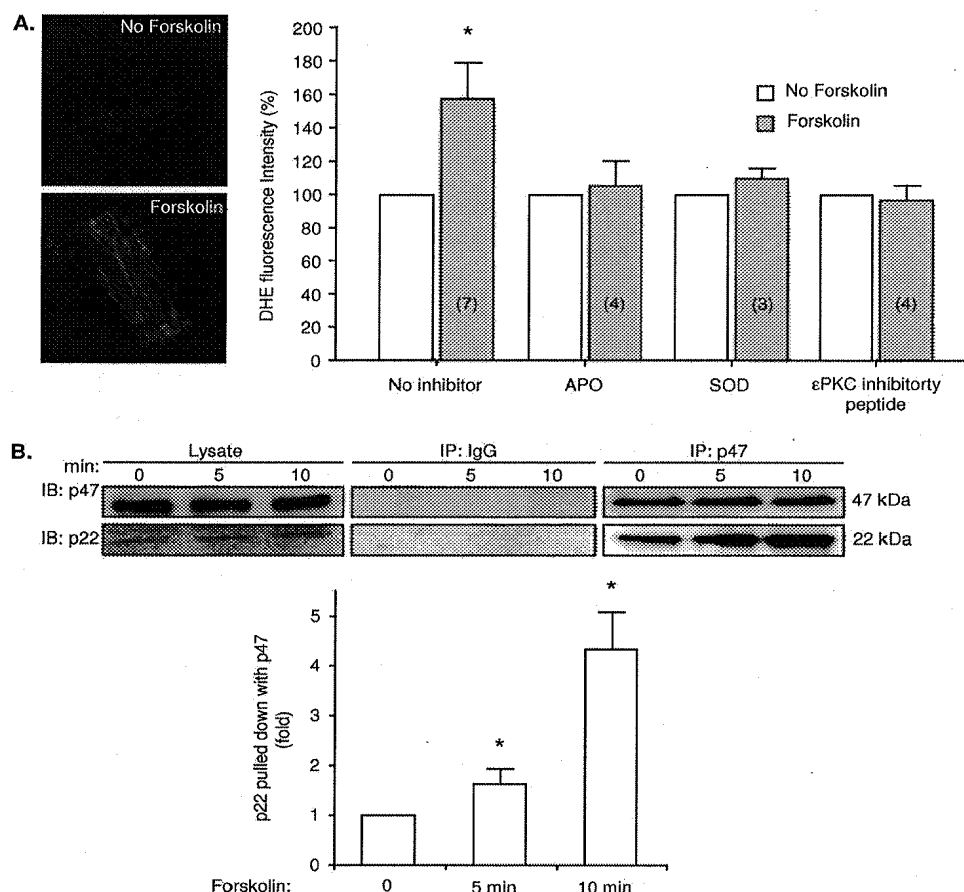
## RESULTS

**Forskolin Induces Glutathionylation of the  $\beta_1$  Pump Subunit and Decreases Its Co-immunoprecipitation with the  $\alpha_1$  Subunit—**To examine the effect of cAMP-dependent signaling on glutathionylation of the pump  $\beta_1$  subunit (3), myocytes were loaded with biotin-tagged GSH. They were then exposed to control solution or solution containing 100 nmol/liter forskolin for 5, 15, or 30 min. The cells were lysed, and the glutathionylated protein subfraction was pulled down with streptavidin beads and immunoblotted with  $\beta_1$  subunit antibody. Fig. 1A shows that there was an increase in glutathionylation of the  $\beta_1$  subunit after 5 min of exposure to forskolin. The increase was sustained with exposure for 15 min, but there was a subsequent decrease

by 30 min.<sup>5</sup> Fig. 1B shows that forskolin also increased glutathionylation of the  $\beta_1$  subunit as detected by the independent GSH antibody technique (3). As shown in Fig. 1C, preincubation of myocytes in solutions containing pegylated SOD abolished the forskolin-induced glutathionylation.

The interaction of the pump  $\beta$  subunit with the catalytic  $\alpha$  subunit is important for function, and glutathionylation of the  $\beta_1$  subunit is associated with a reduction in its co-immunoprecipitation with the  $\alpha_1$  subunit (3). We examined the effect of forskolin on  $\alpha_1/\beta_1$  subunit co-immunoprecipitation. Myocytes

<sup>5</sup> Results of molecular, DHE fluorescence, and patch clamp studies used in this study are summarized and compared in Fig. 7.



**FIGURE 2. Effect of forskolin on myocyte  $\text{O}_2^-$ -sensitive DHE fluorescence and NADPH oxidase activation.** A, confocal fluorescent micrographs and mean DHE-fluorescence intensity of control myocytes and myocytes exposed to forskolin are shown. Forskolin increased the fluorescence intensity. This increase was abolished by pegylated-SOD, apocynin (APO), or myristoylated  $\epsilon$ PKC inhibitory peptide. The number of experiments is shown in parentheses. B, shown is co-immunoprecipitation of  $\text{p47}^{\text{phox}}$  and  $\text{p22}^{\text{phox}}$  subunits of NADPH oxidase. Representative immunoblots of  $\text{p47}^{\text{phox}}$  and  $\text{p22}^{\text{phox}}$  immunoprecipitated with antibody to the  $\text{p47}^{\text{phox}}$  subunit after exposure of myocytes to forskolin are shown. IP indicates the antibody used for immunoprecipitation. IB indicates the antibody used for immunoblot. The histogram shows the mean densitometric measurements of immunoblots from three experiments standardized to the value of control samples. The asterisk represents significant difference compared with control.

were exposed to 100 or 500 nmol/liter forskolin for 15 min before lysis. The lysate was immunoprecipitated with  $\beta_1$  subunit antibody and immunoblotted with  $\alpha_1$  subunit antibody. Fig. 1D shows that forskolin reduced  $\alpha_1/\beta_1$  subunit co-immunoprecipitation.

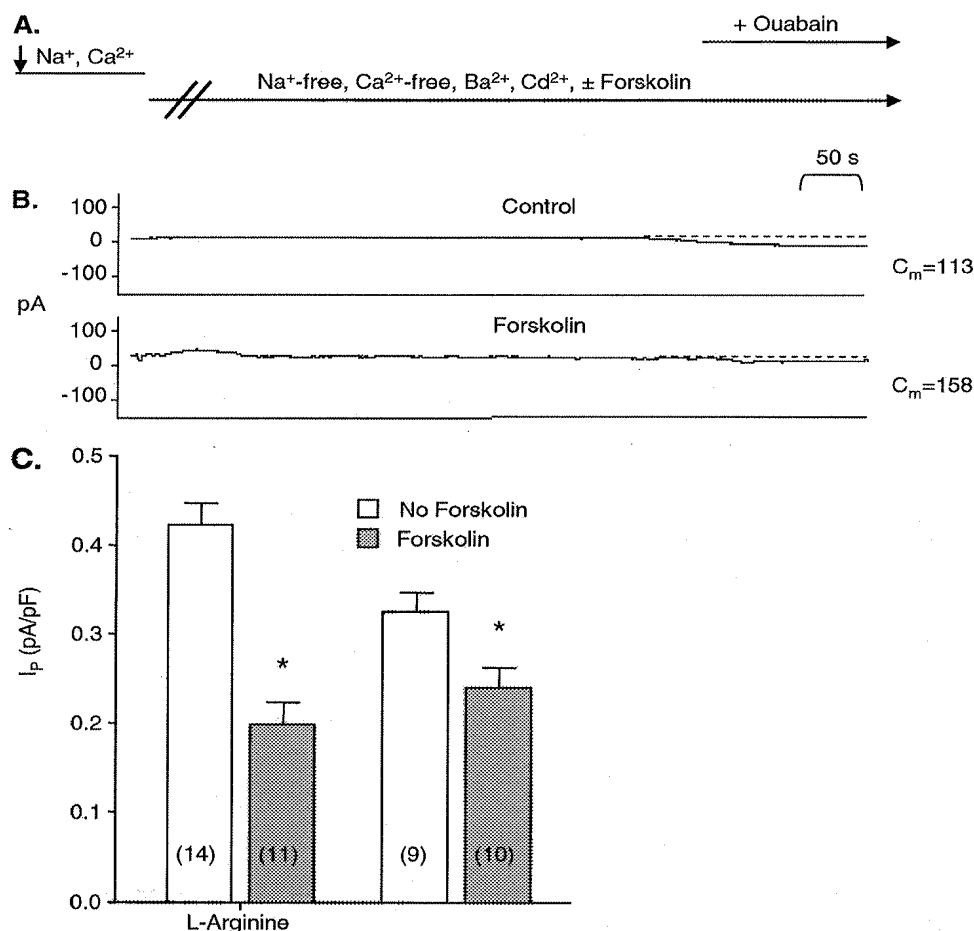
**Forskolin Induces  $\epsilon$ PKC-dependent Activation of NADPH Oxidase**—As the  $\text{Na}^+/\text{K}^+$  pump subunits co-immunoprecipitate with the membrane-associated  $\text{p22}^{\text{phox}}$  subunits of NADPH oxidase in cardiac myocytes (4) and SOD abolished the forskolin-induced glutathionylation, we examined if forskolin activates NADPH oxidase. Two techniques were used,  $\text{O}_2^-$ -sensitive DHE fluorescence and co-immunoprecipitation of the cytosolic  $\text{p47}^{\text{phox}}$  NADPH oxidase subunit with  $\text{p22}^{\text{phox}}$ , reflecting the translocation that is necessary for activation. We loaded myocytes with DHE and exposed them to 100 nmol/liter forskolin for 10 min or to control solutions. Representative micrographs and a summary histogram are shown in Fig. 2A. Forskolin increased the intensity of DHE fluorescence. Prein-

cubation of myocytes in solutions containing 170 IU/ml pegylated SOD or 10  $\mu\text{mol/liter}$  NADPH oxidase inhibitor apocynin blocked the increase in fluorescence supporting the specificity of the fluorescence signal and implicating the source of  $\text{O}_2^-$ . Phosphorylation of the  $\text{p47}^{\text{phox}}$  by PKC, but not PKA, can activate NADPH oxidase (24), and we have previously found that activation of NADPH oxidase can be inhibited by an  $\epsilon$ PKC-inhibitory peptide (4). To examine if the forskolin-induced increase in DHE fluorescence might be dependent on  $\epsilon$ PKC, we incubated myocytes with 10  $\mu\text{mol/liter}$  membrane-permeable, myristoylated  $\epsilon$ PKC inhibitory peptide. It abolished the forskolin-induced increase in fluorescence. To examine if forskolin increases co-immunoprecipitation of the  $\text{p47}^{\text{phox}}$  NADPH oxidase subunit with the  $\text{p22}^{\text{phox}}$  subunit, we exposed myocytes to 100 nmol/liter forskolin or to control solutions for 15 min. Cell lysate was immunoprecipitated with antibody to the  $\text{p47}^{\text{phox}}$  subunit, and the precipitate was immunoblotted with antibody to  $\text{p22}^{\text{phox}}$ . Fig. 2B shows that forskolin increased the co-immunoprecipitation.

**Forskolin Inhibits  $I_p$  in Cardiac Myocytes**—The forskolin-induced increase in glutathionylation of the  $\beta_1$  subunit of the  $\text{Na}^+/\text{K}^+$  pump in cardiac myocytes shown in Fig. 1 is expected to cause pump inhibition

(3) and is, therefore, difficult to reconcile with the pump stimulation widely reported to be mediated by cAMP-dependent pathways. We examined the effect of forskolin on  $I_p$  measured in myocytes with the whole-cell patch clamp technique. With this technique, the intracellular compartment is perfused with patch pipette solutions, and the concentration of some low molecular weight substances is expected to be altered. L-Arginine is of particular interest as either a decrease or an increase in its concentration may promote oxidation; a reduction in L-arginine levels may uncouple nitric-oxide synthase to preferentially synthesize superoxide rather than NO. Conversely, NO synthesized from supplemental L-arginine may combine with superoxide to form the highly oxidant species peroxynitrite. To minimize risk of an experimental artifact resulting from alterations in intracellular L-arginine concentrations, initial experiments were performed with and without L-arginine included in patch pipette solutions. In a first series of experiments we included 10  $\mu\text{mol/liter}$  L-arginine in pipette solutions, a con-

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**FIGURE 3. Effect of forskolin on  $\text{Na}^+/\text{K}^+$  pump current in myocytes.** Panel A shows the timing of changes in the composition of superfusates. The arrow on the left side of the panel indicates establishment of the whole-cell configuration and, hence, perfusion of the intracellular compartment with pipette solution. The switch from a  $\text{Ca}^{2+}$ -containing, forskolin-free solution in the tissue bath to a nominally  $\text{Ca}^{2+}$ -free solution containing  $\text{Ba}^{2+}$ ,  $\text{Cd}^{2+}$ , and forskolin and the switch to a solution also containing ouabain (the arrow on the right side of the panel) are shown. Panel B shows examples of holding currents. Large changes in the currents that occur the first 1–2 min after the switch to  $\text{Na}^+$ - and  $\text{Ca}^{2+}$ -free  $\text{Ba}^{2+}$ - and  $\text{Cd}^{2+}$ -containing superfusate before currents stabilize are not shown. Stable holding currents before exposure of myocytes to ouabain are important for the measurement of  $I_p$ . Stability of the currents as well as the ouabain-induced shift in them was identified from the read-out of an electronic cursor.  $C_m$  indicates membrane capacitance in picofarads (pF). Panel C shows the mean  $I_p$  normalized for membrane capacitance. The pipette solution contained L-arginine where indicated. The numbers of myocytes in each group are indicated in parentheses. The asterisk indicates a significant difference between the means of  $I_p$ .

centration  $\sim 10$ -fold above the  $K_D$  for relevant nitric-oxide synthase isoforms (19). The myocytes were superfused with forskolin-free "standard"  $\text{Na}^+$ - and  $\text{Ca}^{2+}$ -containing Tyrode solution, whereas the whole-cell configuration was established. We then switched to a nominally  $\text{Na}^+$ -free superfusate to rule out influx of  $\text{Na}^+$  that might cause secondary pump stimulation. This superfusate was also nominally  $\text{Ca}^{2+}$ -free. It contained forskolin or was forskolin-free in control experiments. Fig. 3A shows the timing of changes in the composition of superfusates. Fig. 3B shows currents of a control myocyte and a myocyte exposed to forskolin.  $I_p$  was smaller for the myocyte exposed to forskolin than for the control myocyte. Fig. 3C shows that forskolin induced a statistically significant decrease in mean  $I_p$ . An independent set of experiments was performed using an identical protocol with the exception that pipette solu-

tions did not contain L-arginine. Results are included in Fig. 3C. In agreement with our previous study (19),  $I_p$  in control experiments was smaller than in experiments performed using patch pipettes containing L-arginine. However, forskolin again significantly reduced  $I_p$ . Holding currents after  $\text{Na}^+/\text{K}^+$  pump blockade with ouabain in both sets of experiments were very small and similar for control myocytes and myocytes exposed to forskolin, consistent with use of the calculated equilibrium potential for chloride as the test potential. Although qualitatively similar, the forskolin-induced decrease in  $I_p$  appeared larger when L-arginine was included in pipette solutions. To avoid a bias in favor of  $\text{Na}^+/\text{K}^+$  pump inhibition that we expected from the  $\beta_1$  subunit glutathionylation and to facilitate comparisons with previous studies, we used patch pipette solutions without added L-arginine in all subsequent experiments.

**Effect of H-89 and  $\epsilon$ PKC Inhibitory Peptide on the Forskolin-induced  $\text{Na}^+/\text{K}^+$  Pump Inhibition**—We next included 500 nmol/liter H-89, an inhibitor of PKA, in patch pipette solutions and exposed myocytes to superfusate with or without forskolin. Fig. 4 shows that H-89 abolished the decrease in  $I_p$ . Because cross-talk between the  $\epsilon$ PKC and PKA has been reported (25), we included 100 nmol/liter  $\epsilon$ PKC inhibitory peptide in patch pipette solutions (26). It abolished the forskolin-induced decrease in

$I_p$ . PKA-dependent activation of PKC is mediated by phospholipase C (PLC) in non-cardiac tissues (25, 27, 28). We, therefore, included the PLC inhibitor U-73,122 in pipette solutions in a concentration of 1  $\mu\text{mol/liter}$ . This abolished the forskolin-induced decrease in  $I_p$  as shown in Fig. 4.

Functional studies in myocytes internally perfused with patch pipette solution supported a role for  $\epsilon$ PKC in forskolin-induced pump inhibition. We examined if forskolin increases co-immunoprecipitation of  $\epsilon$ PKC with  $\epsilon$ RACK in intact myocytes. Ang II, a known activator of  $\epsilon$ PKC in cardiac myocytes (4), was used as a positive control. Myocytes were exposed to 100 nmol/liter forskolin, 100 nmol/liter Ang II, or vehicle control solutions for 15 min. The lysate was immunoprecipitated with antibodies to  $\epsilon$ PKC, and the precipitate was immunoblotted with antibodies to  $\epsilon$ RACK. Fig. 5 shows that both Ang II

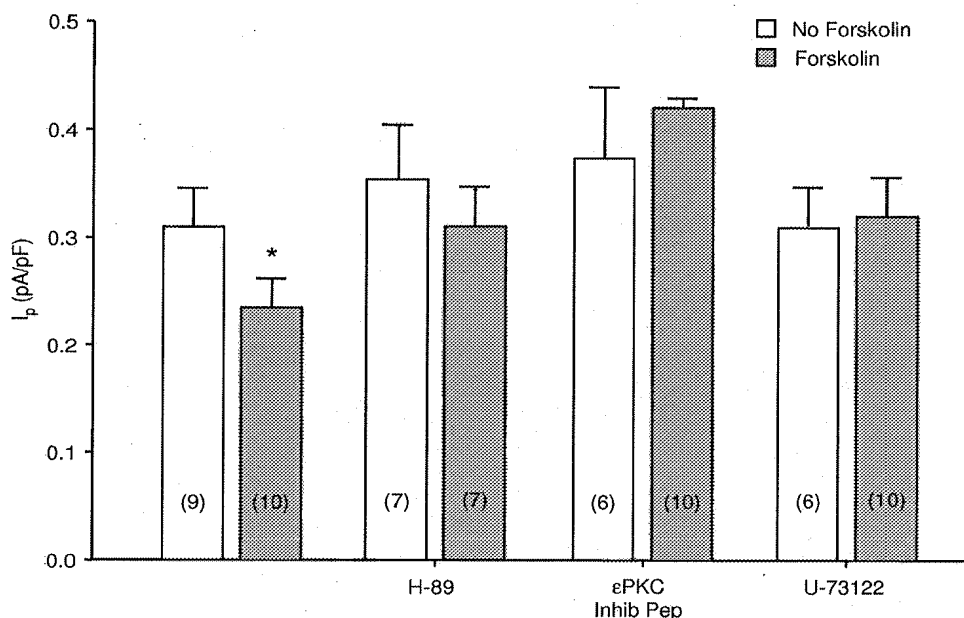


FIGURE 4. Effects of inhibitors of PKA, ePKC and PLC on forskolin-induced Na<sup>+</sup>-K<sup>+</sup> pump inhibition. Myocytes were perfused with pipette solutions containing H89, ePKC inhibitory peptide, or U-73122 as indicated. The data from myocytes not exposed to inhibitors, previously presented in Fig. 1, is included for reference. The numbers of myocytes in each group are indicated in parentheses. The asterisk indicates a significant difference compared with control. pF, picofarads.

and forskolin induced an increase in co-immunoprecipitation of ePKC with eRACK. Preincubation of myocytes with 500 nmol/liter H-89 prevented the forskolin-, but not the Ang II-induced increase in co-immunoprecipitation. These results suggest activation of ePKC is sensitive to H-89, consistent with the functional effects of both H-89 and the ePKC inhibitory peptide to abolish the forskolin-induced decrease in  $I_p$  shown in Fig. 4.

**Oxidative Signaling Mediates the Forskolin-induced Decrease in  $I_p$** —We next examined the role of NADPH oxidase and oxidant signaling in the forskolin-induced decrease in  $I_p$ . To examine the role of O<sub>2</sub><sup>-</sup>, we included 200 IU/ml SOD in patch pipette solutions and measured  $I_p$  after exposing the patch-clamped myocytes to superfusates containing forskolin or to control superfusates. Fig. 6 shows that SOD abolished the forskolin-induced decrease in  $I_p$ . In another series of experiments we inhibited NADPH oxidase. We exposed myocytes to 10  $\mu$ mol/liter apocynin, included in the superfusate because it is readily membrane-permeable, or we used the gp91ds peptide to inhibit docking of p47<sup>phox</sup> and, hence, activation of NADPH oxidase. The gp91ds peptide is usually considered a low efficacy inhibitor when combined with the *tat* peptide that is used to facilitate transmembrane entry into intact cells (29). However, the whole cell patch clamp technique allowed us to directly perfuse the intracellular compartment with a *tat*-free compound. We included it in patch pipette solutions in a concentration of 10  $\mu$ mol/liter, a concentration expected to prevent activation of NADPH oxidase (4). As shown in Fig. 6, forskolin-induced pump inhibition was abolished by apocynin or gp91ds peptide.

## DISCUSSION

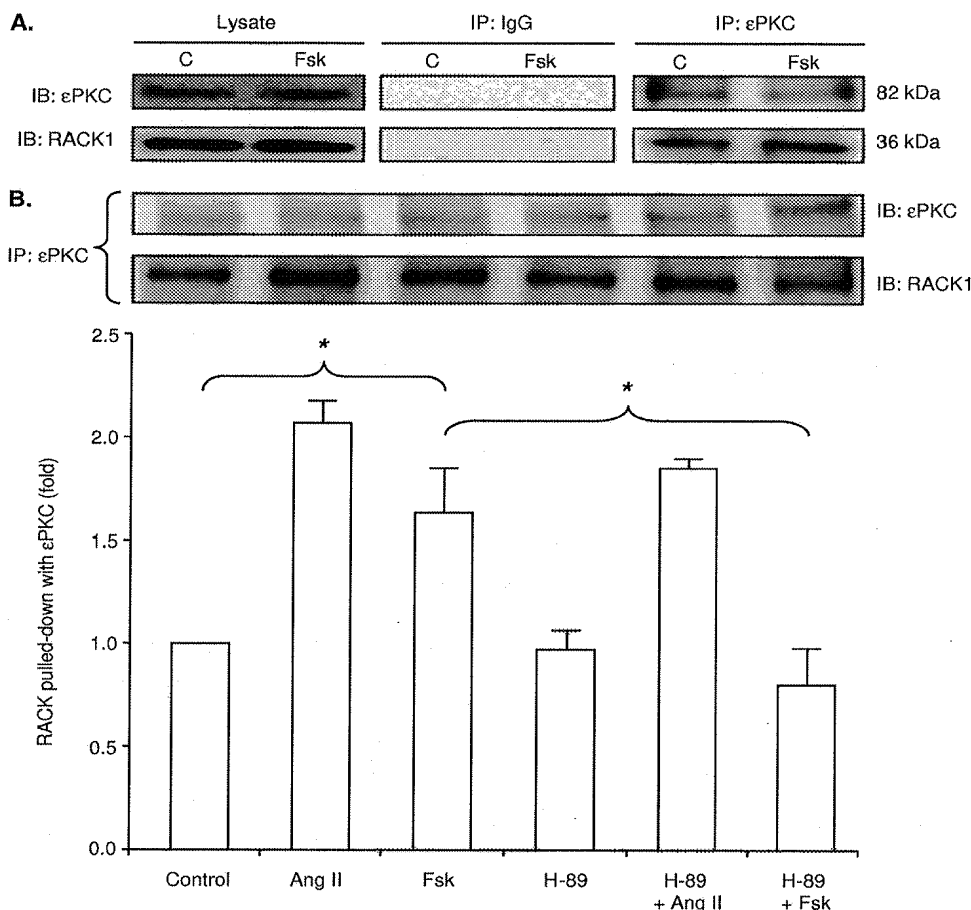
Na<sup>+</sup>-K<sup>+</sup> pump inhibition mediated by cAMP-dependent pathways in cardiac myocytes was reported in early studies (8, 9), but many more recent studies have reported that these pathways mediate pump stimulation either by increasing maximal pump rate or by increasing pump affinity for intracellular Na<sup>+</sup> (7, 11–16, 30). In our study, forskolin induced glutathionylation of the Na<sup>+</sup>-K<sup>+</sup> pump  $\beta_1$  subunit. Because glutathionylation of the subunit is causally related to Na<sup>+</sup>-K<sup>+</sup> pump inhibition (3), this is concordant with the forskolin-induced pump inhibition we demonstrated in functional studies.

We used the whole-cell patch clamp technique to examine functional effects of cAMP-dependent pathways on the Na<sup>+</sup>-K<sup>+</sup> pump, as have most previous studies. If wide-tipped patch pipettes are used, the technique will in principle allow control of membrane voltage and

the concentration of Na<sup>+</sup>-K<sup>+</sup> pump ligands on both sides of the membrane in a largely intact cell. However, sources of error from transmembrane Na<sup>+</sup> influx (31) or unstable base-line membrane currents can easily contaminate measurement of the small pump currents. Even when wide-tipped patch pipettes are used, agonist-induced Na<sup>+</sup> influx and secondary pump stimulation can cause a large increase in the  $I_p$  that is measured (32). We used wide-tipped patch pipettes to optimize control of the intracellular milieu, and we used Na<sup>+</sup>-free superfusates to eliminate errors from transmembrane Na<sup>+</sup> influx. Activation of the cAMP-dependent Cl<sup>-</sup> current might change the intracellular Cl<sup>-</sup> concentration and, hence, the electrochemical driving force for the Na<sup>+</sup>/K<sup>+</sup>/2Cl<sup>-</sup> co-transporter. Activation of the co-transporter in turn can alter the ouabain-sensitive current that defines  $I_p$  (32). We used the calculated equilibrium potential for Cl<sup>-</sup> as the test potential to eliminate a net change in cAMP-dependent Cl<sup>-</sup> channel fluxes. As indicated by the similar holding currents after superfusion of ouabain in experiments performed with and without forskolin, this objective was achieved.

Experimental activation of cAMP-dependent pathways in previous studies on the cardiac myocyte Na<sup>+</sup>-K<sup>+</sup> pump has been achieved by exposing myocytes to a  $\beta$  adrenergic receptor agonist or by directly activating adenyl cyclase with exposure to forskolin. Receptor activation is the most physiologically relevant of the two approaches. However, available agonists have poor selectivity against the three different  $\beta$  adrenergic receptor subtypes (33), and the compounds used in some previous studies may have activated the  $\beta_3$  adrenergic receptor that is coupled to activation of nitric-oxide synthase. We have found

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**FIGURE 5. Effect of forskolin on co-immunoprecipitation of εPKC with RACK.** Myocytes exposed to Ang II (positive control), forskolin, or vehicle control were lysed before co-immunoprecipitation. εPKC immunoprecipitate (IP) was immunoblotted (IB) for εPKC or RACK. The histogram shows the mean densitometric measurements of immunoblots from three experiments standardized to the value of control samples. Ang II induced an increase in εPKC/RACK co-immunoprecipitation that was insensitive to H-89. Forskolin also induced an increase in εPKC/RACK co-immunoprecipitation, but this was abolished by H-89. The asterisk indicates significant differences compared with control. C, control.

the β<sub>3</sub> adrenergic receptor mediates stimulation of the Na<sup>+</sup>-K<sup>+</sup> pump in cardiac myocytes (5).<sup>5</sup> We, therefore, used forskolin, as have most of the recent studies (7, 11, 12, 15, 16).

Data from functional Na<sup>+</sup>-K<sup>+</sup> pump studies, studies on myocyte DHE fluorescence, and molecular studies implicated the pathway summarized at the top of Fig. 7. Although effects of cAMP-dependent pathways are classically attributed to PKA-mediated phosphorylation of effector proteins, the exchange protein directly activated by cAMP (Epac) has also become recognized as important. PKA and Epac can act independently of each other, in synergy or antagonistically (34). The effect of H-89 to block the forskolin-induced decrease in I<sub>p</sub> and increase in co-immunoprecipitation of εPKC with RACK suggests a requirement for PKA activation but does not necessarily rule out a synergistic role of Epac.

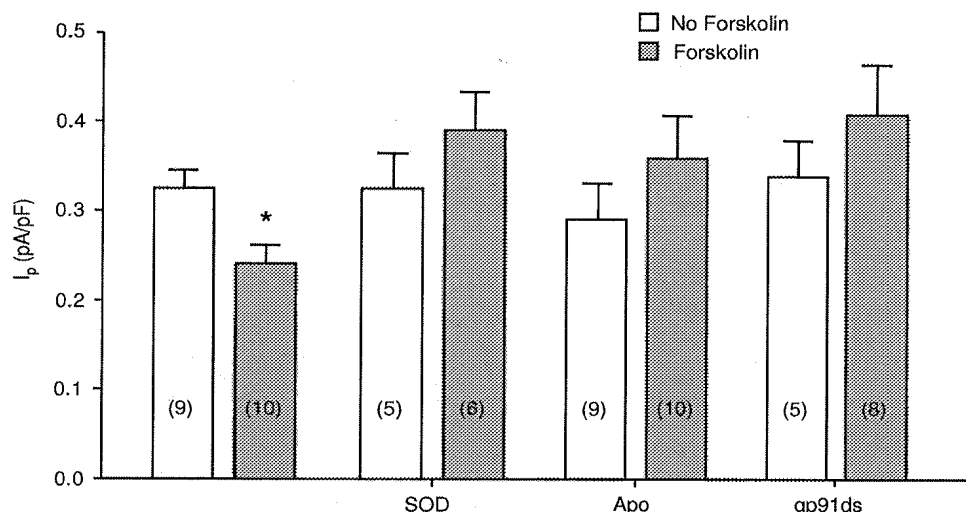
PLC β- and ε-isoforms can be activated downstream from PKA (25) or Epac (35), and the effect of U-73,122 to abolish the forskolin-induced decrease in I<sub>p</sub> is consistent with a role for PLC activation in our study. An increase in diacylglycerol levels in turn may activate PKC. These results are also consistent with

studies in the kidney showing PKA/PKC cross-talk-dependent Na<sup>+</sup>-K<sup>+</sup> pump inhibition attributed to PLC activation (27).

The forskolin-induced increase in εPKC/RACK co-immunoprecipitation implicates PKC. The effect of the εPKC inhibitor peptide to abolish both an increase in DHE fluorescence and a decrease in I<sub>p</sub> supports the functional role of PKC activation. PKC-dependent phosphorylation of the cytosolic NADPH oxidase subunit p47<sup>phox</sup> induces its translocation to the membranous p22<sup>phox</sup> subunit that is required for activation of NADPH oxidase (24). Consistent with NADPH oxidase activation, forskolin induced an increase in co-immunoprecipitation of p47<sup>phox</sup> with p22<sup>phox</sup>, and apocynin abolished the forskolin-induced increase in DHE fluorescence. The effect of the gp91ds peptide used to block docking of p47<sup>phox</sup> is also consistent with a downstream NADPH oxidase dependence of Na<sup>+</sup>-K<sup>+</sup> pump inhibition. Because proximity to a source of oxidants is important for the specific oxidative modification of target proteins (36), the co-localization of the NADPH oxidase complex with the Na<sup>+</sup>-K<sup>+</sup> pump we have reported previously (4) should facilitate glutathionylation of the pump β<sub>1</sub> subunit and the functional equivalent of inhibition.

Perfusion of the intracellular compartment using patch pipettes with particularly wide tips in our study might have altered signaling pathways. However, the concordance of results in voltage-clamped, internally perfused myocytes and intact myocytes studied with fluorescence and molecular techniques, summarized in Fig. 7, suggest that signaling domains are not readily susceptible to changes induced by myocyte perfusion. This may reflect compartmentalization of signaling and perhaps anchoring of key signaling molecules. Taken together, the results suggest the signaling pathway shown in Fig. 7 is plausible, and the consistency of results obtained with different techniques supports the overall validity of the study.

The time dependence of the forskolin-induced increase in glutathionylation shown in Fig. 1A suggests that effects are not sustained long term. This may reflect a cellular homeostatic response to activation of cAMP-dependent signaling that terminates a phosphorylation-dependent part of the signal as discussed previously (37). For the variable we measure here, mechanisms that inactivate the downstream oxidative signaling pathway or directly activate deglutathionylation may have addi-



**FIGURE 6. Role of superoxide and NADPH oxidase in forskolin-induced Na<sup>+</sup>-K<sup>+</sup> pump inhibition.** Myocytes were perfused with pipette solutions containing SOD, apocynin (Apo), or the gp91ds peptide as indicated. The data from myocytes not exposed to inhibitors, previously presented in Fig. 1, is included for reference. Numbers of myocytes in each group are indicated in parentheses. The asterisk indicates a significant difference compared with control. pF, picofarads.

$\oplus$ PKA $\rightarrow$ $\oplus$ PLC $\rightarrow$ $\oplus$ ePKC $\rightarrow$ $\oplus$ NADPH Oxidase $\rightarrow$ $\ominus$ Na-K pump					
Molecular	H-89 $\otimes$ $\uparrow$ co-IP of PKC/RACK		$\uparrow$ PKC/RACK co-IP	$\uparrow$ p47 <sup>phox</sup> /p22 <sup>phox</sup> co-IP	$\uparrow$ GSS- $\beta_1$ $\downarrow$ $\alpha_v/\beta_1$ co-IP
Fluorescence			PKC inhib pep $\otimes$ $\uparrow$ DHE fluorescence	Apocynin and SOD $\otimes$ $\uparrow$ DHE fluorescence	
Functional	H-89 $\otimes$ $\downarrow$ Ip	U73122 $\otimes$ $\downarrow$ Ip	PKC inhib pep $\otimes$ $\downarrow$ Ip	Apocynin and gp91ds $\otimes$ $\downarrow$ Ip	$\downarrow$ Ip

**FIGURE 7. Summary of molecular, fluorescence, and patch clamp studies on effects of forskolin.** Steps in the signaling pathway that are implicated are shown at the top with stimulation and inhibition indicated by + and -. Blocks of responses to forskolin are indicated below by ⊗. Glutathionylation of the β<sub>1</sub> subunit is indicated by GSS-β<sub>1</sub>, and Na<sup>+</sup>-K<sup>+</sup> pump current is indicated by I<sub>p</sub>, co-IP, co-immunoprecipitation.

tional effects on the time course. However, our *in vitro* study cannot accurately reflect the complex structural, mechanical, and neurohormonal *in vivo* milieu of the beating heart, and it will be important to determine the effects of cAMP-dependent signaling on Na<sup>+</sup>-K<sup>+</sup> pump function and β<sub>1</sub> subunit glutathionylation *in vivo* in future studies.

The effect of cAMP-dependent signaling on Na<sup>+</sup>-K<sup>+</sup> pump function has important implications for our understanding of excitation-contraction coupling in the heart under physiological and pathophysiological conditions. In the normal heart, pump inhibition with an increase in adrenergic drive is expected to increase intracellular Na<sup>+</sup> and, hence, Ca<sup>2+</sup> levels and act in synergy with the well recognized positive inotropic effect of cAMP on Ca<sup>2+</sup> entry and intracellular Ca<sup>2+</sup> handling. However, although a modest increase in intracellular Na<sup>+</sup> levels enhances contractility, the high levels typically seen in heart failure can have the opposite effect and are believed to contribute adversely to its phenotype (38, 39). It is of particular impor-

tance for the present study that adverse effects of NADPH oxidase-dependent redox signaling may also contribute (40). Efficacy of treatments that target dysregulation of adrenergic signaling in heart failure trials are consistent with an *in vivo* relevance of our results. Blockade of adenylyl cyclase-coupled receptors by "β-blockers" may exert some of its benefit (41) by reducing oxidative stress, reversing Na<sup>+</sup>-K<sup>+</sup> pump inhibition, and hence, reducing cellular Na<sup>+</sup> overload. This contrasts with the long term detrimental effect of activation of cAMP-dependent signaling by a β<sub>1</sub> adrenergic receptor agonist (42) or a phosphodiesterase III inhibitor (43) documented in clinical trials. The common role of PKC-dependent NADPH oxidase activation in both Ang II- and cAMP-induced

Na<sup>+</sup>-K<sup>+</sup> pump inhibition may contribute to the well established therapeutic synergy between angiotensin-converting enzyme inhibitors and β-blockers in heart failure (41). Their combined use is expected to inhibit the shared oxidative signaling pathway more effectively than either group of drugs used alone.

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# A Selective Human $\beta_3$ Adrenergic Receptor Agonist Increases Metabolic Rate in Rhesus Monkeys

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## Abstract

Activation of  $\beta_3$  adrenergic receptors on the surface of adipocytes leads to increases in intracellular cAMP and stimulation of lipolysis. In brown adipose tissue, this serves to up-regulate and activate the mitochondrial uncoupling protein 1, which mediates a proton conductance pathway that uncouples oxidative phosphorylation, leading to a net increase in energy expenditure. While chronic treatment with  $\beta_3$  agonists in nonprimate species leads to uncoupling protein 1 up-regulation and weight loss, the relevance of this mechanism to energy metabolism in primates, which have much lower levels of brown adipose tissue, has been questioned. With the discovery of L-755,507, a potent and selective partial agonist for both human and rhesus  $\beta_3$  receptors, we now demonstrate that acute exposure of rhesus monkeys to a  $\beta_3$  agonist elicits lipolysis and metabolic rate elevation, and that chronic exposure increases uncoupling protein 1 expression in rhesus brown adipose tissue. These data suggest a role for  $\beta_3$  agonists in the treatment of human obesity. (*J. Clin. Invest.* 1998. 101:2387–2393.) Key words: obesity • lipolysis • brown fat • uncoupling protein • energy metabolism

## Introduction

Obesity constitutes a major risk-factor in the development of non-insulin-dependent diabetes and cardiovascular disease. This condition is difficult to control by food restriction alone because compensatory decreases in metabolic rate follow reductions in body weight imposed by reduced caloric intake (1). Thus, to offset this metabolic resistance to weight loss, an increase in energy expenditure (stimulation of metabolic rate)

would be a desirable component of antiobesity therapy. A unique  $\beta$  adrenergic receptor subtype has been identified on the surface of rat adipocytes. Activation of this receptor, subsequently termed  $\beta_3$ , leads to increases in cellular cAMP and stimulation of lipolysis (2). In brown adipose tissue (BAT),<sup>1</sup> these serve to up-regulate and activate the mitochondrial uncoupling protein (UCP1), which mediates a proton conductance pathway that uncouples oxidative phosphorylation from fatty acid  $\beta$  oxidation, leading to a net increase in energy utilization (3). There is an ongoing debate as to whether UCP1 acts directly as a proton transporter, or as a fatty acid anion transporter where the free fatty acids function as cycling protonophores (3, 4). A number of rat-selective  $\beta_3$  agonists have been discovered (2, 3, 5, 6) and shown to cause increases in metabolic rate, weight loss, and an improvement in glucose tolerance in dogs and rats (5–8). The observed weight loss resulted from a decrease in body lipids with no decrease in muscle mass (8). However, the relevance of this mechanism of energy metabolism in primates, which have much lower levels of BAT, has been questioned (3). Studies with these compounds in humans were inconclusive at best, and were complicated by side-effects of tremors and tachycardia, presumably via stimulation of  $\beta_2$  and  $\beta_1$  receptors, respectively (9, 10). The reason for these failures became clear with the identification and cloning of a human  $\beta_3$  receptor (11, 12) and the demonstration of pharmacological differences between the rat and human  $\beta_3$  receptors (13). Our own unpublished results show that all of the  $\beta_3$  agonists tested in the clinic to date are only weak partial agonists of the human  $\beta_3$  receptor, and are not selective for the  $\beta_3$  receptor over the  $\beta_1$  and  $\beta_2$  receptors in humans. Thus our research focused on the discovery of  $\beta_3$  agonists selective for the human receptor.

Several groups have utilized CGP12177, a  $\beta_1$  and  $\beta_2$  adrenergic receptor antagonist and a weak  $\beta_3$  adrenergic receptor agonist, to demonstrate that  $\beta_3$  adrenergic agonists will stimulate lipolysis in humans and some species of nonhuman primates (14, 15). As is generally observed with agonists of G protein-coupled receptors, the efficacy and potency of CGP12177 as a  $\beta_3$  agonist varies dramatically with the level of expression of the  $\beta_3$  receptor (16). Accordingly, we have assessed  $\beta_3$  agonist activity in cell lines expressing low levels (< 100 fmol/mg) of the  $\beta_3$  receptor, which mimic the pharmacology observed in human adipocytes.

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1. Abbreviations used in this paper: BAT, brown adipose tissue; RQ, respiratory quotient; UCP, uncoupling protein; WAT, white adipose tissue.



## Methods

Unless otherwise noted, all chemical reagents were purchased from Sigma Chemical Co. (St. Louis, MO).

**Functional assays.** The human  $\beta_3$  receptor was obtained from Dr. J. Grannemann (Wayne State University, Detroit, MI), and other receptors were cloned as previously described (17, 18). Human and rhesus monkey  $\beta_1$ ,  $\beta_2$ , and  $\beta_3$  receptors were expressed in mammalian cell lines for the primary screening assays. CHO cells, stably transfected with the cloned  $\beta$ -adrenergic receptors were harvested in enzyme-free dissociation media (Specialty Media, Lavallette, NJ) 3 d after plating. Cells were counted and distributed in the assay tubes, after being resuspended in ACC buffer (75 mM Tris, pH 7.4, 250 mM sucrose, 12.5 mM  $MgCl_2$ , 1.5 mM EDTA), containing the antioxidant sodium metabisulfite at a concentration of 0.2 mM and a phosphodiesterase inhibitor (0.6 mM IBMX). The cAMP production reaction was initiated by mixing cells with 20  $\mu$ l of a 6 $\times$  stock of the ligand to be tested. Tubes were shaken at 275 rpm for 45 min at room temperature, and the reaction stopped by boiling the tubes for 3 min. The cAMP produced in response to the ligand was measured in the lysate by competing against [ $^{125}$ I]-cAMP for binding to a cAMP-directed antibody using an automated RIA machine (ATTOFLO; Atto Instruments, Baltimore, MD). The cAMP level was determined by comparison to a standard curve.

**Binding assays.** CHO cells expressing the cloned human and rhesus  $\beta$  receptors were grown in selective media for 3 d and membranes prepared by hypotonic lysis in 1 mM Tris, pH 7.2. Receptor binding assays were carried out in a final volume of 250  $\mu$ l containing 5–10  $\mu$ g of membrane protein, the radioligand [ $^{125}$ I]-cyanopindolol at a concentration of 45 pM, and the compound of interest at various concentrations. Binding reactions were carried out for 1 h at 23°C, and terminated by filtration over GF/C filters using a 96-well cell harvester from Inotech (Lansing, MI).

**Lipolysis assays.** Rhesus adipose tissue was obtained by surgical biopsy of subcutaneous adipose depots and used immediately. Adipose cells were harvested from the tissue after digestion with collagenase D (Boehringer Mannheim, Indianapolis, IN) as described by Rodbell (19) or performed directly on minced tissue pieces (50–100 mg/assay). The incubation mixture was shaken gently in an incubator under 5%  $CO_2$  atmosphere for 2 h. The infranatant was collected for glycerol determination. The glycerol content of the samples was determined by the glycerol kinase procedure, using the Sigma Chemical Co. kit 337A.

**Western analysis.** Antibodies were generated in rabbits after immunization with the peptide corresponding to residues 232–247 of the human UCPI. This sequence is homologous to the corresponding sequence in the rhesus protein. Tissue homogenates were electrophoresed using SDS-PAGE, and transferred to nitrocellulose before incubation with antibody. The signals were quantitated on a fluorimeter (Molecular Dynamics, Sunnyvale, CA) using the substrate Attophos<sup>TM</sup> (JBL Scientific Inc., San Luis Obispo, CA). Western analysis using increasing concentrations of homogenized tissue produced a linear response. Analysis of each sample was performed on three separate gels. Data for samples on each gel were normalized to one post-treatment vehicle sample before averaging the three values for each sample. Protein concentrations were determined using the Bradford reagent. Data were analyzed using the GraphPad Prism program (San Diego, CA).

**Measurement of lipolysis and heart rate in vivo.** All animal procedures were reviewed and approved by the Merck Research Laboratories Institutional Animal Care and Use Committee. Male lean rhesus monkeys (4–7 kg body weight; age 3–5 yr) were fasted for 24 h and were lightly anesthetized with ketamine (10 mg/kg, i.m.; Fort Dodge Labs, Fort Dodge, IA). A 22-gauge intravenous catheter (Becton Dickinson & Co., Sandy, UT) was placed in a saphenous vein for the administration of test compounds after which the animals were administered Nembutal (25 mg/kg, i.v.; Abbott Labs, North Chicago, IL). A 20-gauge angiocatheter, connected to a TNF-R pressure trans-

ducer (Ohmeda Medical Device Systems, Madison, WI), was placed in a femoral artery for monitoring blood pressure. ECG leads were connected for the continuous measurement of heart rate. Heart rate and blood pressure were monitored for  $\sim$ 30 min until stable baseline values were obtained, at which time animals were administered a series of rising dose infusions (0.1 ml/min) of agonists (isoproterenol, L-755,507), or an equivalent volume of vehicle over a 15-min period. Infusion periods were separated by an interval of  $\sim$ 20 s. Blood samples (2 ml) were collected from the femoral artery 1 min before the initiation of infusions and 14 min into each infusion period. Serum glycerol was measured using an enzymatic colorimetric assay and serum potassium was determined using an ion specific electrode.

**Measurement of metabolic rate in vivo.** Male lean rhesus monkeys (4–8 kg body weight; age 3–6 yr) were fasted and prepared as described above. An endotracheal tube was inserted into the trachea and a 6-mm diameter vacuum line was attached to the outlet of the endotracheal tube in order to sample exhaled air. Exhaled air was drawn into the mixing chamber of a respiratory analyzer (Oxscan model OXS-1RM  $O_2/CO_2$  respiratory gas analyzer; Omnitest Electronics Inc., Columbus, OH) at a rate of 1 liter/min. Energy expenditure was calculated from the volume of  $O_2$  consumed and the volume of  $CO_2$  generated. L-755,507 was administered after a stabilization period of 30–40 min after anesthesia, and metabolic rate was monitored for an additional 60 min. Heart rate was recorded continuously, and blood samples were collected from the femoral artery at the times indicated for determination of serum glycerol.

## Results

**In vitro potency and selectivity of  $\beta_3$  receptor agonist L-755,507.** Benzenesulfonamide derivative L-755,507 is a partial agonist

**Table I. Activity of L-755,507 at the Cloned Human and Rhesus  $\beta$  Adrenergic Receptors**

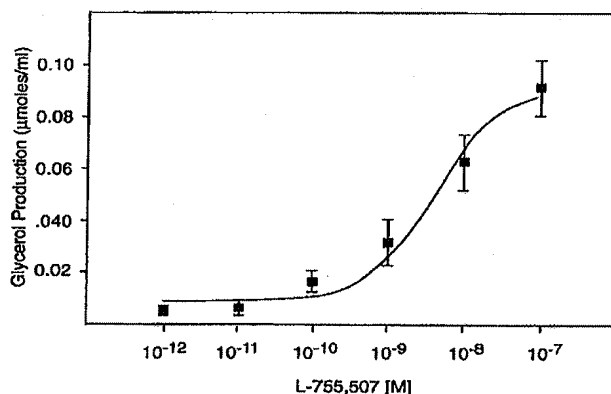
Species	$\beta_3$		$\beta_1$		$\beta_2$	
	EC <sub>50</sub> (% act)	IC <sub>50</sub>	EC <sub>50</sub> (% act)	IC <sub>50</sub>	EC <sub>50</sub> (% act)	IC <sub>50</sub>
	nM	nM	nM	nM	nM	nM
Human	0.43 $\pm$ 0.31 (52 $\pm$ 16)	13 $\pm$ 7.2	580 $\pm$ 300 (25 $\pm$ 7)	200 $\pm$ 40	> 10000 (2)	190 $\pm$ 110
Rhesus	2.2 $\pm$ 1.0 (68 $\pm$ 14)	130 $\pm$ 27	> 10000 (33 $\pm$ 20)	2300 $\pm$ 920	> 10000 (0)	1500 $\pm$ 960

The receptors were expressed in CHO cells at receptor densities of 46–88 fmol/mg ( $\beta_3$  receptors) or 300–500 fmol/mg ( $\beta_1$  and  $\beta_2$  receptors). Agonist potency (EC<sub>50</sub>) and efficacy (% act) were assessed by measurement of intracellular cAMP levels, and the latter is expressed relative to the maximum response evoked by isoproterenol in each cell type. Binding affinities were quantified as inhibition of [ $^{125}$ I]-cyanopindolol binding in each cell type. Data are mean $\pm$ SD of  $\geq$  3 determinations, and are expressed as nanomolar values. The sequence for the rhesus  $\beta_3$  adrenergic receptor that we have cloned and utilized is identical to that recently deposited in GenBank (U63591 and U63592). The homology between the human and rhesus  $\beta_3$  receptors is 99% within the transmembrane spanning regions, and between the human and rhesus  $\beta_1$  and  $\beta_2$  receptors the homology is 100% within this same region.

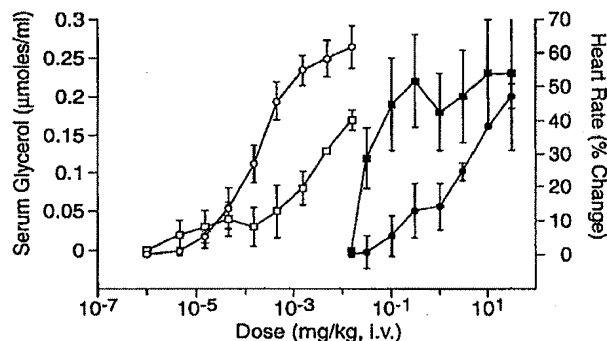
for the human  $\beta_3$  receptor, with maximal activation 52% of that evoked by isoproterenol. L-755,507 activates the human  $\beta_3$  receptor with an  $EC_{50}$  of 0.43 nM and inhibits ligand binding to  $\beta_1$  and  $\beta_2$  receptors with  $IC_{50}$ 's of 200 and 190 nM (Table I). It has weak agonist activity at the human  $\beta_1$  receptor, but is  $> 1,000$ -fold selective for activation of the  $\beta_3$  receptor versus activation of the  $\beta_1$  receptor. L-755,507 has no measurable  $\beta_2$  agonist activity. In contrast, CGP12177 activates the  $\beta_3$  receptor in these cells with an  $EC_{50}$  of 5,300 nM and inhibits binding to  $\beta_1$  and  $\beta_2$  receptors with  $IC_{50}$ 's of 2.6 and 2.9 nM. Thus, under these conditions of receptor expression, L-755,507 is  $> 10,000$ -fold more potent than CGP12177 as a  $\beta_3$  agonist. Moreover, L-755,507 is  $> 400$ -fold selective for the  $\beta_3$  receptor (as reported by activation of the  $\beta_3$  receptor versus activation of or binding to  $\beta_1$  and  $\beta_2$  receptors), while CGP12177 is a potent  $\beta_1$  and  $\beta_2$  receptor antagonist.

L-755,507 is also a potent and selective  $\beta_3$  partial agonist in rhesus monkeys as assessed by its affinity for the cloned  $\beta$  adrenergic receptors from this species (Table I). It activates the rhesus monkey  $\beta_3$  receptor with an  $EC_{50}$  of 2.2 nM with maximal activation 68% of the maximal activation of isoproterenol. It has weak activity at the rhesus monkey  $\beta_1$  receptor with 33% of the maximal activity of isoproterenol at the highest concentration tested (10  $\mu$ M), and it has no measurable activity at the rhesus monkey  $\beta_2$  receptor. Thus, although the compound is fivefold less potent at the rhesus monkey  $\beta_3$  receptor than at the human  $\beta_3$  receptor, its selectivity for activation of  $\beta_3$  receptors versus binding to or activation of  $\beta_1$  and  $\beta_2$  receptors is  $> 400$ -fold in both species. In addition, L-755,507 stimulates lipolysis in rhesus adipocytes in vitro with an  $EC_{50} = 3.9$  nM, and a maximal effect 23% of that of isoproterenol (Fig. 1).

L-755,507 was selected for further evaluation since this compound shows broad selectivity for the  $\beta_3$  receptor versus other biogenic amine G protein-coupled receptors. L-755,507 is at least 300-fold selective for the human  $\beta_3$  adrenergic receptor vs the human  $\alpha_1a$ ,  $\alpha_1b$ ,  $\alpha_1d$ ,  $\alpha_2a$ ,  $\alpha_2b$ ,  $\alpha_2c$ , dopamine D3 and D4 receptors, and is 46-fold se-



**Figure 1.** Stimulation of lipolysis in isolated rhesus adipocytes. Adipose tissue from subcutaneous depots was obtained from anesthetized rhesus monkeys by surgical biopsy. Adipocytes were isolated by collagenase digestion as described in Methods, and incubated with isoproterenol or L-755,507 for 2 h at 37°C before determination of glycerol levels in the incubation media.



**Figure 2.** The effects of isoproterenol (open symbols) and L-755,507 (closed symbols), administered by intravenous infusion, on serum glycerol (squares) and heart rate (circles) were determined in anesthetized rhesus. Each point represents the mean of determinations in two to five animals and the vertical bars the standard error of the mean.

lective for the dopamine D2 receptor (data not shown). As expected, the structure activity relationship for affinity at the  $\beta_3$  receptor varies independently of the structure activity relationship for these other G protein-coupled receptors, and optimization of this selectivity is both critical and challenging, but is attainable.

**Effects of L-755,507 on lipolysis and heart rate in the rhesus monkey.** L-755,507 and isoproterenol were administered as sequential rising dose infusions over 15 min into catheterized and instrumented barbiturate anesthetized monkeys (Fig. 2). Although these studies were conducted in male animals, preliminary studies indicated that the profile of activity of L-755,507 was similar in male and female rhesus. For L-755,507, the  $ED_{50}$  for glycerolemia (dose producing 50% maximal increase in serum glycerol) was 0.03 mg/kg and the  $ED_{50}$  for tachycardia (dose producing 50% maximal increase in heart rate, i.e.,  $\sim 40$  bpm) was 2.5 mg/kg. For isoproterenol, the  $ED_{50}$  for glycerolemia was 0.003 mg/kg and the  $ED_{50}$  for tachycardia was 0.0002 mg/kg. No changes in mean arterial pressure or serum potassium were evident in L-755,507-treated animals, but at higher doses of isoproterenol ( $\geq 0.003$  mg/kg), hypokalemia and hypotension were observed (data not shown).

**Effects of L-755,507 on metabolic rate in the rhesus monkey.** L-755,507 stimulates metabolic rate by  $\sim 30\%$  after acute bolus intravenous administration of 0.1 mg/kg to rhesus monkeys. The response peaked within 30 min and was sustained for at least 60 min, the duration of the monitoring period. Under these conditions, lipolysis and tachycardia accompanied the metabolic rate increase: lipolysis was maximal within 15 min of agonist administration, whereas the tachycardia, which occurred in the absence of changes in mean arterial pressure, was slowly developing and peaked ( $\sim 15\%$  increase in heart rate) at 40 min after L-755,507 administration (Fig. 3). Note that the profile of the tachycardia evoked by L-755,507 is markedly different from that elicited by  $\beta_1$  agonists such as isoproterenol, as the latter is rapid in onset and is maximal within 60 s of agonist addition. Evaluation of the dose dependence of these responses indicated that significant increases in metabolic rate and lipolysis were evident at a dose of L-755,507

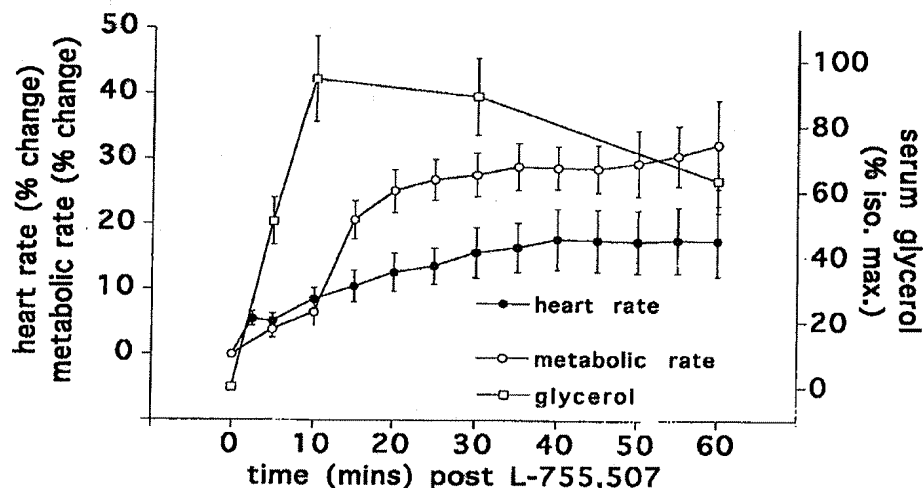


Figure 3. The effects of L-755,507 (0.1 mg/kg, i.v.) on metabolic rate (open circles), serum glycerol (open squares), and heart rate (solid circles) were determined in the anesthetized rhesus. Metabolic rate and heart rate are expressed as the percent change from baseline values, and serum glycerol is expressed as a percentage of the response evoked by a maximally effective dose of isoproterenol in the same animals. No changes in any of the parameters were evident after vehicle administration in place of L-755,507. Each point represents the mean of determinations in three to four animals and the vertical bars the standard error of the mean.

( $\leq 0.01$  mg/kg) at which no significant tachycardia was evident (Fig. 4).

**Chronic exposure of rhesus monkeys to L-755,507.** Female rhesus monkeys were administered vehicle (25% ethanol, 25% polyethylene glycol 400, 50% saline, 1 ml/kg, i.v., twice daily) or L-755,507 (3 mg/kg, i.v., twice daily) daily for up to 28 d. Samples of axillary brown adipose tissue, so defined because of the presence of UCP1, multilocular lipid droplets, and a significant cytoplasm: lipid ratio (vide infra), were obtained before and at 2 and 4 wk after initiation of treatment, and the morphology of, and UCP1 levels in axillary BAT were determined.

As shown in Fig. 5, UCP1 levels were increased by 62% ( $P = 0.04$ ) and 132% ( $P = 0.05$ ) after 2 and 4 wk of administration of L-755,507, respectively. No changes in UCP2 expression

were noted. Histological examination of samples of BAT obtained 4 wk after administration of vehicle or L-755,507 revealed changes at both the light and electron microscopic levels (Fig. 6). Light micrographs (Fig. 6, A and B) demonstrate that, in comparison to vehicle treated control animals, treatment with L-755,507 results in a decrease in the size of intracellular lipid droplets coupled with an increase in the number of droplets per cell. Moreover, the cytoplasm:lipid ratio is increased in the tissue from animals treated with the  $\beta_3$  agonist. A further structural effect of chronic exposure to L-755,507, which is evident when specimens are observed by electron microscopy (Fig. 6, C and D), is that there are many more cristae within the mitochondria of tissue from L-755,507-treated animals. In tissue from  $\beta_3$  agonist-treated animals, the cristae are usually found as tightly packed arrays of parallel membranes

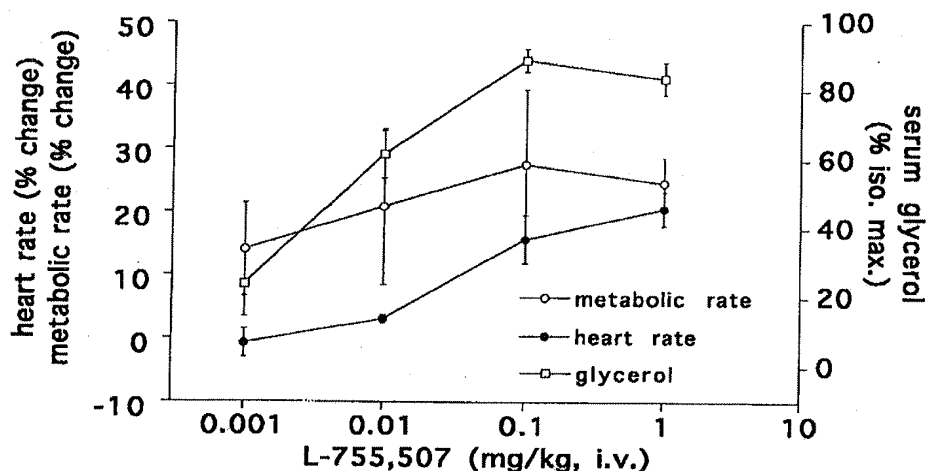
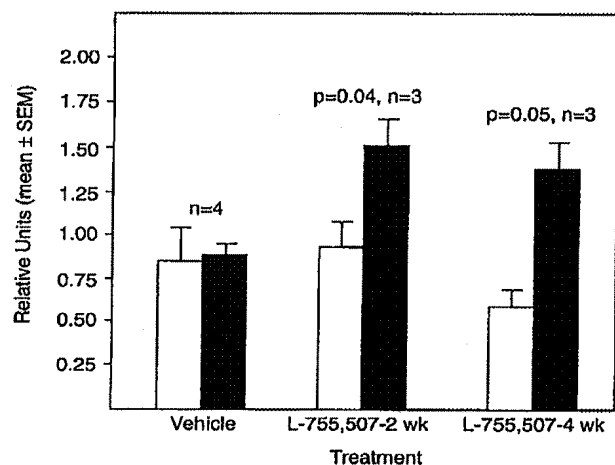


Figure 4. The dose dependence of the effects of L-755,507 (0.001–1 mg/kg, i.v.) on metabolic rate (open circles), serum glycerol (open squares), and heart rate (solid circles) were determined in the anesthetized rhesus, and the data reported are those obtained 30 min after compound administration. Metabolic rate and heart rate are expressed as the percent change from baseline values, and serum glycerol is expressed as a percentage of the response evoked by a maximally effective dose of isoproterenol in the same animals. No changes in any of the parameters were evident after vehicle administration in place of L-755,507. Each point represents the mean of determinations in three to four animals and the vertical bars the standard error of the mean.



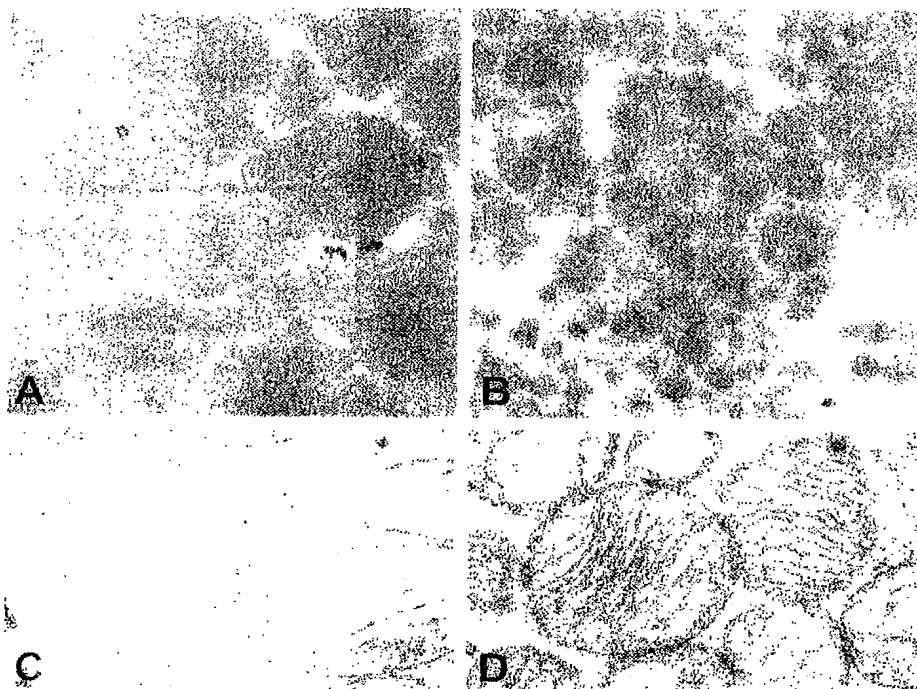
**Figure 5.** Effects of chronic administration of L-755,507 on mitochondrial UCP levels in axillary brown adipose tissue. UCP levels were determined by Western analysis of membrane fractions from BAT obtained by surgical biopsy before (*open bars*) and after (*closed bars*) 2 or 4 wk of administration of vehicle or L-755,507 (3 mg/kg, i.v., twice daily). Analysis was performed three times for each tissue sample, and data for samples on each gel were normalized to one posttreatment vehicle sample before averaging the three values for each sample. Data shown are the mean  $\pm$  SEM for the normalized data for each animal within a treatment group (four vehicle-treated animals, three L-755,507-treated animals for 2 or 4 wk). Statistical analysis was performed using a 1-tailed paired *t* test.

whereas in tissue from vehicle-treated animals there are fewer cristae per mitochondria and they generally fill only a portion of the mitochondrial volume.

## Discussion

Using cloned human receptors for screening, a novel  $\beta_3$  adrenergic receptor agonist, L-755,507, was discovered. This compound is a potent  $\beta_3$  agonist at both the human and rhesus receptors, and is  $> 1,000$ -fold selective for activation of the  $\beta_3$  receptor versus activation of the  $\beta_1$  receptor in both species. Selectivity is  $> 400$ -fold in both species when quantified with respect to activation of the  $\beta_3$  receptor and activation of or binding to  $\beta_1$  and  $\beta_2$  receptors.

We and others (20–22) have shown that it is only possible to detect  $\beta_3$  adrenergic receptor mRNA in omental white adipose tissue (WAT) and in BAT from humans and rhesus monkeys by reverse transcription PCR. However,  $\beta_1$  and  $\beta_2$  adrenergic receptor mRNA can be detected by Northern blots. Thus, in contrast to rodent adipocytes, the  $\beta_3$  adrenergic receptor is a minor component of the complement of  $\beta$  adrenergic receptors expressed on primate adipocytes. However, CGP12177 stimulates triglyceride breakdown in human abdominal WAT consistent with its activation of  $\beta_3$  receptors (15). In addition, we have evaluated the effects of L-755,507 and 14 other selective  $\beta_3$  agonists on lipolysis in human abdominal WAT and demonstrated that lipolytic activity correlates well with their affinity for the human  $\beta_3$  adrenergic receptor ( $r^2 = 0.34$ , slope = 0.38 [ $P = 0.02$ ]). In contrast, there is no correlation between their lipolytic potential and their affinity



**Figure 6.** Structural observation of axillary brown adipose tissue collected from rhesus which had been treated for 28 d with L-755,507 (3 mg/kg, i.v., twice daily) (B and D) or vehicle (A and C). Magnification, A and B = 900, C and D = 70,000.

for the human  $\beta_1$  or  $\beta_2$  adrenergic receptors ( $r^2 = 0.02$  and  $0.08$ , slope =  $0.08$  [ $P = 0.6$ ] and  $0.24$  [ $P = 0.3$ ], respectively). The stimulation of lipolysis in human WAT by compounds selective for the human  $\beta_3$  receptor suggests that the  $\beta_3$  receptor is expressed at levels sufficient to be pharmacologically exploited to selectively activate adipose tissue.

L-755,507 is potent and efficacious at the rhesus  $\beta_3$  receptor, and was thus chosen for more detailed studies. Preliminary pharmacokinetic analyses in various species indicated that this class of compounds was poorly orally bioavailable, with relatively short terminal half lives. Accordingly, the profile of activity of the compound in rhesus was evaluated after intravenous administration. When administered as sequential rising dose infusions over 15 min into catheterized and instrumented barbiturate anesthetized monkeys, L-755,507 and isoproterenol dose dependently stimulated lipolysis and tachycardia. For L-755,507, the dose-response curve for glycerolemia lies significantly to the left of that for tachycardia, whereas the converse is true for isoproterenol. Although L-755,507 is less potent than isoproterenol in stimulating lipolysis, the maximum extents of glycerolemia evoked by L-755,507 and isoproterenol are similar when measured in the same animal, indicating that the compound behaves as a full agonist with respect to lipolysis *in vivo*. These data indicate that the profile of activity of L-755,507 *in vivo* in the rhesus monkey differs markedly from that of the nonselective  $\beta$  agonist isoproterenol.

L-755,507 stimulates metabolic rate after acute bolus intravenous administration to rhesus monkeys. The maximum increase in metabolic rate ( $\sim 30\%$  above baseline) was evident at  $0.1$  mg/kg L-755,507, and was accompanied by lipolysis and slowly developing tachycardia. However, significant increases in metabolic rate and lipolysis were evident at a dose of L-755,507 ( $\leq 0.01$  mg/kg) at which no significant tachycardia was evident. In these lean animals baseline respiratory quotient (RQ) is  $\sim 0.8$ , indicating predominance of fat over carbohydrate as substrates for oxidation in the fasted state. No reductions in RQ were evident after L-755,507 administration, presumably because of the low RQ before  $\beta_3$  agonist administration. In support of this contention, we have shown that other  $\beta_3$  agonists can reduce RQ in conscious fed animals, where baseline RQ is  $\sim 0.9$  (unpublished observations). Activation of lipolysis and metabolic rate elevation in nonhuman primates by L-755,507 at doses which fail to elicit significant tachycardia (a  $\beta_1$  effect) or hypokalemia (a  $\beta_2$  effect) suggest that human-selective  $\beta_3$  receptor agonists will display an improved side-effect profile, in comparison to rodent-selective  $\beta_3$  agonists, in humans.

As noted above, L-755,507 shows only very weak agonist activity at the rhesus  $\beta_1$  receptor *in vitro*. This, together with the observation that the tachycardia evoked by bolus L-755,507 is much slower in onset than that evoked by isoproterenol, suggests that the tachycardia observed in rhesus monkeys after L-755,507 administration may not be a direct effect of activation of cardiac  $\beta_1$  receptors by the  $\beta_3$  agonist. Additional studies, to be described elsewhere, using selective human  $\beta_3$  agonists in anesthetized and conscious rhesus monkeys established that the tachycardia evoked by  $\beta_3$  agonists is reflexogenic in origin, consequent upon evoked increases in metabolic rate and direct  $\beta_3$  receptor-mediated peripheral vasodilatation which occurs in the absence of changes in mean arterial pressure.

To evaluate the sequelae of chronic exposure to L-755,507,

female rhesus monkeys were administered vehicle or L-755,507 ( $3$  mg/kg, i.v., twice daily) daily for up to 28 d. Preliminary studies in conscious rhesus monkeys established that L-755,507 does not elicit significant direct  $\beta_1$  receptor activation, as reported by rapidly developing tachycardia, but produces a peak increase ( $\sim 20\%$ ) in metabolic rate, that is relatively transient and returns to the baseline value within 3 h. From the extent and duration of the L-755,507-induced increase in metabolic rate, as measured acutely over 3 h, we estimate the maximum increase in 24-h energy expenditure that could be achieved using this dosing regimen of L-755,507 is  $\sim 5\%$ . Accordingly, we chose not to attempt to measure 24-h energy expenditure in the chronically dosed animals using doubly labeled water, because in primates the sensitivity of the technique is sufficient to report increases in energy expenditure that are at least 10–15%. Consistent with the predicted small increases in 24-h energy expenditure, where a 5% increase (i.e.,  $\sim 24$  kcal/d) deriving from increased fat metabolism (caloric value =  $9.3$  kcal/gram) would yield a weight loss of  $< 3$  grams/d in rhesus monkeys, there were no differences in weight loss between vehicle-treated and L-755,507-treated animals. To place these observations in rhesus monkeys in proper perspective, we estimate that a 10% increase in 24-h energy expenditure in humans will yield a clinically meaningful weight loss at the rate of  $\sim 1$  kg per month.

A significant increase in UCP1 levels was observed after 2 and 4 wk of administration of L-755,507. In addition, morphologic changes in the axillary fat of treated animals were consistent with BAT activation. Studies using other  $\beta_3$  agonists in male rhesus indicate that the morphological effects of chronic  $\beta_3$  agonist administration in the rhesus are not gender specific. Consistent with previous reports in rodents (23), chronic exposure of rhesus monkeys to this selective  $\beta_3$  agonist did not alter expression of the newly discovered homolog UCP2 in axillary BAT.

Given the relative paucity (but not absence) of BAT in primates, including humans (24), it is likely that the acute lipolytic and metabolic rate effects of  $\beta_3$  agonists such as L-755,507 are mediated predominantly, if not exclusively, via activation of  $\beta_3$  receptors on white adipocytes. The evoked thermogenesis may derive in part from uncoupling of fatty acid  $\beta$  oxidation from ATP generation via the intermediation of UCP1, UCP2, and/or UCP3 (25) in BAT, or from UCP2 and/or UCP3 in WAT. This concept is consistent with the observations in transgenic mice where the acute effects of  $\beta_3$  agonists on  $O_2$  consumption are more marked in animals which express  $\beta_3$  receptors exclusively in WAT than in BAT (26). As we have shown that chronic exposure to  $\beta_3$  agonists upregulates BAT in rhesus, the presumption is that under these conditions BAT would make a greater contribution to the increase in metabolic rate.  $\beta_3$  agonists also cause sustained metabolic effects, including up-regulation of nascent BAT and weight loss in other species (e.g., dogs) which, like humans and nonhuman primates, have relatively sparse BAT in adulthood (5, 24, 27). Moreover, hibernomata and pheochromocytoma in humans are associated with BAT expansion and up-regulation, respectively, and both are accompanied by marked weight loss (24). It remains to be shown whether chronic therapy with  $\beta_3$  agonists in humans elicits up-regulation of BAT with resulting negative energy balance and weight loss.

**Conclusions.** L-755,507 is the first  $\beta_3$  agonist demonstrated to be potent and selective for the receptor in humans and

rhesus monkeys, and is the first selective compound to activate lipolysis in adipocytes isolated from these species. Thus, although the expression levels of  $\beta_3$  receptors found in primate adipocytes are quite low, and reportedly variable among primate species (14, 28), activation of these receptors by selective agonists produces the desired pharmacological response in rhesus monkeys. In addition, our data demonstrate that pharmacological activation of  $\beta_3$  receptors in primates acutely stimulates lipolysis in vivo and increases metabolic rate, suggesting that responses to appropriate  $\beta_3$  agonists in primates mimic the responses previously seen in rodents. Finally, in a manner similar to that previously observed in rodents and dogs, chronic administration of these compounds activates and differentiates rhesus monkey BAT, as evidenced by increased UCP1 expression, which process is controlled by  $\beta_3$  receptor activation (29). Thus, the data suggest that selective  $\beta_3$  agonists with high affinity for the human receptor may be useful for increasing energy expenditure and inducing weight loss in humans.

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# Effect of a 28-d treatment with L-796568, a novel $\beta_3$ -adrenergic receptor agonist, on energy expenditure and body composition in obese men<sup>1-3</sup>

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## ABSTRACT

**Background:** Stimulation of energy expenditure (EE) with selective thermogenic  $\beta$ -adrenergic agonists may be a promising approach for treating obesity.

**Objective:** We analyzed the effects of the highly selective human  $\beta_3$ -adrenergic agonist L-796568 on 24-h EE, substrate oxidation, and body composition in obese, weight-stable men.

**Design:** In this 2-center, double-blind, randomized, parallel-group study, we measured 24-h EE before and after 28 d of treatment with L-796568 (375 mg/d) or placebo during weight maintenance (ie, without dietary intervention) in nondiabetic, nonsmoking men aged 25–49 y with body mass index (in kg/m<sup>2</sup>) of 28–35 ( $n = 10$  subjects per treatment group).

**Results:** The mean change in 24-h EE from before to after treatment did not differ significantly between groups ( $92 \pm 586$  and  $86 \pm 512$  kJ/24 h for the L-796568 and placebo groups, respectively). The change in 24-h nonprotein respiratory quotient from before to after treatment did not differ significantly between groups ( $0.009 \pm 0.021$  and  $0.009 \pm 0.029$ , respectively). No changes in glucose tolerance were observed, but triacylglycerol concentrations decreased significantly with L-796568 treatment compared with placebo ( $-0.76 \pm 0.76$  and  $0.42 \pm 0.31$  mmol/L, respectively;  $P < 0.002$ ). Overall, treatment-related changes in body composition were not observed, but higher plasma L-796568 concentrations in the L-796568 group were associated with greater decreases in fat mass ( $r = -0.69$ ,  $P < 0.03$ ).

**Conclusions:** Treatment with L-796568 for 28 d had no major lipolytic or thermogenic effect but it lowered triacylglycerol concentrations. This lack of chronic effect on energy balance is likely explained by insufficient recruitment of  $\beta_3$ -responsive tissues in humans, down-regulation of the  $\beta_3$ -adrenergic receptor-mediated effects with chronic dosing, or both. *Am J Clin Nutr* 2002;76:780–8.

**KEY WORDS** L-796568,  $\beta_3$ -adrenergic receptor,  $\beta_3$ -adrenergic receptor agonist,  $\beta_3$  agonist, selectivity, energy expenditure, lipolysis, respiratory quotient, indirect calorimetry, triacylglycerol, obesity, obese men

## INTRODUCTION

Several sympathomimetic agents are currently being used to treat human obesity. These agents have potential anorectic and thermogenic effects. However, the earlier use of nonselective  $\beta$ -adrenergic compounds was associated with adverse reactions

such as tachycardia and tremor, which were attributable to  $\beta_1$  and  $\beta_2$  stimulation, respectively. The characterization of the  $\beta_3$ -adrenergic receptor, mainly expressed in white adipose tissue (WAT) and brown adipose tissue (BAT) (1, 2), evoked hope for a new potential target in the pharmacologic treatment of obesity and diabetes.

A marked thermogenic response to selective  $\beta_3$ -adrenergic receptor agonists was found in rodents (3, 4). When administered over a period of weeks, these agonists induce weight loss and have antidiabetic effects (3, 5). Furthermore, in addition to their thermogenic effects,  $\beta_3$  agonists may promote fat loss by stimulating fatty acid mobilization and, directly or indirectly, fat oxidation. Studies of isolated human fat cells show lipolytic activity not involving the  $\beta_1$  and  $\beta_2$  receptors (6, 7), and in vivo studies in humans show lipolytic activity of the partial  $\beta_3$ -adrenergic receptor agonist CGP12177. This effect was not inhibited by the combined  $\beta_1$  and  $\beta_2$  antagonist propranolol (8), and it was suggested that the  $\beta_3$ -adrenergic receptor may be responsible for a substantial proportion of the lipolytic response to norepinephrine in humans (9).

Among the  $\beta_3$  agonists tested in human clinical trials to date, only the compound CL 316 243 has been recognized as weakly potent, but selective, toward the human  $\beta_3$ -adrenergic receptor (10). In an 8-wk study in lean male subjects, this partial  $\beta_3$ -adrenergic receptor agonist significantly increased insulin-stimulated glucose disposal, fasting plasma fatty acid concentrations, and 24-h fat oxidation without any side effects mediated by  $\beta_1$  or  $\beta_2$ ; however, no effects on energy expenditure or body weight were observed.

L-796568, a novel  $\beta_3$ -adrenergic receptor agonist for the human  $\beta_3$ -adrenergic receptor, shows potency as a selective human  $\beta_3$  agonist when tested in cyclic AMP assays on Chinese hamster

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ovary cells transfected with the human  $\beta_3$ -adrenergic receptor, and the compound has an  $EC_{50} = 3.6$  nmol/L (94% activation compared with isoprenaline) with >600-fold selectivity over the human  $\beta_1$  and  $\beta_2$  receptors (11). A related  $\beta_3$  agonist that has similar potency, L-755507, elicited a dose-dependent rise in metabolic rate and lipolysis in rhesus monkeys; there was an  $\approx 30\%$  increase in metabolic rate after intravenous bolus administration. Chronic treatment with L-755507 was also accompanied by significant increases in BAT and uncoupling protein 1 expression (12). Human studies with L-796568 showed dose-dependent increases in plasma glycerol and fatty acid concentrations (K Gottesdiener and Merck & Co, unpublished observations, 1999). More importantly, in obese subjects who had fasted overnight, an  $\approx 8\%$  acute thermogenic effect of a single 1000-mg dose of L-796568 was found (13). The purpose of the present study was to test whether this acute effect was maintained after 28 d of chronic treatment with a dosing regimen (375 mg L-796568 once daily with food) that was shown in a separate study to achieve plasma concentrations of L-796568 similar to or higher than those achieved with a single 1000-mg dose of L-796568 administered in the fasted state.

## SUBJECTS AND METHODS

### Study design

This double-blind, randomized, placebo-controlled, parallel-group study was performed at 2 centers, Copenhagen and Maastricht. A total of 20 male subjects were randomly assigned to receive either 375 mg L-796568 or placebo, administered once daily with breakfast. In each treatment group (placebo or L-796568) there were 10 subjects (5 at each study site).

Energy expenditure (EE) and substrate oxidation rates were determined with indirect calorimetry in respiration chambers on a fixed physical-activity protocol. L-796568 was administered at 0915 in the respiration chamber after a meal. The study consisted of a baseline 24-h measurement (0915 to 0915) on day 0 (the day before treatment began) and a second measurement obtained with an identical protocol on day 27. At the baseline visit, the measurement was continued for 6 h after each subject ingested his first dose of study medication to evaluate a possible acute effect of the compound. To investigate a possible drug effect during particular periods of the day, the 24-h measurement period (0915–0915) was divided into the following specific intervals: sleep EE (0300–0600), basal metabolic rate (0730–0830), daytime EE (0915–1315), exercise EE (1430–1445), and postdinner EE (1915–2215).

### Subjects

All subjects underwent a full physical examination with an electrocardiogram, medical history, and routine hematology, biochemistry, and urine screening tests. All were found to be in good health (except for their obesity), without previous or current endocrine, cardiovascular, or hepatic diseases. The subjects reported no acute illnesses within the 2 wk immediately preceding the start of the trial. None of the subjects were dieting or took any medication regularly. They were all nonsmokers, aged 25–49 y, with a body mass index (BMI; in  $\text{kg}/\text{m}^2$ ) between 28 and 35 and stable weight ( $\pm 4$  kg) for  $\geq 3$  mo before the study.

All subjects were carefully instructed to maintain their usual dietary habits and body weight throughout the study. During the 28-d treatment period, the subjects consumed breakfasts with a

consistent composition each morning (1620 kJ, 30% of energy from fat) to improve drug absorption ( $\approx 3$ -fold increase in area under the curve when administered with food). They also avoided excessive or strenuous physical activity and consumed no more than 2 units (24 g) of alcohol and 4 cups of caffeinated beverages/d. Alcohol intake was prohibited for 48 h before the respiration chamber sessions. No other medication was allowed, and subjects were instructed to report any additional medication used during this period. The study was approved by the Ethics Committee of Frederiksberg and Copenhagen (Copenhagen site) and the Medical Ethics Committee of Maastricht University (Maastricht site). The subjects gave their written, informed consent according to the Helsinki Declaration.

### Anthropometry

Body weight was measured in light clothing on a decimal scale on days 0, 7, 14, and 28 (in Copenhagen: Lindeltronic 8000, Lindells Inc, Kristianstad, Sweden; in Maastricht: Sauter model D-7440, Sauter Inc, Ebingen, Germany). Body composition was estimated with dual-energy X-ray absorptiometry within 7 d before treatment (prestudy) and again within 2 d after the last treatment day (poststudy) (both sites: Lunar DPX-IQ; General Electric, Madison, WI) and with the bioelectrical impedance method (Copenhagen: Animeter; HTS-Engineering Inc, Odense, Denmark and Maastricht: Hydra ECF/ICF model 4200; Xitron Technologies, San Diego). Heart rate and blood pressure were measured with an automatic device (both sites: Omron Automatic HEM-705CP; Omron Electronics GmbH, Hamburg, Germany).

### Indirect calorimetry

EE and substrate oxidation were measured in open-circuit respiration chambers. At the Copenhagen site, the floor area and volume were 6.5  $\text{m}^2$  and 14.7  $\text{m}^3$ , respectively (14), whereas at the Maastricht site, the floor area and volume were 7.0  $\text{m}^2$  and 14.0  $\text{m}^3$ , respectively (15). The room temperature was maintained at 24°C during the daytime and 18°C at night. The oxygen and carbon dioxide exchange was determined by taking measurements of the oxygen fraction (Copenhagen: Magnos 4G; Hartman and Braun, Frankfurt, Germany and Maastricht: Magnos 6G, Hartman and Braun, in combination with OA184A; Servomex, Crowborough, United Kingdom) and carbon dioxide fraction (both sites: Uras 3G; Hartman and Braun) at the inlet and outlet of the chamber and from the flow of the outgoing air.

Urine was collected for a 24-h period on days 0 and 27 and also during the 6-h period after the first dose. Urine samples were used to estimate protein oxidation by assessing nitrogen excretion. Protein oxidation was calculated on the basis of the assumption that 6.25 g protein is combusted per gram of nitrogen excreted. Subsequently, nonprotein oxygen and carbon dioxide exchanges were calculated on the basis of the assumption that 0.952 L  $\text{O}_2$  is consumed and 0.795 L  $\text{CO}_2$  is produced per gram of protein oxidized. Carbohydrate and fat oxidation were then calculated by using the constants published by Elia and Livesey (16).

Spontaneous physical activity in the respiration chambers was assessed with 2 microwave radar instruments (Sisor Mini-Radar; Static Input System SA, Lausanne, Switzerland) at the Copenhagen site and with an analogue ultrasound system (Artech Advisor DU160; Artech BV, Roermond, Netherlands) at the Maastricht site. The spontaneous physical activity scores were used to



redefine the sleeping period if spontaneous physical activity exceeded 2% during the prescribed period.

During the subjects' stays in the respiration chamber, the activity protocols (ie, fixed meal times and sessions of physical activity) were similar at the 2 sites. Two 15-min sessions of bicycling at a work output of 75 W were included in the protocol at 1430 and 1630. To ensure adherence to the protocol, the subjects were monitored constantly by a laboratory technician during the daytime. To accustom the subjects to the respiration chamber environment, they spent the night (Copenhagen) or at least some hours (Maastricht) in the chamber before the experiments.

### Diet

To achieve energy balance (defined as energy intake - EE,  $\pm 0.5$  MJ) during the stay in the respiration chamber, the appropriate dietary energy content (kJ/24 h) was individually calculated by using the subjects' fat-free mass (FFM) in the following equation:  $136.1 \text{ kJ/kg} \times \text{FFM in kg} + 2154 \text{ kJ}$  (17). FFM was calculated with an algorithm determined on the basis of impedance measurements in men (18). The dietary composition was similar at the 2 study sites, providing an average of 50% of energy from carbohydrates (range: 48.6–53.6%), 35% from fat (32.2–35.6%), and 15% from protein (13.4–16.9%). The diet had a calculated respiratory quotient (RQ; derived from the dietary macronutrient composition) of  $\approx 0.881$ , depending on the actual energy intake. Dietary energy content and composition were calculated by using BECEL dietary assessment software (Unilever, Rotterdam, Netherlands) and the Dutch Food Composition Table at the Maastricht site. At the Copenhagen site, we used DANKOST dietary assessment software, version 2.0 (Danish Catering Center Denmark). Both software programs use the Atwater factors for metabolizable energy. Any food not consumed by the subjects was reweighed and subtracted to calculate actual energy and macronutrient intakes. A maximum of 2 cups tea or coffee was allowed during the respiratory chamber measurements, and intake during the baseline measurement was replicated during the second measurement.

### Blood and urine sample collection

While the subjects were in a fasted state and resting, blood samples were obtained from a forearm vein; this was done before subjects entered the chamber on day 0 and after they left the chamber on day 28. The blood was analyzed for norepinephrine, epinephrine, fatty acids, triacylglycerol, glycerol, lactate, ketones ( $\beta$ -hydroxybutyrate), total cholesterol, HDL cholesterol, LDL cholesterol, VLDL cholesterol, and leptin. In addition, fasting triacylglycerol, fatty acids, glycerol, and total cholesterol were measured on day 14. We also obtained blood samples to be analyzed for L-796568 concentration on day 0 and before the dose of L-796568 on days 21, 25, and 28; subjects were fasting when the blood was drawn.

A 24-h urine sample was collected for measurement of nitrogen and catecholamines from 0900 on day 0 to 0900 on day 1 and again from 0900 on day 27 to 0900 on day 28. In addition, urine was collected on day 1 from 0900 to 1500.

### Blood and urine analyses

For the analyses of plasma glycerol and fatty acid concentrations, 2 mL blood was drawn into a tube containing EDTA, kept on ice, and centrifuged within 1 h at  $1200 \times g$  for 15 min at  $4^\circ\text{C}$ ; the plasma was stored at  $-70^\circ\text{C}$  until analyzed. The analysis was done with an automated spectrophotometric procedure (19). For

the analyses of serum leptin, 4 mL blood was drawn into a tube, kept on ice, and centrifuged within 1 h at  $1200 \times g$  for 15 min at  $20^\circ\text{C}$ . The serum was stored at  $-70^\circ\text{C}$  until analyzed with the DSL-23100 leptin immunoradiometric assay kit (Diagnostic Systems Laboratories, Webster, TX).

For the analyses of plasma catecholamines, 6 mL blood was drawn and immediately transferred into a fresh solution of reduced glutathione; this was centrifuged at  $806 \times g$  for 10 min at  $4^\circ\text{C}$ . The plasma was immediately frozen in liquid nitrogen and stored at  $-70^\circ\text{C}$  until analyzed by using HPLC with electrochemical detection (20). For the analyses of plasma L-796568, 5 mL blood was drawn into a tube containing heparin, placed on ice, and centrifuged at  $1670 \times g$  for 15 min at  $4^\circ\text{C}$ . The plasma was stored at  $-20^\circ\text{C}$  until analyzed by using solid-liquid extraction, electrospray liquid chromatography-tandem mass spectrophotometry (LC-MS/MS) method (Merck & Co, West Point, PA).

For the analyses of serum or plasma lipids, 4 mL blood was drawn into a tube, centrifuged at  $2000 \times g$  for 10 min at  $4^\circ\text{C}$ , and kept at  $-18^\circ\text{C}$  until analyzed. At the Copenhagen site, we measured serum triacylglycerols with an enzymatic method (21) and serum HDL cholesterol with an enzymatic method (22); serum LDL cholesterol was calculated (23). At the Maastricht site, serum triacylglycerols and serum total cholesterol were measured with an enzymatic method (24), plasma HDL cholesterol was measured with an enzymatic method (25), and plasma LDL cholesterol was calculated (23).

For the analyses of  $\beta$ -hydroxybutyrate and lactate, at the Copenhagen site 5 mL blood was drawn into a tube containing EDTA and mixed, 4 mL ice-cold perchloric acid was added, and the solution was kept at  $-18^\circ\text{C}$  until analyzed.  $\beta$ -hydroxybutyrate concentration was analyzed with a colorimetric method (Boehringer Mannheim, Mannheim, Germany), and blood lactate was measured with enzymatic determination (26). At the Maastricht site, serum  $\beta$ -hydroxybutyrate was analyzed with an enzymatic method (27) automated on a Cobas Fara centrifugal analyzer (Roche, Basel, Switzerland). Plasma lactate was analyzed via enzymatic determination (YSI model 2300 glucose and lactate analyzer; Yellow Springs Instruments Inc, Yellow Springs, OH).

Subjects underwent a 120-min oral-glucose-tolerance test (OGTT) with 75 g glucose on 2 occasions: within the 7 d before the study and on day 28 (the end of the study). Both OGTTs were performed in the fasting state, but on day 28 the glucose was consumed with 375 mg L-796568. For the analyses of glucose and insulin concentrations, at the Copenhagen site 4 mL blood was drawn into a gel tube, allowed to coagulate, and centrifuged at  $2000 \times g$  for 10 min at  $4^\circ\text{C}$ . The serum was kept at  $18^\circ\text{C}$  until analyzed. Serum insulin was measured with a radioimmunoassay kit from Pharmacia AS, Copenhagen and serum glucose was measured with enzymatic glucose oxidase (21). At the Maastricht site, serum insulin was analyzed with an Autodelphia fluoroimmunoassay kit from Perkin-Elmer Wallac Inc, Oy, Finland, and plasma glucose was measured with an enzymatic method (28). For the analyses of plasma glucagon, at the Copenhagen site 5 mL blood was drawn into a tube containing EDTA, stored at  $4^\circ\text{C}$  for  $<30$  min, and centrifuged at  $2000 \times g$  for 10 min at  $4^\circ\text{C}$ . The plasma layer was transferred into a glass tube holding 1,500 kallikrein-inactivating units (KIE) of Trasylol (Bayer Pharma, Lyngby, Denmark). The sample was stored at  $25^\circ\text{C}$  until analyzed with a radioimmunoassay kit from Diagnostic Products Corp, Los Angeles. At the Maastricht site, serum glucagon was analyzed with a radioimmunoassay kit (glucagon double antibody; DPC Biemann GmbH, Bad Nauheim, Germany).

TABLE 1

Anthropometric and cardiovascular measures at baseline and energy expenditure (EE) and nonprotein respiratory quotient ( $RQ_{np}$ ) during the baseline stay in the respiration chamber<sup>1</sup>

	Placebo group (n = 10)	L-796568 group (n = 10)
Age (y)	35 ± 8	37 ± 6
Height (cm)	184 ± 8	181 ± 5
Body weight (kg)	102.7 ± 12.7	103.5 ± 7.9
BMI (kg/m <sup>2</sup> )	30.3 ± 2.2	31.5 ± 2.1
Fat-free mass (kg) <sup>2</sup>	74.9 ± 10.7	70.9 ± 4.6
Fat mass (kg) <sup>2</sup>	28.7 ± 5.2	31.7 ± 5.0
Systolic BP (mm Hg)	128 ± 7	126 ± 6
Diastolic BP (mm Hg)	76 ± 9	81 ± 7
Heart rate (beats/min)	63 ± 6	63 ± 11
24-h EE (kJ/min)	8.13 ± 0.93	8.10 ± 0.45
24-h $RQ_{np}$	0.846 ± 0.021	0.858 ± 0.020
Sleeping EE (kJ/min)	6.03 ± 0.93	5.92 ± 0.33
Basal metabolic rate (kJ/min)	7.48 ± 1.14	7.05 ± 0.73
Daytime $RQ_{np}$	0.806 ± 0.028	0.838 ± 0.035 <sup>3</sup>

<sup>1</sup> $\bar{x} \pm$  SD. BP, blood pressure.

<sup>2</sup>Determined within the 7 d before the baseline stay in the respiration chamber.

<sup>3</sup>Significantly different from the placebo group,  $P = 0.04$ .

For the analyses of urinary nitrogen, urine was collected in bottles containing 10 mL sulfuric acid (2 mol/L); 8 mL was transferred into scintillation vials and stored at  $-20^\circ\text{C}$  before analysis via oxidative decomposition with heat conductance detection (Heraeus CHN-O-RAPID; Heraeus GmbH, Hanau, Germany). For the analyses of urinary norepinephrine and epinephrine, 3 mL acidified urine was stored at  $-70^\circ\text{C}$  before analysis via HPLC with electrochemical detection (20).

#### Appetite profiles

Subjects were asked to complete appetite questionnaires during both stays in the respiration chamber (29). The questionnaires include questions related to hunger and satiety, both of which were rated on a 100-mm visual analogue scale. Subjects recorded their responses before lunch (while fasting), every hour for the 3 h after lunch, before dinner, and every hour for the 3 h after dinner (a total of 8 times).

#### Statistical analyses

The effect of treatment with L-796568 on 24-h EE was the primary endpoint of this study. Within each treatment group, changes over time relative to baseline were tested by using a paired  $t$  test. Mean ( $\pm$ SD) changes over time (eg, from day 0 to day 27) were calculated and group differences were tested by using a two-sample unpaired  $t$  test. If the group differences were significant or nearly significant ( $P < 0.10$ ) at baseline, group differences from day 0 to day 27 were recalculated with a general linear model univariate analysis with baseline values added as cofactors. Changes in OGTT response were tested with a general linear model on the basis of a repeated-measures analysis of variance model, and significant group-by-time interaction effects were subsequently analyzed with an unpaired  $t$  test. Correlations were determined by using simple correlation analysis. Because body weight and FFM were stable throughout the treatment periods, these factors were not included in the analyses. Analyses were performed with SPSS 10.0 for Windows (SPSS Inc, Chicago).  $P < 0.05$  was regarded as statistically significant.

TABLE 2

Blood and urine variables at baseline<sup>1</sup>

	Placebo group (n = 10)	L-796568 group (n = 10)
<b>Blood</b>		
Glucose (mmol/L)	5.35 ± 0.67	5.46 ± 0.52
Insulin (pmol/L)	64 ± 28	87 ± 56
Glucagon (pmol/L)	19 ± 5	26 ± 13
Fatty acids ( $\mu\text{mol/L}$ )	324 ± 93	415 ± 122 <sup>2</sup>
Glycerol ( $\mu\text{mol/L}$ )	45 ± 12	58 ± 21
Triacylglycerols (mmol/L)	1.23 ± 0.64	2.03 ± 0.89 <sup>3</sup>
Lactate ( $\mu\text{mol/L}$ )	720 ± 210	913 ± 180 <sup>3</sup>
Ketones ( $\mu\text{mol/L}$ )	87.7 ± 79.5	115.7 ± 73.6
Leptin (ng/mL)	11 ± 5	14 ± 10
Norepinephrine (nmol/L)	2.45 ± 1.40	2.00 ± 0.82
Epinephrine (pmol/L)	156 ± 80	147 ± 84
Total cholesterol (mmol/L)	4.9 ± 0.8	5.7 ± 1.2 <sup>2</sup>
HDL cholesterol (mmol/L)	1.1 ± 0.3	1.0 ± 0.2
LDL cholesterol (mmol/L)	3.2 ± 0.8	3.6 ± 1.0
<b>Urine</b>		
Norepinephrine ( $\mu\text{g}/24\text{ h}$ )	47.4 ± 13.3	56.5 ± 39.2
Epinephrine ( $\mu\text{g}/24\text{ h}$ )	6.77 ± 2.44	6.94 ± 3.25

<sup>1</sup> $\bar{x} \pm$  SD.

<sup>2</sup>Tendency toward group difference,  $P < 0.10$ .

<sup>3</sup>Significantly different from placebo group,  $P < 0.05$ .

#### RESULTS

All subjects completed the study in accordance with our protocol. The 2 groups were well matched with regard to anthropometric and indirect calorimetry measures at baseline (Table 1). There were no significant differences at baseline between the groups in biochemical variables, except for triacylglycerol and lactate, which were significantly higher ( $P < 0.05$ ) in subjects allocated to the L-796568 treatment. There was also a tendency ( $P < 0.10$ ) for higher total cholesterol and fatty acid concentrations in the L-796568 group (Table 2).

In both groups, there were no significant changes in body weight, body composition, heart rate, or blood pressure from day 0 to day 28. The magnitude of the changes in these variables from day 0 to day 28 did not differ significantly between groups (Table 3).

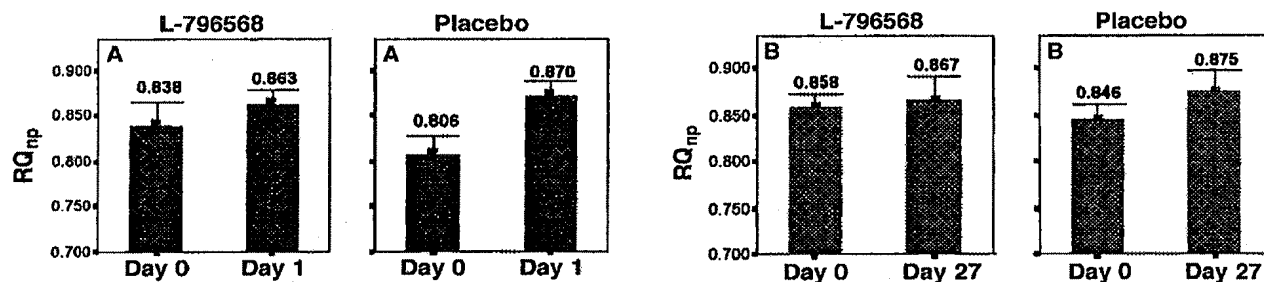
TABLE 3

Changes from day 0 (baseline) to day 28 (day 28 value minus day 0 value) for body weight, body composition, and cardiovascular measures<sup>1</sup>

	Placebo group (n = 10)	L-796568 group (n = 10)
Body weight (kg)	-0.2 ± 1.2	-0.5 ± 1.6
Fat-free mass (kg) <sup>2</sup>	-1.7 ± 2.8	0.4 ± 2.0
Fat mass (kg) <sup>2</sup>	1.0 ± 2.6	0.2 ± 1.5
Fat mass:fat-free mass <sup>2</sup>	0.02 ± 0.05	-0.00 ± 0.03
Systolic BP (mm Hg)	5 ± 8	3 ± 11
Diastolic BP (mm Hg)	3 ± 5	-2 ± 6
Heart rate (beats/min)	2 ± 5	-3 ± 13

<sup>1</sup> $\bar{x} \pm$  SD. BP, blood pressure. There were no significant differences between the 2 groups in the magnitude of the change from day 0 to day 28.

<sup>2</sup>Determined within the 7 d before the baseline stay in the respiration chamber.



**FIGURE 1.** Acute effect (A): change in daytime nonprotein respiratory quotient ( $RQ_{np}$ ) between day 0 (baseline) and day 1 (measured from 0 to 4 h postdose). There was a significant between-group difference without correction for baseline values ( $P < 0.02$ ); however, when baseline data were included as a cofactor the difference was not significant ( $P = 0.21$ ). Chronic effect (B): change in 24-h  $RQ_{np}$  between day 0 and day 27 ( $P = 0.09$ ).  $n = 10$  per group.

When we compared day 0 with day 1 to test for an acute effect, we observed no between-group differences in daytime EE ( $0.37 \pm 0.47$  and  $0.41 \pm 0.58$  kJ/min for the placebo and L-796568 groups, respectively), but there was a significantly smaller increase ( $P < 0.02$ ) in daytime nonprotein respiratory quotient ( $RQ_{np}$ ) in the L-796568 group ( $0.025 \pm 0.041$ ) than in the placebo group ( $0.064 \pm 0.021$ ) (Figure 1). However, the difference was not significant ( $P = 0.21$ ) when the higher baseline  $RQ_{np}$  values for the L-796568 group were included as a cofactor in the analysis. The same tendency was observed for  $RQ_{np}$  during exercise, although the difference was not significant ( $0.000 \pm 0.040$  and  $-0.039 \pm 0.055$  for the placebo and L-796568 groups, respectively).

For all the time intervals (ie, 24 h, sleep, daytime, postdinner, during exercise, and basal metabolic rate), changes in EE and  $RQ_{np}$  from day 0 to day 27 were not significantly different between the 2 groups (with or without adjustment for changes in FFM and energy balance), except for a significantly smaller

increase in daytime  $RQ_{np}$  in the L-796568 group (unadjusted for energy balance;  $0.044 \pm 0.031$  and  $0.001 \pm 0.040$  in the placebo and L-796568 groups, respectively;  $P < 0.02$ ) (Table 4). However, this significant difference in daytime  $RQ_{np}$  for the chronic response was no longer significant ( $P = 0.15$ ) when the higher baseline  $RQ_{np}$  values for the L-796568 group were included as a cofactor in the analysis.

Compliance was assured by observation of daily dosing and by measurement of the mean predose plasma L-796568 concentrations on days 21, 25, and 28 (3-d mean  $\pm$  SD =  $77.2 \pm 30.3$  nmol/L); these values were as predicted on the basis of previous studies. Even though there was no overall difference between groups in the body composition variables, there was a significant inverse correlation between the change in fat mass (poststudy minus prestudy) and the average predose plasma L-796568 concentration in the L-796568 group ( $r = -0.69$ ,  $P < 0.03$ ), ie, higher L-796568 concentrations were associated with greater decreases in fat mass (Figure 2). The decreases in fat mass were small,

**TABLE 4**

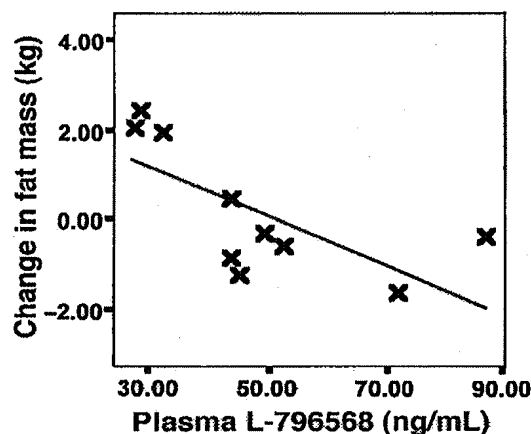
Changes from day 0 (baseline) to day 27 (day 27 value minus day 0 value) for energy expenditure (EE) and nonprotein respiratory quotient ( $RQ_{np}$ )<sup>1</sup>

	Placebo group (n = 10)	L-796568 group (n = 10)
24-h EE (kJ/min)	$0.06 \pm 0.36$	$0.06 \pm 0.41$
Sleeping EE (kJ/min)	$0.01 \pm 0.44$	$0.15 \pm 0.32$
Basal metabolic rate (kJ/min)	$-0.14 \pm 0.60$	$0.14 \pm 0.62$
Daytime EE (kJ/min)	$0.17 \pm 0.40$	$0.03 \pm 0.44$
Postdinner EE (kJ/min)	$0.10 \pm 0.48$	$0.16 \pm 0.86$
Exercise EE (kJ/min) <sup>2</sup>	$-3.49 \pm 5.64$	$-0.52 \pm 1.60$
24-h $RQ_{np}$	$0.029 \pm 0.021$	$0.009 \pm 0.029$
Sleeping $RQ_{np}$	$0.046 \pm 0.041$	$0.041 \pm 0.037$
Basal $RQ_{np}$	$0.067 \pm 0.039$	$0.044 \pm 0.050$
Daytime $RQ_{np}$ <sup>3</sup>	$0.044 \pm 0.031$	$0.001 \pm 0.040$
Postdinner $RQ_{np}$	$0.025 \pm 0.031$	$0.029 \pm 0.063$
Exercise $RQ_{np}$	$-0.027 \pm 0.077$	$-0.045 \pm 0.056$

<sup>1</sup> $\bar{x} \pm$  SD.

<sup>2</sup>Exercise value was measured during a 15-min session of cycling (1430–1445).

<sup>3</sup>Without the cofactor,  $P < 0.02$  for the difference between the 2 groups in the magnitude of change from day 0 to day 27. With the baseline value as a cofactor,  $P = 0.15$ .



**FIGURE 2.** Correlation between mean predose plasma L-796568 concentration on days 21, 25, and 28 and change in fat mass from pretreatment to posttreatment ( $r = -0.69$ ,  $P < 0.03$ );  $n = 10$ . Each  $\times$  represents the mean L-796568 concentration for 1 subject on the 3 measurement days.

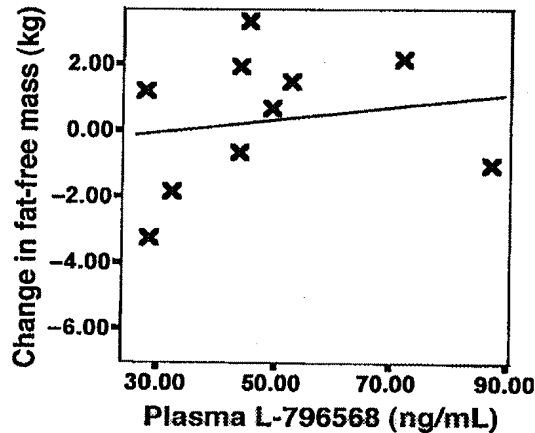


FIGURE 3. Correlation between mean predose plasma L-796568 concentration on days 21, 25, and 28 and change in fat-free mass from pretreatment to posttreatment ( $r = 0.21$ ,  $P = 0.56$ );  $n = 10$ . Each  $\times$  represents the mean L-796568 concentration for 1 subject on the 3 measurement days.

however. No significant correlations were found between plasma L-796568 concentration and changes in FFM (Figure 3) or other endpoints, including changes in 24-h EE ( $r = -0.08$ ,  $P = 0.98$ ), 24-h  $RQ_{np}$  ( $r = 0.56$ ,  $P = 0.09$ ), and the ratio of fat mass to FFM ( $r = -0.52$ ,  $P = 0.13$ ).

The results of the OGTTs showed no significant differences between the treatment groups in the changes in the incremental areas under the curve for plasma glucose, insulin, or glucagon responses. However, a time  $\times$  day  $\times$  group interaction effect was found ( $P < 0.02$ ) for the glucose response, and subsequent unpaired  $t$  test analysis at each time point showed a significantly higher glucose concentration at the 120-min time point in the L-796568 group than in the placebo group on day 28 ( $P < 0.03$ ) (Figure 4).

On day 14, fasting triacylglycerol, total cholesterol, and fatty acid concentrations were significantly decreased in the L-796568 group compared with the placebo group, but only the decrease in total cholesterol was significant when baseline values were included as a covariate ( $P < 0.005$ ) (data not shown). There were no significant differences in the changes in glycerol between the groups.

For triacylglycerol and total cholesterol, there were significant decreases in the L-796568 group compared with the placebo group from baseline (day 0) to day 28 (Table 5). When the higher baseline values of the L-796568 group were taken into account, only the change in triacylglycerol remained significantly different between the groups ( $-0.76 \pm 0.76$  and  $0.42 \pm 0.31$  mmol/L for the L-796568 and placebo groups, respectively;  $P < 0.002$ ).

We found no significant differences between groups for blood concentrations of LDL cholesterol, HDL cholesterol, ketones, lactate, leptin, norepinephrine, or epinephrine, or for urinary nitrogen or catecholamine excretion. The 2 groups did

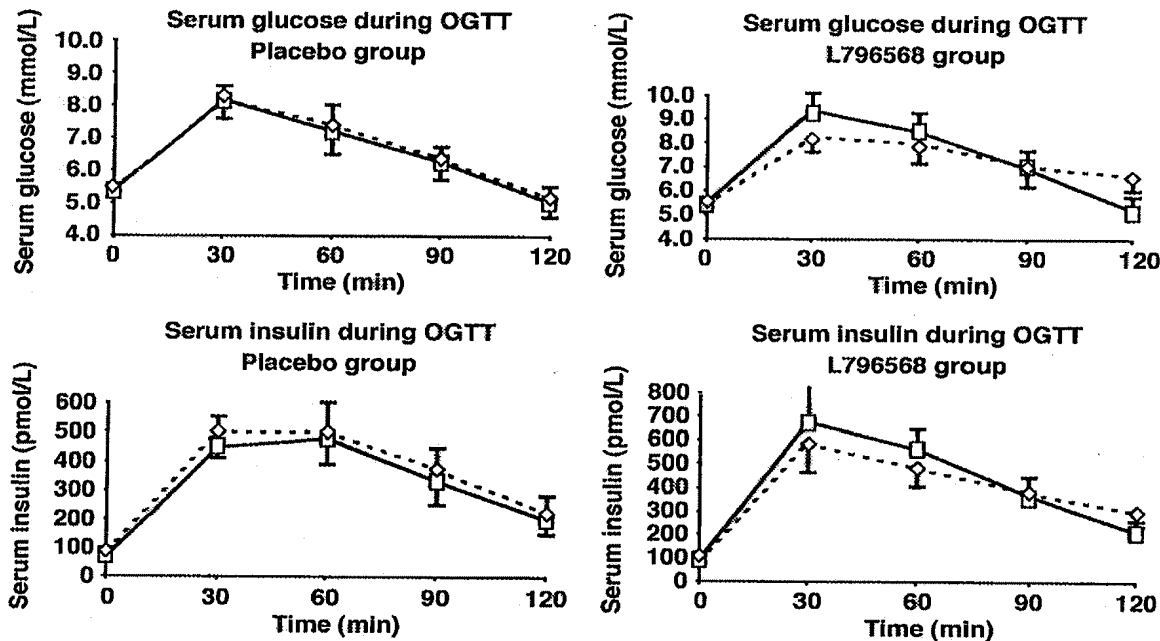


FIGURE 4. Glucose and insulin responses during oral-glucose-tolerance tests (OGTTs) performed pretreatment ( $\square$ ) and on day 28 ( $\blacklozenge$ );  $n = 10$  per group. No significant changes were found in the incremental area under the curve, but there was a significant time  $\times$  day  $\times$  group interaction effect ( $P < 0.02$ ; general linear model for repeated-measures ANOVA) for glucose, with higher serum glucose at 120 min in the L-796568 group than in the placebo group on day 28 ( $P < 0.03$ ).

TABLE 5

Changes in blood lipids from day 0 (baseline) to days 14 and 28

	Placebo group (n = 10)	L-796568 group (n = 10)	<i>P</i> <sup>1</sup>	
			No cofactor	With cofactor
Fatty acids (μmol/L)				
Day 14 - day 0	14 ± 31 <sup>2</sup>	-11 ± 11	<0.03	0.07
Day 28 - day 0	-17 ± 128	-8 ± 215	0.91	—
Glycerol (μmol/L)				
Day 14 - day 0	21 ± 50	5 ± 19	0.34	—
Day 28 - day 0	-4 ± 15	-6 ± 19	0.81	—
Triacylglycerols (mmol/L)				
Day 14 - day 0	0.19 ± 0.55	-0.84 ± 0.88	<0.01	0.13
Day 28 - day 0	0.42 ± 0.31	-0.76 ± 0.76	<0.001	<0.002
Total cholesterol (mmol/L)				
Day 14 - day 0	0.2 ± 0.5	-0.8 ± 0.6	<0.002	<0.005
Day 28 - day 0	0.1 ± 0.7	-0.7 ± 0.6	<0.02	0.07

<sup>1</sup> *P* values are for differences between groups (unpaired *t* test); the cofactor was the baseline value.<sup>2</sup>  $\bar{x} \pm$  SD.

not differ significantly with regard to hunger or satiety ratings at lunch or dinner.

Nine subjects in the L-796568 group and 2 in the placebo group reported gastrointestinal side effects, primarily diarrhea, during the treatment period. No subjects reported tremor (data not shown).

## DISCUSSION

Previous pharmacologic studies have used a variety of approaches to try to elucidate the thermogenic potential of  $\beta_3$  receptors in humans, but these studies have reported conflicting results. One approach has been to study EE with nonspecific  $\beta$  agonists in the presence of  $\beta_1$  and  $\beta_2$  antagonists to isolate any effects caused by  $\beta_3$  agonist stimulation. In one study, 43% of the thermogenic effect of ephedrine (which potentiates the synaptic release of noradrenaline) remained after pretreatment with the  $\beta_1$  and  $\beta_2$  antagonist nadolol (30). Other studies showed that isoprenaline and BRL35135 (nonspecific  $\beta_3$  agonists) have thermogenic effects that are not completely abolished by nadolol (31, 32). However, these findings were not confirmed in other studies that used a similar approach (33, 34). A second approach has been to investigate the effect of putative  $\beta_3$  agonists. Of all the previous studies on this topic, the one that seems the most informative evaluated the effect of the  $\beta_3$  agonist CL316 243, at a dose of 1500 mg/d, on EE and RQ (10). CL316 243 is a partial but selective human  $\beta_3$  agonist (60% activity compared with isoprenaline; > 1500-fold selectivity over  $\beta_1$  and  $\beta_2$ ) (35), but is  $\approx$ 1000-fold less potent ( $EC_{50} = 3700$  nmol/L) than is L-796568 (K Gottesdiener and Merck & Co, unpublished observations, 1999). Plasma concentrations in the study were low compared with its  $EC_{50}$  value, and CL316 243 failed to increase EE after 8 wk of chronic administration.

In the present study of obese subjects, 375 mg of the human  $\beta_3$ -adrenergic receptor agonist L-796568, taken once daily, had no effect on 24-h EE or on EE during any other time interval, neither acutely nor after 28 d of administration. These results were surprising, because an acute 8% increase in EE was observed after administration of a single 1000-mg dose of L-796568 to obese subjects who had fasted overnight (13). The lack of acute effect on EE on the first treatment day in this study was less surprising, because the present study had a different design in which subjects

were not resting and were served a large breakfast during the measurements; these factors are both likely to increase variability.

One explanation for the lack of chronic effect of L-796568 on EE could be that the plasma concentrations were too low. Overall, we think this is unlikely for the following reasons: 1) L-796568 is a very potent ( $EC_{50} \approx 3.6$  nmol/L) and full agonist of the human  $\beta_3$ -adrenergic receptor and average plasma concentrations were  $\approx 77$  nmol/L, or > 20-fold higher than  $EC_{50}$  values, throughout the day; 2) lower plasma concentrations were associated with significant increases in EE in the rhesus monkey (K Gottesdiener and Merck & Co, unpublished observations, 1999); and 3) in a separate study, this dosing regimen (375 mg with food) resulted in peak plasma concentrations of  $\approx 175$  nmol/L, which is well above the peak plasma concentrations ( $\approx 100$  nmol/L) achieved in the acute study that led to an 8% increase in EE (13).

An alternative explanation for the lack of chronic effect on EE might be that the agonist-induced BAT proliferative capability of  $\beta_3$  receptor-responsive tissues in humans was too low during chronic stimulation. BAT, the primary tissue that responds to  $\beta_3$  stimulation in rodents and possibly in rhesus monkeys, is only present in very limited amounts in adult humans and has questionable functional capacity. Thus, an acute  $\beta_3$ -stimulated increase in EE in humans is less likely to be dependent on BAT and might be mediated directly by WAT and perhaps indirectly by the liver and muscles. This is consistent with studies in transgenic mice that showed that the major part of acute  $\beta_3$ -agonist-induced thermogenesis and lipolysis is mediated by WAT and not by BAT (36). Compared with  $\beta_1$  and  $\beta_2$  receptors,  $\beta_3$  receptors have quite low expression in human WAT (37), yet seem capable of producing an acute increase in EE. In addition, BAT might be up-regulated after chronic  $\beta_3$  receptor stimulation. One study showed that 28 d of treatment with the  $\beta_3$  adrenergic receptor agonist L-755507 was sufficient to induce significant BAT proliferation and uncoupling protein 1 up-regulation in rhesus monkeys (12). If humans are similar to rhesus monkeys in this respect, this 28-d study might have led to BAT proliferation, uncoupling protein 1 up-regulation, and increases in EE that exceeded the acute effects.

Another likely explanation for the lack of chronic effect on EE is down-regulation of  $\beta_3$  receptors, even though previous in vivo studies in rodents showed no signs of  $\beta_3$ -adrenergic receptor

agonist-induced down-regulation (38, 39). If down-regulation is the cause of the lack of chronic  $\beta_3$ -adrenergic receptor-mediated thermogenesis, this suggests that a different  $\beta_3$ -adrenergic receptor-desensitizing mechanism occurs in humans. However, we also cannot rule out the possibility that some type of negative feedback occurs, possibly via inhibition of lipolysis with indirect effects on EE. Studies in humans showed increased thermogenesis during intravenous infusions of lipid plus heparin (ie, increased fatty acids) (40), suggesting that mobilization of fatty acids may be the major determinant of  $\beta_3$ -agonist-induced thermogenesis. However, an attenuated response of  $\beta_3$  agonist-mediated increases in plasma fatty acid concentrations was observed after 9 d of treatment with 375 mg L-796568 in healthy volunteers; the acute increases measured on the first treatment day were completely absent after 9 d of treatment (K Gottesdiener and Merck & Co, unpublished observations, 1999).

Interestingly, however, we did not find any  $\beta_3$  agonist-induced changes in catecholamine concentrations or excretion, suggesting that there was no major influence on lipolysis via changes in endogenous catecholamine metabolism. The lack of effect of the agonist under conditions when food intake is not altered does not exclude the possibility that the drug may show thermogenic efficacy in preventing the fall in EE under conditions of hypocaloric dieting, ie, when sympathetic activity is low and there is a tendency for adrenergic receptors to be up-regulated.

In the present weight-maintenance study, FFM and fat mass did not differ significantly between groups. However, the observed changes in body fat mass in the L-796568 group were inversely correlated with plasma L-796568 concentrations measured at the end of the study period, suggesting sustained increased fat oxidation. After 28 d of treatment, there was no sustained increase in the mobilization of fatty acids, as assessed by measuring fasting concentrations of fatty acids and glycerol.

When baseline  $RQ_{np}$  values were included as a cofactor in the analysis, there was no significant effect of L-796568 on substrate partitioning represented by RQ or nonprotein RQ, but regarding acute induced thermogenesis, any acute effect on daytime  $RQ_{np}$  may be masked by interference induced by diet and physical activity. However, among all the measuring periods, daytime  $RQ_{np}$  shows the largest decrease and thus indicates an acute stimulatory effect of a drug on fat oxidation, which may be dependent on fatty acid mobilization. On the contrary, we did not find a significant chronic effect on substrate partitioning, as indicated by the lack of effect on 24-h  $RQ_{np}$  (Figure 1).

Treatment with L-796568 reduced plasma triacylglycerol and total cholesterol concentrations to a greater extent than did placebo after 14 d. In L-796568 studies conducted in dogs and monkeys, decreased triacylglycerol concentrations were also found (K Gottesdiener and Merck & Co, unpublished observations, 1999), and chronically decreased triacylglycerol concentrations were observed previously in selective  $\beta_3$  agonist studies in obese mice (41).  $\beta_3$  agonists were shown to improve glucose tolerance in humans (10, 42), but in this study, we found no significant effect of L-796568 on glucose tolerance. The plasma glucose profile over time in the L-796568 group shows lower and more sustained concentrations, which may have been caused by decreased gastric emptying resulting from the known effects of  $\beta_3$  agents on gastric motility (43–45).

There was no evidence in this study that L-796568 had effects on  $\beta_1$  and  $\beta_2$  receptors, as indicated by the absence of tachycardia, tremor, and increased blood pressure and the lack of effects on

plasma potassium. Significantly more subjects in the L-796568 group had gastrointestinal side effects such as diarrhea, an effect which was previously found in dogs (K Gottesdiener and Merck & Co, unpublished observations, 1999) but not in human studies of CL316243 (C Weyer, personal communication, 2001). In animal models,  $\beta_3$  agonists had anorectic effects, but L-796568 did not seem to have any effect on appetite parameters assessed during the subjects' stays in the respiration chamber.

In conclusion, no chronic thermogenic effect of L-796568 was found in obese, nondiabetic young men. There was also no evidence of stimulation of fat oxidation by L-796568, although changes in fat mass correlated negatively with drug concentrations, suggesting some potential activity at the  $\beta_3$  receptor. In addition, triacylglycerol concentrations decreased substantially in the L-796568 group. In contrast with most studies of nonspecific  $\beta_3$  agonists, there was no evidence of  $\beta_1$  or  $\beta_2$  stimulation to confound these results. The lack of chronic effects most likely resulted from down-regulation of  $\beta_3$  effects (indirectly or directly via down-regulation of receptors) or a lack of recruitment of BAT in humans, or both.

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# Acute effect of L-796568, a novel $\beta_3$ -adrenergic receptor agonist, on energy expenditure in obese men

**Objective:** Our objective was to investigate the thermogenic efficacy of single oral doses of the novel  $\beta_3$ -adrenergic receptor agonist L-796568 [(R)-N-[4-[2-[[2-hydroxy-2-(3-pyridinyl)ethyl]amino]ethyl]-phenyl]-4-[4-[4-(trifluoromethyl)phenyl]thiazol-2-yl]-benzenesulfonamide, dihydrochloride] in humans.

**Methods:** Twelve healthy overweight to obese men participated in this 2-center, 3-period, randomized, placebo-controlled, crossover trial. In each period subjects received 250 mg L-796568, 1000 mg L-796568, or placebo. Energy expenditure and respiratory quotient were determined by indirect calorimetry; blood samples were taken; and ear temperature, heart rate, and blood pressure were measured at baseline and during the 4-hour period after administration.

**Results:** Energy expenditure increased significantly after the 1000-mg dose (about 8%) and this was accompanied by an increase in plasma glycerol and free fatty acid concentrations. Systolic blood pressure also increased significantly. No changes in heart rate, diastolic blood pressure, ear temperature, plasma catecholamine, potassium, or leptin were found.

**Conclusions:** Single-dose administration of 1000 mg of the novel  $\beta_3$ -adrenergic receptor agonist L-796568 increased lipolysis and energy expenditure in overweight men. This is the first study to show such an effect of  $\beta_3$ -adrenergic receptor agonists in humans without significant evidence for  $\beta_2$ -adrenergic receptor involvement. (Clin Pharmacol Ther 2002;71:272-9.)

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The prevalence of obesity is increasing in many countries all over the world.<sup>1</sup> The health risks associated with obesity, such as type 2 diabetes, hypertension, coronary artery disease, gallbladder disease, and arthritis, form a serious public health problem for the years to come if no effective strategies to prevent and treat it

are developed.<sup>1</sup> Comprehensive weight management programs, including a reduction of energy intake through an energy-restricted diet and an increase in energy expenditure through increased levels of physical activity in combination with a behavior modification program, have been developed. In many cases such programs lead to considerable short-term weight loss. However, long-term maintenance of the reduced weight often fails to occur. Current pharmacologic approaches to the treatment of obesity focus on reinforcement of these programs and they have been shown to improve long-term weight maintenance success.<sup>2,3</sup> The pharmacologic agents currently available for the management of obesity (orlistat and sibutramine) are effective through decreased nutrient absorption and appetite suppression, respectively. The approach of increased energy expenditure has been less well explored.

The peripheral sympathetic nervous system plays an important role in the regulation of energy expenditure.<sup>4</sup> Stimulation of sympathetic activity results in an

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increase of resting energy expenditure in humans, which is mediated by  $\beta$ -adrenergic receptors.<sup>5</sup> Both  $\beta_1$ - and  $\beta_2$ -adrenergic receptors lead to thermogenesis on stimulation.<sup>6,7</sup> Obesity appears to be associated with a blunted response to sympathetic stimulation that is probably caused mainly by  $\beta_2$ -adrenergic receptor malfunction.<sup>7,8</sup> However, treatment of obesity with the classic nonselective or  $\beta_2$ -selective agonists is not possible, because of the many unacceptable side effects of these drugs.

The discovery of a third  $\beta$ -adrenergic receptor subtype in humans, which in animal models has been shown to stimulate lipolysis in brown and white adipose tissue and lead to thermogenesis in brown adipocytes, has opened new possibilities for treatment of human obesity by selective  $\beta_3$ -adrenergic receptor stimulation. In humans the presence of  $\beta_3$ -adrenergic receptor mRNA has been shown in brown and white adipose tissue and the gall bladder, colon, stomach, small intestine, prostate gland, and heart but not in skeletal muscle or the liver, lung, kidney, thyroid, or lymphocytes.<sup>9-12</sup> One study has more recently provided evidence for the presence of the  $\beta_3$ -adrenergic receptor protein in human gastrocnemius muscle and the right atrium.<sup>13</sup> Although most of the currently available  $\beta_3$ -adrenergic receptor agonists are only weak partial agonists of the human  $\beta_3$ -adrenergic receptor and usually not very selective, a functional role for the  $\beta_3$ -receptor in adipocyte lipolysis has been suggested by studies in isolated human omental and subcutaneous fat cells<sup>14,15</sup> and in vivo in microdialysis studies with such agonists.<sup>16-18</sup> Because these drugs are not available for intravenous or oral administration in humans, their thermogenic efficacy is unknown. Indirect studies that used combinations of different sympathomimetics and blockers to dissect out the contribution of the  $\beta_3$ -receptor to human thermogenesis have yielded inconsistent results.<sup>19-22</sup>

L-796568 [(R)-N-[4-[2-[[2-hydroxy-2-(3-pyridinyl)ethyl]amino]ethyl]-phenyl]-4-[4-(trifluoromethyl)phenyl]thiazol-2-yl]-benzenesulfonamide, dihydrochloride] is a newly developed  $\beta_3$ -adrenergic receptor agonist with high affinity (concentration that produces 50% of the maximum possible response [ $EC_{50}$ ],  $3.6 \pm 2.2$  nmol/L) and efficacy ( $94\% \pm 10\%$  of maximal cyclic adenosine monophosphate accumulation by isoproterenol [INN, isoprenaline]) for the cloned human  $\beta_3$ -adrenergic receptor transfected in Chinese hamster ovary cells.<sup>23</sup> L-796568 is a weak partial agonist at the human  $\beta_1$ -receptor and  $\beta_2$ -receptor, with  $EC_{50}$  values of 4.8 and 2.4  $\mu$ mol/L, respectively, and efficacy of 25% of isoproterenol activity.<sup>23</sup> Phase I

human studies showed that L-796568 was well tolerated in doses up to 1500 mg. Administration of L-796568 therefore allows us to study, for the first time, the effects of a specific human  $\beta_3$ -adrenergic receptor agonist on in vivo lipolysis and thermogenesis in humans. This study was designed to investigate the thermogenic efficacy of single oral doses of 250 and 1000 mg L-796568 in obese men.

## METHODS

**Subjects.** Twelve healthy, nonsmoking male volunteers between 18 and 45 years old (mean  $\pm$  SD,  $34.4 \pm 5.8$  years) were included in the study. All of the subjects had maintained a stable weight ( $\pm 4$  kg) and had a body mass index between 28 and 34 kg/m<sup>2</sup> (mean  $\pm$  SD,  $30.7 \pm 2.1$  kg/m<sup>2</sup>). None of the volunteers had participated in an organized weight loss program during the previous 3 months. All had normal blood pressure measurements (90 to 150 mm Hg systolic and 50 to 95 mm Hg diastolic blood pressure) and resting heart rates ( $<90$  beats/min). Habitual heavy consumers of coffee ( $>4$  cups per day) were excluded. Current or anticipated use of any prescription or nonprescription drugs was not allowed.

**Experimental protocol.** The study was a 2-center, 3-period, randomized, placebo-controlled, crossover trial. In each period subjects received 250 mg L-796568, 1000 mg L-796568, or placebo in a balanced fashion. All drug supplies were provided as a "double dummy," so investigators and subjects were blinded to the treatment regimen. A washout period of 6 to 8 days between study periods allowed plasma levels of L-796568 to dissipate. Prestudy procedures included a medical history and a physical examination that included vital signs, determination of body mass index, measurement of thyroid function parameters, a safety laboratory panel for blood and urine, and a 12-lead electrocardiogram (ECG).

On the test days the subjects came to the laboratory in the morning after an overnight fast. Urine was collected for the safety panel. Subjects rested in a semirecumbent position, and a catheter was inserted into a forearm vein of each subject for blood sampling (100 minutes before dosing). Continuous heart rate and blood pressure monitoring was started, and heart rate and blood pressure were registered at 65 and 20 minutes before dosing. At 10 minutes before dosing, blood samples were taken for plasma catecholamine concentration (heparinized tubes that contained glutathione), plasma free fatty acids and glycerol concentration (ethylenediaminetetra-acetic acid tubes), plasma potassium (lithium-heparin tubes), and serum leptin concentration (serum tubes), as well as for the safety laboratory panel.

At 0 minutes before dosing, another blood sample was obtained for free fatty acids and glycerol concentration and for serum leptin concentration. After all blood was drawn, the catheter was flushed with saline solution. Ear temperature was determined at 60 minutes before dosing. Energy expenditure was measured for 30 minutes, from 60 minutes before dosing to 30 minutes before dosing, as a predose baseline.

After the baseline measurements, each subject was given a single oral dose of the study drug (250 mg or 1000 mg L-796568 or placebo) with 240 ml water and continued to fast and rest in a semisupine position for 4 hours. During this period, energy expenditure was measured during the last 20 minutes of each half hour. The first 10 minutes of each half hour was used for either blood sampling or calibration or both. Blood pressure and heart rate continued to be monitored and were recorded every 30 minutes. Blood samples for potassium, free fatty acids, and glycerol were obtained at 1, 2, 3, and 4 hours after dosing. Blood samples were obtained 1.5 and 4 hours after dosing for plasma catecholamines and 4 hours after dosing for leptin. The catheter was flushed with saline solution after each blood sample was drawn. Four hours after dosing, ear temperature was measured and a 12-lead ECG was obtained.

**Methods.** Energy expenditure was determined by indirect calorimetry with use of a ventilated hood system. Flow through the hood was set at approximately 50 L/min and was measured with a dry gas meter (Maastricht: Schlumberger, Dordrecht, The Netherlands; Copenhagen: Oxycon-beta, Mijnhardt, The Netherlands).  $\text{VO}_2$  and  $\text{VCO}_2$  were determined every 15 seconds from flow through the hood and the difference in oxygen (Maastricht: paramagnetic oxygen analyzer, Servomex, Crowborough, United Kingdom; Copenhagen: Oxycon-beta) and carbon dioxide (Maastricht: paramagnetic  $\text{CO}_2$  analyzer, Servomex; Copenhagen: Oxycon-beta) concentrations between ingoing and outgoing air. Identical calibration procedures were used in the two centers. Respiratory quotient was calculated as  $\text{VCO}_2/\text{VO}_2$ . Energy expenditure was calculated from  $\text{VO}_2$  and  $\text{VCO}_2$  with the abbreviated Weir formula.<sup>24</sup>

Blood pressure and heart rate were measured with a semiautomated device (Maastricht: Omron 705 CP, Hamburg, Germany; Copenhagen: digital blood pressure meter model UA-743, A & D Company, Tokyo, Japan). Heart rate monitoring was performed by means of a 3-lead ECG monitor (Maastricht: Cardiolife, Nikon-Kohden, Tokyo, Japan; Copenhagen: Diascope 2, model 211, Simonsen & Weel, Copenhagen, Denmark). The 12-lead ECG was registered by means of a Cardiette Daedalus View H, H&C Medical Devices,

Cavareno, Italy (Maastricht), or a Microsmart MC, Marquette Hellige, Freiburg, Germany (Copenhagen).

**Analytic methods.** Blood for serum leptin was allowed to clot at least 30 minutes and was then centrifuged for 15 minutes at 1200g at room temperature. All other blood samples were centrifuged immediately at 4°C for 15 minutes at 1200g except for the sample for potassium, which was centrifuged at room temperature. Plasma and serum were stored at -80°C until analysis.

Plasma free fatty acids,<sup>25</sup> glycerol,<sup>26</sup> potassium (direct potentiometry with an ion-selective electrode), and serum leptin<sup>27</sup> were analyzed at the Department of Clinical Chemistry, Academic Hospital of the Free University of Brussels (Brussels, Belgium). Plasma catecholamine concentrations (norepinephrine and epinephrine) were analyzed at the Department of Human Biology in Maastricht by HPLC with electrochemical detection by use of a kit from Recipe (München, Germany).<sup>28</sup>

**Data analysis.** Data are presented as mean values  $\pm$  SD, unless stated otherwise. For all variables, mean values at baseline (ie, predose for each treatment period) and at 4 hours after dosing were calculated. Within-treatment changes from baseline to 4 hours after dosing were computed by subtracting the baseline value from the 4-hour postdose value [ $\Delta(4-0 \text{ h})$ ]. In addition, mean energy expenditure during the 4-hour postdose period (mean 0-4 h) and 1-hour peak values were calculated for energy expenditure (for each treatment the two 20-minute measurements within each hour were averaged and the highest 1-hour mean value was chosen as the 1-hour peak value). The 3 treatments were compared by ANOVA for repeated measures (baseline values, 4-hour postdose values, and changes over the 4-hour postdose period [ $\Delta(4-0 \text{ h})$ ]). In case of a significant overall ANOVA outcome ( $P < .050$ ), post hoc comparisons between the 250- and 1000-mg doses of L-796568 and placebo were performed by paired  $t$  tests. Within-treatment differences between the 4-hour postdose and baseline measurements were analyzed by paired  $t$  tests. A  $P$  value  $< .050$  was considered to be statistically significant.

## RESULTS

L-796568 was generally well tolerated in this study. Side effects were reported by 7 subjects, were of mild to moderate intensity, and had disappeared within 24 hours. No tremor was reported. No significant changes in safety laboratory parameters or urine composition were found in any subjects during the course of the study, except in 1 subject in whom low total cholesterol was found that appeared to be unrelated to the drug. At baseline there were no statistically significant differences for any of the variables among conditions.

**Table I.** Values of all variables (mean  $\pm$  SD) at baseline (0 h) and at 4 hours after dosing (4 h) and the change during the 4-hour postdose period ( $\Delta 4-0$  h)

Variable and treatment	0 h	4 h	$\Delta 4-0$ h
Energy expenditure (kJ/min)			
Placebo	6.05 $\pm$ 0.55	6.14 $\pm$ 0.59	0.09 $\pm$ 0.36
250 mg	6.11 $\pm$ 0.53	6.16 $\pm$ 0.48	0.06 $\pm$ 0.21
1000 mg	5.97 $\pm$ 0.58	6.43 $\pm$ 0.52**	0.46 $\pm$ 0.31**
ANOVA	$P = .38$	$P < .050$	$P < .001$
Ear temperature			
Placebo	36.6°C $\pm$ 0.5°C	36.7°C $\pm$ 0.7°C	0.13°C $\pm$ 0.40°C
250 mg	36.5°C $\pm$ 0.4°C	36.7°C $\pm$ 0.6°C	0.15°C $\pm$ 0.48°C
1000 mg	36.4°C $\pm$ 0.5°C	36.8°C $\pm$ 0.5°C	0.33°C $\pm$ 0.22°C
ANOVA	$P = .35$	$P = .80$	$P = .19$
Plasma free fatty acids (mmol/L)			
Placebo	0.341 $\pm$ 0.115	0.542 $\pm$ 0.144	0.183 $\pm$ 0.138
250 mg	0.303 $\pm$ 0.123	0.585 $\pm$ 0.115	0.283 $\pm$ 0.166
1000 mg	0.327 $\pm$ 0.100	0.780 $\pm$ 0.185**	0.444 $\pm$ 0.224**
ANOVA	$P = .63$	$P < .001$	$P < .010$
Plasma glycerol ( $\mu$ mol/L)			
Placebo	48.0 $\pm$ 17.3	60.8 $\pm$ 17.9	11.6 $\pm$ 21.4
250 mg	41.1 $\pm$ 9.6	63.4 $\pm$ 13.8	23.1 $\pm$ 14.8*
1000 mg	41.4 $\pm$ 10.1	78.7 $\pm$ 20.7**	36.3 $\pm$ 19.6**
ANOVA	$P = .20$	$P < .001$	$P < .001$
Respiratory quotient			
Placebo	0.803 $\pm$ 0.062	0.793 $\pm$ 0.034	-0.010 $\pm$ 0.035
250 mg	0.834 $\pm$ 0.052	0.796 $\pm$ 0.027	-0.038 $\pm$ 0.034
1000 mg	0.818 $\pm$ 0.040	0.783 $\pm$ 0.015	-0.035 $\pm$ 0.040
ANOVA	$P = .26$	$P = .39$	$P = .08$
Plasma potassium (mmol/L)			
Placebo	4.01 $\pm$ 0.24	3.97 $\pm$ 0.21	-0.04 $\pm$ 0.14
250 mg	4.10 $\pm$ 0.28	3.87 $\pm$ 0.14	-0.23 $\pm$ 0.24
1000 mg	4.18 $\pm$ 0.59	3.94 $\pm$ 0.14	-0.24 $\pm$ 0.55
ANOVA	$P = .56$	$P = .22$	$P = .29$
Heart rate (beats/min)			
Placebo	62.8 $\pm$ 11.1	59.4 $\pm$ 12.5	-3.5 $\pm$ 7.1
250 mg	60.3 $\pm$ 8.1	62.0 $\pm$ 7.0	1.5 $\pm$ 5.7
1000 mg	61.2 $\pm$ 8.2	61.4 $\pm$ 9.0	-0.2 $\pm$ 4.4
ANOVA	$P = .43$	$P = .62$	$P = .14$
Systolic blood pressure (mm Hg)			
Placebo	128.6 $\pm$ 6.6	130.1 $\pm$ 12.6	1.1 $\pm$ 10.0
250 mg	132.4 $\pm$ 6.2	135.6 $\pm$ 12.2*	3.2 $\pm$ 8.6
1000 mg	126.8 $\pm$ 9.7	139.1 $\pm$ 13.0**	12.2 $\pm$ 14.2**
ANOVA	$P = .16$	$P < .050$	$P < .010$
Diastolic blood pressure (mm Hg)			
Placebo	82.9 $\pm$ 6.7	85.7 $\pm$ 7.6	2.6 $\pm$ 5.8
250 mg	85.5 $\pm$ 7.1	87.3 $\pm$ 10.0	1.8 $\pm$ 6.1
1000 mg	81.9 $\pm$ 6.8	88.8 $\pm$ 10.5	6.8 $\pm$ 8.6
ANOVA	$P = .12$	$P = .29$	$P = .13$
Plasma norepinephrine (ng/L)			
Placebo	262 $\pm$ 70	271 $\pm$ 92	9.0 $\pm$ 54.1
250 mg	258 $\pm$ 108	278 $\pm$ 113	20.5 $\pm$ 64.1
1000 mg	289 $\pm$ 90	324 $\pm$ 129	34.8 $\pm$ 114.5
ANOVA	$P = .29$	$P = .16$	$P = .69$
Plasma epinephrine (ng/L)			
Placebo	28 $\pm$ 14	38 $\pm$ 20	9.8 $\pm$ 21.0
250 mg	27 $\pm$ 21	32 $\pm$ 19	4.9 $\pm$ 12.3
1000 mg	28 $\pm$ 14	30 $\pm$ 14	2.2 $\pm$ 17.9
ANOVA	$P = .97$	$P = .21$	$P = .43$
Serum leptin ( $\mu$ g/L)			
Placebo	12.6 $\pm$ 7.6	11.8 $\pm$ 6.7	-0.78 $\pm$ 1.61
250 mg	14.0 $\pm$ 7.4	12.8 $\pm$ 6.6	-1.18 $\pm$ 1.17
1000 mg	11.9 $\pm$ 6.7	11.0 $\pm$ 6.9	-0.87 $\pm$ 0.54
ANOVA	$P = .18$	$P = .10$	$P = .70$

ANOVA  $P$  value refers to overall between-groups ANOVA outcome.

\* $P < .05$ , \*\* $P < .001$ , versus placebo (post hoc paired  $t$  tests).

**Thermogenic effects.** The changes in energy expenditure from baseline during the 4-hour postdose period are shown in Fig 1. At 4 hours after dosing, energy expenditure was significantly different among the 3 conditions ( $P < .05$ , between-treatments overall ANOVA; Table I). Energy expenditure increased significantly from baseline to 4 hours after dosing (by  $0.46 \pm 0.31$  kJ/min, 7.8%) after the 1000-mg dose ( $P < .001$ , within-treatment paired  $t$  test). No statistically significant changes were found after the 250-mg dose ( $0.06 \pm 0.21$  kJ/min, 1.0%;  $P = .35$ , within-treatment paired  $t$  test) or after placebo ( $0.09 \pm 0.36$  kJ/min, 1.5%;  $P = .41$ , within-treatment paired  $t$  test). The changes differed significantly among the 3 conditions ( $P < .001$ , between-treatments overall ANOVA;  $P < .001$  for 1000 mg versus placebo;  $P = .67$  for 250 mg versus placebo; Table I). Comparison of the 1-hour peak energy expenditures or mean energy expenditure during the period from baseline to 4 hours after dosing among the 3 treatments did not yield statistically significant differences ( $P = .32$  for 1000 mg versus placebo;  $P = .88$  for 250 mg versus placebo; between-treatments overall ANOVA).

Ear temperature values at different time points are shown in Table I. No statistically significant differences were found at any time point, and the 4-hour postdose changes did not differ among treatments ( $P = .19$ , between-treatments overall ANOVA).

**Metabolic effects.** The plasma concentrations of free fatty acids and glycerol showed a dose-dependent increase during the 4-hour postdose period (Fig 2), which differed statistically significantly among conditions ( $P < .010$  for  $\Delta 4-0$  h, between-treatments overall ANOVA). The changes after the 250-mg dose ( $0.283 \pm 0.166$  mmol/L for  $\Delta 4-0$  h free fatty acids;  $23 \pm 15$   $\mu$ mol/L for  $\Delta 4-0$  h glycerol) and after the 1000-mg dose ( $0.444 \pm 0.224$  mmol/L for  $\Delta 4-0$  h free fatty acids;  $36 \pm 20$   $\mu$ mol/L for  $\Delta 4-0$  h glycerol) differed significantly from those after placebo ( $0.183 \pm 0.138$  mmol/L for  $\Delta 4-0$  h free fatty acids;  $12 \pm 21$   $\mu$ mol/L for  $\Delta 4-0$  h glycerol) ( $\Delta 4-0$  h free fatty acids:  $P < .010$ , between-treatments overall ANOVA;  $P = .09$  for 250 mg versus placebo;  $P < .005$  for 1000 mg versus placebo;  $\Delta 4-0$  h glycerol:  $P < .001$ , between-treatments overall ANOVA;  $P < .050$  for 250 mg versus placebo;  $P < .005$  for 1000 mg versus placebo; Table I).

The respiratory quotient at 4 hours after dosing did not differ statistically significantly among treatments ( $P = .39$ , between-treatments overall ANOVA; Table I), although there was a tendency toward a reduction in the respiratory quotient after the 250-mg ( $-0.038 \pm 0.034$ ) and 1000-mg ( $-0.035 \pm 0.040$ ) doses compared with placebo ( $-0.010 \pm 0.035$ ), but the difference among

treatments did not reach statistical significance ( $\Delta 4-0$  h respiratory quotient:  $P = .08$ , between-treatments overall ANOVA). Plasma potassium concentrations showed no statistically significant changes during any of the treatments (Table I).

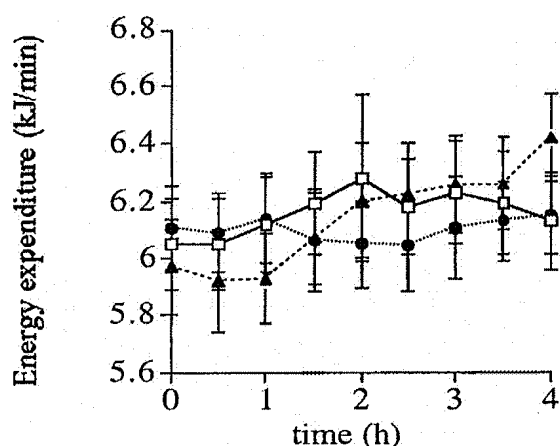
**Cardiovascular effects.** There were no statistically significant differences in heart rate between the 3 treatments at any time point, and the changes during the 4-hour postdose period did not differ significantly. At 4 hours after dosing, there was a statistically significant difference in systolic blood pressure among treatments ( $P < .050$ , between-treatments overall ANOVA; Table I). The increase in systolic blood pressure during the 4-hour postdose period was  $1.5 \pm 10.4$  mm Hg after placebo,  $3.2 \pm 8.7$  mm Hg after 250 mg L-796568, and  $12.2 \pm 13.7$  mm Hg after 1000 mg L-796568 ( $P < .005$ , between-treatments ANOVA;  $P = .41$  for 250 mg versus placebo;  $P < .010$  for 1000 mg versus placebo; Table I). No statistically significant differences in diastolic blood pressure were observed during the study period (Table I).

**Hormonal effects.** No differences in plasma norepinephrine, epinephrine, or serum leptin concentrations were found among treatments during the 4-hour study period (Table I).

## DISCUSSION

Despite the very clear role of  $\beta_3$ -adrenergic receptors in the regulation of energy expenditure in several animal models, their importance in human thermogenesis has not yet been convincingly shown. Several  $\beta_3$ -adrenergic receptor agonists have been tested in humans, but because of their poor selectivity and efficacy, the results so far have not been convincing.<sup>29,32</sup> In contrast to these compounds, L-796568 is both a highly selective and a highly effective agonist of the human  $\beta_3$ -adrenergic receptor *in vitro*.<sup>23</sup> This study clearly showed that L-796568 also has lipolytic and thermogenic activity *in vivo* after single-dose administration to obese men. At 4 hours after administration, the 1000-mg dose had increased energy expenditure by about 8%. The time to peak plasma concentration of L-796568 has been shown to be about 4 to 5 hours.<sup>33</sup> In this study the plasma concentration of L-796568 therefore probably had not reached its peak in all individuals after the 4-hour period, and a further thermogenic effect by L-796568 after 4 hours is likely.

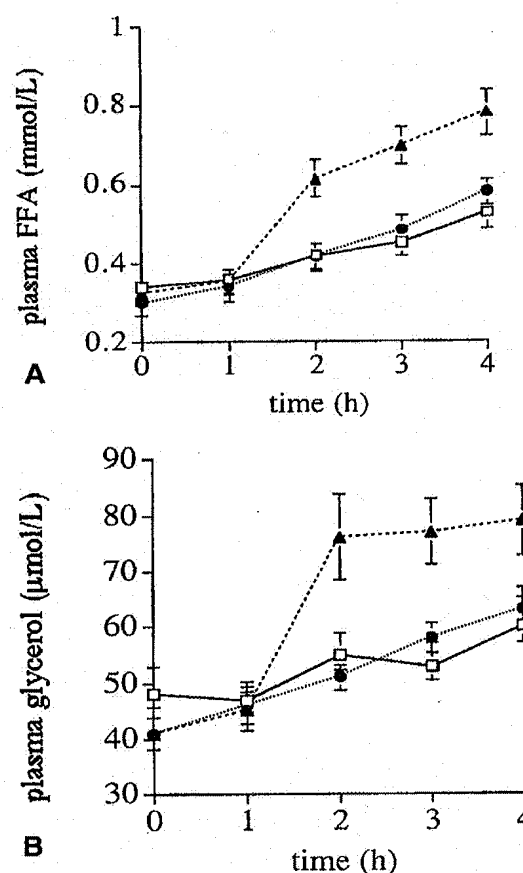
*In vitro* the  $EC_{50}$  of L-796568 for stimulation of the human  $\beta_3$ -adrenergic receptor was 3.6 nmol/L. The  $EC_{50}$  values of L-796568 for human  $\beta_1$ -adrenergic receptor (4770 nmol/L) and  $\beta_2$ -adrenergic receptor stimulation (2405 nmol/L) were much higher than



**Fig 1.** Energy expenditure (mean  $\pm$  SEM) after placebo (squares), 250 mg L-796568 (circles), and 1000 mg L-796568 (triangles).

those for human  $\beta_3$ -adrenergic receptor stimulation.<sup>23</sup> In another study it was found that the peak plasma concentration of L-796568 was about 28.5 nmol/L after a single 250-mg dose and about 89.4 nmol/L after a single 1000-mg dose in lean healthy volunteers (Merck Research Laboratories. Data on file). Both concentrations were well above the  $EC_{50}$  of  $\beta_3$ -adrenergic receptor stimulation, and the highest concentration was still  $>25$ -fold lower than the  $EC_{50}$  for  $\beta_2$ -adrenergic receptor stimulation and  $>50$ -fold lower than the  $EC_{50}$  for  $\beta_1$ -adrenergic receptor stimulation. However, a significant contribution of  $\beta_2$ - or  $\beta_1$ -adrenergic receptor-mediated stimulation cannot be fully excluded only on the basis of comparisons with in vitro  $EC_{50}$  values. Tremor and hypokalemia, indicators of  $\beta_2$ -adrenergic receptor stimulation, were not evident and it is unlikely that the effects of L-796568 were the result of stimulation of sympathetic nervous system activity because plasma catecholamine concentrations did not change.

Several in vitro studies have shown that human subcutaneous adipose tissue and especially omental adipose tissue express functional  $\beta_3$ -adrenergic receptors.<sup>14,15</sup> Microdialysis studies have shown that these receptors are also functional in situ.<sup>16-18</sup> This study confirms that systemic administration of a  $\beta_3$ -adrenergic receptor agonist is also capable of stimulating lipolysis in vivo. In a recent study we showed that increased plasma concentrations of free fatty acids induced thermogenesis in lean and obese subjects in the absence of stimulation by the sympathetic nervous system.<sup>33</sup> It is



**Fig 2.** Mean  $\pm$  SEM plasma free fatty acid concentrations (FFA; A) and glycerol concentrations (B) after placebo (squares), 250 mg L-796568 (circles), and 1000 mg L-796568 (triangles).

therefore conceivable that the thermogenic response after L-796568 administration is caused by the  $\beta_3$ -adrenergic receptor-mediated stimulation of lipolysis and does not involve any further  $\beta_3$ -adrenergic receptor-mediated effects.

Systolic blood pressure increased significantly after L-796568. In the absence of an increase in heart rate, this suggests increased contractility of the heart or an increase in total peripheral resistance. However, diastolic blood pressure did not increase significantly, which does not support an increase in peripheral resistance. Moreover, in vivo and in vitro experiments in different animal species suggest a vasodilatory effect

of  $\beta_3$ -adrenergic agonists.<sup>34</sup> The presence of  $\beta_3$ -adrenergic receptors in the human heart has been reported for the right atrium<sup>13</sup> and for the ventricular endomyocardium.<sup>12</sup> To date, the functional role of the atrial  $\beta_3$ -adrenergic receptors is unclear. Stimulation of the ventricular  $\beta_3$ -adrenergic receptors produces a negative inotropic effect in animals and in human ventricular endomyocardial biopsies.<sup>12,34</sup> On the basis of these findings, a role for the up-regulation of  $\beta_3$ -adrenergic receptors in human heart failure as a protection against further myocyte damage has been proposed.<sup>34</sup> However, a negative inotropic effect of stimulation of  $\beta_3$ -adrenergic receptors is not in line with the increase in systolic blood pressure that was observed in this study. On the basis of these data, an increased contractility of the heart as a result of  $\beta_1$ -adrenergic receptor stimulation by L-796568 cannot be fully excluded. However, the pattern of changes of the different variables after L-796568 is not identical to that after specific  $\beta_1$ - (or  $\beta_2$ )-adrenergic receptor stimulation.<sup>33</sup>

In conclusion, single-dose administration of 1000 mg of the novel  $\beta_3$ -adrenergic receptor agonist L-796568 increased lipolysis and energy expenditure in overweight men. This is the first study to show such an effect of  $\beta_3$ -adrenergic receptor agonists in humans, without significant evidence for  $\beta_2$ -adrenergic receptor involvement. Involvement of  $\beta_1$ -adrenergic receptor stimulation could not be fully excluded but seems to be unlikely in view of the higher  $EC_{50}$  for human  $\beta_1$ -adrenergic receptor stimulation than for human SYMBOLB- $\beta_3$ -adrenergic receptor stimulation in vitro and the plasma concentrations likely to be present.

We thank Jos Stegen for his analysis of the plasma catecholamines.

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## Validity of (–)-[<sup>3</sup>H]-CGP 12177A as a radioligand for the 'putative $\beta_4$ -adrenoceptor' in rat atrium

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**1** We have recently suggested the existence in the heart of a 'putative  $\beta_4$ -adrenoceptor' based on the cardiostimulant effects of non-conventional partial agonists, compounds that cause cardiostimulant effects at greater concentrations than those required to block  $\beta_1$ - and  $\beta_2$ -adrenoceptors. We sought to obtain further evidence by establishing and validating a radioligand binding assay for this receptor with (–)-[<sup>3</sup>H]-CGP 12177A ((–)-4-(3-tertiarybutylamino-2-hydroxypropoxy) benzimidazol-2-one) in rat atrium. We investigated (–)-[<sup>3</sup>H]-CGP 12177A for this purpose for two reasons, because it is a non-conventional partial agonist and also because it is a hydrophilic radioligand.

**2** Increasing concentrations of (–)-[<sup>3</sup>H]-CGP 12177A, in the absence or presence of 20  $\mu$ M (–)-CGP 12177A to define non-specific binding, resulted in a biphasic saturation isotherm. Low concentrations bound to  $\beta_1$ - and  $\beta_2$ -adrenoceptors ( $pK_D$   $9.4 \pm 0.1$ ,  $B_{max}$   $26.9 \pm 3.1$  fmol  $mg^{-1}$  protein) and higher concentrations bound to the 'putative  $\beta_4$ -adrenoceptor' ( $pK_D$   $7.5 \pm 0.1$ ,  $B_{max}$   $47.7 \pm 4.9$  fmol  $mg^{-1}$  protein). In other experiments designed to exclude  $\beta_1$ - and  $\beta_2$ -adrenoceptors, (–)-[<sup>3</sup>H]-CGP 12177A (1–200 nM) binding in the presence of 500 nM (–)-propranolol was also saturable ( $pK_D$   $7.6 \pm 0.1$ ,  $B_{max}$   $50.8 \pm 7.4$  fmol  $mg^{-1}$  protein).

**3** The non-conventional partial agonists (–)-CGP 12177A ( $pK_i$   $7.3 \pm 0.2$ ), (±)-cyanopindolol ( $pK_i$   $7.6 \pm 0.2$ ), (–)-pindolol ( $pK_i$   $6.6 \pm 0.1$ ) and (±)-carazolol ( $pK_i$   $7.2 \pm 0.2$ ) and the antagonist (–)-bupranolol ( $pK_i$   $6.6 \pm 0.2$ ), all competed for (–)-[<sup>3</sup>H]-CGP 12177A binding in the presence of 500 nM (–)-propranolol at the 'putative  $\beta_4$ -adrenoceptor', with affinities closely similar to potencies and affinities determined in organ bath studies.

**4** The catecholamines competed with (–)-[<sup>3</sup>H]-CGP 12177A at the 'putative  $\beta_4$ -adrenoceptor' in a stereoselective manner, (–)-noradrenaline ( $pK_{IH}$   $6.3 \pm 0.3$ ,  $pK_{IL}$   $3.5 \pm 0.1$ ), (–)-adrenaline ( $pK_{IH}$   $6.5 \pm 0.2$ ,  $pK_{IL}$   $2.9 \pm 0.1$ ), (–)-isoprenaline ( $pK_{IH}$   $6.2 \pm 0.5$ ,  $pK_{IL}$   $3.4 \pm 0.1$ ), (+)-isoprenaline ( $pK_i$   $< 1.7$ ), (–)-RO363 ((–)-1-(3,4-dimethoxyphenethylamino)-3-(3,4-dihydroxyphenoxy)-2-propanol)oxalate,  $pK_i$   $5.5 \pm 0.1$ ).

**5** The inclusion of guanosine 5-triphosphate (GTP 0.1 mM) had no effect on binding of (–)-CGP 12177A or (–)-isoprenaline to the 'putative  $\beta_4$ -adrenoceptor'. In competition binding studies, (–)-CGP 12177A competed with (–)-[<sup>3</sup>H]-CGP 12177A for one receptor state in the absence ( $pK_i$   $7.3 \pm 0.2$ ) or presence of GTP ( $pK_i$   $7.3 \pm 0.2$ ). (–)-Isoprenaline competed with (–)-[<sup>3</sup>H]-CGP 12177A for two states in the absence ( $pK_{IH}$   $6.6 \pm 0.3$ ,  $pK_{IL}$   $3.5 \pm 0.1$ ; % H  $25 \pm 7$ ) or presence of GTP ( $pK_{IH}$   $6.2 \pm 0.5$ ,  $pK_{IL}$   $3.4 \pm 0.1$ ; % H  $37 \pm 6$ ). In contrast, at  $\beta_1$ -adrenoceptors, GTP stabilized the low affinity state of the receptor for (–)-isoprenaline.

**6** The specificity of binding to the 'putative  $\beta_4$ -adrenoceptor' was tested with compounds active at other receptors. High concentrations of the  $\beta_3$ -adrenoceptor agonists, BRL 37344 ((RR+SS)[4-[2-[(3-chlorophenyl)-2-hydroxy-ethyl]amino]propyl]phenoxy]acetic acid, 6  $\mu$ M), SR 58611A (ethyl[(7S)-7-[(2R)-2-(3-chlorophenyl)-2-hydroxyethylamino]-5,6,7,8-tetrahydronaphthyl-2-yloxy] acetate hydrochloride, 6  $\mu$ M), ZD 2079 ((±)-1-phenyl-2-(2,4-carboxymethylphenoxy)-ethylamino)-ethan-1-ol, 60  $\mu$ M), CL 316243 (disodium (R,R)-5-[2-[2-(3-chlorophenyl)-2-hydroxyethyl-amino]propyl]-1,3-benzodioxole-2,2-dicarboxylate, 60  $\mu$ M) and antagonist SR 59230A (3-(2-ethylphenoxy)-1-[(1S)-1,2,3,4-tetrahydronaphthyl-1-ylamino]-2S-2-propanol oxalate, 6  $\mu$ M) caused less than 22% inhibition of (–)-[<sup>3</sup>H]-CGP 12177A binding in the presence of 500 nM (–)-propranolol. Histamine (1 mM), atropine (1  $\mu$ M), phenolamine (10  $\mu$ M), 5-HT (100  $\mu$ M) and the 5-HT<sub>4</sub> receptor antagonist SB 207710 ((1-butyl-4-piperidinyl)-methyl 8-amino-7-iodo-1,4-benzodioxan-5-carboxylate, 10 nM) caused less than 26% inhibition of binding.

**7** Non-conventional partial agonists, the antagonist (–)-bupranolol and catecholamines all competed for (–)-[<sup>3</sup>H]-CGP 12177A binding in the absence of (–)-propranolol at  $\beta_1$ -adrenoceptors, with affinities ( $pK_i$ ) ranging from 1.6–3.6 log orders greater than at the 'putative  $\beta_4$ -adrenoceptor'.

**8** We have established and validated a radioligand binding assay in rat atrium for the 'putative  $\beta_4$ -adrenoceptor' which is distinct from  $\beta_1$ -,  $\beta_2$ - and  $\beta_3$ -adrenoceptors. The stereoselective interaction with the catecholamines provides further support for the classification of the receptor as 'putative  $\beta_4$ -adrenoceptor'.

**Keywords:** (–)-[<sup>3</sup>H]-CGP 12177A; (–)-CGP 12177A; 'putative  $\beta_4$ -adrenoceptor'; atypical  $\beta$ -adrenoceptors;  $\beta_1$ -adrenoceptor; non-conventional partial agonists; catecholamines; (–)-RO363; rat atrium

### Introduction

Carlsson *et al.* (1972) showed that both  $\beta_1$ - and  $\beta_2$ -adrenoceptors mediate positive chronotropic effects in cat sino-atrial node and were the first to propose the co-existence of  $\beta$ -adrenoceptors. Since then, the concept of co-

existence of  $\beta_1$ - and  $\beta_2$ -adrenoceptors has been confirmed in various cardiac regions in many species including man (Elnatani *et al.*, 1994; Kaumann, 1997; Kaumann & Molenaar, 1997). Recently, Gauthier *et al.* (1996) provided evidence for a  $\beta_3$ -adrenoceptor in endomyocardial ventricular biopsies from transplanted hearts and from patients

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undergoing open heart surgery. Interestingly, unlike  $\beta_1$ - and  $\beta_2$ -adrenoceptors which mediate cardiostimulant effects (Kaumann & Molenaar, 1997), stimulation of the  $\beta_3$ -adrenoceptor caused cardiodepressant effects (Gauthier *et al.*, 1996). However, cardiodepressant effects mediated by stimulation of  $\beta_3$ -adrenoceptors have not been confirmed in human right ventricular trabeculae, from explanted hearts in terminal failure, or in right ventricular septal strips, from young children with congenital defects (Kaumann & Molenaar, 1997).

For well over 20 years now, evidence has been slowly accumulating for the existence of a cardiac  $\beta$ -adrenoceptor which is pharmacologically distinct from the  $\beta_1$ -,  $\beta_2$ - and  $\beta_3$ -adrenoceptor subtypes. First described in 1973 (Kaumann, 1973), some compounds which had been classified as  $\beta_1$ - and  $\beta_2$ -adrenoceptor antagonists, caused cardiostimulant effects at much higher concentrations than those that caused antagonism. These compounds were termed non-conventional partial agonists. It was not until later (Kaumann, 1989) that it was hypothesized that non-conventional partial agonists may mediate their effects through a  $\beta$ -adrenoceptor distinct from  $\beta_1$ - and  $\beta_2$ -adrenoceptors. Non-conventional partial agonists also cause agonist effects on  $\beta_3$ -adrenoceptors of adipocytes (for review see Arch & Kaumann, 1993), leading on occasion, to the assumption that the cardiac atypical  $\beta$ -adrenoceptor is a  $\beta_3$ -adrenoceptor (Bond & Lefkowitz, 1996). However, more recently, Malinowska & Schlicker (1996) and Kaumann & Molenaar (1996) showed that the non-conventional partial agonists, CGP 12177A and cyanopindolol caused cardiostimulant effects in rat heart through a receptor that was pharmacologically distinct from  $\beta_3$ -adrenoceptors. Furthermore, Kaumann & Molenaar (1996) showed that the  $\beta_3$ -adrenoceptor agonists, BRL 37344, CL 316243, ZD 2079, SR 58611A at concentrations up to 60  $\mu$ M, concentrations considerably higher than those required to relax rat colon through  $\beta_3$ -adrenoceptors, did not have any effect in rat left or right atrium, nor did they have any effect on cardiostimulant responses to (-)-CGP 12177A. The cardiostimulant effects of (-)-CGP 12177A were unaffected by the  $\beta_1$ - and  $\beta_2$ -adrenoceptor antagonist (-)-propranolol (200 nM), only marginally blocked by the  $\beta_3$ -adrenoceptor selective antagonist SR 59230A ( $pK_B = 5.1 - 5.4$  compared to 6.3–7.5 at rat colonic  $\beta_3$ -adrenoceptors) and were blocked with moderate affinity by (-)-bupranolol ( $pK_B = 6.4 - 6.8$ ) and CGP 20712A ( $pK_B = 6.3 - 6.4$ ) (Kaumann & Molenaar, 1996). There is now evidence for coupling of the receptor to the Gs protein-adenylyl cyclase pathway. Positive inotropic and chronotropic effects of (-)-CGP 12177A were potentiated by the phosphodiesterase inhibitor 3-isobutyl-1-methylxanthine (IBMX; Kaumann & Lynham, 1997). Furthermore (-)-CGP 12177A enhances cyclic AMP levels (Kaumann *et al.*, 1997) and causes increased activity of cyclic AMP-dependent protein kinase in left and right atrium (Kaumann & Lynham, 1997).

Until now a radioligand binding assay for the receptor that mediates cardiostimulant effects of non-conventional partial agonists has not been available. In this study we have developed and validated a radioligand binding assay in rat atrium with (-)-[<sup>3</sup>H]-CGP 12177A. In addition we have provided evidence for binding to the receptor of the endogenous catecholamines, (-)-noradrenaline and (-)-adrenaline and synthetic catecholamines, (-)-isoprenaline and (-)-RO363. We now propose that non-conventional partial agonists and catecholamines interact with the cardiac atypical  $\beta$ -adrenoceptor which we designate 'putative  $\beta_4$ -adrenoceptor'.

A preliminary account of this work was presented at the American Society for Pharmacology and Experimental Therapeutics meeting, San Diego, March, 1997 (Sarsero *et al.*, 1997).

## Methods

### Membrane preparation

Sprague-Dawley rats of either sex (250–300 g) were stunned by a blow on the head, exsanguinated and left and right atrium dissected. Atria were homogenized in ice-cold Tris/Mg<sup>2+</sup> assay buffer (composition in mM: Tris-HCl 50, EGTA 5, EDTA 1, MgCl<sub>2</sub> 4, ascorbic acid 1 and phenylmethylsulphonyl fluoride 0.5; pH 7.4), then centrifuged for 5 min at 2,250  $\times$  g (4°C). The supernatant was centrifuged at 50,000  $\times$  g (4°C) for 15 min and the pellet resuspended in 15 volumes of ice-cold assay buffer.

Experiments were performed in assay buffer with or without guanosine 5'-triphosphate (GTP; 0.1 mM).

### Association and dissociation experiments

The association of (-)-[<sup>3</sup>H]-CGP 12177A (specific activity 44.5 Ci mmol<sup>-1</sup>) to 'putative  $\beta_4$ -adrenoceptor' binding sites in rat atrium was determined at time points ranging from 0–15 min.

The dissociation of (-)-[<sup>3</sup>H]-CGP 12177A from binding sites previously incubated with (-)-[<sup>3</sup>H]-CGP 12177A for 20 min at 37°C was determined for time points ranging from 0–60 min after the addition of 20  $\mu$ M (-)-CGP 12177A.  $K_i$  was determined from the equation

$$B_t = B_{eq} \cdot e^{-K_{-1}t} \quad (1)$$

where  $B_t$  is specific binding at time  $t$  and  $B_{eq}$  is specific binding at equilibrium.

### Saturation experiments

For binding to  $\beta_1$ -,  $\beta_2$ - and 'putative  $\beta_4$ -adrenoceptor' binding sites 0.01–200 nM (-)-[<sup>3</sup>H]-CGP 12177A was used in the absence or presence of 20  $\mu$ M (-)-CGP 12177A to define non-specific binding.

Saturation binding experiments were analysed for two binding sites by non-linear curve fitting with the equation

$$B_{eq} = (B_{max\beta_1AR+\beta_2AR} [(-)-[{}^3H]-CGP\ 12177A]) / (K_{D\beta_1AR+\beta_2AR} + [(-)-[{}^3H]-CGP\ 12177A]) + (B_{max\beta_4AR} [(-)-[{}^3H]-CGP\ 12177A]) / (K_{D\beta_4AR} + [(-)-[{}^3H]-CGP\ 12177A]) \quad (2)$$

where  $B_{max\beta_1AR+\beta_2AR}$  is the maximal density of  $\beta_1$ - +  $\beta_2$ -adrenoceptors,  $B_{max\beta_4AR}$  is the maximal density of 'putative  $\beta_4$ -adrenoceptors',  $K_{D\beta_1AR+\beta_2AR}$  is the dissociation constant of (-)-[<sup>3</sup>H]-CGP 12177A for  $\beta_1$ - and  $\beta_2$ -adrenoceptors and  $K_{D\beta_4AR}$  is the dissociation constant of (-)-[<sup>3</sup>H]-CGP 12177A at the 'putative  $\beta_4$ -adrenoceptor'.

Alternatively, for binding to  $\beta_1$ - and  $\beta_2$ -adrenoceptor binding sites only, 0.01–20 nM (-)-[<sup>3</sup>H]-CGP 12177A was used in the absence or presence of 500 nM (-)-propranolol to define non-specific binding. For binding to 'putative  $\beta_4$ -adrenoceptor' binding sites only, 1–200 nM (-)-[<sup>3</sup>H]-CGP 12177A was used in the presence of 500 nM (-)-propranolol with 20  $\mu$ M (-)-CGP 12177A to define non-specific binding.

Saturation binding experiments were analysed for one binding site by non-linear curve fitting.

Assays were conducted at 37°C for 120 min.

### Competition binding studies

For binding to  $\beta_1$ -adrenoceptor binding sites a single concentration of (–)-[<sup>3</sup>H]-CGP 12177A (1.0–3.8 nM) was used in the presence of the  $\beta_2$ -adrenoceptor selective antagonist ICI 118,551 50 nM, a concentration which is at least 100 times greater than its affinity for  $\beta_2$ -adrenoceptors (Bilski *et al.*, 1983; Lemoine *et al.*, 1985). The concentrations of (–)-[<sup>3</sup>H]-CGP 12177A used were higher than its  $pK_D$  value at rat atrial  $\beta_1$ - or  $\beta_2$ -adrenoceptor binding sites ( $pK_D$  9.4, this study) and were used to obtain a clear signal between total and non-specific binding. A higher concentration (47–57 nM) was used to label 'putative  $\beta_4$ -adrenoceptor' binding sites in the presence of 500 nM (–)-propranolol. Non-specific binding was defined as above. Assays were conducted at 37°C for 120 min ( $\beta_1$ - and  $\beta_2$ -adrenoceptors) or 60 min ('putative  $\beta_4$ -adrenoceptors').

Competition binding experiments were analysed according to the equation:

$$B_{eq} = B_{nib} + (B_{ib} - B_{nib}) / (1 + 10^{([competitor] - \log IC_{50})}) \quad (3)$$

where  $B_{ib}$  = specifically bound (–)-[<sup>3</sup>H]-CGP 12177A in the absence of competitor,  $B_{nib}$  = non-specifically bound (–)-[<sup>3</sup>H]-CGP 12177A and  $IC_{50}$  = the concentration of competitor causing 50% inhibition.

The  $pK_i$  for the competitor was then calculated from the Cheng and Prusoff equation (Cheng & Prusoff, 1973).

Competition binding experiments were analysed for two sites according to the equation:

$$B_{eq} = B_{nib} + f_H(B_{ib} - B_{nib}) / (1 + 10^{([competitor] - \log IC_{50H})}) + f_L(B_{ib} - B_{nib}) / (1 + 10^{([competitor] - \log IC_{50L})}) \quad (4)$$

where  $f_H$  is the fraction of high affinity binding sites,  $f_L$  is the fraction of low affinity binding sites,  $IC_{50H}$  and  $IC_{50L}$  are concentrations of competitor causing 50% inhibition at high and low affinity binding sites, respectively.  $pK_{iH}$  and  $pK_{iL}$  were calculated as above.

Assays were terminated by addition of 5 ml ice-cold Tris wash buffer (Tris-HCl, 50 mM, pH 7.4) followed by rapid filtration (Brandel M-30R cell harvester) over GF/B filters. Radioactivity retained on filter paper was counted in a Packard beta-counter (Model Tri-Carb 460 CD).

Protein was determined by the method of Lowry *et al.* (1951) with bovine serum albumin as a standard.

Kinetic, saturation and competition binding data were analysed by non-linear regression by PRISM (GraphPad Software, Inc.).

### Drugs used

(–)-CGP 12177A, BRL 37344, SB 207710 (SmithKline Beecham Pharmaceuticals, Welwyn, U.K.), CGP 20712A (2-hydroxy-5-(2-((2-hydroxy-3-(4-((1-methyl-4-trifluoromethyl)-1H-imidazole-2-yl)-phenoxy) propyl) amino) ethoxy)-benzamide monomethane sulphonate) (Ciba-Geigy AG, Basel, Switzerland), (±)-carazolol (Boehringer Mannheim GmbH, Mannheim, Germany), SR 58611A (Sanofi, Montpellier, France), SR 59230A (Sanofi, Milan, Italy), CL 316243 (Wyeth-Ayerst Research Princeton, NJ, USA), ZD 2079

(Zeneca Pharmaceuticals, Macclesfield, U.K.), ICI 118,551 (erythro-DL-1 (7-methylindan-4-yloxy)-3-isopropylamino-butan-2-ol) (Zeneca, Wilmslow, Cheshire, U.K.), (±)-cyanopindolol, (–)-pindolol (Sandoz, Basle, Switzerland), (–)-bupranolol (Sanofi, Monheim, Germany), (+)-isoprenaline (+)-bitartrate (Sterling-Winthrop Research Institute, Rensselaer, N.Y., USA), guanosine 5'-triphosphate (Boehringer Mannheim, Australia), (–)-RO363 (Institute of Drug Technology, Boronia, Australia), (–)-noradrenaline bitartrate (Research Biochemicals International, Natick, MA, USA); (–)-propranolol hydrochloride, (±)-propranolol hydrochloride, histamine dihydrochloride, (–)-adrenaline bitartrate; (–)-isoprenaline bitartrate; atropine sulphate; 5-hydroxytryptamine hydrochloride; phentolamine methanesulphonate; phenylmethanesulfonyl fluoride; bovine serum albumin, (Sigma, St Louis, MO, USA); (–)-[<sup>3</sup>H]-CGP 12177A (Dupont, Boston, MA, U.S.A.).

## Results

### Kinetic binding experiments

Specific binding of 20 nM–84 nM (–)-[<sup>3</sup>H]-CGP 12177A in the presence of 500 nM (–)-propranolol to 'putative  $\beta_4$ -adrenoceptors' in rat atrial homogenates was time-dependent and reached over 50% of equilibrium by 15 s, our first sample point ( $n=6$ , Figure 1). Therefore, we were not able to obtain an accurate estimate of the observed association rate constant. Binding remained stable for up to 60 min ( $n=3$ ). The addition of excess (–)-CGP 12177A (20  $\mu$ M) caused dissociation of (–)-[<sup>3</sup>H]-CGP 12177A with a dissociation rate constant,  $k_1$   $5.9 \pm 2.2 \times 10^{-2} \text{ min}^{-1}$ ,  $n=5$  (Figure 1).

### Saturation binding experiments

Specific binding of increasing concentrations (0.01–200 nM) of (–)-[<sup>3</sup>H]-CGP 12177A (defined with 20  $\mu$ M (–)-CGP 12177A) to rat atrial homogenates was biphasic with a low Hill slope ( $0.77 \pm 0.05$ ,  $n=6$ ). Non-specific binding of (–)-[<sup>3</sup>H]-CGP 12177A increased linearly with concentration (not shown). Specific binding was  $56 \pm 4\%$  of total binding,  $72 \pm 14 \text{ d.p.m.}$  at 60 pM;  $86 \pm 1\%$ ,  $311 \pm 36 \text{ d.p.m.}$  at 500 pM;  $81 \pm 1\%$ ,  $830 \pm 68 \text{ d.p.m.}$  at 5 nM and  $50 \pm 2\%$ ,  $1499 \pm 79 \text{ d.p.m.}$  at 40 nM. The curve could be resolved into two sites, one with high affinity ( $pK_D$   $9.4 \pm 0.1$ ,  $B_{max}$   $26.9 \pm 3.1 \text{ fmol mg}^{-1} \text{ protein}$ ) and another site with lower affinity ( $pK_D$   $7.5 \pm 0.1$ ,  $B_{max}$   $47.7 \pm 4.9 \text{ fmol mg}^{-1} \text{ protein}$ ,  $n=6$ ). Figure 2 shows a representative saturation binding experiment.

In other saturation binding experiments, binding of low concentrations of (–)-[<sup>3</sup>H]-CGP 12177A (0.01–20 nM) in the absence or presence of 500 nM (–)-propranolol to rat atrial membrane homogenates was saturable ( $B_{max}$   $30.1 \pm 1.6 \text{ fmol mg}^{-1} \text{ protein}$ ,  $n=6$ ) and of high affinity ( $pK_D$   $9.4 \pm 0.1$ ,  $n=6$ ) (Figure 2). This value is similar to that observed for binding to  $\beta_1$ - and  $\beta_2$ -adrenoceptor binding sites in rat ventricle by Nanoff *et al.* (1987). The presence of  $\beta_1$ - and  $\beta_2$ -adrenoceptor binding sites was confirmed by competition binding studies with the selective  $\beta_1$ -adrenoceptor antagonist CGP 20712A, which revealed binding to  $\beta_1$ - ( $pK_i$   $8.2 \pm 0.2$ ,  $\% \beta_1$   $78 \pm 1$ ,  $n=6$ ) and  $\beta_2$ -adrenoceptor binding sites ( $pK_i$   $6.1 \pm 0.1$ ,  $\% \beta_2$   $22 \pm 1$ ,  $n=6$ ) (Figure 3). (–)-Propranolol competed with (–)-[<sup>3</sup>H]-CGP 12177A with high affinity ( $pK_i$   $8.4 \pm 0.1$ ,  $n_H$   $0.91 \pm 0.15$ ,  $n=6$ ) (Figure 3) and was therefore used at 500 nM to block binding of (–)-[<sup>3</sup>H]-CGP 12177A to  $\beta_1$ - and  $\beta_2$ -adrenoceptor binding sites.

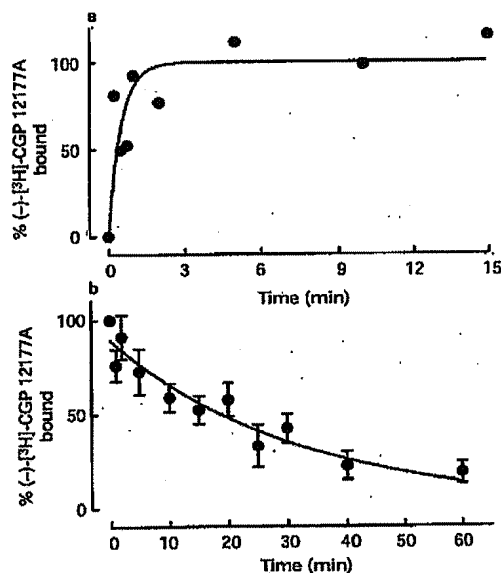


Figure 1 Kinetic analysis of (-)-[<sup>3</sup>H]-CGP 12177A binding to 'putative  $\beta_4$ -adrenoceptor' binding sites in rat atrial membranes. (-)-[<sup>3</sup>H]-CGP 12177A binding was performed in the presence of 500 nM (-)-propranolol to block  $\beta_1$ - and  $\beta_2$ -adrenoceptors. Non-specific binding was determined with 20  $\mu$ M (-)-CGP 12177A. Representative association curve (a) shows specific binding of (-)-[<sup>3</sup>H]-CGP 12177A (84 nM) at 37°C against time. Mean dissociation curve (b) shows specific binding at various times after addition of 20  $\mu$ M (-)-CGP 12177A. Each point is expressed as a percentage of the binding occurring at equilibrium in association experiments and at time zero in dissociation experiments. Vertical lines in (b) show s.e. mean from 5 separate experiments.

(-)-[<sup>3</sup>H]-CGP 12177A (1–200 nM) binding was saturable in the presence of 500 nM (-)-propranolol ( $B_{max}$   $50.8 \pm 7.4$  fmol mg<sup>-1</sup> protein,  $n=6$ ) and of lower affinity ( $pK_D$   $7.6 \pm 0.1$ ,  $n=6$ ) (Figure 2).

The results of the separate saturation binding experiments for (-)-[<sup>3</sup>H]-CGP 12177A together with competition binding experiments with CGP 20712A are consistent with the presence of high affinity  $\beta_1$ - and  $\beta_2$ -adrenoceptor binding sites, together with a lower affinity binding site, i.e. the 'putative  $\beta_4$ -adrenoceptor'.

#### Competition binding experiments at the 'putative $\beta_4$ -adrenoceptor'

The non-conventional partial agonists (-)-CGP 12177A, ( $\pm$ )-cyanopindolol, (-)-pindolol and ( $\pm$ )-carazolol and the antagonist (-)-bupranolol all competed for binding at the 'putative  $\beta_4$ -adrenoceptor' (Table 1, Figure 4 and 5). High concentrations of the selective  $\beta_2$ -adrenoceptor agonists, 6  $\mu$ M BRL 37344, 60  $\mu$ M ZD 2079, 60  $\mu$ M CL 316243, 6  $\mu$ M SR 58611A and the  $\beta_2$ -adrenoceptor antagonist 6  $\mu$ M SR 59230A caused less than 22% inhibition (Table 2). Drugs from other classes of receptors, 1 mM histamine, 1  $\mu$ M atropine, 10  $\mu$ M phentolamine, 100  $\mu$ M 5-HT and the 5-HT<sub>4</sub> antagonist 10 nM SB 207710 did not compete for (-)-[<sup>3</sup>H]-CGP 12177A binding (Table 2).

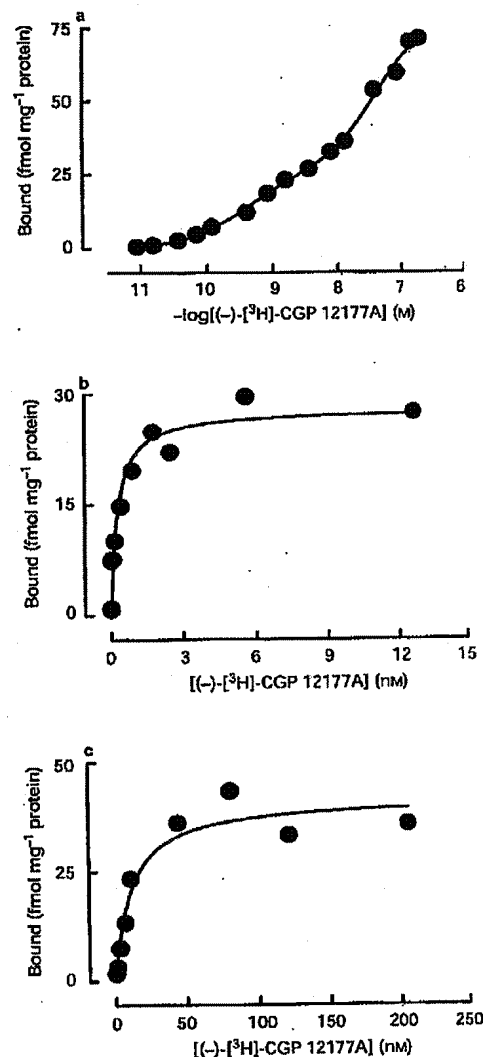


Figure 2 Individual saturation binding experiments showing specific binding in rat atrial membranes for (a) (-)-[<sup>3</sup>H]-CGP 12177A (0.01–200 nM) binding to  $\beta_1$ -,  $\beta_2$ - and 'putative  $\beta_4$ -adrenoceptors' with non-specific binding determined by 20  $\mu$ M (-)-CGP 12177A, (b) (-)-[<sup>3</sup>H]-CGP 12177A (0.01–20 nM) binding to  $\beta_1$ - and  $\beta_2$ -adrenoceptors with non-specific binding determined by 500 nM (-)-propranolol and (c) (-)-[<sup>3</sup>H]-CGP 12177A (1–200 nM) binding to 'putative  $\beta_4$ -adrenoceptors' in the presence of 500 nM (-)-propranolol, with non-specific binding determined by 20  $\mu$ M (-)-CGP 12177A. In (a) the saturation binding isotherm was biphasic with one component corresponding to binding at  $\beta_1$ - and  $\beta_2$ -adrenoceptors and the other component corresponding to binding at the 'putative  $\beta_4$ -adrenoceptor'. Note, experiments shown in (a), (b) and (c) were performed on different batches of atrial membranes.

The catecholamines (-)-noradrenaline, (-)-adrenaline, (-)-isoprenaline, (+)-isoprenaline and (-)-RO363 competed for binding in a stereoselective manner (Table 1, Figure 6).

Competition binding experiments at  $\beta_1$ -adrenoceptors

Competition binding experiments were also performed at  $\beta_1$ -adrenoceptors. The non-conventional partial agonists and the antagonist (–)-bupranolol competed for (–)-[<sup>3</sup>H]-CGP 12177A binding at  $\beta_1$ -adrenoceptors (Figures 4 and 5, Table 1). The catecholamines, (–)-noradrenaline, (–)-adrenaline, (–)-isoprenaline, (+)-isoprenaline and (–)-RO363 also competed at the  $\beta_1$ -adrenoceptor in a stereoselective manner (Figure 6, Table 1). The difference of log affinity estimates between  $\beta_1$ - and 'putative  $\beta_4$ -adrenoceptor' binding sites (log  $pK_i$ ,  $\beta_1$ -adrenoceptor-log  $pK_i$  'putative  $\beta_4$ -adrenoceptor') were not consistent and ranged between 1.6 and 3.6 log units (Table 1).

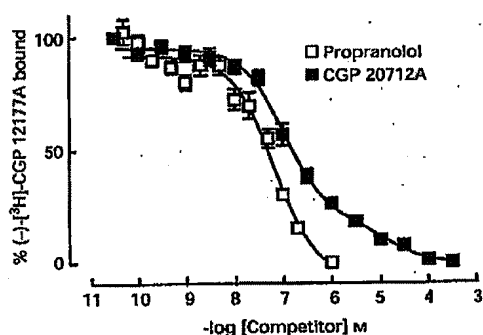


Figure 3 Mean competition binding curves between (–)-[<sup>3</sup>H]-CGP 12177A and (–)-propranolol and CGP 20712A at  $\beta_1$  and  $\beta_2$ -adrenoceptor binding sites. Competition binding curves for CGP 20712A could be resolved into a high affinity component corresponding to  $\beta_1$ -adrenoceptors and a low affinity component corresponding to  $\beta_2$ -adrenoceptors. Vertical lines show s.e.mean.

Effect of GTP on radioligand binding at  $\beta_1$ - and 'putative  $\beta_4$ -adrenoceptor' binding sites

GTP (0.1 mM) stabilized the low affinity binding site for (–)-isoprenaline at  $\beta_1$ -adrenoceptors. In the absence of GTP, two binding sites ( $pK_{iH}$  8.47,  $pK_{iL}$  6.79, % H 35.1) were identified. However, in the presence of GTP, one site only with  $pK_i$  7.1 was detected (Figure 7, Table 1).

At 'putative  $\beta_4$ -adrenoceptor' binding sites, inclusion of GTP (0.1 mM) had no effect on competition binding experiments with (–)-CGP 12177A or (–)-isoprenaline (Figure 7). (–)-CGP 12177A competed with (–)-[<sup>3</sup>H]-CGP 12177A for one receptor state in the absence or presence of GTP with affinity  $pK_i$  7.3 (Figure 7, Table 1). (–)-Isoprenaline competed with (–)-[<sup>3</sup>H]-CGP 12177A at two states of the receptor in the absence ( $pK_{iH}$  6.6,  $pK_{iL}$  3.5; % H 25.0) and presence of GTP ( $pK_{iH}$  6.2,  $pK_{iL}$  3.4; % H 37.5) (Figure 7, Table 1).

## Discussion

(–)-[<sup>3</sup>H]-CGP 12177A was used to label  $\beta_1$ -,  $\beta_2$ - and 'putative  $\beta_4$ -adrenoceptor' binding sites in rat atrium. The design of the 'putative  $\beta_4$ -adrenoceptor' binding assay was based on functional studies in which (–)-CGP 12177A stimulated an atypical  $\beta$ -adrenoceptor resistant to blockade of  $\beta_1$ - and  $\beta_2$ -adrenoceptors by (–)-propranolol in rat right and left atrium (Kaumann & Molenaar, 1996; 1997; Kaumann, 1997), which we now call the 'putative  $\beta_4$ -adrenoceptor'. We considered (–)-[<sup>3</sup>H]-CGP 12177A to be a suitable candidate to investigate as a radioligand for the 'putative  $\beta_4$ -adrenoceptor' in preference to other non-conventional partial agonists, such as iocyanopindolol or carazolol because of its low lipophilicity (Partition Dulbecco's phosphate buffer solution: octanol ratio [<sup>3</sup>H]-carazolol 1:23; [<sup>125</sup>I]-iocyanopindolol 1:18; [<sup>3</sup>H]-CGP 12177A 3.1:1; Staehlin *et al.*, 1983). We also considered, as did Staehlin *et al.*, (1983), that (–)-[<sup>3</sup>H]-CGP 12177A was less likely than [<sup>3</sup>H]-carazolol

Table 1 Comparison of competition binding data between (–)-[<sup>3</sup>H]-CGP 12177A and catecholamines, non-conventional partial agonists and the antagonist (–)-bupranolol at  $\beta_1$ -adrenoceptors and the putative ' $\beta_4$ -adrenoceptor' in the presence or absence of guanosine triphosphate (GTP, 0.1 mM).

guanosine triphosphate (GTP, 0.1 mM).									
	n	$\beta_1$ -adrenoceptors*		$n_H$	n	Putative $\beta_4$ -adrenoceptors**		$n_H$	$\Delta pK_i$
		$pK_i$	%			$pK_i$	%		
+ GTP (0.1 mM)									
(-)-Noradrenaline	4	5.89 ± 0.12(L)	100	0.82 ± 0.02	5	6.30 ± 0.30(H) 3.46 ± 0.05(L)	20.5 ± 3.6(H) 79.5 ± 3.6(L)	0.58 ± 0.07	2.43
(-)-Adrenaline	4	5.66 ± 0.07(L)	100	0.83 ± 0.06	5	6.51 ± 0.19(H) 2.92 ± 0.11(L)	24.4 ± 3.4(H) 75.6 ± 3.4(L)	0.31 ± 0.03	2.74
(-)-Isoprenaline	7	7.06 ± 0.04(L)	100	0.99 ± 0.05	4	6.23 ± 0.46(H) 3.44 ± 0.12(L)	37.5 ± 6.3(H) 62.5 ± 6.3(L)	0.43 ± 0.04	3.62
(+)-Isoprenaline	4	3.71 ± 0.13		0.74 ± 0.11	6	<1.7		—	
(-)-RO363	3	7.45 ± 0.06		0.99 ± 0.12	6	5.46 ± 0.13		0.81 ± 0.09	1.99
(-)-CGP 12177A	—				4	7.34 ± 0.18		0.75 ± 0.06	—
(±)-Cyanopindolol	4	10.75 ± 0.05		0.98 ± 0.05	6	7.56 ± 0.17		0.65 ± 0.09	3.19
(-)-Pindolol	4	8.69 ± 0.04		0.91 ± 0.09	6	6.57 ± 0.14		0.77 ± 0.16	2.12
(±)-Carazolol	4	9.96 ± 0.10		1.24 ± 0.14	6	7.23 ± 0.22		0.85 ± 0.21	2.73
(-)-Bupranolol	4	8.19 ± 0.02		1.01 ± 0.05	10	6.63 ± 0.20		0.83 ± 0.06	1.56
- GTP									
(-)-Isoprenaline	3	8.47 ± 0.31(H) 6.79 ± 0.20(L)	35.1 ± 7.9(H) 64.9 ± 7.9(L)	0.74 ± 0.02	4	6.56 ± 0.35(H) 3.50 ± 0.07(L)	25.0 ± 6.6(H) 75.0 ± 9.6(L)	0.49 ± 0.05	1.91 3.29
(-)-CGP 12177A	—	—	—	—	4	7.32 ± 0.19		0.82 ± 0.13	—

\*  $\beta_1$ -Adrenoceptor binding performed in the presence of the  $\beta_2$ -adrenoceptor antagonist ICI 118,551 50 nM.

\*\* 'Putative  $\beta_4$ -adrenoceptor' binding performed in the presence of (–)-propranolol 500 nM. Affinity ( $pK_i$ ) and pseudo Hill coefficient values ( $n_H$ ) were obtained from  $n$  individual experiments and are shown as mean ± s.e.mean.  $\Delta pK_i = pK_i$  ( $\beta_1$ -adrenoceptor) –  $pK_i$  ('putative  $\beta_4$ -adrenoceptor'). H: high affinity binding state; L: low affinity binding state; %: percentage of receptor in high or low affinity binding state.

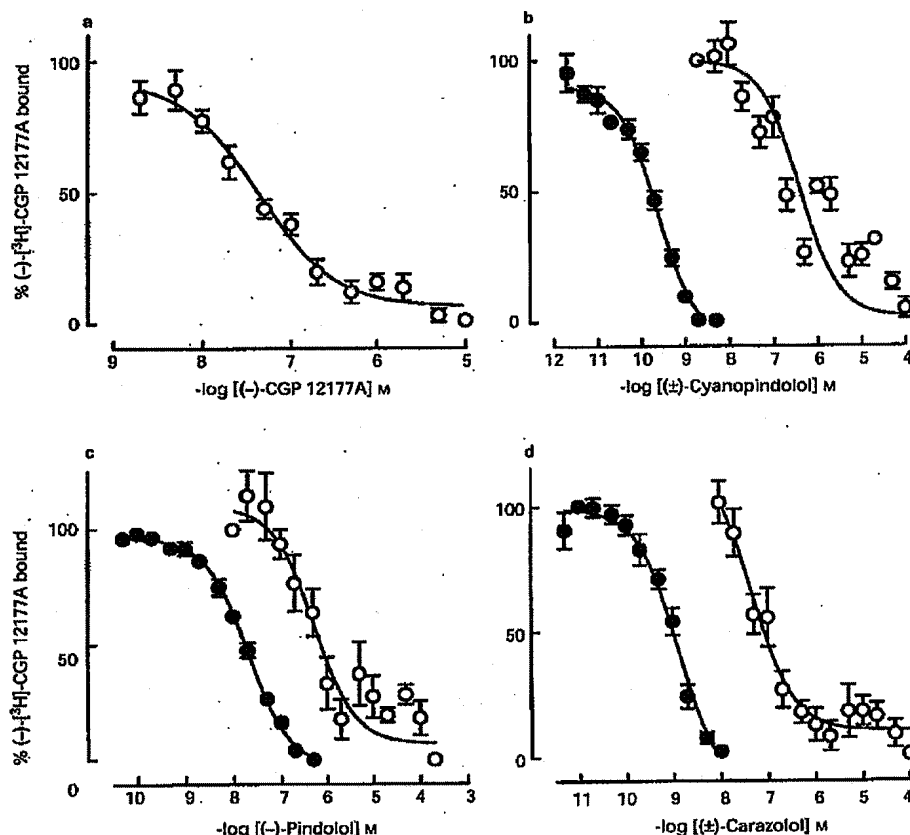


Figure 4 Mean competition binding curves between (-)-[<sup>3</sup>H]-CGP 12177A and non-conventional partial agonists ((a) (-)-CGP 12177A, (b) (±)-cyanopindolol, (c) (-)-pindolol and (d) (±)-carazolol) at  $\beta_1$ -adrenoceptor binding sites in the presence of the selective  $\beta_2$ -adrenoceptor antagonist 50 nM ICI 118,551 (solid circles) and 'putative  $\beta_4$ -adrenoceptor' binding sites (open circles). Vertical lines show s.e.mean.

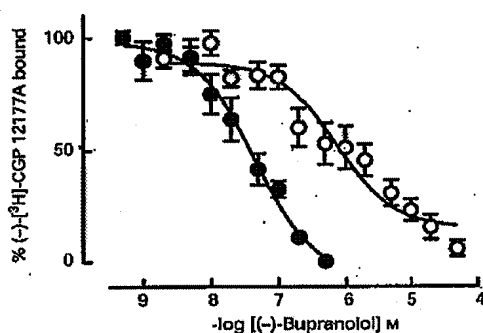


Figure 5 Mean competition binding curves between (-)-[<sup>3</sup>H]-CGP 12177A and the antagonist (-)-bupranolol at  $\beta_1$ -adrenoceptor binding sites in the presence of the selective  $\beta_2$ -adrenoceptor antagonist 50 nM ICI 118,551 (solid symbols) and 'putative  $\beta_4$ -adrenoceptor' binding sites (open symbols). Vertical lines show s.e.mean.

or [<sup>125</sup>I]-iodocyanopindolol to bind to non-specific, (-)-propranolol-resistant binding sites (Molenaar *et al.*, 1992).

The continuous saturation binding experiment with (-)-[<sup>3</sup>H]-CGP 12177A in the absence or presence of 20  $\mu$ M CGP 12177A was biphasic, suggesting the presence of at least two distinct receptor populations. The higher affinity site was to  $\beta_1$ - and  $\beta_2$ -adrenoceptor binding sites which we did not distinguish between. Earlier radioligand binding studies showed evidence for  $\beta_1$ - and  $\beta_2$ -adrenoceptor binding sites in rat whole heart (Minneman *et al.*, 1979a,b), ventricle (Nanoff *et al.*, 1987; Sarsero & Molenaar, 1995) and in separate (Juberg *et al.*, 1985) or combined left and right atrium, (this study). In rat ventricle, Nanoff *et al.* (1987) showed that (-)-[<sup>3</sup>H]-CGP 12177A had a slightly higher affinity for  $\beta_1$ - ( $pK_i$  9.5) than  $\beta_2$ -adrenoceptor binding sites ( $pK_i$  9.0) which was similar to our 'combined'  $\beta_1$ - and  $\beta_2$ -adrenoceptor value. In spontaneously beating rat right atrium  $\beta_1$ -adrenoceptors mediate powerful chronotropic effects, but it is also possible to detect  $\beta_2$ -adrenoceptor-mediated chonotropic effects with either the selective  $\beta_2$ -adrenoceptor agonist procaterol (O'Donnell & Wanstall, 1985)

Table 2 Summary of competition binding data at the 'putative  $\beta_4$ -adrenoceptor' \* between (–)-[ $^3$ H]-CGP 12177A and single concentrations of compounds active at  $\beta_1$ -adrenoceptors, histamine, muscarinic,  $\alpha$ -adrenoceptors and 5-HT receptors

	n	Inhibition %
BRL 37344 6 $\mu$ M	6	6.7 $\pm$ 3.7
SR 58611A 6 $\mu$ M	6	22.2 $\pm$ 5.3
ZD 2079 60 $\mu$ M	6	12.0 $\pm$ 6.7
CL 316243 60 $\mu$ M	6	11.3 $\pm$ 3.3
SR 59230A 6 $\mu$ M	6	16.3 $\pm$ 4.5
Histamine 1 mM	6	25.1 $\pm$ 4.9
Atropine 1 $\mu$ M	8	25.5 $\pm$ 9.0
Phentolamine 10 $\mu$ M	6	10.0 $\pm$ 3.9
5-HT 100 $\mu$ M	6	7.4 $\pm$ 4.2
SB 207710 10 nM	6	17.9 $\pm$ 11.1

\*Putative  $\beta_4$ -adrenoceptor' binding performed in the presence of (–)-propranolol 500 nM. Shown are percentage inhibition values obtained from *n* individual experiments expressed as mean $\pm$ s.e.mean.

or with (–)-adrenaline using the selective  $\beta_1$ -adrenoceptor antagonist CGP 20712A (Kaumann, 1986).

We then set up separate saturation radioligand binding assays, one for  $\beta_1$ - and  $\beta_2$ -adrenoceptors and another for the low affinity receptor population. For  $\beta_1$ - and  $\beta_2$ -adrenoceptor binding sites, non specific binding was determined with 500 nM (–)-propranolol. There was good agreement between the two methods for the determination of  $\beta_1$ - and  $\beta_2$ -adrenoceptor density (26.9 and 30.1 fmol mg $^{-1}$  protein) and affinity of (–)-[ $^3$ H]-CGP 12177A ( $pK_D$  9.4 both assays). For the low affinity binding site, a saturation binding assay was designed with 500 nM (–)-propranolol to block  $\beta_1$ - and  $\beta_2$ -adrenoceptors and 20  $\mu$ M (–)-CGP 12177A to define non-specific binding. Again there was good agreement between  $B_{max}$  and  $pK_D$  values ( $B_{max}$ , 47.7 and 50.8 fmol mg $^{-1}$  protein;  $pK_D$  7.5 and 7.6). These studies show the presence of a low affinity site in addition to  $\beta_1$ - and  $\beta_2$ -adrenoceptor binding sites.

We then determined whether the low affinity binding site was the 'putative  $\beta_4$ -adrenoceptor' binding site.

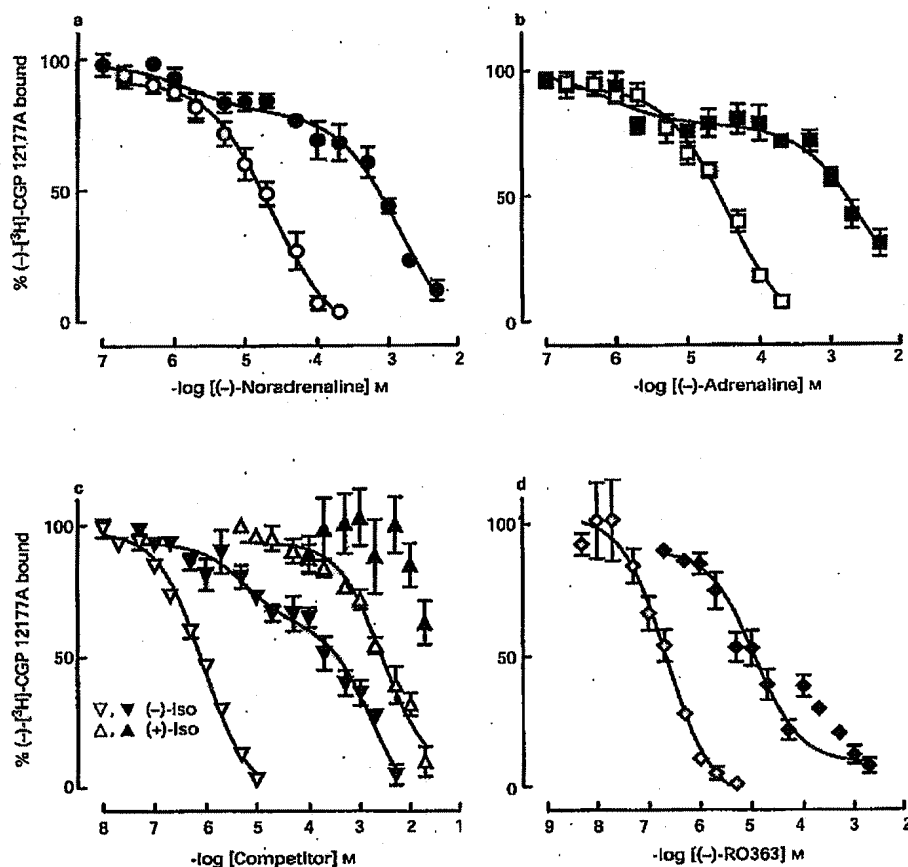


Figure 6 Mean competition binding curves between (–)-[ $^3$ H]-CGP 12177A and the catecholamines, (a) (–)-noradrenaline, (b) (–)-adrenaline, (c) (–)-isoprenaline (–)-Iso and (+)-isoprenaline (+)-Iso and (d) (–)-RO363 at  $\beta_1$ -adrenoceptor binding sites in the presence of the selective  $\beta_2$ -adrenoceptor antagonist 50 nM ICI 118,551 (open symbols) and 'putative  $\beta_4$ -adrenoceptor' binding sites (solid symbols). Vertical lines show s.e. mean.

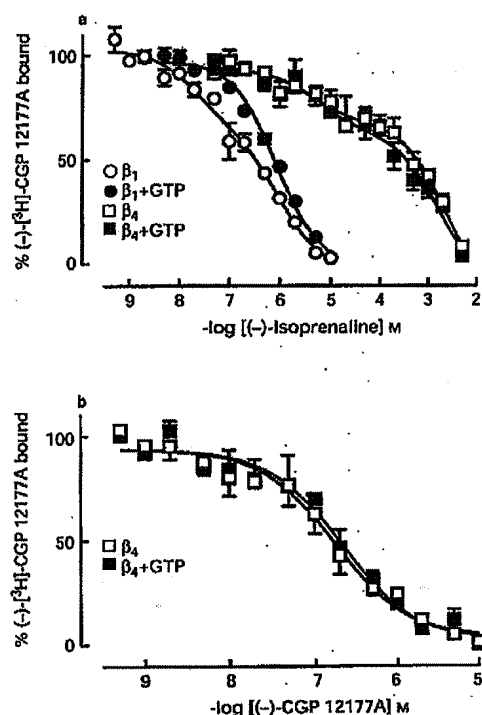


Figure 7 Effect of GTP on binding at  $\beta_1$ -adrenoceptor binding sites ( $\beta_1$ , in the presence of the selective  $\beta_2$ -adrenoceptor antagonist 50 nM ICI 118,551) and at the 'putative  $\beta_4$ -adrenoceptor' ( $\beta_4$ ). Competition binding experiments were performed between (-)-[<sup>3</sup>H]-CGP 12177A and (-)-isoprenaline (a) and (-)-CGP 12177A (b) in the absence or presence of GTP, 0.1 mM. Competition binding isotherms for (-)-isoprenaline in the absence of GTP at  $\beta_1$ -adrenoceptors, and for (-)-isoprenaline in the absence and presence of GTP at 'putative  $\beta_4$ -adrenoceptors' were fitted to a two state affinity model.

#### Non-conventional partial agonists

The affinities of non-conventional partial agonists were closely similar to potencies determined from organ bath studies. The affinity of (-)-CGP 12177A determined from saturation (7.5–7.6) and competition binding experiments (7.3) was similar to its pEC<sub>50</sub> value in rat left (7.5) and right (7.3) atrium (Kaumann & Molenaar, 1996). The affinity of (±)-cyanopindolol (7.6) was also similar to its pEC<sub>50</sub> value in rat left (7.1) and right (7.7) atrium (Kaumann & Molenaar, 1996). Similar comparisons are also valid for (-)-pindolol (pK<sub>i</sub> 6.6, pEC<sub>50</sub> guinea-pig right atrium 7.0, Walter *et al.*, 1984) and (±)-carazolol (pK<sub>i</sub> 7.2, pEC<sub>50</sub> rat right atrium 7.0, Kaumann *et al.*, 1979). The affinity of (-)-bupranolol (6.6) was also similar to its affinity in rat atrium (pK<sub>B</sub> 6.4–6.8, Kaumann & Molenaar, 1996). These results indicate similarity of the functional receptor and binding site.

#### Lack of activity of ligands from other receptor classes

(-)-[<sup>3</sup>H]-CGP 12177A has also been used as a radioligand for  $\beta_2$ -adrenoceptor binding sites in murine 3T3-F442A adipocytes and human  $\beta_2$ -adrenoceptors expressed in Chinese hamster ovary cells (Feve *et al.*, 1991), rat brown (D'Alaire *et al.*, 1995) and white adipocytes (Germack *et al.*, 1997). In these studies

the affinities of (-)-[<sup>3</sup>H]-CGP 12177A ranged from 23–80 nM. Therefore we determined whether the  $\beta_2$ -adrenoceptor agonists, BRL 37344 (6  $\mu$ M), ZD 2079 (60  $\mu$ M) SR 58611A (6  $\mu$ M) and CL 316243 (60  $\mu$ M) and the antagonist SR 59230A (6  $\mu$ M) competed for (-)-[<sup>3</sup>H]-CGP 12177A binding in rat atrium. The affinities (pK<sub>D</sub>) of BRL 37344 range from 6.6–7.1 on the rat cloned  $\beta_2$ -adrenoceptor (Muzzin *et al.*, 1991; Liggett, 1992; Dolan *et al.*, 1994), CL 316243, 6.0 on rat cloned  $\beta_2$ -adrenoceptor (Dolan *et al.*, 1994) and SR 58611A 5.2 at the human and 5.9 at the murine cloned  $\beta_2$ -adrenoceptor (Blin *et al.*, 1994). These agonists did not compete for binding with (-)-[<sup>3</sup>H]-CGP 12177A in rat atrium. The antagonist SR 59230A, has an affinity (pK<sub>B</sub>) of 6.3–7.5 on rat colonic  $\beta_2$ -adrenoceptors (Kaumann & Molenaar, 1996) but also did not compete for (-)-[<sup>3</sup>H]-CGP 12177A binding in rat atrium. These studies are in line with our previous functional studies (Kaumann & Molenaar, 1996), in which we showed that the  $\beta_2$ -adrenoceptor agonists did not affect basal contractile activity (left atrium) or sinoatrial rate (right atrium) and nor did they modify the cardiostimulant effects of (-)-CGP 12177A. We also determined the affinity of SR 59230A to be (pK<sub>B</sub>) 5.1–5.4 for the 'putative  $\beta_4$ -adrenoceptor' in rat right and left atrium which is lower than at  $\beta_2$ -adrenoceptors (Kaumann & Molenaar, 1996). Our radioligand binding studies support the conclusion made from previous studies (Kaumann & Molenaar, 1996; Malinowska & Schlicker, 1996) that the receptor mediating cardiostimulant effects of CGP 12177A in rat is not a  $\beta_2$ -adrenoceptor.

Further specificity of (-)-[<sup>3</sup>H]-CGP 12177A binding was tested with compounds which are active at histamine, muscarinic,  $\alpha$ -adrenoceptors and 5-HT receptors. The affinity values of histamine for H<sub>1</sub>- and H<sub>2</sub>-receptors in guinea-pig left atrium, determined from competition binding experiments with [<sup>3</sup>H]-mepyramine (H<sub>1</sub>-) were (pK<sub>i</sub>) 4.8 and [<sup>3</sup>H]-tiotidine (H<sub>2</sub>-) 5.1, respectively (Hattori *et al.*, 1991). Atropine has an affinity (pK<sub>i</sub>) of 8.9 for rat atrial M<sub>2</sub>-receptors, determined in competition binding experiments with [<sup>3</sup>H]-N-methyl scopolamine (Doods *et al.*, 1987). Phentolamine has affinities (pK<sub>i</sub>) of 8.2, 7.2 and 7.5 for  $\alpha_{1A}$ ,  $\alpha_{1B}$ - and  $\alpha_{2A}$ -receptors (Michel *et al.*, 1995). 5-Hydroxytryptamine (5-HT) has an affinity (pK<sub>i</sub>) of 6.6 and SB 207710 (9.5) for piglet right atrial 5-HT<sub>4</sub> receptors (Kaumann *et al.*, 1995a) determined in radioligand binding experiments with [<sup>125</sup>I]-SB 207710. Our studies showed that (-)-[<sup>3</sup>H]-CGP 12177A does not label histamine, muscarinic,  $\alpha$ -adrenoceptors or 5-HT receptors in rat atrium.

#### Interaction of catecholamines with the 'putative $\beta_4$ -adrenoceptor'

We had previously observed that positive inotropic effects of the catecholamine (-)-RO363 in human right atrium *in vitro* were partially resistant to blockade by (-)-CGP 20712A (Molenaar *et al.*, 1997). We ruled out stimulation of co-existing  $\beta_2$ -adrenoceptors and suggested that it also stimulated the 'putative  $\beta_4$ -adrenoceptor' (Molenaar *et al.*, 1997). Wheeldon *et al.* (1993) also provided evidence that (-)-isoprenaline could cause inotropic and lusitropic effects in human myocardium *in vivo* by stimulation of an atypical  $\beta$ -adrenoceptor. The atypical  $\beta$ -adrenoceptor effects of (-)-isoprenaline were observed in the presence of atenolol 25 mg (p.o.), a concentration that attenuates (-)-isoprenaline-induced increases in heart rate ( $\beta_1$ -adrenoceptor) and nadolol 5, 20 and 80 mg (p.o.), concentrations, that attenuate (-)-isoprenaline-mediated increases in heart rate ( $\beta_1$ -adrenoceptor) and postural finger tremor ( $\beta_2$ -adrenoceptor). Wheeldon *et al.* (1993) described the receptor as a  $\beta_3$ -adrenoceptor, but this is

not the same receptor which causes cardiodepression in ventricular endomyocardial biopsies of cardiac transplant patients or patients undergoing open heart surgery (Gauthier *et al.*, 1996). Wheeldon *et al.*, (1993) provided further evidence for a cardiostimulant atypical  $\beta$ -adrenoceptor which we think corresponds to the 'putative  $\beta_4$ -adrenoceptor'.

We have shown that the endogenous catecholamines (–)-noradrenaline, (–)-adrenaline and synthetic catecholamines, (–)-RO363, (–)- and (+)-isoprenaline bind to the 'putative  $\beta_4$ -adrenoceptor' in a stereoselective manner. Two affinity states of the receptor were observed for (–)-noradrenaline, (–)-adrenaline and (–)-isoprenaline. The high affinity state comprised 20–38% of the total population of receptors. These catecholamines had similar affinities for the high affinity state ( $pK_{IH}$  6.2–6.5) and also for the low affinity state of the 'putative  $\beta_4$ -adrenoceptors' ( $pK_{IL}$  2.9–3.5). The affinities of catecholamines at the low affinity state of the receptor were slightly higher than those at native  $\beta_3$ -adrenoceptors of rat adipocytes (Germack *et al.*, 1997). Furthermore, the overall affinity of (–)-RO363 was higher at the rat atrial 'putative  $\beta_4$ -adrenoceptor' ( $pK_i$  5.5) than at the human cloned  $\beta_3$ -adrenoceptor ( $pK_i$  4.5, Molenaar *et al.*, 1997). These small but consistent differences further appear to differentiate the 'putative  $\beta_4$ -adrenoceptor' from the  $\beta_3$ -adrenoceptor. The functional significance of the high and low affinity binding sites remains to be determined.

#### Comparison between binding at 'putative $\beta_4$ -adrenoceptor' and $\beta_1$ -adrenoceptor binding sites

We included 500 nM (–)-propranolol in the 'putative  $\beta_4$ -adrenoceptor' binding assay to block co-existing  $\beta_1$ - and  $\beta_2$ -adrenoceptor sites. In order to validate further binding to the 'putative  $\beta_4$ -adrenoceptor' and to ensure that it did not represent binding to  $\beta_1$ -adrenoceptor binding sites, we also estimated the affinities of non-conventional partial agonists and catecholamines at  $\beta_1$ -adrenoceptors. Affinities for all compounds tested were higher at the  $\beta_1$ -adrenoceptor than at the 'putative  $\beta_4$ -adrenoceptor'. However, the difference between  $pK_i$  values at  $\beta_1$ - and 'putative  $\beta_4$ -adrenoceptor' ( $pK_i$   $\beta_1$  –  $pK_i$  'putative  $\beta_4$ -adrenoceptor') were not consistent and ranged from 1.6–3.6 log units (Table 1). This indicates that 'putative  $\beta_4$ -adrenoceptor' binding cannot be interpreted as  $\beta_1$ -adrenoceptor binding shifted to the right by (–)-propranolol. If this were the case, one would expect the same difference between ( $pK_i$   $\beta_1$  –  $pK_i$  'putative  $\beta_4$ -adrenoceptor'), regardless of ligand affinity.

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#### Conclusions and future prospects

This study has established and validated a radioligand binding assay for the cardiac atypical  $\beta$ -adrenoceptor. The stereoselective catecholamine binding profile and difference from  $\beta_1$ -,  $\beta_2$ - and  $\beta_3$ -adrenoceptors indicate that the atypical  $\beta$ -adrenoceptor is novel. We designate this receptor provisionally as 'putative  $\beta_4$ -adrenoceptor'. However, we await the cloning of the receptor for a formal classification of it. We are currently using this radioligand binding assay in human right atrium, where we have also obtained functional evidence for the existence of the 'putative  $\beta_4$ -adrenoceptor' (Kaumann, 1996; 1997; Kaumann & Molenaar, 1996; Sarsero *et al.*, 1996a,b; Kaumann *et al.*, 1997; Molenaar *et al.*, 1997). We are also planning quantitative receptor autoradiography studies to determine the density and distribution of 'putative  $\beta_4$ -adrenoceptors' in discrete regions such as rat and human sinoatrial node.

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## Gastroprotective effects of $\beta_3$ -adrenoceptor agonists on water immersion plus restraint stress-induced gastric ulcer in rats

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### ABSTRACT

**Objective:** To evaluate the gastroprotective effects of  $\beta_3$ -adrenoceptor agonists CGP 12177A and SR 58611A, on water immersion plus restraint stress (WIRS)-induced gastric ulceration in rats.

**Material and Methods:** Drugs were administered (5, 10 and 15 mg/kg, *p.o.*) 30 min prior to the ulcerogenic procedure. Ulcer index and the score for intensity of intraluminal bleeding were determined. Gastric wall mucus content (GWMC) and mast cell counts were determined in the glandular portion of the stomach.

**Results:** A dose-dependent reduction in the ulcer index was observed with both the drugs. A significant rise in the GWMC in the glandular tissue at 15 mg/kg dose was caused by the  $\beta_3$ -adrenoceptors agonists. In the glandular tissue the mast cell count was significantly decreased at 10 and 15 mg/kg dose with both drugs.

**Conclusion:** The present study shows the gastroprotective effect of  $\beta_3$ -adrenoceptor agonists CGP 12177A and SR 58611A against WIRS-induced gastric ulceration in rats. The gastroprotective effect may be mediated by the enhancement of mucin activity and the decrease in mast cell degranulation.

**KEY WORDS:** CGP 12177A, SR 58611A,  $\beta_3$  stimulants, stress ulcer, mucoprotectives

### Introduction

Recent localization of  $\beta_3$ -adrenoceptors using immunohistochemical studies has confirmed the presence of  $\beta_3$ -adrenoceptors in human vascular and non-vascular smooth muscles of the gastrointestinal tract.<sup>1</sup> The presence of  $\beta_3$ -adrenoceptors has been well described in mast cells and basophils and the stimulation of these receptors results in the inhibition of immune-stimulated histamine release.<sup>2,3</sup>

Espluges *et al*<sup>4</sup> observed significant antiulcer activity with  $\beta$ -adrenergic drugs such as salbutamol, salmeterol and isoprenaline against polymixin-B-induced gastric ulcers involving histamine release. However, propranolol could only partially antagonize the isoprenaline-induced inhibitory effect on histamine release. This shows that besides  $\beta_2$ -adrenoceptor stimulation, these agonists inhibit histamine release through some additional mechanism other than beta-receptor stimulation. The involvement of  $\beta_1$ -adrenoceptors in the histamine release mechanism was ruled out by these studies. Isoprenaline was reported to be as potent as SR 58611A, a  $\beta_3$ -adrenoceptor agonist, in stimulating  $\beta_3$ -adrenoceptors in iso-

lated rat colon.<sup>5</sup>  $\beta_3$ -adrenoceptor agonists inhibit gastric ulcer-induced by indomethacin,<sup>5,6</sup> pylorus ligation and ethanol<sup>7</sup> in rats.

Hence, this study was undertaken to evaluate the antiulcer effect of  $\beta_3$ -adrenoceptor agonists on water immersion plus restraint stress (WIRS)-induced gastric ulcer in rats. The study was also directed towards the elucidation of the mechanism of the antiulcer activity of  $\beta_3$ -adrenoceptor agonists.

### Material and Methods

Wistar albino rats of either sex weighing 200-250 g were selected. Rats were fed with standard chow diet and water *ad libitum* till the end of the experimental period. Distributions of the animals in-group, sequence of trials and treatment aspects were randomized. This experiment complied with the guidelines of our laboratory for animal experimentation. The Animal Ethics Committee of the institute cleared the experimental protocols.

CGP 12177A [(±)-4-(3-*t*-butylamino-2-hydroxy-propoxy) benzimidazol-2-one] and SR58611A [ethyl {(7S)-7-[(2R)-2-(3-

chlorophenyl)-2-hydroxyethylamino] 5,6,7,8-tetrahydro-naphthalene-2-yloxy} acetate hydrochloride] were obtained as gift samples from Novartis, Switzerland and Sanofi Recherche, France respectively. Drugs dissolved in distilled water were administered orally to rats in doses of 5, 10 and 15 mg/kg. Saline treated (0.5 ml/100 g, *p.o.*) rats served as controls. The dose of  $\beta_3$ -adrenoceptor agonists were selected on the basis of ED<sub>50</sub> values of BRL 35135, a  $\beta_3$ -adrenoceptor agonist on indomethacin-induced ulceration and total acid-output in pylorus-ligated rats.<sup>5</sup>

#### *Water immersion plus restraint stress-induced gastric ulceration*

The method described by Takagi and Okabe<sup>6</sup> was employed with slight modification. Rats were fasted for 12 h, care being taken to avoid coprophagy. The rats were immobilized in a restrainer and subsequently they were immersed in water up to xiphoid process for 7 h. The temperature of the water was maintained at 24 ± 1°C. Drugs were given orally 30 min prior to the restraint procedure. After 7 h of immobilization and water immersion the animals were taken out and killed with high-dose anesthetic ether. The stomach was removed and the severity of intraluminal bleeding was examined and expressed as score for intensity (SI) of intraluminal bleeding according to the following scale: 0, no blood detectable; 1, thin blood follows the rugae; 2, thick blood follows the rugae; 3, thick blood follows the rugae with blood clots in certain areas; 4, extensive covering of the whole of mucosal surface with thick blood.<sup>9</sup> After wiping the blood, the ulcer index was determined<sup>10</sup> and the stomach tissue was subjected to mast cell examination and analysed for gastric wall mucus content (GWMC).

#### *Measurement of gastric wall mucus*

The modified procedure of Corne *et al.*<sup>11</sup> was used for the determination of gastric wall mucus. One half of the glandular portion of the stomach, opened along the greater curvature, was carefully separated from the rumenal part and transferred into 10 ml Alcian blue (8GX, Sigma) 0.1% (w/v) solution (Alcian blue was dissolved in 0.16 M sucrose buffered with sodium acetate 0.05 M, and finally adjusted to pH 5.8 with HCl IM). The tissue was stained for 2 h in Alcian blue solution; excess dye was removed by 2 successive rinses, soaking the tissue each time in 10 ml sucrose 0.25 M, first for 15 min and then for 45 min. Dye complexed with gastric wall mucus was then extracted with 10 ml magnesium chloride at 30 min intervals for 2 h. Four ml of the extract was shaken with an equal volume of ether until an emulsion was formed. This was centrifuged at 3600 rpm for 10 min. Ether was pipetted out and discarded, and the concentration of Alcian blue was determined in the aqueous layer. Color absorbance was recorded using a spectrophotometer (Shimadzu) at 598 nm. The quantity of Alcian blue extract per g wet glandular tissue was calculated from freshly prepared standard curves.

#### *Examination of mast cells*

Mast cells in the glandular mucosal layer were stained and measured by the method described by Cho and Ogle.<sup>12</sup> Following ulcer measurement, one half of the glandular stomach was fixed in freshly prepared lead acetate 4% (w/v) solution for 2

days. The tissues were then dehydrated by 1 h immersion in each of progressively increasing concentrations of 70, 95 and 100 % v/v ethanol, and cleared in xylene for 30 min. The specimens were immersed in melted paraffin at 60°C for 3 h, and finally embedded in paraffin block; 7  $\mu$ m thick sections were made with an "820" Spencer microtome and were transferred to slides, using the water floatation method. The sections were oven-dried at 60°C for 3 h before deparaffinisation with 3 changes of xylene, hydrated by passing the sections at 2 min intervals through solutions of ethyl alcohol 100, 100, 100, 95 and 70% and finally water. Sections were then stained with an aqueous solution of 0.5% w/v toluidine blue for 0.5 min, dehydrated through 3 changes of tertiary butanol over a period of 1.5 min, cleared with xylene (3 changes over 3 min) and mounted in di-phenyl xylol (DPX). The mast cell count was expressed as the number of granulated metachromatically stained cells seen in 42 adjacent oil immersion fields (o.i.f., magnification 100x) covering an area of 1 mm<sup>2</sup>. Cells that were partially stained (*i.e.*, partly degranulated) were also counted.

#### *Statistical analysis*

The results were expressed as mean  $\pm$  SEM and analyzed for statistical significance by the two-tailed Student's 't' test and by one-way ANOVA followed by Dunnett's test. *P* values < 0.05 were considered significant. The ED<sub>50</sub> values for anti-ulcer activity (ulcer index) were calculated using ED<sub>50</sub> plus v1.0 software.

#### **Results**

Severe hemorrhagic gastric glandular mucosal ulcers were observed in stress-induced control animals (Table 1). Significant change in the ulcer index, GWMC and mast cell count were observed in WIRS-stress as compared with non-stressed controls (Table 1).

Both the  $\beta_3$ -adrenoceptor agonists (CGP 12177A and SR 58611A) reduced the ulcer index in a dose-dependent manner (10 and 15 mg/kg, Table 2). ED<sub>50</sub> values for antiulcer activity (ulcer index) of CGP 12177A and SR 58611A in WIRS-induced gastric ulcer model were found to be 10.25 and 10.48 mg/kg respectively.

Gastric wall mucus content was significantly higher in the CGP 12177A and SR 58611A treated group at 15 mg/kg dose as compared to controls. At 10 and 15 mg/kg doses, a significant rise in the mast cell count was observed with both the compounds (Table 2).

#### **Discussion**

The experimental stress ulcer may be considered equivalent to clinical stress ulcer which occurs after surgery, head injury or shock. An acute gastric hemorrhagic lesion in the glandular stomach characterizes a stress ulcer.<sup>13</sup> The present study shows anti-ulcer activity of  $\beta_3$ -adrenoceptor agonists (CGP 12177A and SR 58611A) which was evident from a significant decrease in the ulcer index at 10 and 15 mg/kg doses in a WIRS-induced gastric ulcer model.

The centrally-induced vascular disturbance of mucosal capillaries is being implicated in restraint-induced gastric

Table 1

Effect of water immersion plus restraint stress (WIRS) on ulcer index, gastric wall mucus content (GWMC) and mucosal mast cell count in rats

Group	Ulcer index	GWMC (mg Alcian blue/ g wt. of glandular tissue)	Mast cell counts per 42 o.i.f.
No stress	0.02 $\pm$ 0.02	1.85 $\pm$ 0.14	74.13 $\pm$ 5.04
WIRS- stress	1.42 $\pm$ 0.22*	0.48 $\pm$ 0.07*	23.38 $\pm$ 3.14*

\* $P < 0.001$  when compared with the No stress group (Student's *t* test). The values are mean  $\pm$  SEM,  $n = 8$  in each group.

Table 2

Effect of CGP 12177A and SR 58611A on WIRS-induced gastric ulceration in rats

Pretreatment (p.o.)	Dose (mg/kg)	Ulcer index	GWMC (mg Alcian blue/ g wt. of glandular tissue)	Mast cell counts 42 (o.i.f.)	SI of intraluminal bleeding
Control	Saline 0.5 ml/100 g	1.42 $\pm$ 0.22	0.48 $\pm$ 0.07	23.38 $\pm$ 3.05	2.13 $\pm$ 0.29
CGP 12177A	5	0.92 $\pm$ 0.13	0.58 $\pm$ 0.07	35.38 $\pm$ 3.20	1.63 $\pm$ 0.26
CGP 12177A	10	0.69 $\pm$ 0.12*	0.68 $\pm$ 0.08	49.25 $\pm$ 2.96*	1.50 $\pm$ 0.19
CGP 12177A	15	0.48 $\pm$ 0.10*	0.89 $\pm$ 0.07*	59.50 $\pm$ 3.26*	1.50 $\pm$ 0.19
SR 58611A	5	0.99 $\pm$ 0.15	0.62 $\pm$ 0.09	36.25 $\pm$ 3.70	1.50 $\pm$ 0.27
SR 58611A	10	0.68 $\pm$ 0.11*	0.75 $\pm$ 0.08	51.88 $\pm$ 3.32*	1.75 $\pm$ 0.25
SR 58611A	15	0.49 $\pm$ 0.10*	1.07 $\pm$ 0.10*	56.50 $\pm$ 4.28*	1.50 $\pm$ 0.19
One-way ANOVA		F	5.95	5.89	14.86
		df	6,49	6,49	6,49
		P	<0.05	<0.05	<0.05

\* $P < 0.05$  when compared with the control group (Dunnett's test). The values are mean  $\pm$  SEM,  $n=8$  in each group.

bleeding<sup>13</sup>.  $\beta_3$ -adrenoceptor agonists can cause enhancement in antral gastric mucosal blood flow (GMBF) in rats.<sup>5</sup> The insignificant decrease in score of intensity of intraluminal bleeding caused by  $\beta_3$ -adrenoceptor agonists in the present study can be partly attributed to their ability to enhance antral GMBF. The specific pathophysiologic mechanism involved in stress-induced ulcers could be ultimate multifactorial impairment of mucosal defense system. An increase in gastric acid secretion, reduction of gastric mucus and alteration in the microvasculature of the gastric mucosa play a major role in the pathogenesis of stress-induced ulcers.<sup>14,15</sup> Our earlier study has shown that the mechanism of the anti-ulcer action of  $\beta_3$ -adrenoceptor agonists in the pylorus ligation model is partly attributed to a decrease in acid secretion.  $\beta$ -adrenoceptor agonists are known to inhibit the release of histamine.<sup>2</sup> Histamine has been known to induce gastric acid secretion mainly through  $H_2$ -receptor activation.<sup>16</sup> Gastrin-stimulated and cholinergically-mediated acid secretions require a background release of histamine from mast cells for their maximal effects. Thus any agent that reduces the release of histamine from mast cells should suppress acid secretion.<sup>17</sup> Therefore, the effect of  $\beta_3$ -adrenoceptor agonists on mucin activity and mast cell counts was also studied in the present study. In the WIRS-induced gastric ulcer model, enhanced mucin activity (GWMC) and increase in mast cell counts (*i.e.*, decrease in mast cell degranulation) caused by CGP 12177A and SR 58611A may explain the antiulcer action of  $\beta_3$ -adrenoceptor agonists.

In conclusion, our study shows significant gastroprotective

activity of  $\beta_3$ -adrenoceptor agonists against WIRS-induced gastric ulcer model. The mechanism for antiulcer action is attributed to the enhancement of mucin activity and a decrease in mast cell degranulation.

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### 3-PYRIDYLETHANOLAMINES: POTENT AND SELECTIVE HUMAN $\beta_3$ ADRENERGIC RECEPTOR AGONISTS

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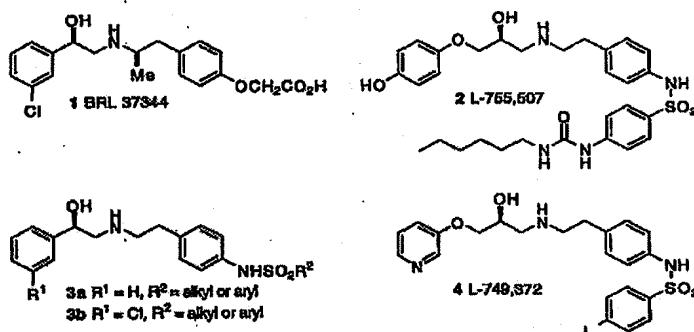
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**Abstract:** The 3-pyridylethanolamine L-757,793 is a potent  $\beta_3$  AR agonist ( $EC_{50}$  6.3 nM, 70% activation) with 1,300- and 500-fold selectivity over binding to the  $\beta_1$  and  $\beta_2$  ARs, respectively. L-757,793 stimulated lipolysis in rhesus monkeys ( $ED_{50}$  0.2 mg/kg) with a maximum response equivalent to that elicited by isoproterenol. © 1998 Elsevier Science Ltd. All rights reserved.

$\beta_3$  Adrenergic receptor agonists (AR) are potential anti-obesity drugs.<sup>2</sup> These agents activate specific receptors, located on the surface of adipocytes, causing stimulation of lipolysis and an increase in metabolic rate. A number of different structural classes of  $\beta_3$  AR agonists have been disclosed.<sup>3</sup> The aryloethanolamine BRL 37344 (1) was one of the first  $\beta_3$  AR agonists to be discovered. In rats, BRL 37344 is a potent, selective  $\beta_3$  AR agonist and was used to identify the  $\beta_3$  AR in rat brown adipocytes.<sup>4</sup> Subsequently, cloning and expression of the human and rat  $\beta_3$  ARs indicated differences in their pharmacological properties.<sup>5</sup> In human AR assays performed here at Merck, BRL 37344 is a weak  $\beta_3$  partial agonist ( $\beta_3$   $EC_{50}$  450 nM, 23% activation) with  $\beta_1$  and  $\beta_2$  AR binding affinities of 5,000 and 3,000 nM, respectively.<sup>6</sup>

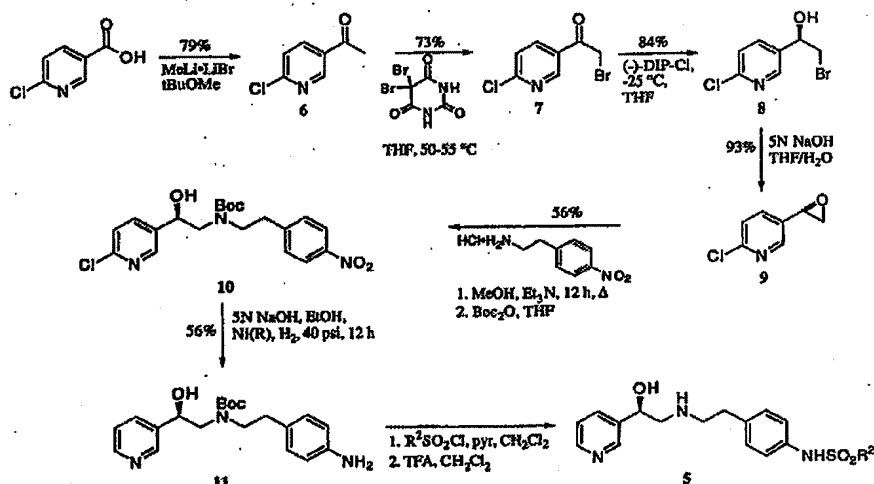


Recent publications from Merck describe a number of aryloxypropanolamines.<sup>7-10</sup> Aryloxypropanolamine L-755,507 (2) is a highly potent human  $\beta_3$  AR agonist ( $\beta_3$   $EC_{50}$  0.43 nM, 52% activation) with greater

than 400-fold selectivity over binding to the  $\beta_1$  and  $\beta_2$  ARs, respectively.<sup>8,9</sup> The sulfonamide moiety present in L-755,507 and related compounds imparts significant potency and selectivity for the human  $\beta_3$  AR.<sup>7</sup> We decided to investigate whether incorporation of a sulfonamide moiety into the aryloethanolamines would have a similar effect.<sup>11</sup>

Series of phenethanolamines **3a** and the 3-chloro analogs **3b** were synthesized and their biological profiles examined.<sup>12</sup> These compounds exhibited minimal  $\beta_3$  AR agonist activity (data not shown). Modification of the aryloxy group present in the L-755,507 series led to the discovery of 3-pyridyloxypropanolamine L-749,372 (**4**).<sup>10</sup> L-749,372 is a  $\beta_3$  AR partial agonist ( $EC_{50}$  3.6 nM, 33% activation) with 270- and 30-fold selectivity over binding to the  $\beta_1$  and  $\beta_2$  ARs, respectively. In view of the biological profile of L-749,372, we prepared a series of 3-pyridylethanolamines **5**. The synthesis of these compounds is illustrated in the Scheme.<sup>13</sup>

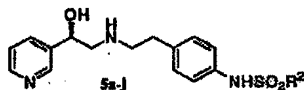
Scheme. Asymmetric Synthesis of 3-Pyridylethanolamines



Reaction of 6-chloronicotinic acid with methyllithium lithium bromide complex gave the methyl ketone **6**.<sup>14</sup> The methyl ketone **6** was treated with bromobarbituric acid in refluxing tetrahydrofuran (THF) to afford the bromoketone **7**. In the absence of the chloro substituent, the bromoketone was unstable. Asymmetric reduction with (-)-DIP-Chloride<sup>TM</sup> [(-)-*B*-chlorodiisopinocampheylborane] provided the bromohydrin **8** that was converted to the epoxide **9** with base.<sup>15</sup> Epoxide opening with *p*-nitrophenethylamine hydrochloride followed by protection of the resultant secondary amine with di-*tert*-butyldicarbonate gave the protected ethanolamine **10**. Epoxide opening with *p*-nitrophenethylamine rather than *p*-aminophenethylamine produced an improved yield and precluded a selective protection step. Concomitant dechlorination and reduction of the nitrobenzene to aniline were effected by hydrogenation under basic conditions using Raney<sup>®</sup> nickel as catalyst. Aniline **11** was sulfonated with the appropriate sulfonyl chloride<sup>16</sup> then deprotected with trifluoroacetic acid

(TFA) to afford the desired 3-pyridylethanolamines **5**. In vitro data for these compounds is shown in Tables 1 and 2.

Table 1. Comparison of the  $\beta_3$  AR Agonist Activity and  $\beta_1$  and  $\beta_2$  Binding Affinity for Sulfonamides **5a–j**



Compound	R <sup>2</sup>	$\beta_3$ EC <sub>50</sub> , nM (%act) <sup>a</sup>	$\beta_1$ Binding IC <sub>50</sub> , nM <sup>b</sup>	$\beta_2$ Binding IC <sub>50</sub> , nM <sup>b</sup>
<b>5a</b>	Me	(1) <sup>c</sup>	7,000	10,000
<b>5b</b>	isoPro	(1) <sup>c</sup>	100,000	50,000
<b>5c</b>	(CH <sub>2</sub> ) <sub>2</sub> Ph	(12) <sup>c</sup>	100,000	8,000
<b>5d</b>	Ph	160 (55)	20,000	8,000
<b>5e</b>	2-Naphthyl	38 (78)	10,000	1,000
<b>5f</b>	3-Quinoliny	(26) <sup>c</sup>	100,000	1,000
<b>5g</b>	4-isoPro-Ph	56 (65)	30,000	6,000
<b>5h</b>	4-Cl-Ph	49 (70)	30,000	10,000
<b>5i</b>	4-Br-Ph	77 (65)	10,000	5,000
<b>5j</b>	4-I-Ph	56 (86)	10,000	7,000

<sup>a</sup>Adenylyl cyclase activation given as % of the maximal stimulation with isoproterenol; single point data are reported in parentheses as (% activation @ concentration in nM). <sup>b</sup>Receptor binding assays were carried out with membranes prepared from CHO cells expressing the cloned human receptor in the presence of <sup>125</sup>I-iodocyanopindolol. <sup>c</sup>Single point data, % activation at 100 nM.

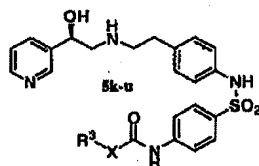
The methyl-, isopropyl-, and phenethylsulfonamides, **5a**, **5b**, and **5c**, respectively, were essentially inactive in the human  $\beta_3$  AR assay; however, the benzenesulfonamide **5d** exhibited modest potency and efficacy. Replacement of the phenyl group by a 2-naphthyl moiety led to a 4-fold improvement in  $\beta_3$  potency. A significant loss in activity was observed with the 3-quinolinyisulfonamide **5f**. Earlier SAR studies in the phenoxypopropanolamine series indicated that substitution at the para position of the phenyl ring over the ortho and meta sites was generally the most preferred for  $\beta_3$  potency enhancement.<sup>7</sup> A number of 4-substituted benzenesulfonamides were prepared and tested. The 4-halo- and 4-isopropylbenzenesulfonamides **5g–j** were all 2- to 3-fold more potent than the parent compound **5d**. These 4-substituted benzenesulfonamides were all at least 100-fold selective (with the exception of the 4-bromo analog, 65-fold selective over  $\beta_2$  binding) for  $\beta_3$  AR agonist potency over  $\beta_1$  and  $\beta_2$  binding affinity. The ability of these compounds to activate the  $\beta_1$  and  $\beta_2$  ARs was low (less than 35% activation at 10  $\mu$ M).

Next we examined a series of 4-ureidobenzenesulfonamides **5k–o** (Table 2). Increasing the length of the alkyl chain led to enhanced  $\beta_3$  AR agonist potency. The phenethyl analog **5o** ( $\beta_3$  EC<sub>50</sub> 1.6 nM, 55% activation) was equipotent with the octyl urea **5n**. The analogous carbamates **5p–r** and amides **5s–u** were synthesized and tested. In all cases, these compounds were found to have  $\beta_3$  EC<sub>50</sub> values less than or equal to their respective urea analogs; however, potencies were usually enhanced with increasingly lipophilic groups as was observed in the urea series. The ureas and amides generally exhibited good selectivity for  $\beta_3$  AR agonist activity over



binding to the  $\beta_1$  and  $\beta_2$  ARs. The carbamates were less selective. In particular, the *n*-hexyl- and phenethylcarbamates **5q** and **5r** were only 14- and 12-fold selective over binding to the  $\beta_2$  AR. The  $\beta_1$  and  $\beta_2$  AR efficacies for these ureas, carbamates and amides were low. The hexylurea **5m** was a weak partial agonist for the  $\beta_1$  AR ( $EC_{50}$  7,300 nM, 31% activation), and at 1  $\mu$ M was inactive at the  $\beta_2$  AR. This highly selective  $\beta_3$  AR agonist, L-757,793 has an  $EC_{50}$  value of 6.3 nM (70% activation) and binds to the  $\beta_3$  AR with an  $IC_{50}$  value of 44 nM.

Table 2. Comparison of the  $\beta_3$  AR Agonist Activity and  $\beta_1$  and  $\beta_2$  Binding Affinity for Sulfonamides **5k–u**



Compound	X	R <sup>3</sup>	$\beta_3$ $EC_{50}$ , nM (%act) <sup>a</sup>	$\beta_1$ Binding $IC_{50}$ , nM <sup>b</sup>	$\beta_2$ Binding $IC_{50}$ , nM <sup>b</sup>
<b>5k</b>	NH	Me	100 (63)	2,000	8,000
<b>5l</b>	NH	nPro	68 (33)	2,000	8,000
<b>5m</b>	NH	nHex	6.3 (70)	8,000	3,000
<b>5n</b>	NH	nOct	1.4 (60)	970	410
<b>5o</b>	NH	(CH <sub>2</sub> ) <sub>2</sub> Ph	1.6 (55)	160	200
<b>5p</b>	O	nPro	150 (65)	60,000	10,000
<b>5q</b>	O	nHex	27 (70)	2,000	380
<b>5r</b>	O	(CH <sub>2</sub> ) <sub>2</sub> Ph	67 (68)	7,000	820
<b>5s</b>	CH <sub>2</sub>	nPro	67 (72)	60,000	9,000
<b>5t</b>	CH <sub>2</sub>	nHex	18 (81)	8,000	10,000
<b>5u</b>	CH <sub>2</sub>	(CH <sub>2</sub> ) <sub>2</sub> Ph	18 (53)	10,000	2,000

<sup>a</sup>Adenylyl cyclase activation given as % of the maximal stimulation with isoproterenol. <sup>b</sup>Receptor binding assays were carried out with membranes prepared from CHO cells expressing the cloned human receptor in the presence of [<sup>125</sup>I]-iodocyanopindolol.

The urea L-757,793 **5m** was examined in a rising dose study in anesthetized rhesus monkeys.<sup>17</sup> L-757,793 was given by bolus injection at 15 minute intervals. L-757,793 elicited hyperglycerolemia ( $ED_{50}$  0.2 mg/kg) with a maximum response equivalent to that of isoproterenol. No significant heart rate effects were seen up to the maximum dose tested (1 mg/kg).

When L-757,793 was administered orally (10 mg/kg) to dogs, no serum glycerol response was recorded. Plasma concentrations of L-757,793 were less than 10 nM. A large improvement was observed with the 4-iodophenyl compound **5j**. Its oral bioavailability in dogs (dosed 10 mg/kg po, 3 mg/kg iv) was 51%. This data supports our earlier findings, in the phenoxypropanolamine series,<sup>10</sup> that the urea moiety is detrimental to oral absorption.

In conclusion, we have shown that the 3-pyridylethanolamine L-757,793 is a potent  $\beta_3$  AR agonist ( $EC_{50}$  6.3 nM) with 1,300- and 500-fold selectivity over binding to the  $\beta_1$  and  $\beta_2$  ARs, respectively. Oral bioavailability of L-757,793 was poor; however, the impressive oral bioavailability (51%) of the 4-iodobenzenesulfonamide suggests that modification of the substituents on the benzenesulfonamide moiety has the potential to produce a compound with the desirable biological profile of L-757,793, and the pharmacokinetic properties necessary for an oral therapeutic agent. Work in this area is ongoing and will be published in due course.

**Acknowledgment:** We thank Mr. Paul Cunningham and Mr. Donald Hora, Jr. for expert technical assistance with the in vivo experiments, Ms. Amy Bernick for mass spectral analyses, Dr. Gerard Kieczkowski and Mr. Joseph Leone for preparing large quantities of key intermediates, and Professor James Grannemann (Wayne State University) for supplying the cloned human  $\beta_3$  adrenergic receptor.

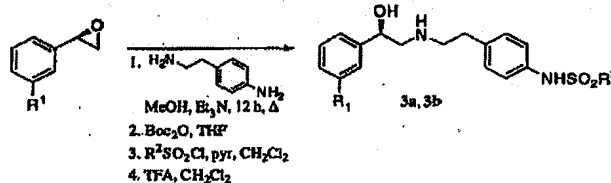
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11. Presented in part at the 26th National Medicinal Chemistry Symposium, Richmond, VA, June 1998; Abstract D29.

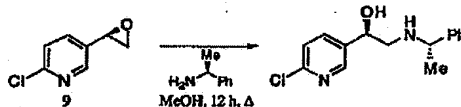
12. The phenethanolamines **3a** and 3-chloro analogs **3b** were synthesized from the commercially available chiral epoxides in a similar manner to that reported for the aryloxypropanolamines (see ref 7). Epoxide opening with *p*-aminophenethylamine was followed by selective Boc-protection of the resultant secondary amine. Treatment with the appropriate sulfonyl chloride and deprotection with TFA afforded the desired sulfonamides **3a** and **3b**.



13. The 3-pyridylethanolamines **5a-u** were prepared as optically active *R* enantiomers. Several pairs of *R* and *S* enantiomers in this 3-pyridylethanolamine series have been synthesized and their  $\beta_3$  AR agonist activity examined. In each case, in line with expectation, the *R* isomer was 5-190 fold more potent than the respective *S* isomer. All final compounds were characterized by NMR, mass spectrometry and HPLC. For experimental details see: Fisher, M. H.; Naylor, E. M.; Weber, A. E. U.S. Patent 5 541 197, 1996.

14. Personal communication from Dr. Sander G. Mills.

15. The enantioselectivity of the chiral reduction was determined by opening the epoxide with *S*-(-)- $\alpha$ -methylbenzylamine. The diastereomeric excess of the resultant ethanolamine was calculated to be 90% from the  $^1\text{H}$  NMR spectrum.



16. The 4-ureidobenzenesulfonyl chlorides were prepared either by treatment of the phenyl urea with chlorosulfonic acid or by addition of the amine to 4-(chlorosulfonyl)phenyl isocyanate. The 4-carbamoylbenzenesulfonyl chlorides were synthesized by addition of the alcohol to 4-(chlorosulfonyl)phenyl isocyanate. The 4-amidobenzenesulfonyl chlorides were prepared by treatment of the 4-amidobenzene with chlorosulfonic acid.

17. Male lean rhesus monkeys ( $n = 3$ ) were fasted overnight and administered ketamine (10 mg/kg, im) for induction of anesthesia. Catheters were placed in the cephalic vein and femoral artery for intravenous drug administration and measurement of arterial pressure and heart rate. ECG leads were also attached for monitoring Lead II ECG and heart rate. While monitoring the cardiovascular parameters, Nembutal (20-25 mg/kg, iv) was given over 10 min for anesthesia. Heart rate was monitored for approximately 30 min until stable baseline values were obtained, at which time animals were administered a series of bolus doses of test compound in a vehicle consisting of 10% aqueous citric acid solution (10 mM), 30% PEG400, 60% saline. Bolus doses were separated by an interval of 15 min. Blood samples (2 mL) were collected from the femoral artery one min prior to the administration of compound and 14 min after each bolus dose. Serum glycerol was measured using an enzymatic colorimetric assay. After completion of the series of bolus doses of test compound, animals were administered an infusion of isoproterenol (100  $\mu\text{g/kg}$ ) over 15 min to elicit a maximal increase in serum glycerol.

# Mechanism of Amelioration of Insulin Resistance by $\beta_3$ -Adrenoceptor Agonist AJ-9677 in the KK-A<sup>y</sup>/Ta Diabetic Obese Mouse Model

Hiroshi Kato, Mayumi Ohue, Kaori Kato, Akinori Nomura, Kaoru Toyosawa, Yasuji Furutani, Satoshi Kimura, and Takashi Kadowaki

The mechanism by which the specific  $\beta_3$ -adrenoceptor agonist AJ-9677 relieves insulin resistance in vivo was investigated by studying its effects in the white and brown adipose tissues of the KK-A<sup>y</sup>/Ta diabetic obese mouse model. AJ-9677 reduced the total weight of white adipose tissues by reducing the size of the adipocytes, an effect associated with the normalization of tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) and leptin expression levels. The levels of uncoupling protein (UCP)-1 mRNA in brown adipose tissue were increased threefold. AJ-9677 caused a marked increase (20- to 80-fold) in the expression of UCP-1 in white adipose tissues. The levels of UCP-2 mRNA were increased in both the white and brown adipose tissues of diabetic obese mice, and AJ-9677 further upregulated UCP-2 mRNA levels in brown adipose tissue, but reduced its levels in white adipose tissue. UCP-3 mRNA levels were not essentially changed by AJ-9677. However, AJ-9677 significantly (two- to fourfold) upregulated the GLUT4 mRNA and protein levels in white and brown adipose tissues and the gastrocnemius. The generation of small adipocytes, presumably mediated by increased expression of UCP-1 in addition to increased lipolysis in response to AJ-9677, was associated with decreased TNF- $\alpha$  and free fatty acid production and may be the mechanism of amelioration of insulin resistance in KK-A<sup>y</sup>/Ta diabetic obese mice. *Diabetes* 50:113-122, 2001

**T**he  $\beta_3$ -adrenoceptor was suspected to exist more than 15 years ago (1), and it is now known to be present in both rodent and human tissues. The human  $\beta_3$ -adrenoceptor was cloned and sequenced in 1989 (2). The characteristics of the  $\beta_3$ -adrenoceptor are quite different from those of  $\beta_1$ - and  $\beta_2$ -adrenoceptors. The  $\beta_3$ -adrenoceptor is expressed mainly in the white and brown adipose tissues (3), and it is important in lipolysis and ther-

mogenesis in rodents (4). The  $\beta_3$ -adrenoceptor agonist BRL 26830A stimulates lipolysis in adipose tissues, and chronic treatment with an adequate dose of BRL 26830A has decreased body weight without reducing food intake (5). This weight loss was caused by the stimulation of energy expenditure and increased lipolysis (6). The effects of the  $\beta_3$ -adrenoceptor on thermogenesis are believed to occur through activation and upregulation of uncoupling protein (UCP)-1, which is mainly expressed in brown adipose tissues. For example, chronic treatment of yellow KK mice with the  $\beta_3$ -adrenoceptor agonist CL 316,243 increased expression of UCP-1 mRNA in brown adipose tissues and induced UCP-1 mRNA in white adipose tissues, the gastrocnemius, and quadriceps muscles (7). Recently, UCP-2 and -3 were identified. UCP-2 is widely expressed in humans; UCP-2 mRNA has been detected in the adipose tissues, skeletal muscles, lungs, the heart, and kidneys (8,9). UCP-3 is expressed primarily in skeletal muscles in humans and in skeletal muscles and brown adipose tissues in rodents (10,11). Regulation of the activation of UCP-2 and -3 may be different from that of UCP-1 (10,12,13), and these two proteins may be functionally involved in energy expenditure (8,10). Therefore, the increased energy expenditure induced by  $\beta_3$ -adrenoceptor agonists in vivo may be mediated by UCP-2 and -3 in addition to UCP-1, especially in humans.

$\beta_3$ -adrenoceptor agonists have antidiabetic and antiobesity effects in rodent models of type 2 diabetes (14-17), but the molecular mechanisms of these effects, especially the antidiabetic effects, are largely unknown. Molecules secreted by hypertrophic adipocytes (e.g., tumor necrosis factor- $\alpha$  [TNF- $\alpha$ ] and free fatty acids [FFAs]) may cause insulin resistance associated with obese type 2 diabetes. The expression levels of TNF- $\alpha$  in white adipose tissues are higher in diabetic obese models than in normal animals, and both neutralization of TNF- $\alpha$  (18) and target disruption of the TNF- $\alpha$ /TNF- $\alpha$  receptor (19) have prevented the development of insulin resistance despite the presence of obesity. TNF- $\alpha$  appears to interfere with the insulin signal transduction pathway by inhibiting the insulin receptor tyrosine kinase and the tyrosine phosphorylation of insulin receptor substrate-1 (18). Moreover, FFAs cause insulin resistance in the skeletal muscles and liver via multiple mechanisms, including the inhibition of phosphatidylinositol 3-kinase activity associated with insulin receptor substrate-1 (20), which may cause inhibition of GLUT4 translocation and glycogen synthesis stimulated by insulin. The expression levels of leptin in white adipose tissues and the plasma levels secreted from adipocytes are higher in diabetic obese models. Administration of leptin causes insulin sensitivity rather than insulin resistance (21). Leptin may be secreted from hypertrophic

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ELISA, enzyme-linked immunosorbent assay; FFA, free fatty acid; NEFA, nonesterified fatty acid; OGTT, oral glucose tolerance test; PCR, polymerase chain reaction; PPAR- $\gamma$ , peroxisome proliferator-activated receptor  $\gamma$ ; PVDF, polyvinylidene difluoride; RT, reverse transcription; TNF- $\alpha$ , tumor necrosis factor- $\alpha$ ; UCP, uncoupling protein.

adipocytes to compensate for the insulin resistance caused by obesity. In fact, some methods used to cause insulin resistance (e.g., lipid infusion and glucose infusion) were recently found to stimulate leptin gene expression (22). Thiazolidinediones improve insulin sensitivity in diabetic obese models. They increase the number of small white adipocytes and decrease the number of large adipocytes, thereby reducing expression levels of TNF- $\alpha$  and plasma FFA concentrations (23). The regulation of TNF- $\alpha$  expression and FFA production in white adipose tissues may be closely involved in the antidiabetic effect of  $\beta_3$ -adrenoceptor agonists.

Many  $\beta_3$ -adrenoceptor agonists have been developed, but the early compounds did not show the expected effects in humans that were seen in mice and rats. After the cloning of the human  $\beta_3$ -adrenoceptor, differences between the structures of the rat and human receptors were clarified. AJ-9677 was screened with Chinese hamster ovary cells expressing either the human or the rat  $\beta_3$ -adrenoceptor (24). We previously demonstrated that AJ-9677 could stimulate both rat and human  $\beta_3$ -adrenoceptors (24). The present study investigated the effects on diabetes and obesity and the molecular mechanisms of AJ-9677 in the KK-A<sup>y</sup>/Ta diabetic obese mouse model.

## RESEARCH DESIGN AND METHODS

**Chemicals.** AJ-9677 [3-[(2R)-[(2R)-(3-chlorophenyl)-2-hydroxyethyl]amino]propyl]-1H-indol-7-yl]acetic acid, a specific  $\beta_3$ -adrenoceptor agonist ( $\beta_1:\beta_2:\beta_3 = 1:2:210$ ), was synthesized at Daiippon Pharmaceutical (Osaka, Japan). **Animals and drug treatment.** Male 9-week-old KK-A<sup>y</sup>/Ta and 11-week-old C57BL/6J mice were purchased from Clea Japan (Tokyo, Japan) and were allowed to adjust to the facilities and handling for 1 week before all experimental protocols. Under standardized conditions, the mice were given dry food (CE-2; Oriental Yeast, Tokyo) and water ad libitum. Mice were divided into control and treatment groups so that the mean body weight of the two groups was comparable 1 day before the starting day (day 1). The treatment group was given AJ-9677 by gavage at 0.01 mg/kg or 0.1 mg $\cdot$ kg $^{-1}\cdot$ day $^{-1}$  in the morning for 14 days. The control group was given only 0.5% tragacanth solution. At 24 h after the last administration of AJ-9677, blood samples were collected from the cut ends of the tails and centrifuged to separate plasma. Blood samples from 12-week-old C57BL/6J mice were also collected by the same method. For some mice, the rectal temperature was measured after AJ-9677 administration. Some mice were killed by bleeding under anesthesia 20 h after the final administration of AJ-9677. Epididymal white adipose tissues, inguinal white adipose tissues, interscapular brown adipose tissues, and the gastrocnemius were removed and divided into segments for further studies. The same tissues from 12-week-old C57BL/6J mice were also removed. Some KK-A<sup>y</sup>/Ta mice were administered AJ-9677 (0.1 mg/kg) after 24-h fasting to observe the acute effect on lipolytic activity in vivo. Blood samples were collected 1 h after the administration.

**Oral glucose tolerance test and determination of plasma glucose, insulin, FFAs, and triglycerides.** The oral glucose tolerance test (OGTT) was performed on day 15 after 24 h fasting. The mice received a 20% glucose solution (2 g/kg). Blood samples were collected just before and 0.5, 1, 2, and 3 h after glucose loading. Plasma glucose and insulin levels were determined with a Glucose C-II Test from Wako Pure Chemical Industries (Osaka, Japan) and an insulin enzyme-linked immunosorbent assay (ELISA) from Shibayagi (Gumma, Japan). FFAs were determined with a nonesterified fatty acid (NEFA) C-Test from Wako Pure Chemical Industries, and triglycerides were detected with a Determiner triglyceride-S555 from Kyowa Medex Co. (Tokyo, Japan).

**Lipolytic activity in white adipocytes.** White adipocytes were isolated from epididymal white adipose tissues of KK-A<sup>y</sup>/Ta mice by collagenase type II (Sigma Chemical, St. Louis, MO) digestion according to the method described by Rodbell (25). Adipocytes were successively filtered through 1,000-, 600-, and 200- $\mu$ m meshes and washed in Krebs-Ringer phosphate solution (pH 7.4) containing bovine serum albumin (4 g/100 ml) and glucose (1 mg/ml). Isolated adipocytes were suspended in Krebs-Ringer phosphate solution (pH 7.4) containing bovine serum albumin (1 g/100 ml), glucose (1 mg/ml), phenylisopropyl adenosine (100 nmol/l), and adenosine deaminase (1 U/ml). Adipocytes ( $\sim 2.5 \times 10^6$  cells/ml) were incubated with AJ-9677 or (-)-isoproterenol for 30 min at 37°C. The reaction was terminated with 1N H<sub>2</sub>SO<sub>4</sub> and neutralized with 1N NaOH. FFAs were determined with an NEFA C-Test (Wako Pure Chemical Industries). A quantity of the isolated adipocytes was mixed in chloroform-methanol (3:2), shaken vigorously, and centrifuged at 10,000g. The precipitate

was dissolved in 1N NaOH, and protein was determined with a bicinchoninic acid protein assay reagent (Pierce, IL).

**Expression of TNF- $\alpha$  and leptin.** Measurements of TNF- $\alpha$  and leptin proteins secreted in isolated white adipose tissues (400–1,000 mg) were performed as described previously (26). Adipose tissues were minced into small pieces and incubated in a Krebs-Ringer phosphate solution (pH 7.4) containing endotoxin-free bovine serum albumin (4 g/100 ml) and glucose (1 mg/ml) at 37°C with rotation (100 rpm). After a 2-h incubation, the incubation mixture was centrifuged and the supernatant collected. Concentrations of TNF- $\alpha$  and leptin proteins were determined with a mouse TNF- $\alpha$  ELISA system (Amersham Pharmacia Biotech U.K., Buckinghamshire, U.K.) and a mouse leptin ELISA kit (Morinaga Bioscience Institute, Yokohama, Japan). Total DNA was also isolated from the adipose tissues as described previously (27).

**Histological analysis.** Small pieces of epididymal and inguinal white adipose tissues were removed and rinsed with saline. The tissues were fixed with 10% formalin and embedded in paraffin. Tissue sections were cut at a thickness of 2.5  $\mu$ m and stained with hematoxylin and eosin. To examine the size of the white adipocytes, the number of adipocytes was counted in five limited areas ( $7 \times 10^3$  mm<sup>2</sup>) of each stained specimen. The mean value of the five areas was designated as an index of the cell size (i.e., a larger number means smaller size). In this analysis, the multilocular adipocytes were excluded. For immunohistochemistry, the paraffin-embedded sections were stained with anti-cytochrome oxidase antibody (Molecular Probes, Eugene, OR). The signals were detected by a Vectastain avidin-biotin-peroxidase complex system with a diaminobenzidine substrate kit (Vector Laboratories, Burlingame, CA). For electron microscopic observations, the tissues were fixed with 2.5% glutaraldehyde and embedded in Epon 812.

**Triglycerides and DNA in adipose tissues.** The method for determining the triglyceride and DNA contents in the epididymal and inguinal white adipose tissues was slightly modified from the method described by Okuno et al. (23). Briefly, 50 mg adipose tissue was homogenized in 2 ml of a solution containing 150 mmol/l sodium chloride, 0.1% Triton X-100, and 10 mmol/l Tris, pH 8.0, at 50°C using a polytron homogenizer (NS-310E; Micro Tech Nichion, Chiba, Japan) for 1 min. The triglyceride content of this homogenized solution was determined by a Determiner triglyceride-S555 (Kyowa Medex). For DNA determination, the homogenized solution was mixed with SDS, proteinase K, and EDTA to final concentrations of 0.1%, 100  $\mu$ g/ml, and 10 mmol/l, respectively. After a 12–16 h incubation at 37°C, the DNA was extracted by the phenol-chloroform extraction method. The DNA pellets were redissolved in a solution containing 20  $\mu$ g/ml ribonuclease A, 1 mmol/l EDTA, and 10 mmol/l Tris at pH 8.0. After 20 min of incubation at 37°C, the DNA was re-extracted by the phenol-chloroform extraction method. The DNA pellets were finally dissolved with TE buffer (10 mmol/l Tris and 1 mmol/l EDTA, pH 8.0). DNA content was calculated from the absorbance at 260 nm, with the optical density at 260 nm of 50  $\mu$ g/ml DNA solution taken as equal to 1.0 by a Gene Quant RNA/DNA Calculator (Amersham Pharmacia Biotech, U.K.).

**Expression of mRNAs by reverse transcription-competitive polymerase chain reaction.** Extraction of total RNA from tissues was carried out by homogenizing 50 mg frozen tissue in 1 ml RNAzol B (Tel-Test, Friendswood, TX). RNA pellets were obtained from the homogenate by repeated extraction with chloroform and alcohol precipitation. The mRNA of TNF- $\alpha$ , leptin, GLUT4, and three different subtypes of UCP were quantitatively determined by the reverse transcription (RT)-competitive polymerase chain reaction (PCR) method described by Auboeuf and Vidal (28); however, the internal standard was prepared according to the method of Celi et al. (29). Table 1 lists both the sequences of primers used for internal standards and RT-competitive PCR and the sizes of each target fragment and competitor fragment generated by RT-competitive PCR. For construction of single-strand cDNA, 1  $\mu$ g of total RNA was used with the SuperScript preamplification system (Life Technologies, Gaithersburg, MD) using oligo(dT)<sub>12–18</sub> as a primer. The internal standards (competitors) were prepared by PCR using template cDNA constructed with total RNA from epididymal white or interscapular brown adipose tissues and a specific set of oligonucleotides as primers (i.e., forward-short [S] and reverse) (Table 1). RT-competitive PCR was performed with a constant amount of target cDNA and four different amounts of the corresponding internal standard. The PCR conditions were 20–30 cycles of denaturation at 94°C for 15 s, annealing at 55°C (or 62°C for TNF- $\alpha$ ) for 30 s, and extension at 72°C for 30 s. The number of cycles was selected to obtain a measurable amount of products depending on the content of the target. The PCR products were subjected to agarose gel electrophoresis and ethidium bromide staining. After photographs were taken, the density of the DNA band was analyzed with the Kodak Digital Science Electrophoresis Documentation and Analysis System 120 (Eastman Kodak Company, Rochester, NY). The initial amount of the target was calculated from the plot of the density ratio of the competitor band to the target band versus the initial amount of the competitor added in the PCR reaction.

**Western blot analysis of GLUT4.** Crude and plasma membranes were prepared from frozen epididymal white adipose tissues using differential ultracentrifugation as described by Simpson et al. (36) and Kelada et al. (37). Proteins

TABLE 1  
Primers used for RT-competitive PCR

Target molecules	Sequences for primers	Locations	Product sizes (bp)	References
UCP-1				
Forward-L	:5' AAA GCT TGT CAA CAC TTT GG 3'	503-522,	Target : 418	(30)
Forward-S	:5' AAA GCT TGT CAA CAC TTT GGT AAC ATA TGA CCT CAT G 3'	503-522, and 578-594	Competitor : 363	
Reverse	:5' GTG GTA CAA TCC ACT GTC TG 3'	901-920		
UCP-2				
Forward-L	:5' GAC CTA TGA CCT CAT CAA AGA 3'	585-605,	Target : 286	(9)
Forward-S	:5' GAC CTA TGA CCT CAT CAA AGA CCC TTG CCA CTT CAC TTC TG 3'	585-605, and 642-661	Competitor : 250	
Reverse	:5' GGT GAC AAA CAT CAC TAC G 3'	852-870		
UCP-3				
Forward-L	:5' AAG CCA TGA TAC GCC TGG GAA 3'	425-445,	Target : 362	GenBank AF032902
Forward-S	:5' AAG CCA TGA TAC GCC TGG GAA GGC CCA ACA TCA CAA GA 3'	425-445, and 536-552	Competitor : 272	
Reverse	:5' CTG AGC CAC CAT CTT CAG CAT 3'	766-786		
Leptin				
Forward-L	:5' GTT TTG GAG CAG TTT GGA TC 3'	2,528-2,547,	Target : 522	(32)
Forward-S	:5' GTT TTG GAG CAG TTT GGA TCC AGG TCA TAC CCT GTG GAG 3'	2,528-2,547, and 2,652-2,670	Competitor : 418	
Reverse	:5' GCA TAT GGG AAG TTT CAC AA 3'	3,030-3,049		
TNF- $\alpha$				
Forward-L	:5' GGG ACA GTG ACC TGG ACT GT 3'	891-910,	Target : 520	(33)
Forward-S	:5' GGG ACA GTG ACC TGG ACT GTA GGT TGC CTC TGT CTC AGA A 3'	891-910, and 1,009-1,028	Competitor : 422	
Reverse	:5' GCA GAG GTT CAG TGA TGT AG 3'	1,391-1,410		
GLUT4				
Forward-L	:5' TAG AGC AGG AGG TGA AAC CC 3'	2,066-2,085,	Target : 363	(34)
Forward-S	:5' TAG AGC AGG AGG TGA AAC CCT CCT TTC CTC TAC AGC A 3'	2,066-2,085, and 2,172-2,188	Competitor : 277	
Reverse	:5' TGC AGA CCC CTT CTC GAA AG 3'	2,409-2,428		
$\beta$ -actin (for noncoding region)				
Forward-L	:5' GGT TGG AGC AAA CAT CCC CC 3'	1,351-1,370,	Target : 460	(35)
Forward-S	:5' GGT TGG AGC AAA CAT CCC CCA AGT GGT TAC AGG AAG TCC C 3'	1,351-1,370, and 1,471-1,490	Competitor : 360	
Reverse	:5' TTG TGT AAG GTA AGG TGT GC 3'	1,791-1,810		
$\beta$ -actin (for coding region)				
Forward-L	:5' CGT GGG CCG CCC TAG GCA CCA 3'	102-122,	Target : 541	(35)
Forward-S	:5' CGT GGG CCG CCC TAG GCA CCA ACT GGG ACG ACA TGG AG 3'	102-122, and 233-249	Competitor : 431	
Reverse	:5' CTC TTT GAT GTC ACG CAC GAT TTC 3'	619-642		

For RT-competitive PCR, forward-long (L) and reverse primers were used, except that the forward-S primer was used instead of the forward-L primer to prepare internal standard (competitor). To standardize the amount of the target molecule, the amount of  $\beta$ -actin mRNA was determined using either the noncoding region primer set or the coding region primer set (the latter being used only for the gastrocnemius muscle  $\beta$ -actin mRNA).

in crude and plasma membrane fractions were separated by SDS-PAGE on 12.5% gels using the system described by Laemmli (38). The separated proteins were transferred to polyvinylidene difluoride (PVDF) membranes at 10 V for 30 min by the semidry blotting system (Bio-Rad Laboratories, Hercules, CA). The PVDF membranes were blocked with Block Ace (Dainippon Pharmaceutical) and incubated for 1 h with polyclonal anti-GLUT4 antibody (Transformation Research, Framingham, MA). The membranes were subsequently incubated with anti-rabbit IgG antibody conjugated with horseradish peroxidase (Santa Cruz Biotechnology, Santa Cruz, CA) for 1 h. The immunolabeled signals were detected with autoradiography film using the enhanced chemiluminescence system (Amersham Pharmacia Biotech).

**Statistical analysis.** Values are shown as the means  $\pm$  SE. The statistical significance of the values was analyzed by the Dunnett's two-tailed test when more than three groups were compared or the two-tailed Student's *t* test or the Welch *t* test when two groups were compared.

## RESULTS

**Effects of AJ-9677 on plasma glucose, insulin, FFA, and triglyceride levels.** Control KK-A<sup>y</sup>/Ta diabetic obese mice showed marked hyperglycemia, hyperinsulinemia, hypertriglyceridemia, and high FFA levels compared with normal C57BL/6J mice (Table 2). AJ-9677 stimulated lipolysis in vitro dose-dependently with higher potency than isoproterenol (Fig. 1). Acute administration of AJ-9677 also stimulated lipolysis, and plasma FFA levels were increased ( $0.77 \pm 0.05$  to  $1.63 \pm 0.05$  mEq/l,  $P < 0.001$ ,  $n = 8$ ). However, in mice treated with AJ-9677 (0.1 mg/kg) for 14 days, hyperglycemia and high FFA levels were completely normalized and

TABLE 2

Effects of AJ-9677 treatment for 14 days on the body weight, total food intake, plasma parameters, weight of epididymal white adipose tissues, and triglyceride contents of white adipose tissues in KK-A<sup>y</sup>/Ta diabetic obese mice and C57BL/6J mice

	KK-A <sup>y</sup> /Ta		C57BL/6J
	Control	AJ-9677 (0.1 mg/kg)	
Body weight (g) on day 1	38.1 ± 0.6	37.8 ± 1.1	—
Body weight (g) on day 15	40.2 ± 0.7	37.8 ± 0.8	—
Body weight increase (%)	5.28 ± 0.53	0.03 ± 1.54*	—
Total food intake (g)	87.0 ± 4.0	87.0 ± 2.9	—
Plasma glucose (mg/dl)	421.1 ± 28.6	208.7 ± 10.3†	160.9 ± 9.2
Plasma insulin (ng/ml)	87.78 ± 11.84	4.15 ± 0.72†	1.35 ± 0.26
Plasma FFA (mEq/l)	0.61 ± 0.03	0.18 ± 0.01†	0.32 ± 0.04
Plasma triglyceride (mg/dl)	601.6 ± 28.1	217.1 ± 10.0†	121.0 ± 3.7
Epididymal WAT (g)	1.72 ± 0.05	0.91 ± 0.04†	0.26 ± 0.03
Triglyceride content in epididymal WAT (mg/μg DNA)	5.67 ± 0.70	3.09 ± 0.30†	2.66 ± 0.22
Triglyceride content in inguinal WAT (mg/μg DNA)	6.09 ± 0.66	3.05 ± 0.33*	1.93 ± 0.18

Data are means ± SE;  $n = 6-8$  in KK-A<sup>y</sup>/Ta groups and 5 in the C57BL/6J group. Blood and tissue samples were collected under well-fed conditions. \* $P < 0.01$  and † $P < 0.001$  vs. the control group. WAT, white adipose tissue.

hyperinsulinemia and hypertriglyceridemia were markedly improved (Table 2). The OGTT indicated that the diabetic obese mice treated with AJ-9677 showed significantly lower glucose levels after glucose loading and significantly lower levels of plasma insulin than the untreated control mice (Fig. 2). These data clearly indicate that the AJ-9677 antidiabetic effect is mediated by the amelioration of insulin resistance in the diabetic obese mouse model. Although AJ-9677 treatment did not alter the total food intake, age-dependent weight gain was reduced in the diabetic obese mice (Table 2). These results suggest that AJ-9677 may inhibit weight gain by increasing energy expenditure. Consistent with this idea, rectal temperature was elevated by  $\sim 1^\circ\text{C}$  ( $36.8 \pm 0.2$  to  $37.7 \pm 0.1^\circ\text{C}$ ,  $P < 0.01$ ,  $n = 8$ ) 1.5 h after the administration on day 1 of 0.1 mg/kg of AJ-9677.

**Effects of AJ-9677 on white adipose tissues.** The mice treated with AJ-9677 showed an  $\sim 50\%$  reduction in the weight of epididymal white adipose tissues compared with the control mice (Table 2). Moreover, triglyceride levels in the

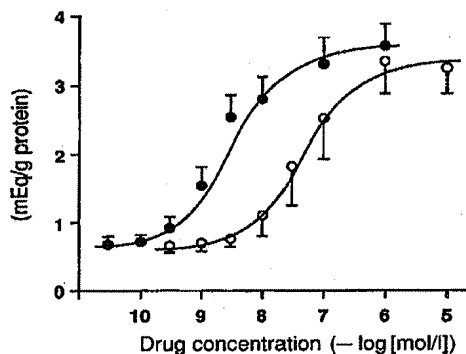


FIG. 1. Lipolytic activities of AJ-9677 in white adipocytes from KK-A<sup>y</sup>/Ta diabetic obese mice. Isolated adipocytes were incubated with AJ-9677 (●) or isoproterenol (○). FFA concentrations were normalized to protein concentrations. The data are expressed as means ± SE of four experiments.

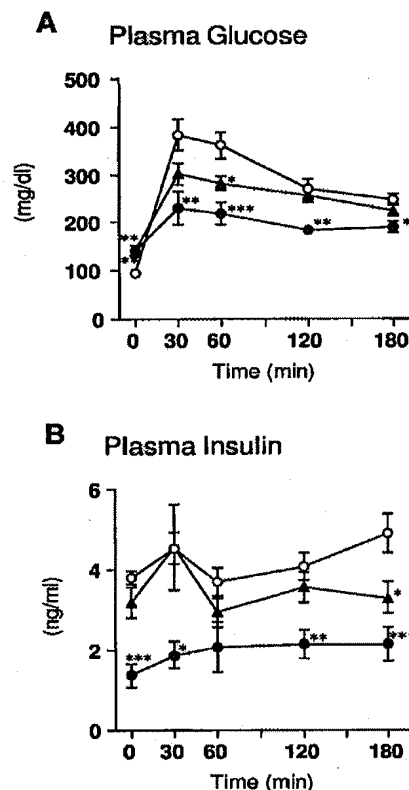
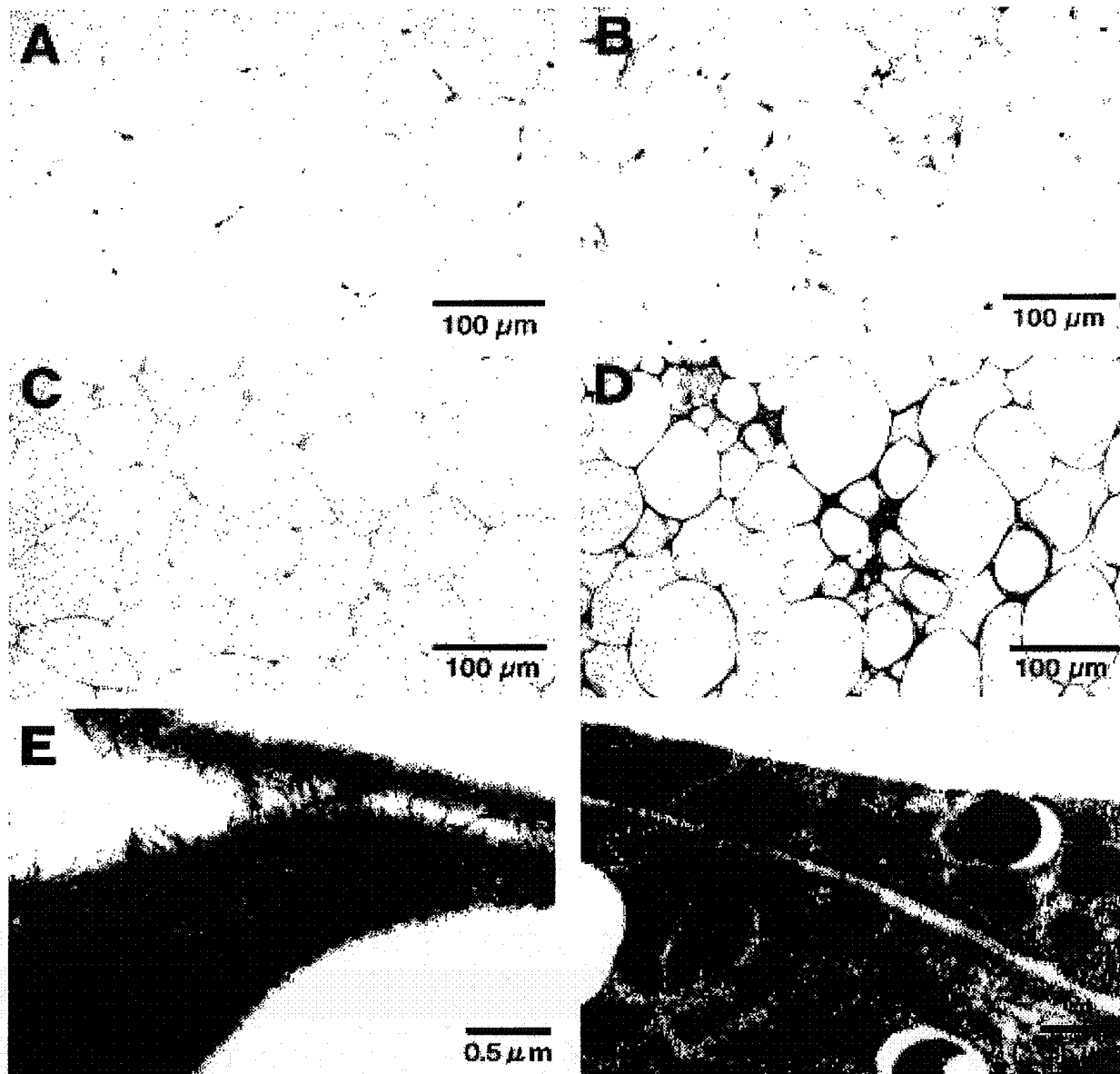


FIG. 2. Effects of AJ-9677 on glucose tolerance in KK-A<sup>y</sup>/Ta diabetic obese mice. OGTT was performed after 24-h fasting after administration of AJ-9677 ( $0.01 \text{ mg} \cdot \text{kg}^{-1} \cdot \text{day}^{-1}$  or  $0.1 \text{ mg} \cdot \text{kg}^{-1} \cdot \text{day}^{-1}$ ) for 14 days. Blood samples were collected sequentially and plasma glucose (A) and insulin (B) were measured. ○, control; ▲, AJ-9677 ( $0.01 \text{ mg/kg}$ ); ●, AJ-9677 ( $0.1 \text{ mg/kg}$ ). The data are expressed as means ± SE;  $n = 6$  in each group. \* $P < 0.05$ , \*\* $P < 0.01$ , and \*\*\* $P < 0.001$  compared with the control group.



**FIG. 3.** Histological examination of white adipose tissues from control and AJ-9677-treated KK-A<sup>y</sup>/Ta diabetic obese mice. Tissues were removed after a 14-day treatment under well-fed conditions. *A*, *C*, and *E* show control KK-A<sup>y</sup>/Ta mice. *B*, *D*, and *F* show AJ-9677-treated KK-A<sup>y</sup>/Ta mice. *A* and *B* show epididymal white adipose tissues stained with hematoxylin and eosin. *C* and *D* show epididymal white adipose tissues immunostained with anti-cytochrome oxidase antibody. *E* and *F* show electron microscopic observations of inguinal white adipose tissues.

epididymal white adipose tissues normalized to DNA levels (TG/DNA ratios) were also reduced by ~50%, to almost the same level as the control levels (Table 2), indicating that the triglyceride content per cell was reduced to normal. TG/DNA ratios in inguinal white adipose tissues were also reduced by ~50% by AJ-9677 treatment (Table 2).

**Histological analysis of white adipose tissues.** Treatment with AJ-9677 clearly reduced the size of adipocytes in the epididymal white adipose tissues (Fig. 3*A* and *B*). The number of white adipocytes in the limited area was larger in the AJ-9677-treated group than that in the control group. This effect was exerted in a dose-dependent manner (i.e., a



TABLE 3  
Effects of AJ-9677 administration on the size of white adipocytes

	Number of cells (cells per $7 \times 10^{-3}$ mm <sup>2</sup> )
Experiment 1	
Control	91.7 $\pm$ 3.9
AJ-9677 (0.01 mg/kg)	111.6 $\pm$ 7.8*
Experiment 2	
Control	75.2 $\pm$ 3.1
AJ-9677 (0.1 mg/kg)	120.1 $\pm$ 2.3†

Data are means  $\pm$  SE;  $n = 10$  in each group. The number of cells were counted in five limited areas of each section stained with hematoxylin and eosin. \* $P < 0.05$  and † $P < 0.001$  vs. the control group.

120% increase at a dosage of 0.01 mg/kg and a 160% increase at a dosage of 0.1 mg/kg (Table 3). Moreover, the AJ-9677 treatment caused the formation of some multilocular adipocytes resembling brown adipocytes. Immunostaining of cytochrome oxidase in the AJ-9677-treated group was stronger than that in the control group (Fig. 3C and D). These results were also found in the inguinal white adipose tissues (data not shown). These observations suggest that AJ-9677 treatment caused the exaltation of mitochondrial function and the conversion of white adipocytes into brown adipocytes. Alternatively,  $\beta_3$ -adrenoceptor agonist treatment may cause differentiation of preadipocytes of brown adipose tissue lineage in white adipose tissues. AJ-9677 treatment caused a dramatic increase in the number of mitochondria in the cytoplasm of inguinal white adipocytes (Fig. 3E and F).

**Effect of AJ-9677 treatment on the overexpression of TNF- $\alpha$  and leptin mRNA and protein.** The TNF- $\alpha$  mRNA

levels were markedly higher in control KK-A<sup>y</sup>/Ta mice than in C57BL/6J mice. Treatment with AJ-9677 decreased the TNF- $\alpha$  mRNA level to 35% of the control level (Fig. 4A). This reduction of TNF- $\alpha$  mRNA was associated with a parallel reduction in the amount of TNF- $\alpha$  protein secreted from the adipocytes (Fig. 4C). Very similar data were obtained for leptin mRNA and protein secretion (Fig. 4B and D). AJ-9677 also reduced the mRNA expression levels and secretion of both TNF- $\alpha$  and leptin in the inguinal white adipose tissues (data not shown).

**Effect of AJ-9677 treatment on expression of UCP-1, -2, and -3.** AJ-9677 treatment caused the mRNA expression of UCP-1 in brown adipose tissues to be increased threefold (Fig. 5A). UCP-2 mRNA levels were increased in the brown adipose tissues of control KK-A<sup>y</sup>/Ta mice, and AJ-9677 treatment further upregulated the expression of UCP-2 mRNA. UCP-3 mRNA levels were not changed in the brown adipose tissues of the control KK-A<sup>y</sup>/Ta mice, and AJ-9677 treatment did not affect the expression of UCP-3 mRNA. UCP-1 was hardly expressed in the epididymal and inguinal white adipose tissues of both control KK-A<sup>y</sup>/Ta and C57BL/6J mice. However, administration of AJ-9677 caused a 20- to 80-fold increase in UCP-1 expression levels in the epididymal (Fig. 5B) and inguinal (Fig. 5C) white adipose tissues. UCP-2 expression levels were increased three- to sixfold in both epididymal and inguinal white adipose tissues of the control KK-A<sup>y</sup>/Ta mice, as seen in brown adipose tissues (Fig. 5B and C). However, the expression of UCP-2 mRNA was reduced and left unchanged by AJ-9677 in the epididymal and inguinal white adipose tissues, respectively, unlike the effects seen in brown adipose tissues (Fig. 5B and C). The expression of UCP-3 was not altered in white and brown adipose tissues of the control KK-A<sup>y</sup>/Ta mice. Although AJ-9677 treatment decreased UCP-3 expression levels in epididymal white adipose tissues (Fig. 5B), it did not affect UCP-3 expression levels in

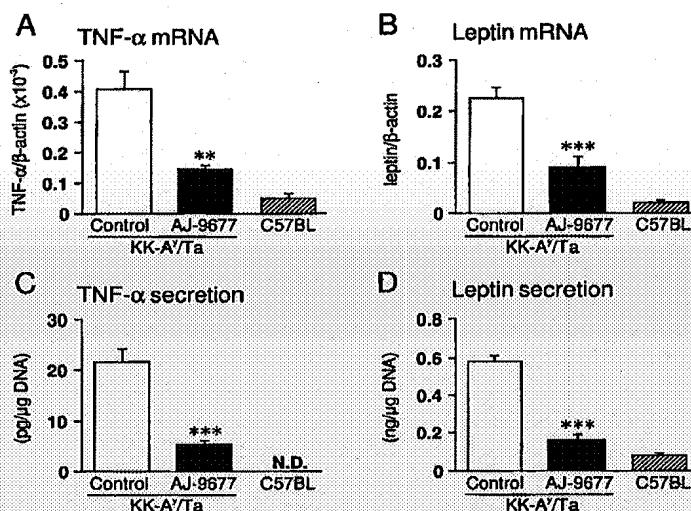


FIG. 4. Messenger RNA expression and secretion of TNF- $\alpha$  and leptin in epididymal white adipose tissues from control and AJ-9677-treated KK-A<sup>y</sup>/Ta diabetic obese mice and C57BL/6J mice. Adipose tissues were removed after a 14-day treatment under well-fed conditions. A and B: TNF- $\alpha$  and leptin mRNA expression, respectively. The mRNA expression levels were analyzed by the RT-competitive PCR method. C and D: TNF- $\alpha$  and leptin protein secretion, respectively. TNF- $\alpha$  and leptin were measured by ELISA kits. The details of the experiments are described in RESEARCH DESIGN AND METHODS. The data are expressed as means  $\pm$  SE;  $n = 8$  in KK-A<sup>y</sup>/Ta groups and  $n = 5$  in the C57BL/6J group. \*\* $P < 0.01$  and \*\*\* $P < 0.001$  compared with control KK-A<sup>y</sup>/Ta group. N.D., not detected.

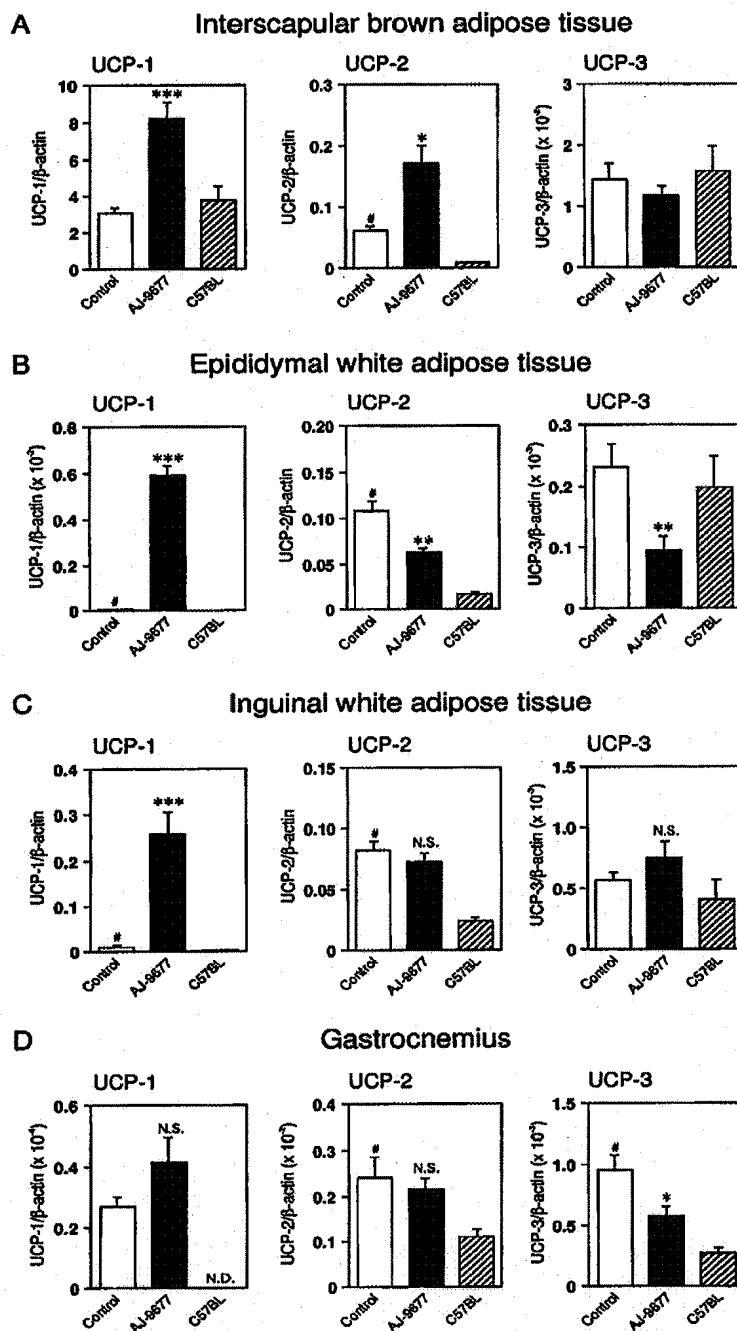


FIG. 5. Expression levels of UCP-1, -2, and -3 mRNA in adipose tissues and the gastrocnemius from control and AJ-9677-treated KK-A<sup>y</sup>/Ta diabetic obese mice and C57BL/6J mice. Tissues were removed after a 14-day treatment under well-fed conditions. *A* shows interscapular brown adipose tissues; *B* shows epididymal white adipose tissues; *C* shows inguinal white adipose tissues; and *D* shows the gastrocnemius. The mRNA expression levels were analyzed by the RT-competitive PCR method. The details of the experiments are described in RESEARCH DESIGN AND METHODS. Data are expressed as means  $\pm$  SE;  $n = 5-8$  in KK-A<sup>y</sup>/Ta groups and  $n = 5$  in the C57BL/6J group. \* $P < 0.05$ , \*\* $P < 0.01$ , and \*\*\* $P < 0.001$  compared with the control KK-A<sup>y</sup>/Ta group. N.S., not significant compared with the control KK-A<sup>y</sup>/Ta group. # $P < 0.05$  compared with the C57BL/6J group.

TABLE 4

Effects of AJ-9677 treatment for 14 days on expression levels of GLUT4 mRNA in epididymal and inguinal white adipose tissues, interscapular brown adipose tissues, and the gastrocnemius in KK-A<sup>y</sup>/Ta diabetic obese mice and C57BL/6J mice

	KK-A <sup>y</sup> /Ta		C57BL/6J
	Control	AJ-9677 (0.1 mg/kg)	
Epididymal WAT	0.056 ± 0.003	0.207 ± 0.040†	0.059 ± 0.006
Inguinal WAT	0.281 ± 0.013	0.602 ± 0.081*	0.104 ± 0.029
Interscapular BAT	0.636 ± 0.151	1.672 ± 0.152‡	0.786 ± 0.114
Gastrocnemius	0.331 ± 0.056	0.826 ± 0.132†	0.609 ± 0.134

Data are means ± SE; *n* = 5–8 in each group. All values were normalized by the expression levels of  $\beta$ -actin mRNA. WAT, white adipose tissue; BAT, brown adipose tissue. \**P* < 0.05, †*P* < 0.01, and ‡*P* < 0.001 vs. the control group.

inguinal white adipose tissues (Fig. 5C). The expression levels of UCP-1, -2, and -3 mRNA in the gastrocnemius were very low compared with the adipose tissues, and they were not upregulated by AJ-9677 treatment (Fig. 5D).

**Effect of AJ-9677 treatment on GLUT4 expression.** GLUT4 mRNA expression in epididymal white adipose tissues was similar in control KK-A<sup>y</sup>/Ta diabetic obese and C57BL/6J mice. AJ-9677 treatment increased GLUT4 mRNA expression approximately fourfold. AJ-9677 treatment also caused a two- to threefold increase in GLUT4 mRNA levels in inguinal white adipose tissues, brown adipose tissues, and the gastrocnemius (Table 4). AJ-9677 treatment also increased GLUT4 protein levels in the crude and plasma membrane of epididymal white adipose tissues four- and sixfold, respectively (Fig. 6).

#### DISCUSSION

Administration of AJ-9677 for 14 days reduced plasma glucose, insulin, FFA, and triglyceride levels in the diabetic obese mouse model to almost the normal levels seen in C57BL/6J mice. AJ-9677 stimulated lipolysis in adipocytes dose-dependently *in vitro*. Although the plasma FFA level increased after the first AJ-9677 administration, this effect was not observed after chronic treatment, presumably because plasma FFAs generated by lipolysis may be consumed by thermogenesis. Although we used the dosage of 0.1 mg<sup>-1</sup>·kg<sup>-1</sup>·day<sup>-1</sup>, AJ-9677 can reduce these plasma parameters at lower dosages (data not shown). The effective dosage was much lower for AJ-9677 than for other  $\beta_3$ -adrenoceptor agonists reported previously (14,39). White adipose tissues in humans show lower expression levels of  $\beta_3$ -adrenoceptor than in rodents. However,

because AJ-9677 is an equally potent agonist against both human and rat  $\beta_3$ -adrenoceptors in the Chinese hamster ovary cell expression system, it may show the same kind of effects, to some extent, in humans as it does in rodents.

Mitochondrial UCPs, which cause respiration without ATP synthesis, are believed to be involved in the expenditure of excess energy. At least three UCP isoforms (UCP-1, -2, and -3) have been cloned. UCP-1 is primarily expressed in brown adipose tissues, and it is slightly expressed in white adipose tissues. In the present study, administration of AJ-9677 increased the expression of UCP-1 threefold in brown adipose tissues and 20- to 80-fold in epididymal and inguinal white adipose tissues. The expression of UCP-2 was increased by 2.5-fold in brown adipose tissues, but it was decreased in epididymal white adipose tissues and unaltered in inguinal white adipose tissues. The expression of UCP-3 was not changed in brown adipose tissues and inguinal white adipose tissues, but it was decreased in epididymal white adipose tissues. Previous reports showed that the  $\beta_3$ -adrenoceptor agonists BRL 35135 and CL 316,243 (40–42) increased the expression of UCP-1 in both brown and white adipose tissues. Our data are consistent with those results. The effect of AJ-9677 on the expression of UCP-2 in brown adipose tissues is different from that of BRL 35135 (40), but consistent with CL 316,243 (41). The effects on UCP-3 expression are inconsistent. Thus, the effects of  $\beta_3$ -adrenoceptor agonists on UCP-2 and UCP-3 expression may depend on the types of  $\beta_3$ -adrenoceptor agonists, the duration of the treatment, and the time of death. Although CL 316,243 increased UCP-1 mRNA in the gastrocnemius (7), AJ-9677 did not increase UCP-1, 2, or 3 mRNA in the tissues. These

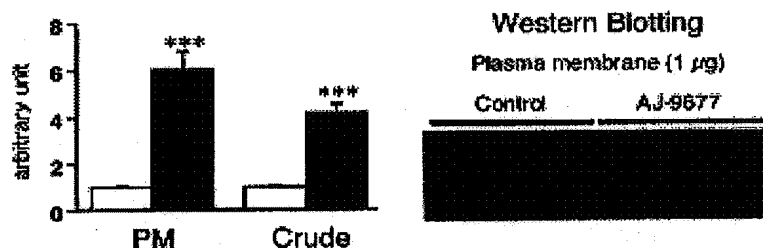


FIG. 6. Protein expression levels of GLUT4 in epididymal white adipose tissues from control and AJ-9677-treated KK-A<sup>y</sup>/Ta diabetic obese mice. Tissues were removed after a 14-day treatment under well-fed conditions. Crude and plasma membrane fractions were prepared and analyzed by Western blotting method. The detail was described in RESEARCH DESIGN AND METHODS. Crude, crude membrane; PM, plasma membrane. □, control KK-A<sup>y</sup>/Ta mice; ■, AJ-9677-treated KK-A<sup>y</sup>/Ta mice. The data are expressed as means ± SE; *n* = 6 in each group. \*\*\**P* < 0.001 compared with control KK-A<sup>y</sup>/Ta group.

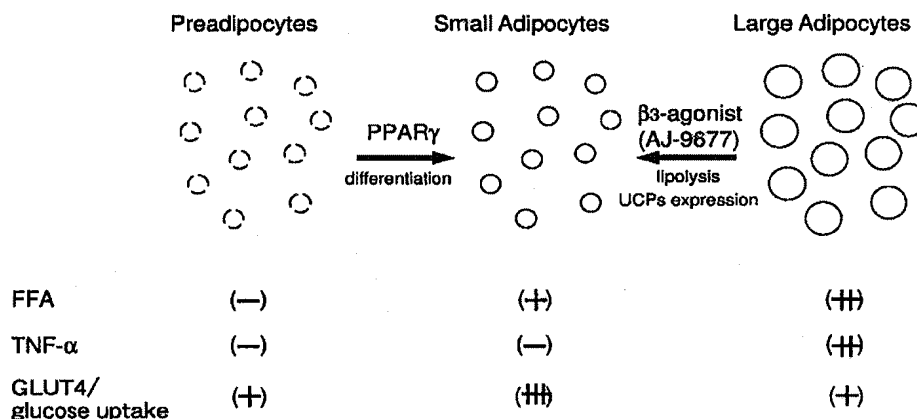


FIG. 7. Proposed mechanism of generation of small adipocytes and amelioration of insulin resistance by  $\beta_3$ -adrenoceptor agonist (AJ-9677). AJ-9677 converts large adipocytes into small adipocytes through increased lipolysis and the induction of uncoupling proteins (e.g., UCP-1) that are associated with the reduction of TNF- $\alpha$  and FFA, which leads to the amelioration of insulin resistance. Thiazolidinediones promote adipocyte differentiation to generate small adipocytes that are also associated with the reduction of TNF- $\alpha$  and FFA, which leads to the amelioration of insulin resistance. Therefore, insulin sensitivity is induced in vivo whether small adipocytes are generated de novo by differentiation via stimulation of PPAR- $\gamma$  or by conversion from the large adipocytes via  $\beta_3$ -adrenergic receptors. The generation of small adipocytes is the key event in ameliorating insulin resistance.

differences may also depend on the types of  $\beta_3$ -adrenoceptor agonists. The role of UCPs in the muscles may not be very important for the antidiabetic effects of AJ-9677.

The major novel finding of this study is that the  $\beta_3$ -adrenoceptor agonist AJ-9677 reduced the size of white adipocytes, an effect associated with the reduction of mRNA expression and protein secretion of TNF- $\alpha$  and the reduction of plasma FFA levels. The reduction in size of the white adipocytes was confirmed by histological analysis and the triglyceride/DNA ratio. This reduction may be caused by increased lipolysis and energy expenditure mediated by the increased expression of both UCP-1 and UCP-2 after AJ-9677 treatment. We cannot identify the source of UCP-1 overexpressed in white adipose tissues; it is possible that the increased UCP-1 was expressed in the multilocular adipocytes found in the white adipose tissues during AJ-9677 treatment. However, because the absolute expression level of UCP-1 in white adipose tissues was much lower than in brown adipose tissues, white adipose tissues may contribute less to energy expenditure than brown adipose tissues. Thiazolidinediones cause the differentiation of preadipocytes into adipocytes (through the peroxisome proliferator-activated receptor  $\gamma$  [PPAR- $\gamma$ ]) to generate small white adipocytes and, concomitantly, cause the apoptosis of large white adipocytes (23). This reduction of the mean size of adipocytes by thiazolidinediones is associated with the normalization of both increased levels of expression of TNF- $\alpha$  and increased production of FFAs in diabetic obese animal models. Because the increase in the proportion of small adipocytes by thiazolidinediones appears to contribute to the amelioration of insulin resistance via decreased TNF- $\alpha$  expression and decreased FFA production, the reduction in the size of adipocytes by AJ-9677 may contribute to the amelioration of insulin resistance via a similar reduction of TNF- $\alpha$  expression and FFA production. Moreover, the reduction in the size of adipocytes by AJ-9677 may also be associated with decreased levels of leptin expression and secretion in white adipose tis-

suess, which may well reflect the abrogation of the need for compensation for insulin resistance.

TNF- $\alpha$  can cause downregulation of GLUT4 expression (43,44), so decreased TNF- $\alpha$  expression probably contributes to increased GLUT4 expression after AJ-9677 treatment. Moreover, GLUT4 expression on the plasma membrane was preferentially increased compared with the crude membrane, suggesting that AJ-9677 treatment stimulated GLUT4 translocation to the plasma membrane in white adipose tissues. Whether this effect is a direct action of AJ-9677 or secondary to its amelioration of high plasma FFA levels is unclear at present. In either case, the change in the localization of GLUT4 to the plasma membrane, in addition to the increased GLUT4 expression levels, may further contribute to the amelioration of insulin resistance and diabetes.

We would like to propose a novel hypothesis for the relationship between the generation of small adipocytes and the amelioration of insulin resistance, as depicted in Fig. 7. The present study clearly shows that the  $\beta_3$ -adrenoceptor agonist AJ-9677 converted large adipocytes into small adipocytes through increased lipolysis and the induction of UCPs (e.g., UCP-1) that are associated with the reduction of TNF- $\alpha$  and FFA levels and thus the amelioration of insulin resistance. We previously showed that thiazolidinediones promote adipocyte differentiation to generate small adipocytes, which is also associated with reduction of TNF- $\alpha$  and FFA levels, leading to the amelioration of insulin resistance (23). Therefore, insulin sensitivity is induced in vivo whether small adipocytes are generated de novo by differentiation via stimulation of PPAR- $\gamma$  or by conversion from the large adipocytes via  $\beta_3$ -adrenoceptors. Thus, we would like to propose that the generation of small adipocytes is the key event in the amelioration of insulin resistance.

Hypertrophic obesity resulting from adipocyte hypertrophy, which develops with a high-fat diet and sedentary lifestyle, is closely linked to major health issues (e.g., diabetes, hypertension, hyperlipidemia, and cardiovascular diseases) in Western

countries and in Japan (45). Insulin resistance, which is usually associated with hypertrophic obesity, is believed to be the major mechanism causing these diseases. Thus, the treatment of hypertrophic adipocytes is one of the most important issues in medical science. Thiazolidinediones may not be the ideal agents because the number of adipocytes tends to increase and promote obesity, even though insulin resistance is ameliorated. This study clearly demonstrated that  $\beta_3$ -adrenoceptor agonists (e.g., AJ-9677) appear to convert hypertrophic adipocytes into small adipocytes, thereby ameliorating insulin resistance and obesity simultaneously.

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# Characterization of $\beta$ -adrenoceptor mediated smooth muscle relaxation and the detection of mRNA for $\beta_1$ -, $\beta_2$ - and $\beta_3$ -adrenoceptors in rat ileum

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1 Functional and molecular approaches were used to characterize the  $\beta$ -AR subtypes mediating relaxation of rat ileal smooth muscle.

2 In functional studies, (–)-isoprenaline relaxation was unchanged by CGP20712A ( $\beta_1$ -AR antagonist) or ICI118551 ( $\beta_2$ -AR antagonist) but shifted by propranolol ( $pK_B=6.69$ ). (±)-Cyanopindolol, CGP12177 and ICID7114 did not cause relaxation but antagonized (–)-isoprenaline relaxation.

3 BRL37344 ( $\beta_3$ -AR agonist) caused biphasic relaxation. The high affinity component was shifted with low affinity by propranolol, (±)-cyanopindolol, tertatolol and alprenolol. CL316243 ( $\beta_3$ -AR agonist) relaxation was unaffected by CGP20712A or ICI118551 but blocked by SR58894A ( $\beta_3$ -AR antagonist;  $pA_2=7.80$ ). Enhanced relaxation after exposure to forskolin and pertussis toxin showed that  $\beta_3$ -AR relaxation can be altered by manipulation of components of the adenylate cyclase signalling pathway.

4 The  $\beta_1$ -AR agonist RO363 relaxed the ileum ( $pEC_{50}=6.18$ ) and was blocked by CGP20712A. Relaxation by the  $\beta_2$ -AR agonist zinterol ( $pEC_{50}=5.71$ ) was blocked by SR58894A but not by ICI118551.

5 In rat ileum,  $\beta_1$ -,  $\beta_2$ - and  $\beta_3$ -AR mRNA was detected. Comparison of tissues showed that  $\beta_3$ -AR mRNA expression was greatest in WAT > colon = ileum > cerebral cortex > soleus;  $\beta_1$ -AR mRNA was most abundant in cerebral cortex > WAT > ileum = colon > soleus;  $\beta_2$ -AR mRNA was expressed in soleus > WAT > ileum = colon > cerebral cortex.

6 These results show that  $\beta_3$ -ARs are the predominant  $\beta$ -AR subtype mediating rat ileal relaxation while  $\beta_1$ -ARs may produce a small relaxation. The  $\beta_2$ -AR agonist zinterol produces relaxation through  $\beta_3$ -ARs and there was no evidence for the involvement of  $\beta_2$ -ARs in relaxation despite the detection of  $\beta_2$ -AR mRNA.

**Keywords:**  $\beta$ -adrenoceptors;  $\beta_3$ -adrenoceptors; gastrointestinal smooth muscle; relaxation; rat ileum; messenger RNA

**Abbreviations:** AR, adrenoceptor; C-R, concentration response; CYP, cyanopindolol; ISO, isoprenaline

## Introduction

Although the intestinal  $\beta$ -AR was originally described as a  $\beta_1$ -AR (Lands *et al.*, 1967) atypically low affinities of  $\beta$ -AR antagonists and propranolol-resistant relaxation responses observed in gastrointestinal smooth muscle preparations from several species indicated that other  $\beta$ -AR subtypes were involved in these responses (see Arch & Kaumann, 1993, for review). Atypical  $\beta$ -AR responses that were resistant to blockade by propranolol and selectively stimulated by a novel group of  $\beta$ -AR agonists were also described in adipocytes. The  $\beta_3$ -AR was subsequently cloned and sequenced (Emorine *et al.*, 1989) and found to share many of the pharmacological characteristics of the atypical  $\beta$ -AR.  $\beta_3$ -ARs are highly expressed in adipose tissue and  $\beta_3$ -AR agonists such as BRL 37344, SR 58611A and CL 316,243 are potent stimulants of lipolysis and thermogenesis, cause marked weight loss in obese animals, increase insulin sensitivity and improve glucose tolerance in diabetic animals. Whilst the human  $\beta_3$ -AR is emerging as an exciting target for the development of selective stimulants as potential treatments for obesity and diabetes (Strosberg & Pietri-Rouxel, 1996), these same compounds may

also be useful in the treatment of irritable bowel syndrome.  $\beta_3$ -ARs are also highly expressed in regions of the gastrointestinal tract such as colon, ileum and stomach in many species including human (Berkowitz *et al.*, 1995; Evans *et al.*, 1996; Roberts *et al.*, 1997).  $\beta_3$ -AR mediated relaxation in gastrointestinal smooth muscle is resistant to blockade by propranolol and selective  $\beta_1$ - and  $\beta_2$ -AR antagonists such as CGP 20712A and ICI 118551 (for review see Manara *et al.*, 1995).

Earlier studies of gastrointestinal smooth muscle used non-selective  $\beta$ -AR or selective  $\beta_1$ - or  $\beta_2$ -AR agonists to characterize  $\beta$ -AR responses in various animal models. For example, studies using non-selective  $\beta$ -AR or selective  $\beta_2$ -AR agonists in diabetic animal models have shown a marked reduction in  $\beta$ -AR responsiveness in the intestine (Ozturk *et al.*, 1996). Decreased responses to the selective  $\beta_2$ -AR agonist salbutamol are of particular note as the low potency of salbutamol strongly suggests that it is acting through  $\beta_3$ -ARs rather than  $\beta_2$ -ARs. In addition, a recent binding study mapping  $\beta$ -AR subtypes throughout the diabetic rat gastrointestinal tract used a concentration of the radioligand [<sup>125</sup>I]-CYP (20 pM) so low that it would label only 1.5% of  $\beta_3$ -ARs in each sample (Yu & Ouyang, 1997). The findings from these studies therefore offer limited information on the changes in  $\beta$ -

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AR density and responsiveness as the tools/compounds used were not appropriate for examination of all three  $\beta$ -AR subtypes. It is necessary to clearly establish the role and contribution of each  $\beta$ -AR subtype to gastrointestinal relaxation and as selective compounds for each subtype are now available, a more comprehensive study is clearly possible. A highly selective  $\beta_3$ -AR agonist, CL 316243, has been developed that has at least 10,000 fold selectivity for the  $\beta_3$ -AR compared with the  $\beta_1$ - and  $\beta_2$ -ARs respectively (Dolan *et al.*, 1994). More recently the first selective  $\beta_3$ -AR antagonists were developed and these new pharmacological tools have enabled a clear demonstration of  $\beta_3$ -AR mediated functional responses in rat colon and rat brown adipose tissue (Manara *et al.*, 1996).

Previous studies of the  $\beta_3$ -AR in rat ileum have been carried out in the presence of  $\beta_1$ - and  $\beta_2$ -AR blockade and the relative contribution of  $\beta$ -AR subtypes has not been examined using agonists and antagonists selective for each of the three  $\beta$ -AR subtypes. Studies of functional responses of rat ileum relaxation (Growcott *et al.*, 1993; Hoey *et al.*, 1996) have shown that stimulation by selective  $\beta_3$ -AR agonists produces a relaxation response in the presence of  $\beta_1$ - and  $\beta_2$ -AR blockade. The aim of this study therefore was to utilize selective  $\beta_3$ -AR compounds as well as selective  $\beta_1$ - and  $\beta_2$ -AR agonists and antagonists to characterize the functional  $\beta$ -AR subtypes present in rat ileum and assess the relative contribution of these subtypes to  $\beta$ -AR mediated relaxation of the rat ileal smooth muscle. We have also measured mRNA levels of the three  $\beta$ -AR subtypes in ileal smooth muscle.

## Methods

### Tissue preparation and incubation

Male Sprague Dawley rats (250–300 g) were anaesthetized with 80% CO<sub>2</sub>/20% O<sub>2</sub> and decapitated. A 12–15 cm segment of the small intestine was removed from 2 cm above the ileocaecal junction and the intraluminal contents flushed out with Krebs Henseleit buffer (composition: (mM) NaCl 118.4, KCl 4.7, MgSO<sub>4</sub>·7H<sub>2</sub>O 1.2, KH<sub>2</sub>PO<sub>4</sub> 1.2, NaHCO<sub>3</sub> 25, glucose 11, CaCl<sub>2</sub> 2.5) containing ascorbic acid (0.1 mM) and EDTA (0.04 mM). Segments of 1.5–2 cm length were mounted on tissue hooks and suspended in jacketed organ baths containing Krebs Henseleit solution maintained at 37°C and bubbled continuously with 95% O<sub>2</sub>/5% CO<sub>2</sub> (pH 7.4) under 5 mN force. Ugo Basile isotonic transducers connected to a MacLab system with an Apple Macintosh IICx Computer were used to measure isotonic changes in the length of the tissues. Tissue strips were equilibrated for 30–45 min in the presence of desipramine (0.5  $\mu$ M) to block neuronal uptake, hydrocortisone (30  $\mu$ M) to block extraneuronal uptake of ISO and phentolamine (10  $\mu$ M) to block  $\beta$ -ARs. Antagonists were added prior to the 30 min equilibration period.

### Preliminary experiments

Carbachol concentration response (C-R) curves were performed to determine the concentration producing 70–80% maximum contraction. Carbachol (3  $\mu$ M) was chosen to precontract longitudinal smooth muscle segments in subsequent experiments (data not shown). The tissue sensitivity to (–)-ISO changed when three successive (–)-ISO C-R curves were performed in individual segments. The first C-R curve ( $pEC_{50}$  = 6.79  $\pm$  0.02,  $n$  = 4) significantly increased the sensitivity ( $P$  < 0.05) of the tissue to the second C-R curve

( $pEC_{50}$  = 7.14  $\pm$  0.02,  $n$  = 4) and the third C-R curve had a significantly depressed maximum response ( $P$  < 0.05). By comparison (–)-ISO C-R curves in paired tissues were very consistent so single C-R curves were performed in the absence and presence of antagonists in paired ileum preparations obtained from the same animal.

### Concentration response curves in rat ileal smooth muscle

Tissue strips were precontracted submaximally with carbachol (3  $\mu$ M) for a 15–20 min equilibration period before relaxation by cumulative concentrations of agonists were performed. Agonist curves were constructed using 0.5 log unit increments until a stable state was observed. The time intervals between each concentration ranged from 4–5 min for isoprenaline and zinterol to 20–30 min for BRL 37344 and CL 316,243. At the end of the concentration response (C-R) curve, papaverine (50–100  $\mu$ M) was added and responses were expressed as a percentage of the maximum papaverine relaxation.

### Analysis

The activity of the agonists was expressed as an  $EC_{50}$  value obtained by analysis using the non-linear curve fitting program in PRISM (Intuitive Software for Science). When a range of antagonist concentrations was used a Schild plot was constructed (Arunlakshana & Schild, 1959) and the x-intercept of the Schild plot was taken as the  $pA_2$  value. In circumstances where single concentrations of antagonist were used or if the Schild plot was clearly non-linear,  $pK_B$  values were calculated according to the method of Furchgott (1972). Statistical significance was determined using Student's  $t$ -test where  $P$  < 0.05 was considered to be significant. C-R curves were plotted as means  $\pm$  s.e. mean of  $n$  individual experiments. The slope values of regression lines were expressed as slope  $\pm$  95% confidence limits (C.L.). All values are expressed as means  $\pm$  s.e. mean.

### Molecular methods

Adult male Sprague Dawley rats (250–300 g) were anaesthetized by 80% CO<sub>2</sub>/20% O<sub>2</sub> and killed by cervical dislocation. Epididymal white adipose tissue, cerebral cortex, soleus muscle, ileal and colonic smooth muscle were removed, frozen in liquid N<sub>2</sub> and stored at –70°C. Ileum and colon were carefully dissected free of surrounding adipose tissue, cut open and pinned out, and mucosa removed by scraping with a scalpel. The resulting smooth muscle was washed in Krebs Henseleit solution and blotted dry prior to immersion in liquid N<sub>2</sub>.

**RNA extractions** Frozen tissue was ground to a fine powder in a stainless steel mortar and pestle pre-cooled in liquid nitrogen. Total RNA was extracted by the method of Chomczynski & Sacchi (1987). To avoid any cross-contamination, the homogenizer probe was dismantled and washed thoroughly between each sample. The yield and quality of the RNA were assessed by measuring absorbance at 260 and 280 nm, and by electrophoresis on 1.2% agarose gels. Total RNA from each tissue was treated with DNase to remove any possible contaminating genomic DNA. The reaction mix contained 20  $\mu$ g RNA, sodium acetate (pH 7.0) (100 mM), MgSO<sub>4</sub> (5 mM), dithiothreitol (5 mM), 36 U RNasin (Promega), and 10 U DNase I (Pharmacia) in a total volume of 40  $\mu$ l. Following digestion at 37°C for 30 min, the solution was diluted to 400  $\mu$ l with H<sub>2</sub>O and extracted with an equal volume

of phenol:chloroform (1:1). The RNA was precipitated with 1.0 ml of ethanol and 40  $\mu$ l of 2 M sodium acetate. The yield and quality of DNase-treated RNA were determined as above.

**Reverse transcription/PCR** cDNAs were synthesized by reverse transcription of 1.0  $\mu$ g of each total RNA using oligo (dT)15 as a primer. The RNA in a volume of 7.5  $\mu$ l was heated to 70°C for 5 min then placed on ice for 2 min prior to the addition of reaction mix containing 1  $\times$  RT buffer (supplied by Promega), dNTPs (1 mM), MgCl<sub>2</sub> (5 mM), 18 U RNasin (Promega), 20 U AMV reverse transcriptase (Promega), and 50  $\mu$ g ml<sup>-1</sup> oligo(dT)15 in a volume of 12.5  $\mu$ l. Following brief centrifugation, the reactions were incubated at 42°C for 45 min, then at 95°C for 5 min. The completed reverse transcription reactions were stored at -20°C and used for PCR without further treatment.

PCR amplification was done on cDNA equivalent to 100 ng of starting RNA, using oligonucleotide primers specific for rat  $\beta_1$ -AR (forward, 5'-CCGCTGCTACAACGACCCCAAG-3' and reverse, 5'-CGGATCGCCTCTTCGTCTTCTTCAA-3'),  $\beta_2$ -AR (forward, 5'-TGTGTACAGCCAGCATCGAGACCCCTGT-3' and reverse 5'-TTGAAGGCAGAGTTGACATAGCCCAACCAGTT-3'),  $\beta_3$ -AR (set A: forward, 5'-ATCATGAGCCAGTGGTGGCGGTAG-3' and reverse, 5'-GCGATGAAAACCTCGCTGGGAACATA-3'),  $\beta_3$ -AR (set B, intron spanning forward, 5'-TAGTCCTGGTGTGATCGTGTCCGC-3' and reverse, 5'-CGCTCACCTTCATAGCCATCAACC-3'), and  $\beta$ -actin (forward, 5'-ATCCTGCGTCTGGACCTGGCTG-3' and reverse, 5'-CCTGCTTGGCTGATCCACATCTGCTG-3') synthesized at the Howard Florey Institute, Melbourne or by Life Technologies, Gaithersburg, MD, U.S.A. Reverse primers for actin were labelled prior to PCR in a reaction mix containing 120 pmol of oligonucleotide, 70  $\mu$ Ci [ $\gamma$ -<sup>32</sup>P]-ATP, 1  $\times$  One-Phor-All Plus buffer (Pharmacia), and 20 U T4 polynucleotide kinase (Pharmacia) in a volume of 40  $\mu$ l. Following incubation at 37°C for 30 min, reactions were diluted to 100  $\mu$ l with H<sub>2</sub>O and heated at 90°C for 2 min. The labelled primers were separated from unincorporated nucleotide by centrifugation through Chroma-spin 10 columns (Clontech), according to the manufacturer's instructions. PCR mixes contained 1 U of Taq polymerase (Life Technologies), the buffer supplied (Tris-HCl (pH 8.4) (20 mM) and KCl (50 mM)), dNTPs (200 mM), Mg-acetate (2 mM), 2.5 pmol of forward primer, 2.5 pmol of reverse primer and cDNA in a volume of 10  $\mu$ l. For each set of tissues (e.g. all samples of ileum), a single reaction mix containing all components except the cDNA was prepared for the entire PCR experiment and aliquotted to minimize variation between samples. Each PCR experiment included a negative control consisting of an RT reaction containing no added RNA. PCR was carried out in an FTS-1 capillary thermal sequencer (Corbett Research, Lidcombe, New South Wales, Australia). Following initial heating of samples at 95°C for 2 min, each cycle of amplification consisted of 30 s at 95°C, 30 s at 64°C, and 30 s at 72°C. Following amplification, PCR products were electrophoresed on 1.3% agarose gels and transferred onto Hybond N+ membrane by Southern blotting in 0.4 M NaOH/1 M NaCl. For detection of  $\beta$ -AR products, membranes were exposed to u.v. light for 2 min then pre-hybridized for 4 h at 42°C in a buffer containing 5  $\times$  Denharts solution (0.1% (w v<sup>-1</sup>) ficoll type 400, 0.1% (w v<sup>-1</sup>) polyvinylpyrrolidone, 0.1% (w v<sup>-1</sup>) BSA), 5  $\times$  SSC, 0.5% SDS, 100  $\mu$ g ml<sup>-1</sup> salmon sperm DNA and 0.1 mM ATP, prior to addition of labelled probe and hybridization for 16 h at 42°C. Probes (10 pmol) specific for  $\beta_1$ -AR (5'-AAGAAGATCGACAGCTGCGAGC-3'),  $\beta_2$ -AR (5'-AGCCAGGTGGAGCAG-

GATGGG-3') and  $\beta_3$ -AR (5'-TGCCAACTCCGCCCTTCAACCCGGTC-3') were end-labelled with 15  $\mu$ Ci  $\gamma$ -<sup>32</sup>P-ATP (2000 Ci mmol<sup>-1</sup>; Bresatec) and T4 polynucleotide kinase (Pharmacia). Filters were washed in 2  $\times$  SSC/0.1% SDS, rinsed at room temperature then washed at 30°C for 20–30 min then at 37°C for 5 min. Radioactivity was detected using a Molecular Dynamics SI phosphorimager, and bands were quantitated using the 'Volume Report' function of Image-QuANT software (Molecular Dynamics).

### Drugs and reagents

The authors thank the following companies and individuals for gifts of: ICI D7114 ((S)-4-[2-hydroxy-3-phenoxypropylamino ethoxy]-N-(2-methoxyethyl)phenoxyacetamide), (Imperial Chemical Industries, Wilmslow, Cheshire, England); (+)-alprenolol (Professor B. Jarrott, Monash University, Victoria, Australia); ( $\pm$ )-CGP 20712A (2-hydroxy-5-(2-(2-hydroxy-3-(4-((1-methyl-4-trifluoromethyl) 1H-imidazole-2-yl)-phenoxy)propyl)amino)ethoxy)-benzamide monomethane sulphate) (Dr G. Anderson, Ciba-Geigy AG Australia); (-)-CYP, ( $\pm$ )-CYP (Sandoz, Basel, Switzerland); BRL 37344 (sodium-4-[-2-(2-hydroxy-2-(3-chloro-phenyl) ethylamino) propyl] phenoxycacetate) (Dr M.A. Cawthorne, Smith Kline Beecham, Great Burgh, Epsom, U.K.); (-) tertatolol, (+)-tertatolol (Servier, Paris, France); SR 58611A (RS-N-(7-carbethoxymethoxyl 1,2,3,4-tetrahydronaphth-2-yl)-2 hydroxy 2-(3-chlorophenyl)-ethanamine), SR58894 (3-(2-allyl-phenoxy)-1-[(1S)-1,2,3,4-tetrahydronaphth-1-ylamino]-(2S)-2-propanol hydrochloride) (Dr Luciano Manara, SANOFI-MIDY S.p.A. Research Centre, Milan, Italy); zinterol hydrochloride (Bristol-Myers Squibb, Noble Park, Australia); CL 316 243 (disodium (R,R)-5-[2-[[2-(3-chlorophenyl)-2-hydroxyethyl]-amino] propyl]-1,3-benzodioxole-2,2-dicarboxylate) (Dr Tim Nash, Wyeth Pharmaceuticals, Sydney, Australia).

Other chemicals were from commercial sources as indicated: (-)-propranolol, ( $\pm$ )-ICI 118551 (erythro-DL-1-(7-methylindian-4-yloxy)-3-isopropylaminobutan-2-ol) (Imperial Chemical Industries, Wilmslow, Cheshire, England); (-)-alprenolol, (-)-isoprenaline bitartrate, hydrocortisone, desipramine HCl, carbachol (carbamylcholine chloride), papaverine, forskolin (Sigma Chemical Company, St Louis, MO, U.S.A.); phentolamine HCl (Regitine; Ciba-Geigy AG Australia); ( $\pm$ )-CGP 12177 hydrochloride ((-)-4-(3-butylamino-2-hydroxypropoxy) benzimidazol-2-one) (Research Biochemicals Inc., MA, U.S.A.); RO 363 ( $\pm$ )-1-(3,4-dimethoxy-phenethylamino)-3-(3,4-dihydroxyphenoxy)-2-propanol-oxalate (Institute of Drug Technology, Boronia, Australia); L(+)-ascorbic acid (Merck, Frankfurt, Germany); EDTA (ethylenediaminetetraacetic acid di-sodium salt (AJAX Chemicals, Melbourne, Australia).

### Results

#### (-)-Isoprenaline mediated relaxation of rat ileal longitudinal smooth muscle

(-)-ISO produced concentration-dependent relaxation of carbachol-precontracted rat ileum longitudinal smooth muscle with a pEC<sub>50</sub> value of 6.80  $\pm$  0.02 (n=4). The  $\beta_1/\beta_2$ -AR antagonist (-)-propranolol (0.1, 1, 10  $\mu$ M) caused concentration-dependent rightward shifts of the (-)-ISO C-R curve. The corresponding Schild plot was biphasic and the slope of the plot was significantly less than unity (Table 1, Figure 1). Calculation of pK<sub>B</sub> values for each propranolol concentration



showed that the higher concentrations (1 and 10  $\mu$ M) of the drug caused a reduced rightward shift compared to the lower concentration (0.1  $\mu$ M) indicating the possible involvement of two sites with different affinities for propranolol (Table 1).

Both the selective  $\beta_1$ -AR antagonist CGP 20712A (30, 100, 300 nM) and the selective  $\beta_2$ -AR antagonist ICI 118551 (30, 100, 300 nM) failed to produce significant shifts of the (-)-ISO C-R curve (Figure 1). ICI 118551, at a concentration of 30  $\mu$ M caused a small shift with a  $pK_B$  value of  $5.06 \pm 0.13$  ( $n=4$ ). CGP 20712A did not cause a significant shift in the (-)-ISO C-R curves ( $pEC_{50}$  control  $6.69 \pm 0.04$ ,  $n=5$ ;  $pEC_{50}$  CGP 20712A (300 nM)  $6.55 \pm 0.06$ ,  $n=4$ ;  $P>0.05$ ). It was not possible to use CGP 20712A at a concentration equivalent to its affinity at a  $\beta_3$ -AR (1 mM) in the organ bath due to solubility difficulties.

The  $\beta_1/\beta_2$ -AR antagonist ( $\pm$ )-CYP (30 nM, 0.1, 0.3, 1  $\mu$ M) caused concentration dependent rightward shifts of the (-)-ISO C-R curve. A Schild plot produced a line with a slope of unity (0.97) and a corresponding  $pA_2$  value of 7.98 (Figure 2). The stereoselectivity of the isomers of alprenolol and tertatolol was examined against (-)-ISO C-R curves (Figure 3). Schild plots for (-)-alprenolol and (-)-tertatolol had slope values which did not differ significantly from unity with  $pA_2$  values of 7.12 and 7.29 respectively (Table 1). The Schild plots for the (+)-stereoisomers of both alprenolol and tertatolol had slope values significantly less than unity and  $pA_2$  values of 5.83 and 5.65 respectively. The stereoselectivity displayed for alprenolol (19.5 fold) and tertatolol (43.7 fold) were somewhat less than expected at  $\beta_1$ - or  $\beta_2$ -AR but in the range expected for a  $\beta_3$ -AR. (Table 1).

#### Effects of selective $\beta_1$ -, $\beta_2$ - and $\beta_3$ -AR agonists on longitudinal smooth muscle

**$\beta_1$ -AR agonist** The selective  $\beta_1$ -AR agonist RO 363 produced a small relaxation of carbachol precontracted rat longitudinal smooth muscle (<20% of papaverine maximum) at a high concentration of 10  $\mu$ M. The  $pEC_{50}$  for this response was  $6.18 \pm 0.34$  ( $n=4$ ) and this relaxation was abolished by CGP 20712A (100 nM) (Figure 4).

**$\beta_2$ -AR agonist** The  $\beta_2$ -AR antagonist, zinterol, caused a concentration dependent relaxation with a  $pEC_{50}$  of

$5.71 \pm 0.16$  ( $n=6$ ) and this relaxation was not affected by ICI 118551 (100 nM;  $pEC_{50} = 5.93 \pm 0.16$ ,  $n=8$ ) or CGP20712A (100 nM;  $pEC_{50} = 5.94$ ,  $n=2$ ) but was shifted to the right by increasing concentrations of the  $\beta_3$ -AR antagonist SR58894A in the presence of ICI 118551 (100 nM).  $pK_B$  values of  $7.23 \pm 0.02$  (0.1  $\mu$ M,  $n=4$ ) and  $6.95 \pm 0.09$  (1  $\mu$ M,  $n=5$ ) were calculated (Figure 4).

**$\beta_3$ -AR agonists** BRL 37344 was a slower acting agonist (each concentration required approximately 15–20 min to achieve equilibrium) and BRL 37344 C-R curves were biphasic. The first phase ( $pEC_{50}$  (1) =  $7.31 \pm 0.04$ ,  $n=4$ ) occurred at lower concentrations than (-)-ISO and caused a response that was approximately 50% of the maximum produced by (-)-ISO (10  $\mu$ M). This component of the response was shifted to the right by (-)-propranolol (1  $\mu$ M) ( $pK_B$  6.51). The second phase had an estimated  $pEC_{50}$  (2) value of  $4.39 \pm 0.09$ ,  $n=4$  but failed to reach a maximum within the concentration range used and was not shifted by 1  $\mu$ M propranolol (Figure 5).

$pK_B$  values for the high affinity component of the BRL 37344 C-R curve were determined for single concentrations of antagonists (Table 2). The affinities of the antagonists against BRL 37344 responses were compared with the  $pK_B$  values obtained against (-)-ISO responses. This data has been represented as a correlation plot (Figure 5). The regression line has an  $r$  value of 0.973 ( $P=0.0011$ ) and a slope of 0.863 (95% C.L. 0.58–1.14,  $n=6$ ).

**SR 58611A** SR 58611A C-R curves were performed using a concentration range of  $1 \times 10^{-10}$  to  $3 \times 10^{-5}$  M. A maximum response was not achieved but the C-R curve appeared monophasic and was not affected by the presence of 1  $\mu$ M propranolol (Figure 5).

**CL 316243** Like BRL 37344 the response to CL 316243 was slow and required 25–30 min to reach a plateau at each concentration. To determine whether this slow response was due to the thickness of the ileal segment, relaxation responses were also examined by inverting the segment, gently scraping off the mucosa with a glass slide, and reinverting the segment to its original orientation. Mucosal removal did not alter the time course of the response or significantly change the potency

Table 1 Affinity values ( $pA_2$ ) for antagonists against (-)-isoprenaline relaxation of rat ileum. Values are given as  $pK_B$  value when single concentrations of antagonists were used or if the Schild plot was clearly non-linear

Antagonist		$pA_2 \pm s.e.mean$ (n)	slope $\pm s.e.mean$	95% CL
(-)-tertatolol		$7.29 \pm 0.17$ (28)	$1.03 \pm 0.09$	0.84–1.22
(+)-tertatolol		$5.65 \pm 0.05$ (19)	$0.59 \pm 0.17$	0.24–0.95*
(-)-alprenolol		$7.12 \pm 0.16$ (12)	$1.19 \pm 0.18$	0.78–1.60
(+)-alprenolol		$5.83 \pm 0.31$ (11)	$0.73 \pm 0.11$	0.47–0.98*
CGP 12177		$7.65 \pm 0.08$ (14)	$0.77 \pm 0.05$	0.65–0.89*
( $\pm$ )-cyanopindolol		$7.98 \pm 0.07$ (14)	$0.97 \pm 0.06$	0.83–1.11
$pK_B \pm s.e.mean$				
Propranolol	0.1 $\mu$ M	$7.50 \pm 0.10$ (4)		
	1 $\mu$ M	$6.81 \pm 0.20$ (4)		
	10 $\mu$ M	$6.69 \pm 0.07$ (4)		
	30 $\mu$ M	$5.06 \pm 0.13$ (4)		
ICI 118551	300 nM	no shift (4)		
CGP 20712A	0.1 $\mu$ M	$7.55 \pm 0.07$ (5)		
CGP 12177	1 $\mu$ M	$7.15 \pm 0.08$ (5)		
	10 $\mu$ M	$7.09 \pm 0.06$ (4)		
	0.1 $\mu$ M	$7.41 \pm 0.03$ (4)		
ICI D7114	1 $\mu$ M	$7.62 \pm 0.13$ (4)		

\*Regression line significantly different from unity.

of CL 316243 ( $pEC_{50}$   $8.05 \pm 0.10$  (intact mucosa)  $7.85 \pm 0.11$  (mucosa removed),  $P > 0.05$ ,  $n = 4$ ), although the maximum relaxation was significantly enhanced in preparations after the mucosa was removed ( $P = 0.005$ ) (Figure 6). The CL 316243 CR curve was monophasic and was not shifted by the  $\beta_1$ -AR antagonist CGP 20712A or the  $\beta_2$ -AR antagonist ICI 118551 at 100 nM but was shifted to the right by the selective  $\beta_3$ -AR antagonist SR 58894A ( $pA_2 = 7.80 \pm 0.06$ ,  $n = 21$ ; Figure 6).

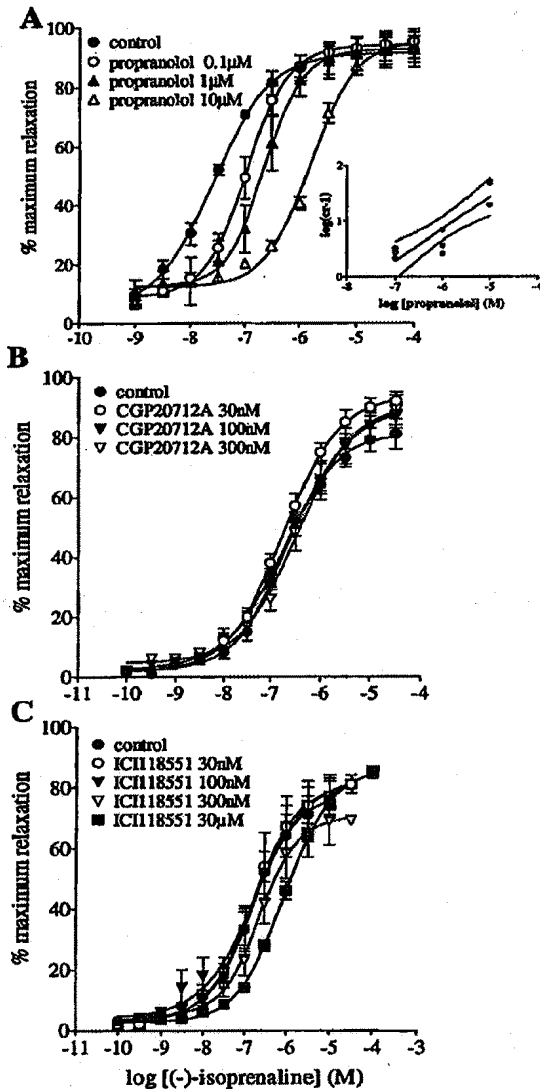


Figure 1 The effect of  $\beta_1/\beta_2$  antagonists on the relaxation responses to  $(-)$ -isoprenaline in the rat isolated ileum precontracted with carbachol. Graph shows  $(-)$ -isoprenaline concentration response curves in the absence and presence of (A) propranolol (B) the  $\beta_1$ -AR antagonist CGP 20712A and (C) the  $\beta_2$ -AR antagonist ICI 118551. Inset (A) shows a Schild plot with a slope significantly less than unity. Note the lack of effect of CGP 20712A and the small rightward shift caused only by a high concentration of ICI 118551. Points show mean  $\pm$  s.e. mean ( $n = 4$ ) and are expressed as a percentage of maximum relaxation by papaverine ( $100 \mu M$ ).

### Effects of putative $\beta_3$ -adrenoceptor agonists

In the rat ileum neither ICI D7114 or CGP 12177 showed any agonist activity at concentrations up to  $10 \mu M$ . The Schild plot for CGP 12177 against ISO responses had a slope of 0.77 (95% C.L. 0.65–0.89,  $n = 12$ ) and  $pK_B$  values are shown in Table 1. ICI D7114 at 0.1 and  $1 \mu M$  produced rightward shifts of the  $(-)$ -ISO C-R curve with  $pK_B$  values of 7.41 and 7.62 respectively (Table 1).

### Effects of forskolin and pertussis toxin on relaxation of rat ileal longitudinal smooth muscle

Forskolin (0.1 and  $1 \mu M$ ) was added 30 min prior to the commencement of the CR curve. Both concentrations produced a slight relaxation in the basal tone of the tissue—this effect being more pronounced at the higher concentration. Carbachol precontractions were maintained in a similar manner to that observed in the absence of forskolin. A forskolin concentration of  $0.1 \mu M$  had no effect on ISO and CL 316243 CR curves. At  $1 \mu M$ , forskolin caused a significant enhancement of the maximum relaxation of both agonists. The ISO response increased by 31% and the CL 316243 response increased by 38% of the maximum relaxation to papaverine. There was no significant change in the  $pEC_{50}$  values for CL 316243 or ISO in the presence of forskolin  $1 \mu M$  (CL 316243  $pEC_{50}$   $7.72 \pm 0.20$ , with forskolin  $7.94 \pm 0.18$  and for ISO  $pEC_{50}$   $6.53 \pm 0.18$ , with forskolin  $6.93 \pm 0.15$ ,  $n = 4$ ) (Figure 7). Preincubation of rat ileum for 2 h with  $0.5 \mu g ml^{-1}$  pertussis toxin also produced a significant increase of 21% in the maximum relaxation of the smooth muscle to CL 316243 (Figure 7).

### Detection of $\beta$ -adrenoceptor mRNA in rat colon and ileum

RT-PCR was used to detect  $\beta_1$ -AR,  $\beta_2$ -AR,  $\beta_3$ -AR and actin mRNA in circular/longitudinal smooth muscle from samples of rat ileum and colon. Determinations were also made with samples of cortex, epididymal white adipose tissue (WAT) and soleus muscle which are known to contain varying levels of the

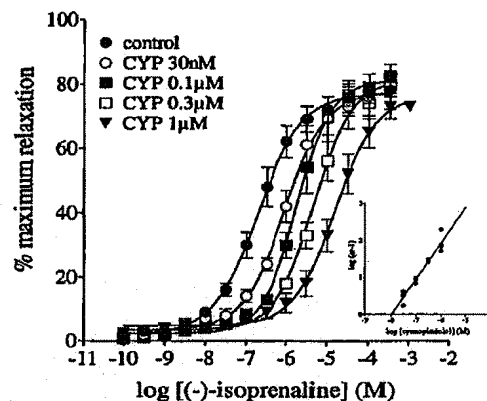
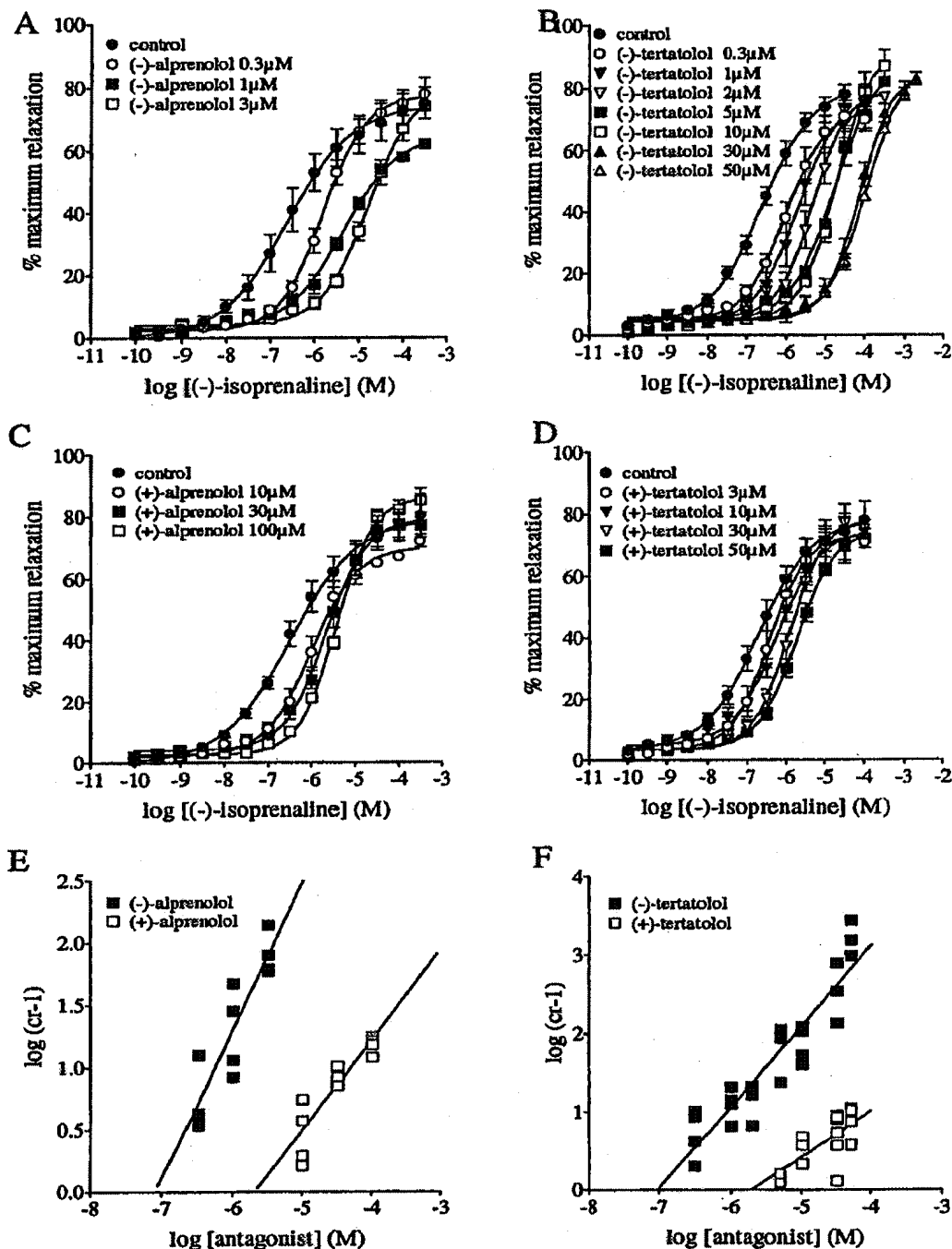


Figure 2 The effect of  $(\pm)$ -cyanopindolol (CYP) on the relaxation responses to  $(-)$ -isoprenaline in the rat isolated ileum precontracted with carbachol. Points show mean  $\pm$  s.e. mean and are expressed as a percentage of maximum relaxation by papaverine ( $100 \mu M$ ) ( $n = 4$ ). Inset shows the Schild plot for  $(\pm)$ -CYP with slope 0.97 and  $pA_2$  value of 7.98.

$\beta$ -AR subtypes (Figure 8). The PCR products demonstrated the expected sizes of 435 ( $\beta_1$ -AR), 620 ( $\beta_2$ -AR), 473 ( $\beta_3$ -AR), and 559 bp (actin). To ensure that the products were derived

exclusively from mRNA and not from contaminating genomic DNA, all RNA samples were treated with DNase, and intron-spanning primers were used to detect actin mRNA. The



**Figure 3** The effect of the stereoisomers of alprenolol (A, C and E) and tertatolol (B, D and F) on the relaxation responses to (-)-isoprenaline in the rat isolated ileum precontracted with carbachol. Shown are (-)-isoprenaline concentration response curves in the absence and presence of (A) (-)-alprenolol (B) (-)-tertatolol (C) (+)-alprenolol and (D) (+)-tertatolol. Points show mean  $\pm$  s.e. mean ( $n=4-6$ ) and are expressed as a percentage of maximum relaxation by papaverine (100  $\mu$ M). Slope values calculated from Schild plots for alprenolol (E) and tertatolol (F) show that the (-)-isomer in each case has a slope value not significantly different from unity while both (+)-isomers had slope values significantly less than unity. Slope values and  $pA_2$  values were calculated and are shown in Table 1.

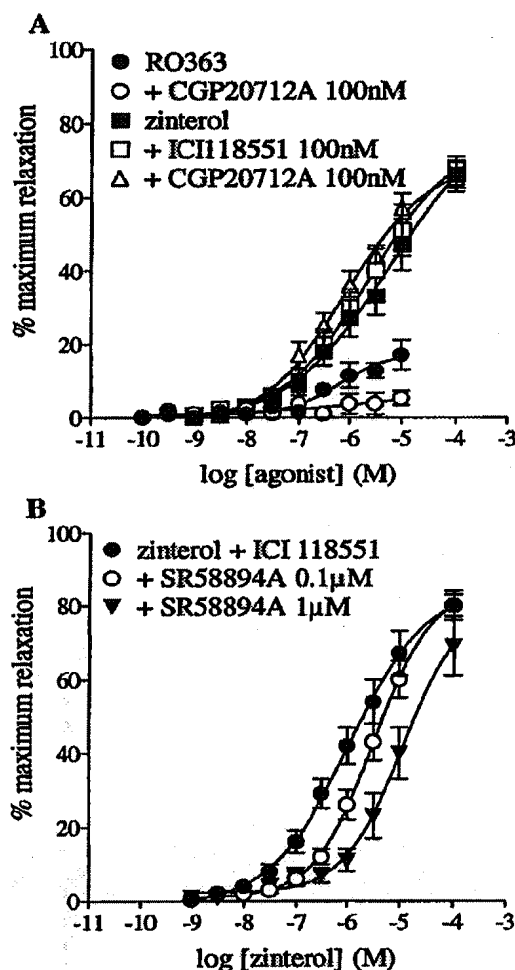


Figure 4 The effect of  $\beta_1$ - and  $\beta_2$ -AR selective agonists on relaxation in rat ileum precontracted with carbachol. Graph (A) shows relaxation with the  $\beta_2$ -AR agonist zinterol in the absence and presence of ICI 118551 and CGP 20712A. Also shown in (A) are the relaxation responses by the  $\beta_1$ -AR agonist RO363 in the absence and presence of CGP 20712A ( $n=4$ ). Graph (B) shows the inhibition of zinterol relaxation by the  $\beta_3$ -AR antagonist SR 58894A in the presence of ICI 118551.

observed actin PCR product corresponded to the expected 559 bp, whereas contaminating DNA would have given a PCR product of 771 bp. Larger bands were not observed in any samples of cDNA used for the subsequent measurement of  $\beta$ -AR mRNA.

To compare the relative levels of  $\beta_1$ -AR,  $\beta_2$ -AR and  $\beta_3$ -AR in ileum, colon, cortex, soleus muscle and WAT the relationship between the log (PCR product) and cycle number was determined for individual samples. Although RT-PCR methods without control templates cannot be used to make direct comparisons between expression of different genes, the amplification of  $\beta_1$ -AR,  $\beta_2$ -AR and  $\beta_3$ -AR cDNA occurred with similar efficiency both in comparison to each other and between tissues as judged by the similar slopes of the product/cycle relationships. All plots were linear up to 28 cycles, and 27

cycles of PCR were used to determine the relative levels of  $\beta_1$ -AR,  $\beta_2$ -AR and  $\beta_3$ -AR mRNA from multiple samples of colon and ileum versus cortex, WAT and soleus muscle. In this experiment intron-spanning primers were used for both actin and  $\beta_3$ -AR, again with no evidence of larger genomic DNA bands. In accord with previous data (Summers *et al.*, 1995; Evans *et al.*, 1996), the greatest expression of  $\beta_3$ -AR mRNA was in WAT > colon = ileum > cerebral cortex > soleus. Levels of  $\beta_3$ -AR mRNA in ileum and colon smooth muscle were not significantly different from each other ( $P=0.24$ ), and amounted to approximately 20% of that in WAT. In contrast  $\beta_1$ -AR mRNA was most abundant in cerebral cortex > WAT > ileum = colon > soleus with the levels in ileum and colon being 7–8% of that in cortex.  $\beta_2$ -AR mRNA was expressed in soleus > WAT > ileum = colon > cerebral cortex.  $\beta_2$ -AR mRNA levels in ileum and colon were approximately 25% of that in soleus muscle.

## Discussion

In the present study, the  $\beta$ -AR subtypes mediating smooth muscle relaxation in rat ileum have been examined. The initial characterization of this receptor was performed using the non-selective  $\beta$ -AR agonist (–)-ISO. The effects of (–)-propranolol on (–)-ISO C-R curves suggested the presence of both typical and atypical  $\beta$ -ARs given the reduced shift with progressively higher concentrations. However this was not supported by experiments with the  $\beta_1$ - and  $\beta_2$ -AR selective antagonists CGP 20712A and ICI 118551 which both failed to cause any effect at concentrations up to 300 nM. It would therefore appear that the major component of smooth muscle relaxation was due to activation of a  $\beta$ -AR subtype that was not effectively blocked by propranolol, ICI 118551 or CGP 20712A. The affinity of propranolol ( $pK_B=6.69$  at  $10 \mu$ M) was somewhat higher than previously reported in rat ileum (5.5–5.7) (Growcott *et al.*, 1993) but close to values quoted in rat distal colon (6.57, 6.39) (McLaughlin & MacDonald, 1990). The  $pK_B$  values of both propranolol and ICI 118551 (6.69 and 5.06 respectively) in rat ileum corresponded to the affinities of these compounds in rat jejunum (6.1 and 5.5; Van der Vliet *et al.*, 1990), rat colon (6.0, Kaumann & Molenaar, 1996) and also at the rat cloned  $\beta_3$ -AR (5.8 and 5.3; Muzzin *et al.*, 1991).

Evidence for a  $\beta_3$ -AR in rat ileal smooth muscle was strongly supported by concentration-dependent relaxation of the smooth muscle by the  $\beta_3$ -AR agonists CL 316243, BRL 37344 and SR 58611A. The biphasic responses caused by BRL 37344 were consistent with observations made by Growcott *et al.* (1993), as was the slower onset of action by BRL 37344 (also seen for CL 316243 and SR 58611A in the present study) compared to the rapid (–)-ISO response. The  $pEC_{50}$  value of 7.31 for the first component of the BRL 37344 C-R curve corresponded well with that at the cloned rat  $\beta_3$ -AR ( $pEC_{50}=7.1$ , Granneman *et al.*, 1991). This component of the curve was sensitive to high concentrations of  $\beta$ -AR antagonists and a  $pK_B$  value of 6.51 for propranolol ( $1 \mu$ M) indicated activity at a  $\beta_3$ -AR. By comparison, the second phase of the BRL 37344 C-R curve was completely resistant to propranolol, cyanopindolol and the stereoisomers of tertatolol and alprenolol and may indicate another mechanism activated by high concentrations of BRL 37344.

The phenylethanolaminotetraline SR 58611A is a potent  $\beta_3$ -AR agonist that was originally described as a colon specific atypical  $\beta$ -AR agonist (see Manara *et al.*, 1995, for review). However SR 58611A was relatively ineffective in the present

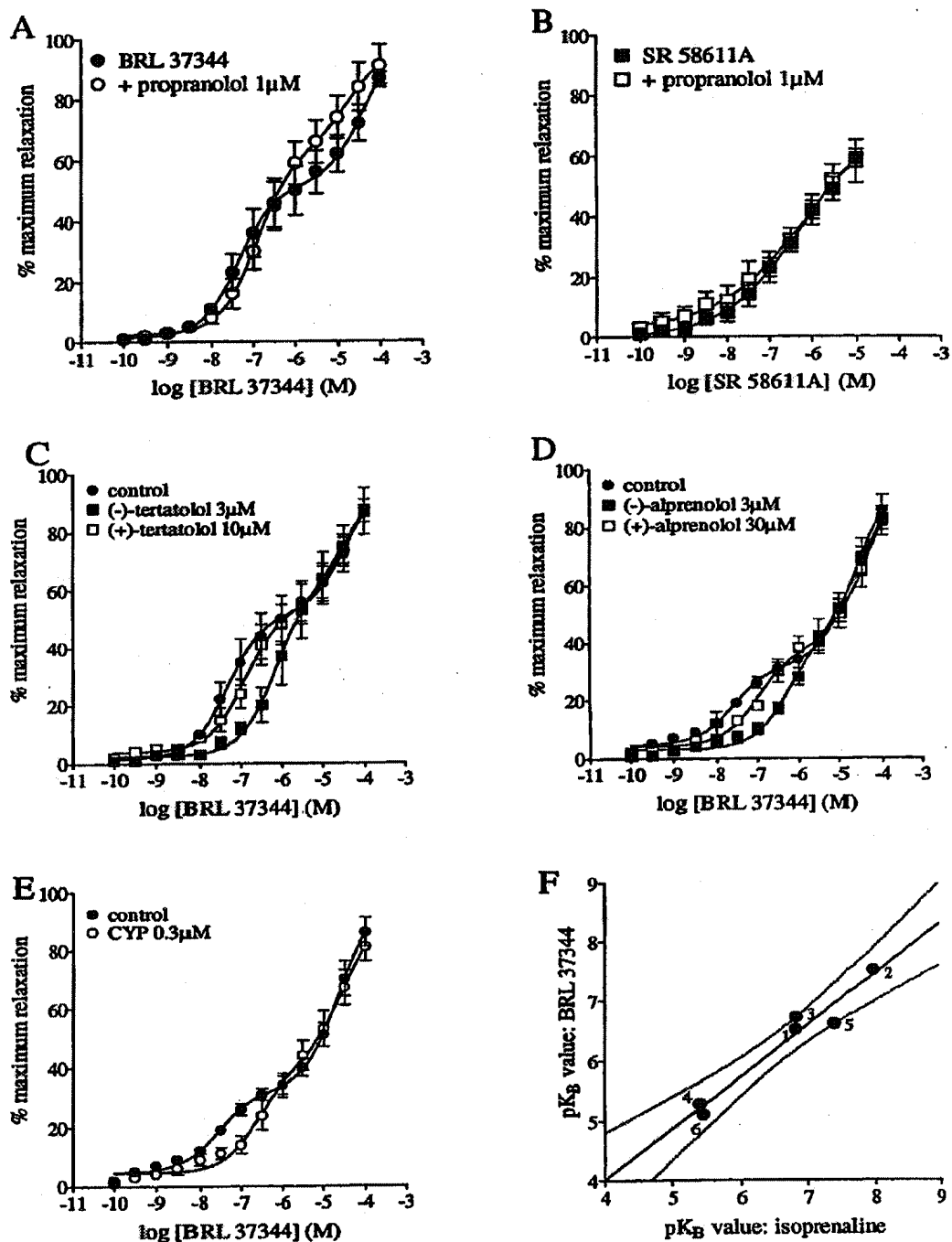


Figure 5 Mean concentration response curves for the relaxation of carbachol precontracted rat ileum by the atypical  $\beta$ -AR agonists (A) BRL 37344 and (B) SR 58611A. Both graphs show control concentration response curves and in the presence of (-)-propranolol (1  $\mu$ M). Also shown are BRL 37344 concentration response curves in the presence of (C) (-)-tertatolol 3  $\mu$ M and (+) tertatolol 10  $\mu$ M; (D) (-)-alprenolol 3  $\mu$ M and (+)-alprenolol 30  $\mu$ M and (E) ( $\pm$ )-cyanopindolol 0.3  $\mu$ M. Points are expressed as a percentage of maximum relaxation by papaverine (100  $\mu$ M). Points show mean  $\pm$  s.e. mean ( $n = 4-6$ ). The  $pK_B$  values for all compounds were calculated and are shown in Table 2. Graph (F) shows a correlation plot of  $pK_B$  values for a range of compounds obtained against either (-)-isoprenaline concentration-response or BRL 37344 concentration-response curves. The regression line has a correlation coefficient of  $r = 0.973$ ,  $P = 0.0011$  and a slope of 0.863 (95% C.L. 0.58–1.14,  $n = 6$ ).

rat ileum preparation and maximum responses could not be obtained within the concentration range available. The

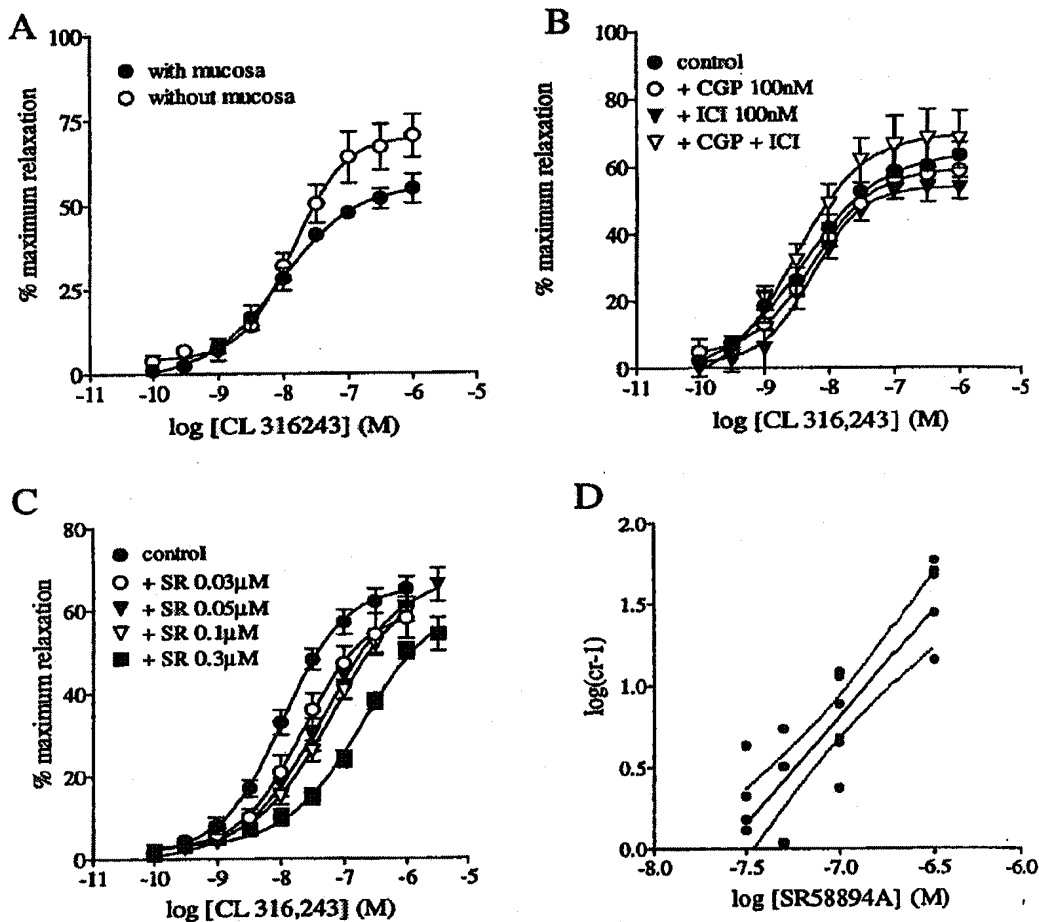
**Table 2** The affinities of a variety of antagonists against isoprenaline relaxation compared with affinities against relaxation to BRL 37344

Compound	(-)-isoprenaline $pK_B \pm s.e.\text{mean}$ (n)	BRL 37344 $pK_B \pm s.e.\text{mean}$ (n)
(-)-propranolol (1 $\mu\text{M}$ )	$6.81 \pm 0.20$ (4)	$6.51 \pm 0.16$ (3)
ICI 188551 (30 $\mu\text{M}$ )	$5.06 \pm 0.13$ (4)	n.d.
CGP 20712A (300 nM)	no shift (4)	n.d.
(-)-tertatolol (3 $\mu\text{M}$ )	$6.82 \pm 0.15$ (4)	$6.71 \pm 0.10$ (5)
(+)-tertatolol (10 $\mu\text{M}$ )	$5.39 \pm 0.15$ (4)	$5.28 \pm 0.10$ (5)
(-)-alprenolol (3 $\mu\text{M}$ )	$7.40 \pm 0.08$ (4)	$6.61 \pm 0.26$ (5)
(+)-alprenolol (30 $\mu\text{M}$ )	$5.44 \pm 0.04$ (4)	$5.10 \pm 0.07$ (5)
( $\pm$ )-CYP (0.3 $\mu\text{M}$ )	$7.96 \pm 0.02$ (4)	$7.50 \pm 0.25$ (4)

n.d., affinities of these compounds were not determined.

reduced activity of SR 58611A in rat ileum compared to rat colon ( $EC_{50}$  3.5 nM, Bianchetti & Manara, 1990) may be due to the hydrolysis of SR 58611A to a more potent acid metabolite by esterases specifically located in the colon giving this compound its preferential action in the colon preparation (Bianchetti & Manara, 1990). Alternatively, SR 58611A may be a partial agonist which is more effective in colon as this tissue has a greater receptor reserve.

In studies of glycerol release from adipocytes (Bloom *et al.*, 1992) and colonic relaxation (Dolan *et al.*, 1994), CL 316243 was described as selective for  $\beta_3$ -AR compared to  $\beta_1$ -(atrial) and  $\beta_2$ -ARs (soleus muscle) and more  $\beta_3$ -AR selective than BRL 37344. In rat ileum, CL 316243 was the most potent  $\beta_3$ -AR agonist and produced a monophasic relaxation of the ileal smooth muscle. This response was not blocked by  $\beta_1$ - and  $\beta_2$ -AR antagonists but was blocked by the  $\beta_3$ -AR antagonist SR58894A with a  $pA_2$  value of 7.8 which corresponds to its published affinity at rat colonic  $\beta_3$ -ARs ( $pA_2$  = 8.06, Manara *et al.*, 1996).



**Figure 6** Mean concentration response curves for the relaxation of carbachol precontracted rat ileum by the  $\beta_3$ -AR agonist CL 316243. Graph (A) shows monophasic CL 316243 concentration-response curves in intact preparations and preparations with the mucosa removed. Graph (B) shows a control CL 316243 C-R curve and in the presence of the  $\beta_1$ -AR antagonist CGP 20712A 100 nM, the  $\beta_2$ -AR antagonist ICI 118551 100 nM and both antagonists in combination. Graph (C) shows the concentration dependent rightward shifts of the CL 316243 C-R curve with the  $\beta_3$ -AR antagonist SR 58894A. Graph (D) shows the Schild Plot (slope 95% C.I. 0.94–1.68) for SR 58894A. Points show mean  $\pm$  s.e.mean ( $n$  = 4–6).

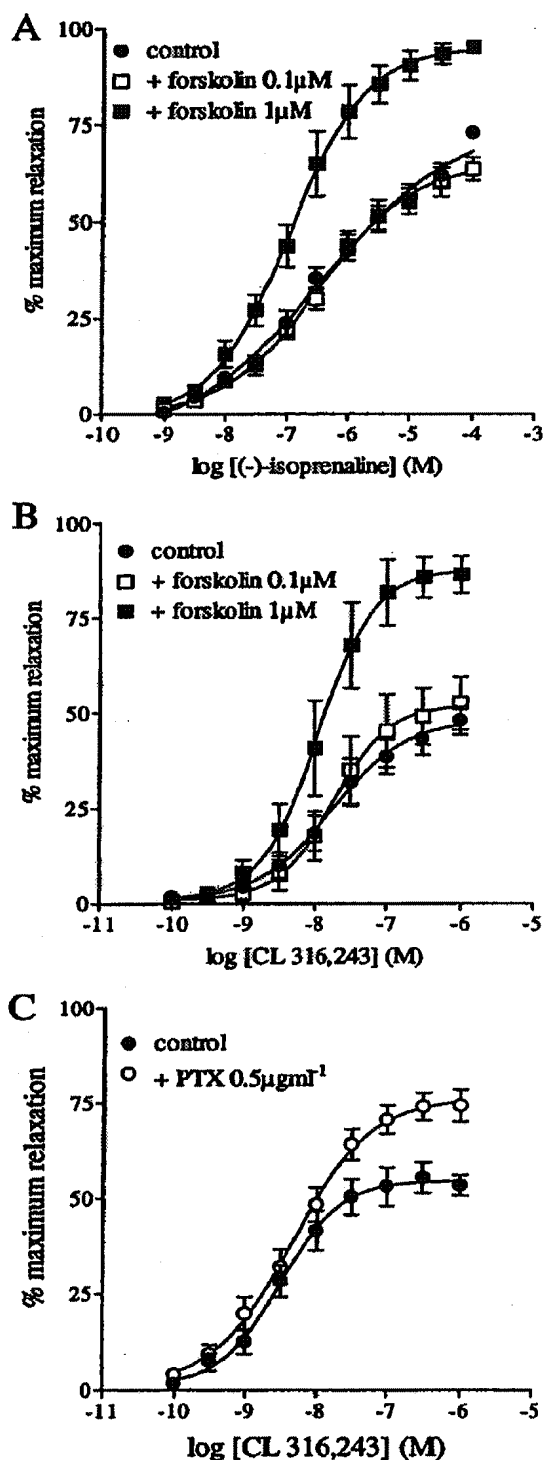


Figure 7 Effects of preincubation of rat ileal strips with forskolin for 45 min at 37°C on concentration-response curves to (A) isoprenaline and (B) CL 316243. Also shown (C) is the effect of preincubation with pertussis toxin (2 h, 37°C,  $n=4$ ) on CL 316243 concentration-response curves.

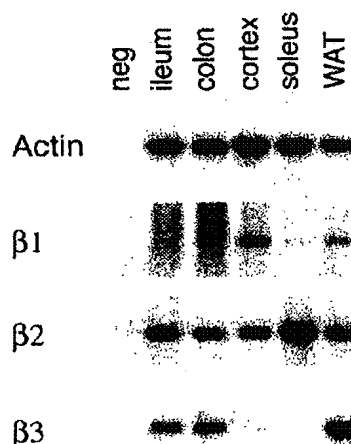


Figure 8 Detection of  $\beta_1$ -AR,  $\beta_2$ -AR,  $\beta_3$ -AR and actin mRNA by RT/PCR. Cycle numbers were 27 for  $\beta$ -ARs and 16 for actin. Sizes of the PCR products were determined from ethidium bromide stained gels by comparison with 100 bp DNA ladder (Pharmacia). The blots were apposed to phosphorimager plates for 7 h prior to scanning.

The affinity of (-)-alprenolol (3  $\mu$ M) against ISO C-R curves in the present study ( $pK_B$  7.12) was higher than when BRL 37344 was used as the agonist ( $pK_B$  6.61). Other functional studies of  $\beta$ -ARs in gastrointestinal tissues have found affinities of alprenolol ranging from 7.2 in rat jejunum (Van der Vliet *et al.*, 1990) to 6.5 in rat ileum (Growcott *et al.*, 1993) and 6.47 in guinea-pig ileum (Blue *et al.*, 1990). The latter study also demonstrated partial agonist activity of alprenolol in guinea-pig ileum (Blue *et al.*, 1990). Tertatolol has also been described as an effective antagonist at  $\beta_3$ -ARs with a  $pA_2$  value of 6.8 in guinea-pig ileum (Bond & Vanhoutte, 1992) compared to values of 7.29 and 6.71 ( $pK_B$ ) measured in rat ileum (present study). It is interesting to note that the affinities of both alprenolol and tertatolol in the present study fall closer to values described for atypical  $\beta$ -ARs in other studies when BRL 37344 rather than ISO is used as the agonist. Another interesting observation was a tendency for Schild plots generated for the (+)-isomers to have slope values of less than unity, a feature not shared by the (-)-isomers. The reason for this difference is not known. Low stereoselectivity was observed for isomers of alprenolol competing for (-)-[<sup>125</sup>I]-CYP binding in rat skeletal muscle (Molenaar *et al.*, 1991; Roberts *et al.*, 1993; Sillence *et al.*, 1993) and brown adipose tissue membranes (Sillence *et al.*, 1993). It is interesting to note that much higher stereoselectivity has been observed for agonists at atypical  $\beta$ -ARs, particularly the isomers of ISO which display 25 fold separation in rat small intestine (Van der Vliet *et al.*, 1990) and 31 fold separation at the cloned human  $\beta_3$ -AR expressed in CHO cells (Emorine *et al.*, 1989).

CYP and CGP 12177 are both  $\beta_1/\beta_2$ -AR antagonists that have also been described as partial agonists at atypical  $\beta$ -ARs (Mohell & Dicker, 1989; McLaughlin & MacDonald, 1991; McKean & MacDonald, 1994). ICI D7114 was originally described in guinea-pig ileum as having both agonist and antagonist activity, but behaved as an antagonist in rat ileum (Growcott *et al.*, 1993) and colon (MacDonald & Lamont, 1993). All three compounds behaved as antagonists in the present study. ( $\pm$ )-CYP and CGP 12177 produced concentra-

tion dependent rightward shifts of (–)-ISO C-R curves. A single concentration of (±)-CYP (0.3  $\mu$ M) gave similar  $pK_B$  values against both (–)-ISO (7.96) and BRL 37344 (7.50) induced relaxation. This differs from that seen by McLaughlin & MacDonald (1991) in rat gastric fundus where the  $pK_B$  value of (±)-CYP was significantly lower against BRL 37344 (6.56) than against (–)-ISO (7.44) induced relaxation. Several other studies have used CYP to identify atypical  $\beta$ -AR populations in gastrointestinal tissues and affinity values quoted include 6.67, 7.12 and 7.07 in rat distal colon (McLaughlin & MacDonald, 1990; McKean & MacDonald, 1994), 6.56 and 7.44 in rat gastric fundus (McLaughlin & MacDonald, 1991) and 7.01 in rat jejunum (MacDonald *et al.*, 1994). One study has reported partial agonist activity of CYP ( $pD_2 = 5.3$ ) and IodoCYP ( $pD_2 = 7.0$ ) in rat ileum preparations (Hoey *et al.*, 1996). The present study confirms reports that ICI D7114 (also known as ZD7114), a reversible competitive antagonist in rat distal colon with a  $pA_2$  value of 7.29 (MacDonald & Lamont, 1993), is also an antagonist in rat ileum ( $pK_B$  values 7.41, 7.62). These values are higher than those previously reported in rat ileum (6.3–6.7; Growcott *et al.*, 1993) making ZD7114 a higher affinity  $\beta_3$ -AR antagonist than either alprenolol or tertatolol in our rat ileum preparation. These results are again in contrast to those of Hoey *et al.* (1996) who reported that ICI D7114 was a partial agonist in rat ileum with a pseudo  $pD_2$  value of 6.92.

In previous studies we have characterized (–)-[ $^{125}$ I]-CYP binding to  $\beta$ -ARs in rat ileum and atypical ( $\beta_3$ )-AR binding sites were clearly the predominant subtype while  $\beta_1$ - and  $\beta_2$ -ARs were undetectable even using classical binding conditions that favour binding to  $\beta_1$ - and  $\beta_2$ -ARs (Roberts *et al.*, 1995). The marked relaxation observed to the  $\beta_2$ -AR agonist zinterol was therefore unexpected. However this response was not altered by the  $\beta_2$ -AR antagonist, ICI 118551, but was inhibited by the  $\beta_3$ -AR antagonist, SR 58894A. This suggests that zinterol causes relaxation of rat ileal smooth muscle through activation of  $\beta_3$ -ARs. This observation is of particular note with regard to the interpretation of results obtained in diabetic rat models which showed decreased gastrointestinal responses in rat duodenum to the  $\beta_2$ -AR agonist salbutamol (Altan *et al.*, 1987; Yildizoglu-Ari *et al.*, 1988). The low potency of salbutamol in these studies ( $pD_2$  5.9–6.6) compared to its known affinity at  $\beta_2$ -ARs (7.6, from Arch & Kaumann, 1993 review) strongly suggests that these reduced responses may reflect decreases in either  $\beta_1$ - or  $\beta_3$ -AR rather than  $\beta_2$ -AR responsiveness in duodenum in diabetes. It is interesting to note that  $\beta_2$ -AR mRNA was detected in rat ileal smooth muscle at about 25% of the level in soleus muscle a tissue where  $\beta_2$ -AR mediated responses can be readily identified. This may indicate that amplification of the  $\beta_2$ -AR PCR product is particularly efficient, that the mRNA is not translated into functional receptors or that the  $\beta_2$ -AR subserve functions other than smooth muscle relaxation. *In vivo* electromyographic recordings of migrating myoelectric complexes (MMC) in rat duodenum and jejunum have shown that isoprenaline and the  $\beta_2$ -AR agonist ritodrine can disrupt the regular MMC pattern and the irregular spiking can be blocked with ICI 118551 (Thollander *et al.*, 1996). It is therefore possible that although no relaxation response was detected there may be another role for a small population of  $\beta_2$ -ARs which are also undetected in binding studies (Roberts *et al.*, 1995).

The  $\beta_1$ -AR agonist RO363 has a high intrinsic activity compared to ISO in rat colon and guinea-pig ileum (Molenaar *et al.*, 1997) and a study by Hoey *et al.* (1996) found that RO363 caused a relaxation of rat ileum that was 60–70% of the isoprenaline response. In the present study however RO363

caused a relaxation that was less than 20% of the maximum and had a potency ( $pEC_{50} = 6.2$ ) between its potency at  $\beta_1$ - and  $\beta_3$ -ARs in rat colon ( $pEC_{50} = 8.5$  and 5.6 respectively, Molenaar *et al.*, 1997). We found that the RO363 relaxation in rat ileum was almost completely blocked by the  $\beta_1$ -AR antagonist CGP 20712A. Although it was not possible to directly compare expression of the  $\beta$ -AR subtypes we found that the level of  $\beta_1$ -AR mRNA in ileum was significantly lower than levels of the same receptor detected in either cortex or white adipose tissue, which both contain functional  $\beta_1$ -ARs (Morin *et al.*, 1992; Arch & Kaumann, 1993). The levels of expression in ileum were comparable to levels found in soleus muscle, a tissue where there is little functional evidence for the presence of  $\beta_1$ -ARs (Roberts *et al.*, 1993).

Finally, we examined the effects of alteration of components of the adenylate cyclase signalling pathway to determine whether  $\beta_3$ -AR mediated relaxation responses were altered. Low concentrations of forskolin, which produced no change in tissue responses by themselves, are known to enhance the responses to compounds acting through adenylate cyclase. In rat ileum, the maximum relaxation of the smooth muscle was significantly enhanced after pretreatment *in vitro* with the adenylate cyclase activator, forskolin. Pertussis toxin pretreatment to ADP ribosylate  $G_i$  also enhanced ideal smooth muscle relaxation suggesting that  $G_i$  signalling contributes to either the basal or receptor stimulated tone in rat ileum. Granneman and coworkers showed that the adipocyte  $\beta_3$ -AR can couple through both the stimulatory ( $G_s$ ) protein and the inhibitory ( $G_i$ ) protein and that abolition of the interaction with  $G_i$  using pertussis toxin caused a marked increase in cyclic AMP accumulation in these cells (Chaudry *et al.*, 1994). Whether or not the gastrointestinal  $\beta_3$ -AR also activates both signalling pathways however clearly requires further investigation.

Several anomalies are apparent from these findings. The biphasic nature of the propranolol Schild Plot and the shallow slopes of several antagonists suggests that multiple subtypes are involved in relaxation yet the selective  $\beta_1$ - and  $\beta_2$ -AR antagonists CGP 20712A and ICI 118551 did not shift the ISO C-R curve. However, as the selective  $\beta_1$ -AR agonist RO 363 appears to act through a small population of  $\beta_1$ -ARs it is possible that  $\beta_1$ -ARs play a role in relaxation that is functionally of little significance when  $\beta_3$ -ARs are also being stimulated. The strong signal for  $\beta_2$ -AR mRNA in ileum, colon and white adipose tissue was also interesting as these tissues have been characterized functionally as having predominantly  $\beta_1$ - and/or  $\beta_3$ -ARs (Arch & Kaumann, 1993). Possible explanations may be that in these tissues the  $\beta_2$ -AR mRNA is in blood vessels or nerves or that  $\beta_2$ -AR are mediating a different function from the  $\beta_1$ - and  $\beta_3$ -ARs. Given the absence of  $\beta_2$ -AR binding in rat ileum however (Roberts *et al.*, 1995) it is also possible that the  $\beta_2$ -AR mRNA may not be translated into a functional protein.

The current criteria for classification of the  $\beta_3$ -AR include: (1) stimulation by selective  $\beta_3$ -AR agonists; (2) partial agonist activity of non-conventional  $\beta$ -AR antagonists; (3) low affinity of typical  $\beta$ -AR antagonists; (4) atypically low stereoselectivity indices and (5) blockade by selective  $\beta_3$ -AR antagonists (Strosberg & Pietri-Rouxel, 1996; Kaumann & Molenaar, 1996). The studies presented in this paper show that the responses elicited by ISO and by various  $\beta_3$ -AR agonists in rat ileum smooth muscle are blocked with low affinity by conventional  $\beta$ -AR antagonists, that low stereoselectivity was demonstrated for alprenolol and tertatolol, and that the  $\beta_3$ -AR antagonist, SR 58894A, has high affinity for blocking relaxation to  $\beta_3$ -AR agonists. We also demonstrated the presence of  $\beta_3$ -AR mRNA in rat ileal smooth muscle. The



present characterization of smooth muscle relaxation to  $\beta$ -AR agonists confirms that  $\beta_3$ -ARs are the predominant subtype mediating these responses with little to no evidence for a relaxation role for  $\beta_1$ - or  $\beta_2$ -ARs in rat ileum.

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## Novel and Potent Human and Rat $\beta_3$ -Adrenergic Receptor Agonists Containing Substituted 3-Indolylalkylamines

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**Abstract**—A novel series of 2-(3-indolyl)alkylamino-1-(3-chlorophenyl)ethanols was prepared and evaluated for in vitro ability to stimulate cAMP production in Chinese hamster ovary cells expressing cloned human  $\beta_3$ -AR. The optically active 30a was found to be the most potent and selective human  $\beta_3$ -AR agonist in this series with an  $EC_{50}$  value of 0.062 nM. In addition, 30a selectivity for human  $\beta_3$ -AR was 210-fold and 103-fold that for human  $\beta_2$ -AR and  $\beta_1$ -AR, respectively. Furthermore, 30a showed potent agonistic activity at rat  $\beta_3$ -AR.

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### Introduction

$\beta$ -Adrenergic receptors ( $\beta$ -ARs) have been subclassified as  $\beta_1$ - and  $\beta_2$ -ARs since 1967.<sup>1</sup> A third  $\beta$ -AR, initially referred to as 'atypical'<sup>2</sup> and later called  $\beta_3$ -AR<sup>3,4</sup> has been found in a number of species,<sup>5–7</sup> including man in the early 1980s.<sup>4</sup> The  $\beta_3$ -AR is located on the cell surface of both white and brown adipocytes and its stimulation promotes both lipolysis and energy expenditure.<sup>8</sup>

Since the discovery of  $\beta_3$ -AR, a number of laboratories have been engaged in developing potent and selective  $\beta_3$ -AR agonists for the treatment of obesity and non-insulin dependent (Type-II) diabetes.<sup>9</sup> Early  $\beta_3$ -AR agonists (the 'first generation' of potent and selective rat  $\beta_3$ -AR agonists) such as, BRL 37344,<sup>10</sup> CL 316243,<sup>11</sup> and SR 58611A,<sup>12</sup> having a 3-chlorophenyl moiety in the left-hand side and a carboxylic acid or an ester functionality in the right-hand side as shown in Figure 1, were reported to be effective anti-obesity and anti-diabetic agents in rodents.<sup>13</sup>

However, human clinical trials with these drugs for the treatment of metabolic disorders have been disappointing due to a lack of selectivity and/or potency or poor pharmacokinetics.<sup>14</sup> Because of the structural differences

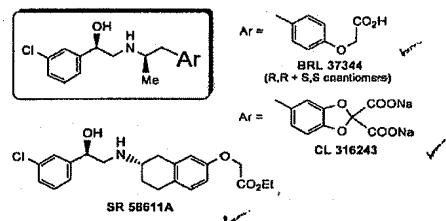


Figure 1.

between human and rat  $\beta_3$ -ARs, activity at the rat  $\beta_3$ -AR could not effectively predict that at the human  $\beta_3$ -AR.<sup>15</sup> Thus, a new generation of human  $\beta_3$ -AR agonists with minimal side effects associated with activation of human  $\beta_1$ - and  $\beta_2$ -ARs has long been needed.

At the beginning of 1990 and on the basis of results obtained from random screening for rat  $\beta_3$ -AR agonists, we found that a novel 2-[2-(3-indolyl)ethylamino]-1-(3-chlorophenyl)ethanol (7) having the 3-chlorophenyl moiety structure known to be required for  $\beta_3$ -AR agonistic activity, potently inhibited rat spontaneous colonic contraction ( $\beta_3$ -AR;  $EC_{50}$  = 22.9 ± 3.1 nM) and only slightly relaxed both rat uterus ( $\beta_2$ -AR;  $EC_{50}$  = 577.3 ± 149.4 nM) and guinea-pig trachea ( $\beta_1$ -AR;  $EC_{50}$  = > 10,000 nM). In order to improve the selectivity of lead compound 7, we focused on the introduction of various substituents into the indole nucleus and the

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side-chain at the 3-position of the indole ring, and performed optical resolution of selected compounds. Additionally, to develop potent and selective human  $\beta_3$ -AR agonists, we examined adenylyl cyclase activity using Chinese hamster ovary (CHO) cell lines expressing human  $\beta_1$ -,  $\beta_2$ -, and  $\beta_3$ -ARs.

Structure–activity relationship (SAR) studies of various 2-(3-indolyl)alkylamino-1-(3-chlorophenyl)ethanols led to the discovery of the optically active **30a**, which is a potent human and rat  $\beta_3$ -AR agonist with low activity for human  $\beta_1$ - and  $\beta_2$ -ARs.

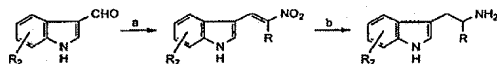
In this paper, we describe the synthesis and SARs of 3-indolyloethanolamine derivatives while keeping the 3-chlorophenyl moiety constant as an aryl group.

### Chemistry

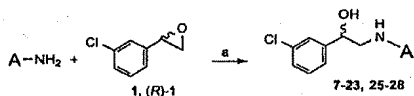
The requisite intermediate tryptamine derivatives were prepared using procedures previously described in the literatures.<sup>16</sup> In general, the 3-formylindoles obtained by Vilsmeier reaction ( $\text{POCl}_3$ , DMF) of the substituted indoles were treated with nitroalkane in AcOH to produce nitroolefins in good yield. Conjugated nitroolefins were directly reduced by  $\text{LiAlH}_4$  to give saturated primary amines although the yield was moderate to low (Scheme 1).

Most of the compounds (**7–28** except **24**) listed in Tables 1, 3, and 4 were prepared by coupling reaction of the racemic 3-chlorostyrene oxide **1** or its optical isomer<sup>17</sup> (*R*)-**1** with various tryptamine derivatives in MeOH (Scheme 2).

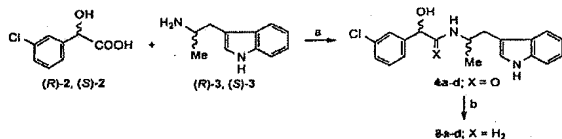
The optically active **8a–d** listed in Table 2 were synthesized by treatment of the optically active 3-chloromandelic acids<sup>18</sup> (*R*)-**2** and (*S*)-**2** with the optically active  $\alpha$ -methyltryptamines<sup>19</sup> (*R*)-**3** and (*S*)-**3** using BOP (benzotriazol-1-yloxytris(dimethylamino)phosphonium hexafluorophosphate) as a coupling reagent followed by reduction of the amide group of **4a–d** with borane (Scheme 3).



Scheme 1. (a)  $\text{MeNO}_2$  or  $\text{EtNO}_2$ , AcOH; (b)  $\text{LiAlH}_4$ , THF.

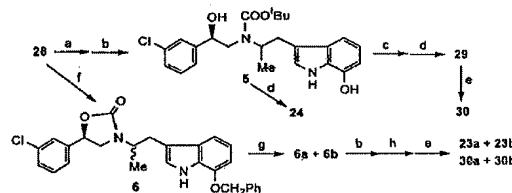


Scheme 2. (a) MeOH.



Scheme 3. (a) Benzotriazol-1-yloxytris(dimethylamino)phosphonium hexafluorophosphate (BOP), DMF; (b)  $\text{BH}_3$ , THF.

The 2-[3-(7-*O*-substituted 3-indolyl)-2-propylamino]-1-(3-chlorophenyl)ethanols **24** and **30** as a mixture of diastereomers and the selected optical isomers **23a,b** and **30a,b** listed in Tables 4 and 5 were synthesized as shown in Scheme 4.



Scheme 4. (a)  $\text{Boc}_2\text{O}$ ; (b)  $\text{Pd/C}$ ,  $\text{H}_2$ , chlorobenzene; (c)  $\text{ClCH}_2\text{CO}_2\text{Me}$ ,  $\text{K}_2\text{CO}_3$ , KI; (d) aqueous HCl; (e) aqueous NaOH; (f) *N,N*-carbonyldiimidazole; (g) separation by silica gel column chromatography; (h)  $\text{ClCH}_2\text{CO}_2\text{Me}$  or MeI.

Protection of the secondary amine functionality of the 7-benzyloxytryptamine **28** with Boc group followed by catalytic hydrogenation in the presence of chlorobenzene to avoid removal of the 3-chlorine atom in the benzene ring produced the 7-hydroxytryptamine **5**. Reaction of **5** with methyl chloroacetate, followed by removal of the Boc protecting group under acidic conditions furnished the 7-methoxycarbonylmethoxytryptamine **29**. Subsequent alkaline hydrolysis of **29** and acid hydrolysis of **5** gave **30** and **24**, respectively.

The optically active **23a,b** and **30a,b** having 7-methoxy- and 7-carboxymethoxy groups, respectively (Table 5), were synthesized as follows. Protection of the aminoethanol moiety of **28** with a carbonyl group, followed by silica gel column chromatography separation of the resulting diastereomer **6** gave the optical isomers **6a,b** having *R*- and *S*-configuration in the  $\alpha$ -methyl group, respectively. A similar method to that described for the

Table 1. Human  $\beta_3$ -AR agonistic activity of 2-(3-indolyl)alkylamino-1-(3-chlorophenyl)ethanols **7–11**<sup>a</sup>

Compd	R	R <sub>1</sub>	Human $\beta_3$ -AR	
			$\text{EC}_{50}$ (nM) (IA %) <sup>b</sup>	cAMP accumulation (% at $10^{-7}$ M) <sup>c</sup>
<b>7</b> <sup>d</sup>	H	H	69 (99)	
<b>8</b> <sup>e</sup>	Me	H	12 (114)	
<b>9</b> <sup>e</sup>	Et	H		20
<b>10</b> <sup>d</sup>	Me	Me	70 (118)	
<b>11</b> <sup>e</sup>				0

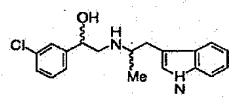
<sup>a</sup> $\beta_3$ -AR agonistic activity was assessed by measuring cAMP accumulation in CHO cells expressing human  $\beta_3$ -AR (150,000 receptors/cell).

<sup>b</sup>The maximal amount of cAMP obtained by (–)-isoproterenol and the amount of cAMP in the absence of agonists were defined as 100 and 0%, respectively, and the relative maximal response of each compound is expressed as intrinsic activity (IA).  $\text{EC}_{50}$  value is a concentration of the test compound to be required to achieve 50% of cAMP accumulation.

<sup>c</sup>Activity relative to (–)-isoproterenol.

<sup>d</sup>Racemic mixture.

<sup>e</sup>Mixture of four diastereomers.

**Table 2.**  $\beta$ -AR agonistic activity of compound **8** and its individual diastereomers at cloned human  $\beta_1$ -,  $\beta_2$ -, and  $\beta_3$ -ARs and at the cloned rat  $\beta_3$ -AR<sup>a</sup>


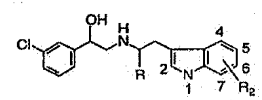
Compd	Configuration of hydroxy center	Configuration of methyl center	EC <sub>50</sub> (nM) (IA%) <sup>b</sup>			
			Human $\beta_3$ -AR	Human $\beta_2$ -AR	Human $\beta_1$ -AR	Rat $\beta_3$ -AR
<b>8</b>	Mixture	Mixture	12 (114)	23 (46)	NT <sup>d</sup>	0.97 (107)
<b>8a</b>	<i>R</i>	<i>R</i>	5.4 (110)	25 (50)	1.9 (65)	0.36 (98)
<b>8b</b>	<i>R</i>	<i>S</i>	240 (97)	— <sup>c</sup>	9.4 (50)	13 (96)
<b>8c</b>	<i>S</i>	<i>R</i>	220 (119)	330 (23)	47 (70)	11 (108)
<b>8d</b>	<i>S</i>	<i>S</i>	3300 (62)	— <sup>c</sup>	140 (47)	33 (108)

<sup>a</sup> $\beta$ -ARs agonistic activity were assessed by measuring cAMP accumulation in CHO cells expressing various  $\beta$ -ARs. Expression levels<sup>21</sup> of  $\beta$ -ARs were 150,000 receptors/cell, 30,000 receptors/cell, 12,000 receptors/cell and 880,000 receptors/cell for human  $\beta_3$ -,  $\beta_2$ -,  $\beta_1$ -, and rat  $\beta_3$ -ARs.

<sup>b</sup>See footnote b in Table 1.

<sup>c</sup>—, could not be calculated because of low IA.

<sup>d</sup>NT, not tested.

**Table 3.** Human  $\beta_3$ -AR agonistic activity of substituted indole derivatives **12–22**<sup>a</sup>


Compd	R	R <sub>2</sub>	Human $\beta_3$ -AR	
			EC <sub>50</sub> (nM) (IA%) <sup>b</sup>	cAMP accumulation (% at 10 <sup>-7</sup> M) <sup>c</sup>
<b>12</b> <sup>d</sup>	H	1-Me		6
<b>13</b> <sup>d</sup>	H	2-Me		5
<b>14</b> <sup>d</sup>	H	4-Me		20
<b>15</b> <sup>d</sup>	Me	4-Me	96 (165)	
<b>16</b> <sup>d</sup>	H	5-Me		7
<b>17</b> <sup>d</sup>	Me	6-Me	12 (95)	
<b>18</b> <sup>d</sup>	H	7-Me		0
<b>19</b> <sup>e</sup>	Me	6-MeO	22 (102)	
<b>20</b> <sup>e</sup>	Me	7-MeO	1.7 (113)	
<b>21</b> <sup>e</sup>	Me	6-Cl	28 (131)	
<b>22</b> <sup>e</sup>	Me	6-Br	38 (98)	

<sup>a</sup>See footnote a in Table 1.

<sup>b</sup>See footnote b in Table 1.

<sup>c</sup>See footnote c in Table 1.

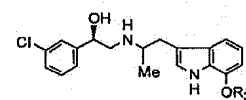
<sup>d</sup>See footnote d in Table 1.

<sup>e</sup>See footnote e in Table 1.

preparation of **30** from **28** was applied to the preparation of the desired optical isomers **23a,b** and **30a,b**. The absolute configuration of **6b** (*S*-configuration) and **30a** (*R*-configuration) was confirmed by X-ray crystallography, and the ORTEP diagram of **30a** is shown in Figure 2.<sup>20</sup>

### Results and Discussion

Activation of  $\beta$ -ARs by the various compounds prepared in this study was assessed by measuring cAMP accumulation in CHO cells expressing cloned human  $\beta_1$ -,  $\beta_2$ -, and  $\beta_3$ -ARs and rat  $\beta_3$ -AR. As shown in Table 1, the lead compound **7** exhibited a relatively modest agonistic activity at the human  $\beta_3$ -AR (EC<sub>50</sub> = 69 nM).

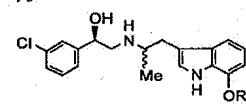
**Table 4.** Human  $\beta_3$ -AR agonistic activity of 7-*O*-substituted indole derivatives **23–30**<sup>a</sup>


Compd <sup>c</sup>	R <sub>3</sub>	Human $\beta_3$ -AR
		EC <sub>50</sub> (nM) (IA%) <sup>b</sup>
<b>23</b>	Me	0.67 (114)
<b>24</b>	H	1.7 (128)
<b>25</b>	Et	0.96 (96)
<b>26</b>	Pr	14 (103)
<b>27</b>	<i>iso</i> -Pr	2.8 (87)
<b>28</b>	CH <sub>2</sub> Ph	11 (114)
<b>29</b>	CH <sub>2</sub> CO <sub>2</sub> Me	0.92 (102)
<b>30</b>	CH <sub>2</sub> CO <sub>2</sub> H	0.11 (124)

<sup>a</sup>See footnote a in Table 1.

<sup>b</sup>See footnote b in Table 1.

<sup>c</sup>Mixture of *R,R* and *R,S* diastereomers.

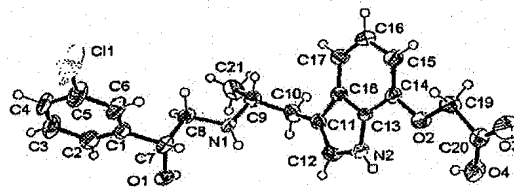
**Table 5.**  $\beta$ -AR agonistic activity of optically active 7-*O*-substituted indole derivatives **23a,b**, **30a,b**, and reference compounds at cloned human  $\beta_1$ -,  $\beta_2$ -, and  $\beta_3$ -ARs and at the cloned rat  $\beta_3$ -AR<sup>a</sup>


Compd	Configuration of methyl center	R <sub>3</sub>	EC <sub>50</sub> (nM) (IA%) <sup>b</sup>			
			Human $\beta_3$ -AR	Human $\beta_2$ -AR	Human $\beta_1$ -AR	Rat $\beta_3$ -AR
<b>23a</b>	<i>R</i>	Me	0.36 (89)	5.2 (46)	0.13 (118)	0.15 (147)
<b>23b</b>	<i>S</i>	Me	120 (51)	— <sup>c</sup>	130 (83)	6.5 (121)
<b>30a</b> (AJ-9677)	<i>R</i>	CH <sub>2</sub> CO <sub>2</sub> H	0.062 (116)	13 (26)	6.4 (26)	0.016 (110)
<b>30b</b>	<i>S</i>	CH <sub>2</sub> CO <sub>2</sub> H	10 (84)	— <sup>c</sup>	14 (107)	1.2 (106)
<b>BRL 37344</b>			21 (95)	290 (31)	1700 (17)	0.095 (109)

<sup>a</sup>See footnote a in Table 2.

<sup>b</sup>See footnote b in Table 2.

<sup>c</sup>See footnote c in Table 2.

**Figure 2.** The ORTEP drawing of **30a** with thermal ellipsoids at 50% probabilities.

Introduction of a methyl group (yielding **8**) into the tryptamine side-chain of **7** resulted in good improvement in  $\beta_3$ -AR agonistic activity (EC<sub>50</sub> = 12 nM, intrinsic activity (IA) value of 114%). However, introduction of an ethyl group (yielding **9**) resulted in low activity at the human  $\beta_3$ -AR. The  $\alpha,\alpha$ -dimethyltryptamine **10** had an activity nearly equipotent to that of the parent compound (**7**), and the tetrahydrocarbazole **11** showed significantly poor activity.

From the study on the  $\beta$ -ARs agonistic activity of the four optical isomers of BRL 37344, the (*R,R*)-configuration

had proved to be important for enhancing rat  $\beta_3$ -AR agonistic activity.<sup>22</sup> Thus, the four optical isomers **8a–d** of the selected compound **8** were prepared and their agonistic activity at the human  $\beta_1$ -,  $\beta_2$ -, and  $\beta_3$ -ARs and rat  $\beta_3$ -AR was evaluated. As expected, the optical isomer **8a**, having *R*-configuration in both the hydroxy and  $\alpha$ -methyl centers, exhibited a potent agonistic activity at the human and rat  $\beta_3$ -ARs compared with other stereoisomers (**8b–d**). Although **8a** had an agonistic activity at human and rat  $\beta_3$ -ARs 2–3 times more potent than that of the original compound **8**, it was completely non-selective. Other enantiomers (**8b–d**) showed low activity at all  $\beta$ -ARs (Table 2).

Next, we examined the agonistic activity of various substituted tryptamine derivatives. Introduction of a methyl group at 1-, 2-, 4-, 5-, and 7-positions of the indole ring of **7** and **8** resulted in low agonistic activity at the human  $\beta_3$ -AR (compounds **12–16** and **18**, Table 3). The 6-methyl group was well tolerated and **17** displayed comparable agonistic activity to that of its parent **8**. The 6-methoxytryptamine **19** and the tryptamine derivatives **21** and **22** with chlorine and bromine at the 6-position, respectively, were weak human  $\beta_3$ -AR agonists compared with **8**. Fortunately, the 7-methoxyindole counterpart **20** showed much more potent agonistic activity than **8**. From the above SAR studies, the 7-methoxytryptamine **20** was found to exhibit the most preferred agonistic activity at human  $\beta_3$ -AR.

Because the (*R*)-hydroxy isomers of the hydroxy center were more potent than the corresponding (*S*)-hydroxy derivatives, 7-*O*-substituted indole analogues with an (*R*)-hydroxy group were prepared and tested for their agonistic activity at the human  $\beta_3$ -AR. As shown in Table 4, the 7-methoxyindole derivative **23** showed an activity ca. 2.5-fold more potent than that of **20**. Removal of the methyl group on the methoxy substitution gave derivative **24**, which exhibited a decreased agonistic activity at the human  $\beta_3$ -AR. When the methoxy group of **23** was replaced with an ethoxy and methoxycarbonylmethoxy groups, the resultants **25** and **29** exhibited approximately equal agonistic activity at human  $\beta_3$ -AR. A significant loss in activity was observed with the 7-propoxy (**26**), 7-isopropoxy (**27**), and 7-benzyloxy (**28**) derivatives. Replacement of the methoxy group of **23** by a carboxymethoxy group (yielding **30**) led to a 6-fold improvement in human  $\beta_3$ -AR agonistic activity.

Finally, the 7-methoxy and 7-carboxymethoxyindole derivatives (**23** and **30**, respectively) with potent agonistic activity at human  $\beta_3$ -AR were selected for diastereomers separation and agonistic activity examination at human  $\beta_1$ -,  $\beta_2$ -, and  $\beta_3$ -ARs and rat  $\beta_3$ -AR. As shown in Table 5, the optical isomers **23a** and **30a** with an (*R*)- $\alpha$ -methyl group were more potent than the corresponding diastereomers **23b** and **30b**. However, **23a** exhibited poor selectivity for human  $\beta_3$ -AR as it potently stimulated both human  $\beta_1$ - and  $\beta_2$ -ARs. On the other hand, the selectivity of **30a** for human  $\beta_3$ -AR was high. The optically active **30a** showed a potent agonistic

activity at human and rat  $\beta_3$ -ARs with selectivity for human  $\beta_3$ -AR over 100-fold that for the  $\beta_1$ -AR and 200-fold that for the  $\beta_2$ -AR. Introduction of a carboxymethoxy group into the indole ring of **30a** led to a much more potent agonistic activity at the human  $\beta_3$ -AR and ca. 6-fold increase in activity at the rat  $\beta_3$ -AR as compared to BRL 37344. The presence of the 7-carboxymethoxy group and the *R*-configuration for the  $\alpha$ -methyl group were therefore found to be necessary for potent agonistic activity and selectivity.

In conclusion, the synthesis and SAR studies of substituted tryptamine derivatives based on human  $\beta_3$ -AR agonistic activity have been discussed. Introduction of a carboxymethoxy group at the 7-position of the indole ring resulted in the identification of a potent human ( $EC_{50}$  = 0.062 nM) and rat ( $EC_{50}$  = 0.016 nM)  $\beta_3$ -ARs full agonist **30a** (AJ-9677). Additionally, this compound (**30a**) showed good selectivity for human  $\beta_3$ -AR as compared to that for human  $\beta_1$ - and  $\beta_2$ -ARs.

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## Remodeling of the chronic severely failing ischemic sheep heart after coronary microembolization: functional, energetic, structural, and cellular responses

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Huang, Yifei, Stephen N. Hunyor, Lele Jiang, Osamu Kawaguchi, Kazuaki Shiota, Yoshihiko Ikeda, Takeshi Yuasa, Gabrielle Gallagher, Biao Zeng, and Xing Zheng. Remodeling of the chronic severely failing ischemic sheep heart after coronary microembolization: functional, energetic, structural, and cellular responses. *Am J Physiol Heart Circ Physiol* 286: H2141–H2150, 2004; 10.1152/ajpheart.00829.2003.—The mandatory use of pharmacotherapy in human heart failure (HF) impedes further study of natural history and remodeling mechanisms. We created a sheep model of chronic, severe, ischemic HF [left ventricular (LV) ejection fraction (LVEF) <35% stable over 4 wk] by selective coronary microembolization under general anesthesia and followed hemodynamic, energetic, neurohumoral, structural, and cellular responses over 6 mo. Thirty-eight sheep were induced into HF (58% success), with 23 sheep followed for 6 mo (21 sheep with sufficient data for analysis) after the LVEF stabilized (median of 3 embolizations). Early doubling of LV end-diastolic pressure persisted, as did increases in LV end-diastolic volume, LV wall stress, and LV wall thinning. Contractile impairment (LV end-systolic elastance, LV preload recruitable stroke work, and dobutamine-responsive contractile reserve) and diastolic dysfunction also remained stable. Cardiac mechanical energy efficiency did not recover. Plasma atrial natriuretic peptide levels remained elevated, but rises in plasma aldosterone and renin activity were transient. Collagen content increased 170%, the type I-to-III phenotype ratio doubled in the LV, but right ventricular collagen remained unaltered. Fas ligand cytokine levels correlated with expression of both caspase-3 and -2, suggesting a link in the apoptotic “death cascade.” Caspase-3 activity also bore a close relationship to LV meridional wall stress calculated from echocardiographic and intraventricular pressure measurements. We concluded that the stability of chronic untreated severe ischemic HF depends on the recruitment of myocardial remodeling mechanisms that involve an interaction among hemodynamic load, contractile efficiency/energetics, neurohumoral activation, response of the extracellular matrix, wall stress, and the myocyte apoptotic pathway.

neurohormones; pressure-volume loops; contractile reserve and energy efficiency; collagen and its phenotypes; wall stress and caspases

THE NEED FOR CONTINUING PURSUIT of a suitable animal model of heart failure (HF) is highlighted by the difficulty in studying the underlying basic mechanisms and natural history in the human condition, confounded by pharmacological intervention. Previous emphasis on cardiorenal, hemodynamic, and neurohormonal derangements has shifted toward remodeling and the role played by stretch-activated pathways, cytokine

activation, and changes in cardiomyocytes and the extracellular matrix.

HF has previously been induced in several large animal species (e.g., dogs, calves, and pigs) using techniques that damage the myocardium, such as coronary microembolization (40, 42), rapid ventricular pacing (7), coronary artery ligation (29), progressive coronary artery occlusion with Ameroid constrictors (11), or administration of cardiotoxic drugs such as adriamycin. Alternatively, volume loading the heart by creation of an arteriovenous fistula or aortic regurgitation has also been used, as has pressure loading with aortic banding. From the human perspective, the most relevant methods are those that interfere with coronary blood flow to cause infarction or chronic ischemic damage. The principal advantages of the microembolization approach include homogeneity of damage, which is limited to the left ventricle (LV), ability to titrate the response, and potential avoidance of mitral regurgitation. In contrast to the other approaches, the microembolization model has also proven remarkably stable but is subject to the criticism that it lacks associated large coronary artery disease. Coronary ligation or constriction, on the other hand, fails to involve the small vessels that are commonly diseased in humans, especially diabetics.

We established a large animal model of severe HF that bears close resemblance to human ischemic cardiomyopathy (14), the most common cause of HF (9), and studied a cohort of 23 animals for up to 6 mo. The sheep model showed remarkable stability. In a previous small series, we established the practicality of sequential selective coronary artery microembolization (13), examined the microscopic morphometric picture of remodeling (14), and studied cytokines and related links in the apoptotic death cascade and their relationship to wall stress (15).

With the use of a much larger cohort, the present study extends the scope of previous findings (18, 33) and integrates hemodynamic, energetic, neurohumoral, extracellular matrix, wall stress, cytokine, and apoptosis-related responses in terms of remodeling and natural history in chronic, severe, untreated, ischemic HF.

### MATERIALS AND METHODS

Sixty-five adult merino sheep of either sex with a body weight of  $47 \pm 8$  kg were used in a study protocol approved by the Institutional Animal Care and Ethics Committee. The animals received humane

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care in compliance with the "Principles of Laboratory Animal Care" formulated by the National Society for Medical Research and the *Guide for the Care and Use of Laboratory Animals* (NIH Pub. No. 86-23, Revised 1985).

**Study design.** The animals were acclimatized for at least 2 wk before being enrolled in experiments that had two major components: selective intracoronary microembolization (ICM) leading to HF and long-term (6 mo) profiling of hemodynamic, energetic, and neurohumoral parameters.

After the final (6 mo) dynamic profiling, the heart was harvested and cellular responses [Fas ligand (FasL)/Fas and caspases] to wall stress as well as extracellular matrix collagen levels and phenotype were assayed (Fig. 1).

Hemodynamic assessment was performed at baseline, when HF was established, and again 3 and 6 mo later and included LV pressure (LVP), LV pressure-volume (P-V) relationship, and cardiac output (CO). LV volume, LV ejection fraction (LVEF), and LV wall thickness were derived echocardiographically. Neurohumoral studies examined plasma atrial natriuretic peptide (ANP), angiotensin II, aldosterone, and plasma renin activity (PRA) on the day of, but before, the hemodynamic studies.

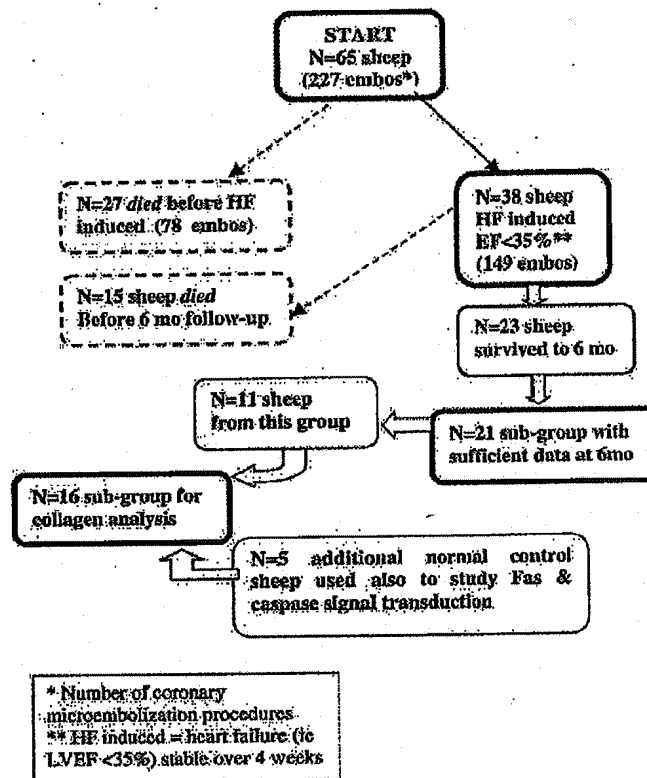
Hearts from 16 sheep, including 11 sheep from the described HF group at 6-mo follow-up and 5 normal controls, were analyzed for collagen content and phenotypic change (Fig. 1). All surgical procedures were performed under anesthesia, which was induced by thiopentone (15–20 mg/kg) and maintained with 1.5–2% isoflurane in 40% oxygen using a respirator (model 8, Bird; Palm Springs, CA). Expired CO<sub>2</sub> was monitored with a POET II monitor (Criticare

Systems; Milwaukee, WI) and maintained at 30–35 mmHg. All hemodynamic measurements/recordings were taken after at least 30 min of stable anesthesia and at end expiration. Surgical procedures were performed under sterile conditions.

**Staged ICM.** Microsphere delivery was guided by preprocedure echocardiography and by the response of the ECG and LV and arterial pressures that were monitored during the entire procedure. A Judkins (5-Fr) catheter was passed via carotid artery cutdown to engage the orifice of either the left anterior descending or left circumflex coronary artery. After the intravenous injection of 25 mg lidocaine, 0.1–0.4 ml of 90- $\mu$ m polystyrene microspheres (2.54% solid latex, Polysciences; Warrington, PA) diluted in 2 ml of 0.9% saline were injected into the selected artery. The microsphere suspension was subjected to ultrasonic mixing and manual shaking immediately before the injection. In each procedure, the embolization was repeated until significant myocardial compromise was achieved as evidenced by changes in LV end-diastolic pressure (LVEDP), arterial pressure, and ECG S-T. The procedure was repeated every 2 wk, with the end point of microembolization being the achievement of an echocardiographic LVEF <35%, stable for 4 wk.

In a subgroup of sheep ( $n = 21$ ), after baseline blood sampling, specimens were taken at 2, 6, and 18 h after ICM for measurement of plasma creatine kinase (CK). Postoperatively, pain was managed with intramuscular buprenorphine (Reckitt and Colman Products; London, UK), and heart rate (HR) and respiration were also closely monitored. Other medications such as supplemental potassium, intravenous furosemide, nitroglycerine, and lidocaine were given as necessary.

Fig. 1. Sheep numbers ( $N$ ) at start, with heart failure (HF) at enrollment into study, and at 6-mo follow-up, including breakdown of loss due to death. Also, composition of the subgroups reported and the number of coronary microembolizations (Embos) performed in the principal groups are shown. LVEF, left ventricular (LV) ejection fraction.



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**LVEF, LV volume, and LV wall thickness measurement.** Echocardiography was performed in the right lateral decubitus position using an Ausonics Opus I system (Ausonics; New South Wales, Australia). Standard LV short-axis views at the mitral, midpapillary, and apical levels, together with long-axis views, were recorded on videotape. LV areas measured from the transverse sections and the LV long-axis length were used to calculate LV end-systolic (LVESV) and end-diastolic volumes (LVEDV) using the modified Simpson's rule formula. The inner endocardial margin defined the LV lumen and the LVEF was derived as follows:  $LVEF = (LV \text{ at end diastole} - LV \text{ at end systole}) / LV \text{ at end diastole} \times 100\%$ . LV thickness was measured from the M-mode recording at the midpapillary level. Results from three different cardiac cycles were averaged.

**CO and pressure measurement.** CO was measured in triplicate with a Swan-Ganz thermodilution catheter positioned in the pulmonary artery using right atrial bolus injections of ice-cold 5% dextrose (model VGS 2, Baxter Healthcare). A Mikro-Millar pressure transducer catheter (Millar Instruments; Houston, TX) was advanced into the ascending aorta and then into the LV via cutdown on the carotid artery to measure arterial and LVP. Pressure signals were amplified (System 6, Triton Technology) and recorded on a Macintosh computer using a MacLab analog-to-digital converter (MacLab/16s, ADInstruments; New South Wales, Australia) at 200 Hz. The maximum rates of LVP increase ( $LV \text{ dP/dt}_{max}$ ) and decay ( $LV \text{ dP/dt}_{min}$ ) were obtained by numerical on-line differentiation of LVP. The time constant of the isovolumic LV relaxation half-time ( $\tau$ ) was calculated as the time taken for LVP to fall to one-half its level at peak  $LV \text{ dP/dt}_{min}$  (24). LV end-diastolic meridional wall stress (in  $\text{dyn/cm}^2$ ) was calculated as  $1.333 \cdot P \cdot r / 2h(1 + h/2r)$ , where  $P$  is the LVEDP (in mmHg),  $r$  is the internal radius (in cm), and  $h$  is the LV wall thickness (in cm). LV wall thickness was taken to be the average of septal and free wall dimensions (19).

Central venous pressure was measured via the atrial port of the Swan-Ganz catheter with a fluid-filled pressure transducer system (Ohmeda). Pulmonary vascular resistance was calculated as mean pulmonary artery pressure  $\times 80/CO$  (in  $\text{dyn} \cdot \text{s} \cdot \text{cm}^{-5}$ ).

**Myocardial contractility and contractile reserve.** A 12-electrode 7-Fr pigtail conductance catheter (CardioDynamics; Leiden, The Netherlands) and a 5-Fr Millar catheter-tipped pressure transducer were positioned in the LV for measurement of LV volume and LVP. A 22-Fr balloon-tipped catheter (CV 1014 Fogarty Occlusion Catheter, Edwards Lab, American Hospital Supply) was placed in the inferior vena cava via jugular vein cutdown for transient (15–20 s) inferior vena cava occlusion to reduce LV preload while the LV P-V relationship was recorded. Data were acquired by a Leycom Sigma-5-DF signal conditioner processor (CardioDynamics) and recorded on an IBM personal computer. LV end-systolic elastance ( $E_{es}$ ) and preload recruitable stroke work (PRSW) were used as load-independent indexes of LV contractility (1). These indexes were computed by commercial software (CardioDynamics). To study the cardiac contractile reserve, intravenous dobutamine (0.1 mg/ml) was infused at incremental rates of 18, 27, 36, 45, and 54 ml/h. Each infusion rate was continued for 5 min until the HR reached 140 beats/min.

External work (BW), potential energy (PE), and the P-V area (P-V area =  $EW + PE$ ) were derived, and the ratio of  $EW/P-V$  area used to describe the work efficiency of the normal and failing heart (35, 33).

**Neurohumoral studies.** Venous blood samples were obtained (between 6 and 8 AM and before sheep were feed) from an indwelling central venous catheter previously placed via the jugular vein. The samples were chilled and centrifuged immediately, and the plasma was stored at  $-70^\circ\text{C}$ . Plasma angiotensin II was measured with a double-antibody RIA modified method using the Buhlmann Laboratories 1125 RIA kit (Basel, Switzerland). The sensitivity of the measurement is 0.1 pg/ml with a recovery of  $91.0 \pm 5.1\%$ . Plasma aldosterone was assayed by a Sorin Biomedica RIA kit (Saluggia, Italy) with sensitivity of 15 pg/ml. The  $\alpha$ -ANP assay in plasma used

a classical RIA (competitive protein binding) in homogenous phase using the double-antibody separation technique specific for  $\alpha$ -ANP, with a sensitivity of 7 pg/ml (4).

**Myocardial collagen determination.** In the 16 sheep (including 5 normal controls) where myocardial collagen content and phenotype were assayed (Fig. 1), the heart was quickly removed and the great vessels and atria were dissected free after death by an intravenous injection of thiopentone and potassium chloride. The right ventricle (RV) and LV were separated, blotted dry, and weighed. Sections of the LV and RV free wall and the interventricular septum just below the papillary muscles were dissected, snap frozen in liquid nitrogen, and stored at  $-70^\circ\text{C}$  until analysis.

Myocardial collagen content was quantified after hydrolysis of the tissues and incubation with Ehrlich's reagent solution (5), with absorbency of the solution measured at 558 nm. Hydroxyproline content was calculated from a standard curve and expressed as micrograms per milligrams of dry tissue weight. Collagen content was derived as the hydroxyproline level  $\times 7.46$ .

Interrupted SDS gel electrophoresis (36) was used to assay collagen phenotype after 1 g of heart tissue had been homogenized and pepsin digested (23). The gels were then immersed in a stain solution composed of 1.25% Coomassie brilliant blue for 60 min and then destained. The protein bands  $\alpha_1$  (I) and  $\alpha_1$  (III) were quantitated by scanning at 570 nm. Because most fibrillar collagens have similar cross links, this approach assumes that  $\alpha$ -chains alone can be used to characterize collagen type I and III phenotypes and that proportions of  $\beta$ - and  $\gamma$ -chains are similar.

**Expression of FasL and caspases-8, -3, and -2.** The protein expressions of FasL and caspases were determined by Western blotting as previously described (15, 16). Briefly, protein extracts from the sheep LV were separated by SDS-PAGE. After the proteins were transferred onto a polyvinylidene difluoride membrane, FasL or caspases were probed using primary antibodies from Santa Cruz Biotechnology (Santa Cruz, CA). Positive controls of cell lysates were from Transduction Laboratories (Lexington, KY). The antibody-labeled bands were visualized using the NEN BLAST method (NEN Life Science Products; Boston, MA). Laser scanning densitometry was used to determine volume densities (in arbitrary units) of the bands detected by the corresponding antibody.

**Determination of caspase activities.** The caspase activity assay was performed as previously described (15) using a fluorescent 7-amino-4-trifluoromethylcoumarin (AFC) substrate/inhibitor QuantiPak (Bio-Mol; Plymouth Meeting, PA). With the use of this technique, a linear correlation of  $f(x) = 21.9x - 3.1$  was found between the change in fluorescence intensity due to the cleavage of the AFC fluorophore from the substrates by the corresponding caspase activity.

**Statistical data analysis.** Data are presented as means  $\pm$  SD unless otherwise stated. Comparison of hemodynamic parameters was performed by the appropriate one-way ANOVA, followed by a post hoc  $t$ -test. A  $P$  value  $<0.05$  was considered statistically significant.

## RESULTS

**Effect of stepwise coronary microembolization.** Myocardial damage due to intracoronary injection of microspheres was evidenced by acute ECG changes and a peak increase in the CK level at 6 h after the procedure (Fig. 2A). The baseline LVEF of the 65 sheep was  $58.7 \pm 6.9\%$ . Of these 65 sheep, 38 sheep were successfully induced into HF with a LVEF decrease of 50% ( $58.3 \pm 7.4\%$  at baseline vs.  $29.1 \pm 4.4\%$  at HF establishment). The LVEF remained stable when reexamined 3 mo ( $n = 19$  of 38 sheep) and 6 mo ( $n = 21$  of 38 sheep) later (Fig. 2B).

Echocardiographic parameters and calculated LV wall stress are detailed in Table 1. LVEDV increased 73% with the induction of HF and remained 55% above baseline, LV end-

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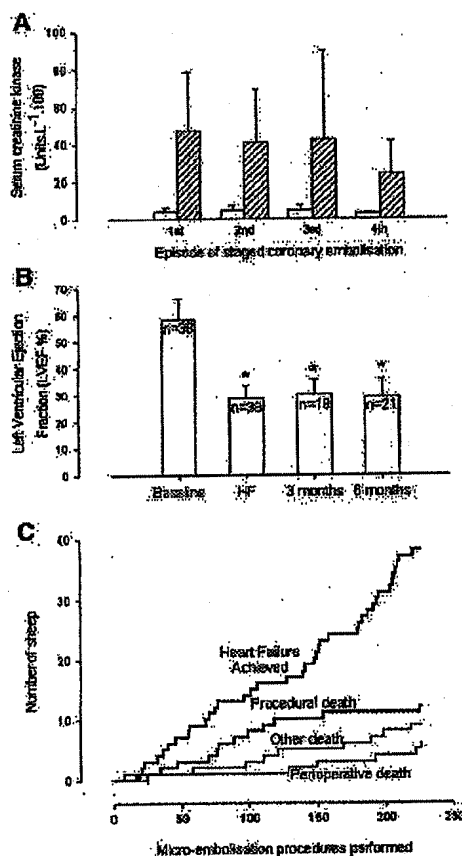


Fig. 2. A: serum creatine kinase response to successive intracoronary microembolizations. The "peak" sample was taken 6 h after the injection of microspheres. B: change in LVEF after microembolization. HF is considered to be established when there is a decrease of LVEF to <35% persisting for 4 wk after the last microembolization. C: success rate of HF induction and causes of early death from microembolization. Note the improved success rate with time and experience, especially in relation to procedural deaths. n, No. of animals.

diastolic diameter showed a stable 30% increase, and stable thinning of the LV wall was observed. Accordingly, LV wall stress increased markedly in response to the embolization-induced HF and remained elevated.

Between 1 and 10 embolization procedures (median 3, total of 149 in 38 sheep) were needed to induce HF. Sixty-five procedures involved microsphere injection into the left circumflex coronary artery, 56 procedures into the left anterior descending coronary artery, and 28 procedures into the left main coronary artery, with median doses of 0.4 ml (range 0.1–1 ml), 0.5 ml (range 0.1–1.7 ml), and 0.5 ml (range 0.1–0.9 ml), respectively. The total volume needed to induce HF was a median of 1.6 ml (range 0.5–5.95).

Twenty-seven sheep died before HF could be established (Fig. 1). Their baseline LVEF ( $59.1 \pm 6.6\%$ ) did not differ from those who attained HF status. The total volume of the microsphere suspension injected in this group was a median of 0.8 ml (range 0.3–3.7 ml). The causes of death in these animals were as follows: ventricular fibrillation in 10 sheep, severe acute HF in 12 sheep, sudden cardiac death when not monitored in 3 sheep, and lung infection in 2 sheep. The 10 instances of witnessed ventricular fibrillation occurred intraoperatively shortly after microsphere injection, whereas most of the fatal acute HF episodes (8 of 12) occurred postoperatively (>24 h after surgery). The latter subgroup may represent animals with severe HF who died before hemodynamic confirmation of their status. In the other one-third of severe acute HF cases, the condition manifested within 24 h of microembolization, either at the induction of anesthesia or immediately after injection of microspheres. Three sheep died suddenly without a definitive diagnosis of cause of death; these were classified as "sudden cardiac death." Two other sheep died of postoperative pneumonia (4 and 16 days after surgery) resistant to antibiotic treatment.

Fifteen sheep in the HF group died prematurely before the projected 6-mo follow-up (Fig. 1). Ventricular fibrillation (4 intraoperative), acute HF (2 intraoperative and 1 immediate postoperative), high-grade intraoperative atrioventricular conduction block in 2, and postoperative sudden cardiac death in 2 were the causes of mortality. Conditions such as loss of appetite (2 animals), respiratory obstruction (1 animal), and bad general condition (1 animal) were other causes of death.

The success rate of HF induction increased with experience (Fig. 2C). Animals dying before HF establishment were noted to have higher LVEDP during the last procedure ( $18 \pm 6$  mmHg,  $n = 21$ ) compared with those that achieved HF status ( $15 \pm 6$  mmHg,  $n = 38$ ,  $P < 0.05$ ).

Table 1. Time course of echocardiographic findings in heart failure sheep

	Baseline	Heart failure		
		Establishment	3 mo	6 mo
LVEDV, ml	$82 \pm 24$ (38)	$143 \pm 36^*$ (38)	$137 \pm 30^*$ (18)	$127 \pm 24^*$ (21)
LVW, cm	$0.8$ (0.7–0.9) (37)	$0.6$ (0.5–0.8)* (38)	$0.7$ (0.6–0.7)* (17)	$0.6$ (0.6–0.8)* (21)
IVS, cm	$0.9 \pm 0.2$ (37)	$0.7 \pm 0.1^*$ (38)	$0.7 \pm 0.2^*$ (17)	$0.7 \pm 0.2^*$ (21)
LVEDID, cm	$4.3 \pm 0.6$ (37)	$5.6 \pm 0.6^*$ (38)	$5.5 \pm 0.6^*$ (17)	$5.6 \pm 0.5^*$ (21)
LVEDW stress, dyn·cm <sup>-2</sup> ·10 <sup>3</sup>	$14.6 \pm 10.6$ (35)	$56.4 \pm 26.8^*$ (38)	$55.3 \pm 26.9^*$ (17)	$55.7 \pm 41.4^*$ (21)

Values are means  $\pm$  SD; nos. in parentheses are nos. of sheep. LVEDV, left ventricular (LV) end-diastolic volume; LVW, LV free wall thickness (data are expressed as medians with nos. in parentheses as percentiles); IVS, interventricular septum thickness; LVEDID, LV end-diastolic internal diameter; LVEDW stress, LV end-diastolic wall stress. Kruskal-Wallis one-way analysis of variance on ranks was used for statistical analysis, followed by Dunn's test. \* $P < 0.05$  compared with baseline.

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**Hemodynamic and neurohumoral changes.** With the establishment of HF, LVEDP had doubled from baseline (Table 2). This change was maintained to 6 mo, although a trend for LVEDP to decrease was observed. In HF sheep, the cardiac index was reduced by 16% and stroke volume by 20%, and no improvement occurred during the entire 6-mo period. Myocardial contractile function was compromised in the HF animals (Fig. 3), as shown both by load-independent indexes such as  $E_{es}$  (40% decrease) and PRSW (49% decrease) and also by the load-dependent index LV  $dp/dt_{max}$  (35% decrease). The compromised contractile function showed no improvement with time. LV diastolic function also deteriorated, as indicated by a 41% increase in  $\tau$  and a 40% decrease of LV  $dp/dt_{min}$ . These changes were maintained over the study period. The HR under anesthesia was no different after the onset of HF. The early decrease of LV systolic pressure at the time of HF establishment was subsequently largely reversed.

After dobutamine infusion, when the HR had reached 140 beats/min, the LV P-V relationship was again established and  $E_{es}$  was analyzed. Dobutamine increased  $E_{es}$  significantly in both baseline and HF conditions. However, in HF animals, the  $E_{es}$  resulting from dobutamine stimulation did not exceed the original unstimulated  $E_{es}$  value (Figs. 3 and 4A).

PE of the LV increased slightly but not significantly after HF had been established (from  $2,434 \pm 913$  to  $3,184 \pm 920$ ,  $2,976 \pm 1,441$ , and  $2,972 \pm 746$  mmHg·ml at 0, 3, and 6 mo, respectively). The work efficiency (EW/P-V area) of the failing heart was significantly decreased at each of the time points studied (Fig. 4B).

Figure 5 shows neurohumoral changes in response to HF and their progress over the next 6 mo. ANP was significantly increased by HF and remained so for the 6-mo observational period. When ANP levels were matched with right atrial pressure over the 6 mo, a significant correlation was observed (Fig. 6). Plasma aldosterone levels, on the other hand, rose sharply but returned to normal by 6 mo. The increase in PRA was even more short lived, showing only a remaining trend toward increase at 3 mo ( $P = 0.08$ ). Plasma angiotensin II was not changed.

**Myocardial collagen changes.** The total collagen content of the LV in HF animals was increased by 170%, whereas that in

the RV remained unchanged (Fig. 7A). Analysis of the collagen subtypes showed a twofold increase in the type I-to-type III collagen ratio in HF sheep, again confined to the LV (Fig. 7B). An inverse relationship between LV total collagen content and LVEF was established (Fig. 7C).

**Expression of FasL and expression and activities of caspases-8, -3, and -2.** A close relationship was found between myocardial FasL expression, the ligand that couples to Fas, the death receptor, and caspases-3 and -2, which together with other members of this group are held to be responsible for the execution of apoptosis (Fig. 8A). A close relationship was also seen between the activities of the upstream protease caspase-8 (a member of the group 2 caspases responsible for the initiation of apoptosis) and caspase-3 (Fig. 8B) and between level of caspase-3 expression and LV wall stress (Fig. 8C).

## DISCUSSION

**A chronic ischemic model of HF.** Understanding cardiorenal, hemodynamic, and neurohormonal derangements in HF has provided substantial benefits (17, 20), but emphasis has shifted to remodelling and the role played by stretch-activated pathways, cytokine activation, and changes in cardiomyocytes and the extracellular matrix (8, 12, 31). A realistic animal model of chronic HF can provide insights into this complex interplay of factors. Our ovine model uses stepwise selective coronary microembolization and has hallmarks of the most common type of severe HF in humans (14). It results in LV dilatation, deterioration of systolic and diastolic function, changes in fibrillar collagen, and neurohumoral activation. It shows increased wall stress, enhanced cytokine activity, and activation of the caspase pathway with a possible effect on apoptosis. We report on 21 untreated sheep followed for 6 mo with severe HF.

HF is a major cause of morbidity, mortality, and expenditure (30). After the initial pathological insult, there is neurohumoral activation and remodeling of the LV, including changes in interstitial collagen and continued loss of myocytes (20). Therefore, a model that traces this path aids in the understanding of pathophysiology and development of new treatment strategies.

Table 2. Time course of hemodynamic changes

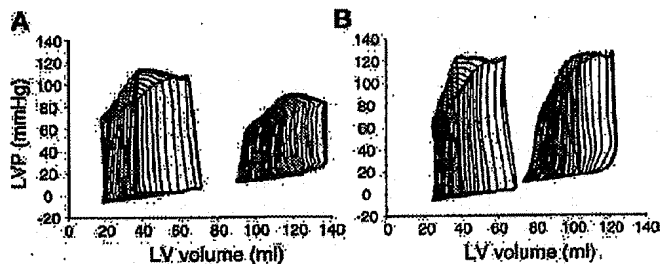
	Baseline	Heart failure		
		Establishment	3 mo	6 mo
LVEDP, mmHg	7.2±3.9 (38)	15.4±5.8* (38)	13.2±5.6* (20)	12.6±6.5* (21)
LVEBP, mmHg	91.6±15.9 (37)	78.4±12.4* (36)	83.7±13.4 (19)	83.8±12.7 (20)
LV $E_{es}$ , mmHg/ml	2.17±0.77 (13)	1.31±0.28* (13)	1.47±0.4* (12)	1.42±0.38* (13)
LV PRSW, mmHg	74.4±28.8 (13)	38.1±13.3* (15)	47.7±17.3* (13)	47.4±15.8* (13)
LV $dp/dt_{max}$ , mmHg/s	1,278±346 (37)	829±242* (36)	820±177* (19)	830±268* (21)
LV $dp/dt_{min}$ , mmHg/s	1,815±447 (37)	1,062±264* (36)	1,255±281* (19)	1,215±328* (21)
CI, l·m <sup>-2</sup> ·min <sup>-1</sup>	3.23±0.67 (25)	2.63±0.76* (25)	2.7±0.51* (19)	2.70±0.52* (20)
SV, ml	77.5±17 (25)	62.7±15.4* (25)	63.8±14.3* (19)	62.1±13.2* (20)
HR, beats/min	97±12 (25)	94±12 (25)	97±11 (19)	100±17 (20)
$\tau$ , ms	27±7 (13)	38±7 (13)*	37±5 (13)*	37±9 (13)*
RAP, mmHg	3±4.6 (19)	6.1±4.1 (21)	6.8±3* (18)	8.1±4.3* (19)
PulVR, dyn·cm <sup>-5</sup>	887±279 (17)	980±272 (22)	971±258 (18)	885±174 (18)

Values are means ± SD; nos. in parentheses are nos. of sheep. LVEDP and LVEBP, LV end-diastolic and end-systolic pressure; LV  $E_{es}$ , LV end-systolic elastance; LV PRSW, LV preload recruitable stroke work; LV  $dp/dt_{max}$  and LV  $dp/dt_{min}$ , first derivatives of LV pressure rise and decay; CI, cardiac index; SV, stroke volume; HR, heart rate;  $\tau$ , time constant of LV pressure decay; RAP, right atrial pressure; PulVR, pulmonary vascular resistance. \* $P < 0.05$  compared with baseline.

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Fig. 3. Representative LV pressure-volume (LVP) loops of a sheep in response to a transient reduction of preload resulting from brief inferior vena cava occlusion. *A*: establishment of HF caused a marked rise in heart volume and end-diastolic pressure and a decrease in EF and end-systolic pressure. LV end-systolic elastance ( $E_{es}$ ) decreased from 2.1 to 1.3. *B*: dobutamine increased baseline LV  $E_{es}$  to 4.3. However, when the failing heart was dobutamine stimulated, its LV  $E_{es}$  approached only the baseline nonstimulated level (1.7), indicative of a decrease in the contractile reserve. Note that the data shown here should be seen in the context of data displayed in Fig. 1 and Tables 1 and 2.



The sheep in this study demonstrated a persistent decrease of stroke volume (20%) and the cardiac index (16%) (Table 2), a characteristic not consistently reproduced by coronary ligation or single microembolization. There was also LV dilatation, global impairment of wall movement, and thinning of the LV wall (Table 1). LVEF remained below 30% during the study (Fig. 2B). Our previous work suggests that these changes result from myocyte loss due to necrosis, possible myocyte slippage (14, 41), and ongoing apoptosis (15). There was also evidence of myocyte hypertrophy (14) and localization of FasL to the intercalated discs, where adjacent cardiomyocytes transmit mechanical stress. These "compensatory" changes are detrimental, causing increasing myocardial oxygen consumption and reduced energy efficiency (35). Our findings also suggest that increased wall stress may activate the apoptosis signaling

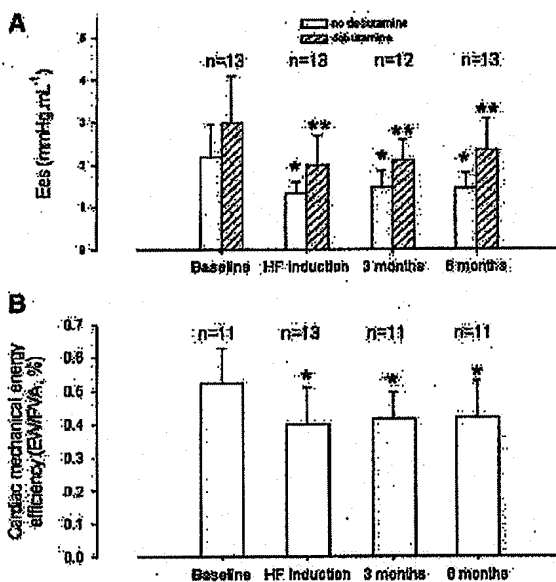


Fig. 4. *A*: effect of dobutamine infusion with stable chronotropic response (heart rate of 140 beats/min) on LV  $E_{es}$  in the normal and the microembolized failing heart at various time points. \* $P < 0.05$  comparing with and without dobutamine; \*\* $P < 0.05$  compared with dobutamine baseline. *B*: effect of microembolization-induced HF on mechanical energy efficiency over 6 mo. EW, external work; PVA, pressure-volume area. \* $P < 0.05$  compared with baseline. *n*, No. of animals.

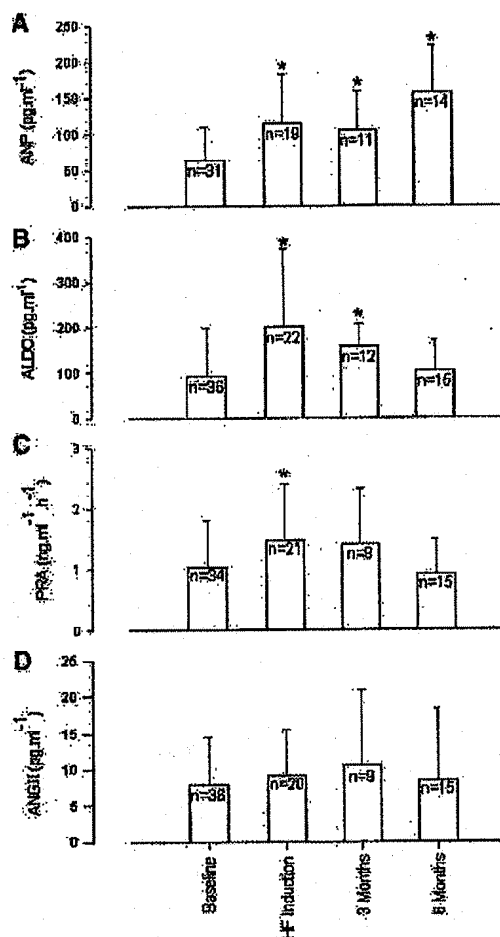


Fig. 5. Plasma neurohumoral changes at various times after the establishment of HF. *A*: atrial natriuretic peptide (ANP); *B*: aldosterone (Aldo); *C*: plasma renin activity (PRA); *D*: ANG II. \* $P < 0.05$  compared with baseline. *n*, No. of animals.

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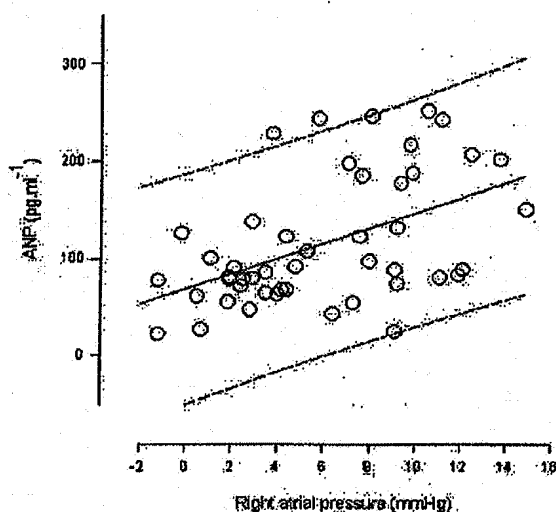


Fig. 6. Relationship between right atrial pressure (RAP) and plasma ANP levels over 6 mo after HF induction ( $ANP = 68.5 + 7.76 \times RAP$ ,  $r^2 = 0.504$ ,  $P < 0.01$ ).

cascade involving the interaction of the FasL/FasL complex with procaspase-8 (15, 37). The lack of deterioration in the majority of our untreated sheep lends support to the heart's potential for myocyte regeneration (2). However, the early deaths suggest that the capacity for remodeling and cellular regeneration may vary.

We measured wall stress rather than myocyte stretch but consider them to be interchangeable with respect to cellular responses. In vivo wall stress may have advantages because it integrates the extracellular matrix with the cytoskeleton via integrins, which are believed to be important stretch mediators (8).

Sheep have advantages over dogs, which have an extensive coronary collateral supply and much faster HRs than humans. Our HF model also contrasts with those involving large myocardial infarcts by producing numerous tiny infarcted and peri-infarct zones leading to relatively homogeneous wall stretch.

ANP peaked after 6 mo, whereas aldosterone and plasma renin activity (but not angiotensin II) showed an early rise before declining. This raises the possibility of varied time courses of response of different stretch-sensitive mediators or, alternatively, variation from the extent and homogeneity of stretch distribution. Alternatively, the stretch response in the architecturally complex myocardium may be directionally sensitive, exerting a favorable influence on gene reprogramming.

This study is the first to effectively characterize LV contractility in large animals with chronic, untreated, severe HF and shows significant impairment of native contractility (LV  $E_{es}$  and LV PRSW) and contractile reserve (Table 2). Previously abnormalities of the cardiac force-frequency relationship and response to exogenous  $\beta$ -adrenergic stimulation were demonstrated in patients and experimental animals with HF (27, 42). We achieved a constant HR of 140 beats/min with dobutamine

to exclude the Bowditch phenomenon and found increased LV  $E_{es}$  in the normal but not failing heart (Fig. 4A). This is consistent with a disordered sympathetic drive resulting from reduced cardiomyocyte adenylate cyclase activity (22) and downregulation of adrenergic receptors (3, 10).

Myocardial energy efficiency (EW/P-V area) decreased with chronic HF, indicative of an energy-wasting state (Fig. 4B). In contrast, in a canine model of ischemic HF, it did not change (37).

Plasma ANP levels peaked at 6 mo (Fig. 5), indicative of a continued rise in wall stretch (Fig. 6). On the other hand, PRA and aldosterone rose when HF was established and then declined. However, no increase of plasma angiotensin II was observed, in contrast to a recent coronary ligation model of HF (29).

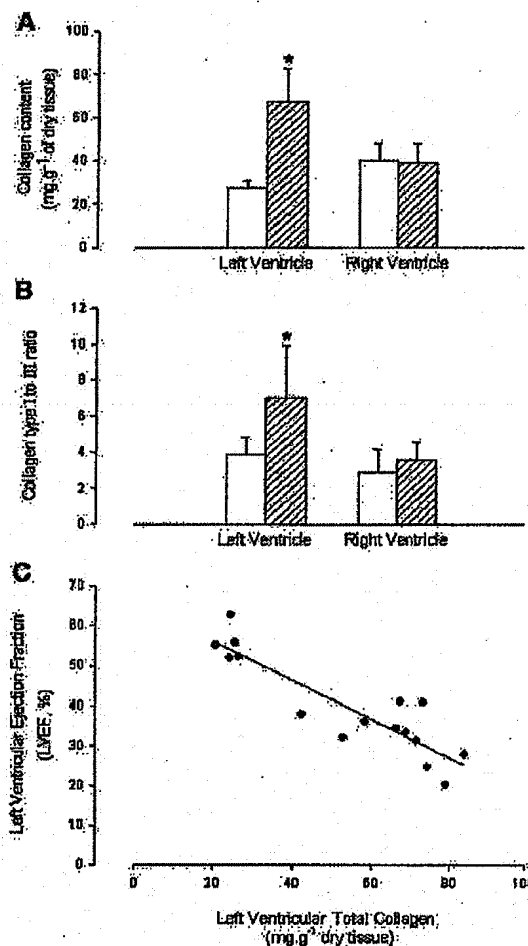


Fig. 7. Changes in myocardial collagen content (A) and phenotype ratio (B) in normal sheep ( $n = 5$ ; open bars) and 6-mo post-HF sheep ( $n = 11$ ; hatched bars). \* $P < 0.05$  compared with normal control. C: relationship between LV myocardial collagen content and LVEF.  $r^2 = 0.79$ ,  $P < 0.0001$ .

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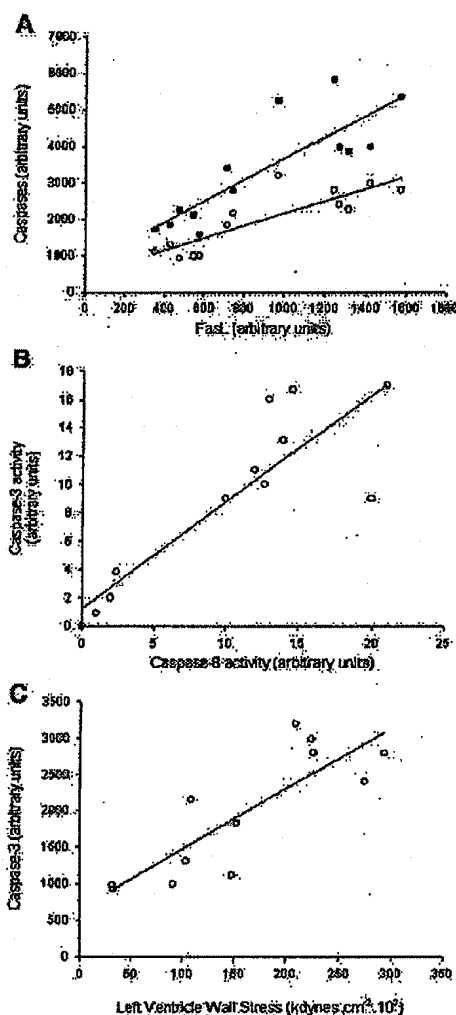


Fig. 8. Interrelationships between expression and activity of different myocardial caspases, LV wall stress, and Fas ligand (FasL) levels. A: the levels of protein expression of both caspase-2 (○) and caspase-3 (●) are correlated with the cytokine FasL expression (caspase-2 =  $2.9 \times \text{FasL} + 764$ ,  $r^2 = 0.84$ ; caspase-3 =  $0.93 \times \text{FasL} - 155$ ,  $r^2 = 0.77$ ;  $n = 8$  sheep and  $n = 32$  samples). B: activity of the upstream caspase-8, initiator of the apoptotic "death cascade," is proportionally related to the activity of the downstream executor caspase-3 (caspase-3 activity =  $1.221 + 0.755 \times \text{caspase-8}$ ,  $r^2 = 0.78$ ,  $n = 8$  sheep and  $n = 32$  samples). C: caspase-3 expression shows a linear correspondence with LV wall stress (caspase-3 =  $8.3 \times \text{LV wall stress} + 650$ ,  $r^2 = 0.84$ ,  $n = 7$  sheep and  $n = 28$  samples).

Changes in the extracellular matrix (the "myocardial skeleton") are important to the pathophysiological processes that drive the development of HF (25, 28, 39). Matrix metalloproteinases (MMPs) and their tissue inhibitors are differentially expressed in the failing hearts of humans and pigs, in a pattern favoring matrix degradation and turnover (34, 39). We previ-

ously reported replacement as well as interstitial fibrosis in this model (14) and now confirm that both content and phenotype of myocardial fibrillar collagen remain altered over 6 mo, with a more stiff type I phenotype (Fig. 7). As collagen content increased, both systolic (LVEF) and diastolic ( $\tau$  and LV  $dP/dt_{\text{min}}$ ) LV function deteriorated.

Neurohumoral activation causes cardiac dilatation and ECM remodeling with increased collagen turnover and breakdown of the normal collagen network (21, 26). Such disruption may result from catecholamine and aldosterone triggered transcription of mRNAs for MMPs. Dispersed interstitial fibrosis can also result from enhanced angiotensin II activity, which is the basis of some of the beneficial effect of HF treatment with angiotensin-converting enzyme (ACE) inhibitors, and  $\beta$ -adrenergic and aldosterone antagonists. Such treatment has demonstrated a protective effect in those with LV dysfunction even before heart failure supervenes (6).

This sheep model has the advantage of a clear ischemic etiology that is common in humans, although there is no occlusion of the principal coronary trunks. The sheep's size makes it suitable for multiple diagnostic and therapeutic strategies, and it has consistent coronary anatomy, with the left coronary artery and coronary sinus responsible for over 90% of LV blood supply and drainage. Also, similar to humans, sheep have few collaterals, rendering the effect of embolization predictable and the study of LV myocardial metabolism more reliable than in dogs. The sheep did not require medication during the 6-mo observation and study, ensuring homogeneity and stability and allowing investigation of basic mechanisms and interventions.

**Method of induction and natural history of HF.** Despite diverse methods of inducing HF, such as coronary artery ligation, coronary embolization, fast ventricular pacing, and myocardial intoxication (7), only the first two mimic the most common human etiology. However, coronary ligation requires thoracotomy, produces ventricular dysfunction that is segmental rather than global, and frequently causes mitral regurgitation. Also, the ventricular damage cannot be titrated.

Microsphere injection to induce heart attack in dogs has involved aortic root injection, selective coronary artery delivery, and refinement with repeated injections (32). There are no previous data on microsphere dose needed to induce stable, severe, chronic HF in sheep.

The only previous study of the longer-term hemodynamic and neurohumoral course of experimental severe HF in large animals is that of Sabbah et al. (32), who followed 15 dogs for an average of 3 mo (range 7–19 wk) after coronary embolizations. This corresponds to 2 mo after the establishment of stable HF in our sheep. Our study extends over a threefold longer period and also examines cardiac contractility and energetics. The extensive coronary collateral supply in dogs required greater doses of microspheres (~2 ml during each of the first 3 embolizations and 3–6 ml subsequently) compared with our total of 1.6 ml on average. The myocardial damage in our sheep appears similar (LVEF was 29% at the establishment of HF, corresponding to 26% in dogs 1 mo after the last embolization). However, whereas in sheep the LVEF remained stable over 6 mo, in dogs it continued to decline to 21% over 2 mo, although the fall in the cardiac index was identical. Contrary to expectation, LVEDV in dogs continued to increase on follow-up despite the extensive collateral supply, whereas

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our sheep showed a peak increase of LVEDV by 75% at 1 mo, which declined to 55% at 6 mo. This finding may relate to the higher mortality in our sheep (59% of 65 sheep were induced into stable HF, of whom 55%, but only 32% of the original candidates, survived to 6 mo compared with the overall 30% mortality in dogs at 2 mo equivalent follow-up), due to earlier death in the more severely affected animals. One notable difference was the absence of mitral regurgitation in sheep, underscored by the absence of atrial fibrillation, whereas 26% of the dogs had this arrhythmia.

Stepwise coronary embolization causes repeated myocardial infarction and therefore poses a high mortality risk. Our experience shows that ventricular fibrillation and acute LV failure are major causes of mortality, accounting for 37% and 44%, respectively, of the deaths before stable, severe HF could be established. Reduction of intraoperative ventricular fibrillation was achieved by immediate correction of critical hypotension (blood pressure <60 mmHg systolic), administration of prophylactic lidocaine, and use of adequately mixed body temperature microsphere injectate. The quantity of beads injected in any one procedure was based on preoperative echocardiographic LV function and intraoperative arterial pressure and LVEDP. We found that intraoperative LVEDP, with a cutoff of 20 mmHg, was a reliable predictor of postoperative acute HF and the resulting mortality. The success rate of HF induction doubled and intraoperative procedural death declined threefold with the adoption of such precautions (Fig. 2C). Death among animals after HF establishment occurred mostly during anesthesia performed for hemodynamic assessment.

**Potential shortcomings of this model.** It is recognized that damage is confined to the LV myocardium in the sheep HF model described in the present study and that the model does not include disease of large coronary arteries, nor are the animals affected by concomitant disease. It is likely that early deaths occurred in animals with severe myocardial damage, and thus the surviving cohort was self-selected. In an earlier microscopic morphometric study (14), we showed good correlation between microsphere count and replacement fibrosis. Also, the diffuse, small microinfarctions make precise localization and study of the peri-infarct zones virtually impossible. However, the advantages of this model outweigh these shortcomings and allow the methodical pursuit of pathophysiological mechanisms and assessment of various treatment strategies.

In conclusion, the ovine HF model produced by stepped coronary embolization mimics severe HF in humans with respect to etiology, hemodynamics, and neurohumoral activation, histology, changes in the extracellular matrix, and ventricular remodeling. It provides insights into potentially damaging cytokine activation and the resulting cellular mechanisms of injury leading to apoptosis. It integrates knowledge of cellular processes with the hemodynamic and structural changes that express themselves in altered wall stress. The stable nature of the untreated HF is consistent with a delicate balance between apoptotic cardiomyocyte death, perhaps triggered by myocardial wall stress, and myocyte regenerative capacity coupled with other compensatory mechanisms. The model appears suitable for the investigation of HF, including its cellular and molecular basis, and for the development and testing of medical and surgical interventions.

## ACKNOWLEDGMENTS

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## GRANTS

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or older subjects. These data suggest that vasoconstriction to the kidney occurs during the aging process. Together with our previous work, we conclude that autonomic activation elicits a diverse patterning of sympathetic outflow, which results in heterogeneous vascular responses in humans and that these responses are attenuated in older humans.

## Heart Failure Remodeling/Mechanisms II

Specialty: Myocardial Ischemia/Function/Metabolism

Abstracts 959-968

### 959 Serial Quantification of Minimal Aortic Arch Cross-Sectional Area by High-Resolution 3D-MRI After Transverse Aortic Banding in Mice: Internalization is a Major Source for Variability of the Hypertrophic Response

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Transverse aortic constriction (TAC) is increasingly used as a murine model of left ventricular hypertrophy and failure, however, there is extensive variability in the hypertrophic response. We hypothesized that this may be due to variation in the minimal aortic arch cross-sectional area at the site of banding. Forty-three mice underwent TAC with a 7-0 polypropylene suture, of which 10 were identified by echocardiography as resistant to heart failure, with initial left ventricular hypertrophy that halted or regressed over time. Seven of these were studied in a prospective study we followed 12 mice after TAC for 6 weeks. Using a new high-resolution 3D-MRI method we developed to measure CSA *in vivo*, 3/12 mice were found to have a significant increase of aortic CSA after initial successful banding (Table), which was confirmed by post mortem dissection. These mice had internalized the band in the aortic lumen and, by week 6, showed significantly less LV hypertrophy and better cardiac function. Band internalization could be entirely prevented when two banding sutures were placed side-by-side (n=10). This is the first observation that a significant subset of mice undergoing TAC, bypass the stenosis resulting in partial regression of hypertrophy. As it is now a particular genetic modification may affect band internalization, we suggest that studies using TAC should quantify this phenomenon in each new mouse strain.

Parameters

	Day 2	Day 7	After Banding Day 14	Day 28	Day 42
CSA (mm <sup>2</sup> )	0.46±0.11	0.51±0.13	0.46±0.14	0.44±0.08	0.48±0.09
	0.31±0.15	0.56±0.14	0.55±0.13	0.87±0.37*	1.11±0.29*
LV mass (mg)	54±4	80±8	95±13	111±16	146±6
	73±6	85±13	99±19	108±18	112±6
LV wall thickness (mm)	47±6	47±6	44±4	43±6	36±1
	41±5	42±4	49±7	51±7	54±9

CSA=CSA, "Normal" refers to mice with normal band conformed at post mortem (n=10) while band internalization (n=3). \* P<0.05 versus normal band mice at the same time-point.

### 960 Heme Oxygenase-1 is Cardioprotective in the Remodeled, Failing Heart

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Heme oxygenase-1 (HO-1) is an integral component of the cellular stress response. High HO-1 induction is acutely cytoprotective, nothing is currently known about the physiologic role of HO-1 in the long-term myocardial remodeling after heart failure (HF). We tested the hypothesis the HO-1 upregulation in the failing heart adaptation that mitigates pathologic left ventricular (LV) remodeling. Large animals were subjected to permanent coronary ligation in wild-type (WT) mice (n=22) and sham operation was also performed in WT control mice (n=10). Mice were followed for 4 weeks during the development of post-infarction LV remodeling and morphometric analysis revealed that compared to WT mice, HO-1 had significant (p<0.05) LV dilatation (end-diastolic diameter [EDD] 4.2±0.3 mm vs. 3.8±0.2 mm), LV systolic dysfunction (fractional area change [FAC] 33±9 vs. 53±5%), LV/body weight (BW) ratio 3.83±0.38 vs. 3.38±0.04). Western blot analysis showed a 2-fold increase in HO-1 abundance in WT HF (p<0.05), without change in HO-2. Immunostaining for protein-malondialdehyde (MDA) adducts, a marker of oxidative stress and inflammatory activation, in comparison to WT mice demonstrated significant (p<0.05) increases in: 1) LV dilatation (EDD 4.2±0.3 vs. 3.8±0.2 mm), 2) LV hypertrophy (LV/BW ratio 4.34±0.41, p<0.05), and 3) inflammation (NF-κB immunoreactivity). HO-1 HF mice also demonstrated a 44%

reduction in survival at 4 weeks as compared to WT HF mice. We conclude: HO-1 upregulation in the failing heart serves as a cardioprotective mechanism that counteracts the detrimental process of LV remodeling, and reduces myocardial hypertrophy, oxidative stress, and inflammatory activation. Upregulation of HO-1 may represent an important therapeutic approach in chronic HF.

### 961 Vagal Nerve Stimulation and Acetylcholine Protect Cardiomyocytes from Acute Ischemia and Hypoxia through Non-Hypoxic Induction of Hypoxia-Inducible Factor-1α

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**Introduction:** It has been reported that the electrical stimulation of the vagal efferent nerve has markedly improved survival of myocardial ischemia in experimental studies. However, a mechanism for the beneficial effect of vagal stimulation remains still unclear. In the present study, we examined the cellular mechanism via hypoxia-inducible factor (HIF)-1α, which is a master transcriptional factor responsible for regulating a line of genes supporting cell survival. **Methods:** To clarify the effect of vagal efferent stimulation on cardiomyocytes, we investigated the effect of the neurotransmitter ACh on HIF-1α induction using rat primary cultured cardiomyocytes and ventricular immortalized cardiomyocytes, H9c2 cells, under the normoxic and hypoxic conditions. We also examined the effect of vagal stimulation on HIF-1α induction in the ischemic rat heart. **Results:** ACh increased the protein level of HIF-1α in cultured cardiomyocytes and H9c2 cells through post-transcriptional regulation. It was identified by actinomycin D, which did not reduce the protein level of HIF-1α. ACh increased the phosphorylation of Akt, which is a kinase responsible for the signal of cell survival, and was inhibited by a PI3K inhibitor, wortmannin as well as a muscarinic receptor antagonist, atropine. Wortmannin also inhibited induction of HIF-1α by ACh. The 12-hour hypoxia-induced decrease in mitochondrial membrane potential was inhibited by ACh, and ACh also inhibited the activation of caspase-3 by hypoxia. It resulted in protection of cardiomyocytes from hypoxia, probably due to dual induction of HIF-1α through ACh and hypoxia. Finally, even in the whole heart it was revealed that induction of HIF-1α was elevated in the myocardial infarcted heart with vagal nerve stimulation, and that the stimulation reduced the infarct area compared to that of the non-stimulated infarcted heart (31.5±4.6% in vagal stimulation vs. 40.9±2.6% in non-stimulation, P<0.05). **Conclusions:** ACh, a neurotransmitter of the cardiac vagal efferent fibers, plays an important role in protecting cardiomyocytes during ischemia and hypoxia via the Akt-HIF-1α pathway with the direct action.

### 962 β-3 Adrenergic Signaling is Impaired in the ob/ob Mouse Model of Obesity

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**Background:** The β3 adrenergic receptor (AR) negatively modulates β-adrenergic signaling in the heart and is dependent on leptin signaling for normal expression levels in adipose tissue. Furthermore, we have shown that the leptin signaling pathway possesses anti-hypertrophic properties in the heart. Accordingly, we tested the hypothesis that β3AR signaling is diminished in leptin-deficient ob/ob mice, and that β3AR deficiency leads to cardiac hypertrophy and heart failure. **Methods and Results:** We studied collagenase-digested isolated myocytes (n=1-3 hearts, 6-11 cells each) from WT, β3<sup>-/-</sup>, and ob/ob mice with the β3-specific agonist BRL37344 (10<sup>-7</sup>M). BRL produced dose-dependent inhibition of sarcomere shortening (-33±5%, P<0.05) and calcium transients (-17±3%, P<0.05) in WT myocytes. The inhibitory effect of BRL was completely abolished in sarcomere shortening of ob/ob myocytes, with a paradoxical increase in the calcium transient (+30±12%, P<0.05), consistent with impaired β3 signaling in ob/ob. As a control experiment, we confirmed the absence of BRL response in β3<sup>-/-</sup> myocytes (sarcomere shortening 0±8%; calcium transient +9±4%, P=NS for both). To determine the mechanism of decreased BRL response in ob/ob, we performed quantitative PCR, which showed a 1.6-fold downregulation in β3 mRNA expression in ob/ob (n=5) compared to control (n=6). Since we had previously observed left ventricular hypertrophy in ob/ob mice, we tested the prediction that β3 deficiency would result in age-related hypertrophy by performing echocardiography on young (n=4, 10 months old) and old (n=4, 21 months old) β3<sup>-/-</sup> mice. Old β3<sup>-/-</sup> animals had increased LV wall thickness (0.98±0.04 vs. 0.65±0.03mm, P<0.005) and LV mass (165±17 vs. 63±2mg, P<0.005), increased diastolic diameter (4.1±0.2 vs. 3.3±0.1mm, P<0.05), and decreased fractional shortening (34±3 vs. 46±1%, P<0.01) compared to young β3<sup>-/-</sup>. **Conclusion:** These findings demonstrate crosstalk between the leptin and β3 signal transduction pathways in the maintenance of normal cardiac structure and function, and offer new insights into mechanisms for obesity-related LV hypertrophy and heart failure.

### 963 Genetic Disruption of Angiotensin II Type1a Receptor in Mice Attenuates Volume Overload Cardiomyopathy and Improves Survival in Chronic Aortic Regurgitation

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It is not established whether inhibition of the renin-angiotensin system (RAS) has a beneficial effect on the progression of cardiomyopathy or survival in isolated, chronic, severe aortic regurgitation (AR). To address this question, we produced AR by puncturing the aortic valve with a 1.4-French Millar pressure catheter inserted via the right carotid artery in angiotensin II type1a receptor knockout mice (KO, n=180) and wild-type mice (WT, n=120). Within 2 weeks after induction of AR, more than half of both genotypes died (WT 57.5%, KO 68.3%.

# The nitric oxide donor sodium nitroprusside stimulates the $\text{Na}^+ - \text{K}^+$ pump in isolated rabbit cardiac myocytes

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Nitric oxide (NO) affects the membrane  $\text{Na}^+ - \text{K}^+$  pump in a tissue-dependent manner. Stimulation of intrinsic pump activity, stimulation secondary to NO-induced  $\text{Na}^+$  influx into cells or inhibition has been reported. We used the whole-cell patch clamp technique to measure electrogenic  $\text{Na}^+ - \text{K}^+$  pump current ( $I_p$ ) in rabbit ventricular myocytes. Myocytes were voltage clamped with wide-tipped patch pipettes to achieve optimal perfusion of the intracellular compartment, and  $I_p$  was identified as the shift in holding current induced by 100  $\mu\text{M}$  ouabain. The NO donor sodium nitroprusside (SNP) in concentrations of 1, 10, 50 or 100  $\mu\text{M}$  induced a significant increase in  $I_p$  when the intracellular compartment was perfused with pipette solutions containing 10 mM  $\text{Na}^+$ , a concentration near physiological levels. SNP had no effect when the pump was near-maximally activated by 80 mM  $\text{Na}^+$  in pipette solutions. Stimulation persisted in the absence of extracellular  $\text{Na}^+$ , indicating its independence of trans-membrane  $\text{Na}^+$  influx. The SNP-induced pump stimulation was abolished by inhibition of soluble guanylyl cyclase (sGC) with 1H-[1,2,4]oxadiazole[4,3-a]quinoxalin-1-one, by inhibition of protein kinase G (PKG) with KT-5823 or by inhibition of protein phosphatase with okadaic acid. Inclusion of the non-hydrolysable cGMP analogue 8pCPT-cGMP, activated recombinant PKG or the sGC-activator YC-1 in patch pipette filling solutions reproduced the SNP-induced pump stimulation. Pump stimulation induced by YC-1 was dependent on the  $\text{Na}^+$  concentration but not the  $\text{K}^+$  concentration in pipette filling solutions, suggesting an altered sensitivity of the  $\text{Na}^+ - \text{K}^+$  pump to intracellular  $\text{Na}^+$ .

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The simple diatomic molecule nitric oxide (NO) participates in, or modulates, messenger pathways that regulate a variety of cellular functions in all mammalian organs. Its production is mediated by NO synthase (NOS) within endothelial and parenchymal cells. NO may also be supplied exogenously by pharmacological donors frequently used in the treatment of cardiovascular diseases. In cardiac myocytes, NO has a prominent role in the regulation of  $\text{Ca}^{2+}$  handling. It modifies  $\text{Ca}^{2+}$  influx via L-type sarcolemmal  $\text{Ca}^{2+}$  channels, it regulates the sarcoplasmic reticulum (SR)  $\text{Ca}^{2+}$  release channel and it may inhibit  $\text{Ca}^{2+}$ -ATPase-mediated SR reuptake of  $\text{Ca}^{2+}$  (Hare, 2003). Since sarcolemmal  $\text{Na}^+ - \text{Ca}^{2+}$  exchange is a key determinant of cardiac myocyte  $\text{Ca}^{2+}$  content, an effect of NO on the sarcolemmal  $\text{Na}^+ - \text{K}^+$  pump ultimately should affect  $\text{Ca}^{2+}$  handling because the pump maintains

the electrochemical gradient for  $\text{Na}^+$ . Previous studies in various non-cardiac tissues have reported that NO does regulate the  $\text{Na}^+ - \text{K}^+$  pump. However, results are conflicting.

$\text{Na}^+ - \text{K}^+$  ATPase activity in isolated membrane fragments, measured with saturating ligand concentrations, was reduced after exposure of opossum kidney cells to the NO donor sodium nitroprusside (SNP; Liang & Knox, 2000). Sodium nitroprusside and other NO donors induced similar inhibition of  $\text{Na}^+ - \text{K}^+$  ATPase activity in permeabilized tissue slices from the renal medulla (McKee *et al.* 1994), choroid plexus (Ellis *et al.* 2000) and ciliary processes (Ellis *et al.* 2001). However, the NO donor spermine NONOate, had no effect on  $\text{Na}^+ - \text{K}^+$  pump activity in the thick ascending limb from rat kidney. Activity was measured at high saturating or physiological

rate-limiting levels of intracellular  $\text{Na}^+$  (Ortiz *et al.* 2001). Varela *et al.* (2004) reported that an inhibitory effect of NO donors on the pump activity in the thick ascending limb is time dependent. SNP induced  $\text{Na}^+/\text{K}^+$  pump stimulation in rabbit aorta (Gupta *et al.* 1994) and human corpus cavernosum smooth muscle (Gupta *et al.* 1995). Pump stimulation in the aorta was thought to be secondary to  $\text{Na}^+/\text{H}^+$  exchange-mediated influx of  $\text{Na}^+$  and an increase in its intracellular concentration. The mechanism for stimulation in corpus cavernosum smooth muscle was not determined.

Tissue-specific differences in  $\text{Na}^+/\text{K}^+$  pump regulation, differences in methodology used to study the  $\text{Na}^+/\text{K}^+$  pump and variable delivery of NO and its bioactive derivatives by the donor compounds may have contributed to the conflicting results between studies. We have used the whole-cell patch clamp technique to examine the effect of NO on the sarcolemmal  $\text{Na}^+/\text{K}^+$  pump in isolated rabbit ventricular myocytes. Provided wide-tipped patch pipettes are used, the technique allows control of the ligands of the  $\text{Na}^+/\text{K}^+$  pump at intra- and extracellular sites and control of membrane voltage. It also allows the intracellular delivery of membrane-impermeable drugs and compounds dissolved in patch pipette solutions, including compounds of large molecular size. Electrogenic  $\text{Na}^+/\text{K}^+$  pump current ( $I_p$ ), arising from the  $3\text{Na}^+ : 2\text{K}^+$  exchange ratio, can be identified as the shift in holding current induced by blocking the pump with ouabain. We show that SNP induces an increase in  $I_p$ . To further characterize the mechanism, we examine the dependence of  $\text{Na}^+/\text{K}^+$  pump stimulation on intracellular  $\text{Na}^+$  and  $\text{K}^+$ . To avoid non-specific effects of pharmacological NO donors in these studies, we used the synthetic benzyl indazole derivative YC-1 (Ruszwurm & Koesling, 2002) to selectively activate soluble guanylyl cyclase (sGC), a downstream target molecule for NO.

## Methods

### Cells

Single ventricular myocytes isolated from male New Zealand White rabbits were used. The rabbits, weighing 2.8–3.5 kg, were anaesthetized with 50 mg kg<sup>-1</sup> ketamine and 20 mg kg<sup>-1</sup> xylazine hydrochloride given intramuscularly. The heart was excised when deep anaesthesia was assured as indicated by the absence of a corneal reflex and response to deep pressure between the metatarsal bones. Details of techniques used to isolate ventricular myocytes have been previously described (Hool *et al.* 1995). The institutional review committee for animal research had approved experimental protocols. The myocytes were used on the day of isolation only. They were stored at room temperature in Krebs–Henseleit buffer solution until used for patch-clamp studies.

### Solutions

Myocytes were suspended in a tissue bath mounted on an inverted microscope for experimentation. While we established the whole-cell configuration, the bath was perfused with modified Tyrode solution, which contained (mm): NaCl, 140; KCl, 5.6;  $\text{CaCl}_2$ , 2.16;  $\text{MgCl}_2$ , 1; glucose, 10;  $\text{NaH}_2\text{PO}_4$ , 1; sodium glutamate, 9; and Hepes, 10. It was titrated to a pH of  $7.40 \pm 0.01$  at 35°C with NaOH. For measurement of  $I_p$ , we switched to a superfusate that usually was identical except that it was nominally  $\text{Ca}^{2+}$  free and contained 0.2 mM  $\text{CdCl}_2$  and 2 mM  $\text{BaCl}_2$ . In some experiments we modified this solution by replacing  $\text{Na}^+$ -containing compounds with *N*-methyl-D-glucamine chloride (NMG-Cl; Hansen *et al.* 2000). The  $\text{K}^+$  concentration in the superfusate was 5.6 mM in all experiments unless otherwise indicated.

For most experiments, wide-tipped patch pipettes (4–5  $\mu\text{m}$  diameter) were filled with solutions containing (mm): Hepes, 5; MgATP, 2; EGTA, 5; potassium glutamate, 0–140; and sodium glutamate, 10. Osmotic balance was maintained with 150–10 mM tetramethylammonium chloride (TMA-Cl). We eliminated  $\text{Na}^+$ -containing compounds in pipette solutions in some experiments (replaced with TMA-Cl) while we increased the  $\text{Na}^+$  concentration to 80 mM in others (osmotic balance was maintained by adjusting the concentration of TMA-Cl). The dependence of  $I_p$  on the intracellular  $\text{Na}^+$  concentration in the absence of intracellular  $\text{K}^+$  was examined using pipette solutions that included 0–80 mM sodium glutamate. The osmotic balance was maintained with TMA-Cl. All pipette solutions were titrated to a pH of  $7.05 \pm 0.01$  at 35°C with 1 mM TMA-OH.

Wide-tipped patch pipettes were used to optimize control of the concentration of the intracellular ligands for the  $\text{Na}^+/\text{K}^+$  pump. The patch pipettes had initial resistances of 0.8–1.1 M $\Omega$  when filling solutions included  $\text{K}^+$  at the concentration of 70 mM used in most experiments. Results were independent of series resistance in the whole-cell configuration only if levels were  $\leq 2.8$  M $\Omega$ ; the levels in accepted experiments ranged from 1.6 to 2.8 M $\Omega$ . Since  $\text{K}^+$  has a higher conductivity than the other ions in pipette solutions (Hille, 1992) the series resistance was higher when we used  $\text{K}^+$ -free pipette filling solutions. Control of the concentration of intracellular pump ligands, as indicated by independence of the measured pump currents of the series resistance, was achieved at levels  $\leq 4.0$  M $\Omega$ .

$I_p$  was identified at a holding potential of –40 mV as the difference between stable plateaus of holding current before and after  $\text{Na}^+/\text{K}^+$  pump blockade with 100  $\mu\text{M}$  ouabain.  $\text{Na}^+/\text{K}^+$  pump inhibition is saturated when rabbit ventricular myocytes are exposed to ouabain at this concentration (Drewnowska & Baumgarten, 1991; Hool *et al.* 1995). A stable current plateau was identified when

no drift could be identified on the digital display of the voltage clamp amplifier for at least 50 s. The plateaus were defined by the means of 10 samples acquired with an electronic cursor at ~5 s intervals. Recordings were obtained using the continuous single-electrode mode of Axoclamp 2A or 2B amplifiers supported by AxoTape and pCLAMP software (Axon Instruments, Foster City, CA, USA). We report  $I_p$ , normalized for membrane capacitance and hence cell size.

We used ouabain to inhibit the pump in this study because alternative, faster acting, cardiac steroids are less potent and would have to be used at a higher concentration to assure complete Na<sup>+</sup>-K<sup>+</sup> pump inhibition. Any compound at a high concentration may have effects on non-pump membrane currents. We did not attempt to achieve wash-off of ouabain because, even when short-acting cardiac steroids are used, their effects on inotropy and intracellular Na<sup>+</sup> in cardiac tissue remain for > 30 min (Lee *et al.* 1980; Boyett *et al.* 1986). In agreement with this, Na<sup>+</sup>-K<sup>+</sup> pump currents of ventricular myocytes (Mogul *et al.* 1990) do not return to control levels within a time frame that assures drift-free recordings.

### Chemicals and reagents

TMA-Cl and NMG-Cl were purum grade and were obtained from Fluka Chemicals (Switzerland). All other chemicals used in Tyrode solutions were analytical grade and were obtained from BDH (Australia). Ouabain, SNP and bovine Cu,Zn superoxide dismutase were obtained from Sigma-Aldrich (St Louis, MO, USA) and YC-1, 1H-[1,2,4]Oxadiazole[4,3-a]quinoxalin-1-one (ODQ), KT-5823, okadaic acid, methyl okadaic acid, 8-pCPT-cGMP and recombinant bovine cGMP-activated protein kinase (PKG) were supplied by Calbiochem (La Jolla, CA, USA).

### Statistical analysis

Results are expressed as means  $\pm$  S.E.M. Student's *t* test for unpaired data is used for statistical comparisons. We used Dunnett's test when the same control group was used for more than one comparison and a Mann-Whitney rank sum test for unequal sample sizes when data could not be assumed to be normally distributed.  $P < 0.05$  is regarded as significant in all comparisons.

## Results

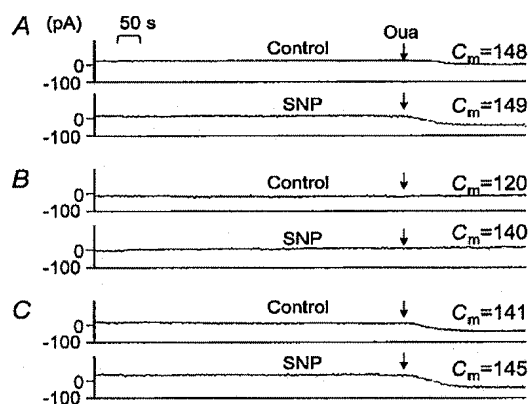
### Effect of sodium nitroprusside on pump current

To examine whether the NO donor SNP has an effect on the Na<sup>+</sup>-K<sup>+</sup> pump we measured  $I_p$  of control myocytes and of myocytes exposed to 50  $\mu$ M SNP. Patch pipette solutions contained 10 mM Na<sup>+</sup>, a concentration near physiological

intracellular levels in rabbit ventricular myocytes (Hool *et al.* 1995). The concentration of K<sup>+</sup> in pipette solutions was 70 mM. The superfusate included 150 mM Na<sup>+</sup>. We maintained control myocytes as well as myocytes exposed to SNP in the whole-cell configuration for 10–12 min before exposing them to ouabain. Figure 1A shows traces of holding currents recorded from a control myocyte and a myocyte exposed to SNP. Ouabain induced a larger shift in holding current of the myocyte exposed to SNP than of the control myocyte.

Additional experiments were performed to examine whether SNP induces an increase in  $I_p$  due to an increase in transsarcolemmal Na<sup>+</sup> influx. Such influx could cause secondary stimulation of the pump due to an increase in the intracellular Na<sup>+</sup> concentration. In one set of experiments we patch clamped myocytes using pipette filling solutions that were nominally Na<sup>+</sup> free. The superfusate contained Na<sup>+</sup>. Figure 1B shows traces of holding currents of a control myocyte and of a myocyte exposed to SNP. Ouabain-induced shifts in holding currents were barely detectable for either myocyte. Similar results were obtained for two additional myocytes exposed to SNP. If SNP enhances transsarcolemmal Na<sup>+</sup> influx it does not cause an increase in the intracellular Na<sup>+</sup> concentration that can be detected as an increase in  $I_p$ .

To obtain independent support for the conclusion that the SNP-induced increase in Na<sup>+</sup>-K<sup>+</sup> pump activity is not due to enhanced Na<sup>+</sup> influx we used patch pipette solutions that contained 10 mM Na<sup>+</sup> and a superfusate that was Na<sup>+</sup> free. This eliminates any possible SNP-induced Na<sup>+</sup> influx. Figure 1C shows holding currents of a control myocyte and of a myocyte exposed to SNP. Ouabain induced a

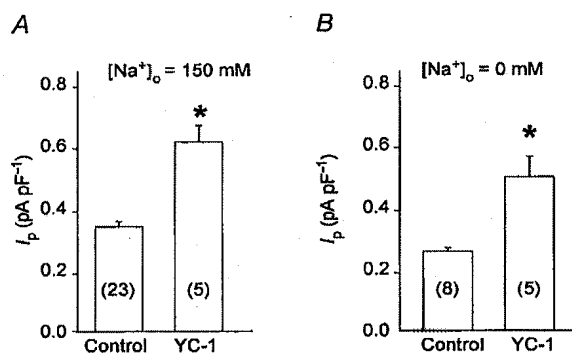


**Figure 1. Sodium dependence of ouabain-induced shifts in holding currents**

Patch pipette filling solutions and superfusates included 10 and 150 mM Na<sup>+</sup> for experiments shown in A, 0 and 150 mM for B and 10 and 0 mM for experiments shown in C. The traces show holding currents before and after exposure to ouabain (Oua). The membrane capacitance,  $C_m$  (in pF) is indicated to facilitate comparisons.

larger shift in the holding current of the myocyte exposed to SNP than of the control myocyte. The mean levels of  $I_p$  in experiments performed using  $\text{Na}^+$ -containing patch pipettes and superfusates that contained  $\text{Na}^+$  or were  $\text{Na}^+$  free are summarized in Fig. 2. Mean  $I_p$  recorded using control, SNP-free superfusates appeared higher in the presence than in the absence of extracellular  $\text{Na}^+$ . We attribute this to an allosteric effect of extracellular  $\text{Na}^+$  which accelerates conversion of the enzyme from the low-affinity  $E_2$  state to the high- $\text{Na}^+$ -affinity  $E_1$  state and enhances the apparent affinity for  $\text{Na}^+$  at cytosolic  $\text{Na}^+$ - $\text{K}^+$  pump sites (Buhagiar *et al.* 2004). However, a statistically significant increase in  $I_p$  with exposure to SNP persisted in the absence of extracellular  $\text{Na}^+$ .

To examine the relationship between the concentration of SNP and  $I_p$  we exposed myocytes to SNP in concentrations ranging from 1 to 1000  $\mu\text{M}$ . Patch pipettes and superfusates contained 10 mM  $\text{Na}^+$  and 70 mM  $\text{K}^+$ . The superfusate contained 150 mM  $\text{Na}^+$ . Results are shown in Fig. 3. SNP at concentrations of 1, 10, 50 and 100  $\mu\text{M}$  induced a statistically significant increase in  $I_p$ . However, there was no significant stimulation relative to control myocytes when the concentration was increased further to 1000  $\mu\text{M}$ , i.e. there was not a simple concentration-dependent effect of SNP. Since SNP at high concentrations has oxidant effects *in vitro* that can be prevented by superoxide dismutase (Jaworski *et al.* 2001) we included 200  $\mu\text{M}$  cytosolic Cu,Zn superoxide dismutase (SOD) in pipette filling solutions and exposed myocytes 1000  $\mu\text{M}$  SNP. Mean  $I_p$  has been included in Fig. 3. Superoxide dismutase restored SNP-induced stimulation of  $I_p$ .



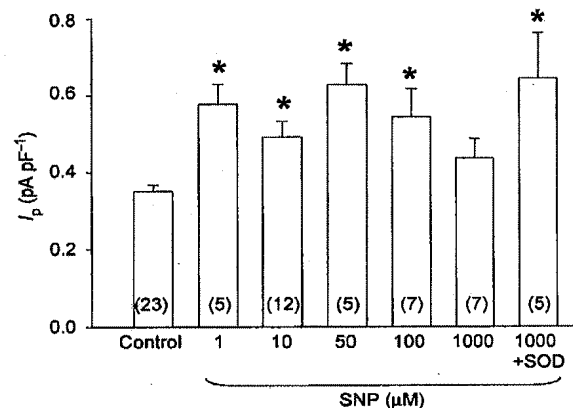
**Figure 2. Effect of SNP on  $\text{Na}^+$ - $\text{K}^+$  pump current**

The  $\text{Na}^+$  concentration in patch pipette filling solutions was 10 mM in all experiments. Mean ( $\pm$  s.e.m.)  $I_p$  values recorded in a superfusate that included 150 mM  $\text{Na}^+$  (A) or was  $\text{Na}^+$  free (B) are shown. The extracellular  $\text{Na}^+$  concentration ( $[\text{Na}^+]_o$ ) is indicated in the figure. The number of experiments is indicated in parentheses. \* $P < 0.05$ .

### Intracellular messengers linking NO to $\text{Na}^+$ - $\text{K}^+$ pump stimulation

Soluble guanylyl cyclase is the classical target molecule mediating cellular effects of NO. However, since some effects of NO are independent of sGC, we measured  $I_p$  while blocking sGC and its downstream messengers. Patch pipettes contained 10 mM  $\text{Na}^+$  and 70 mM  $\text{K}^+$ . The superfusate contained 150 mM  $\text{Na}^+$  and we used SNP at a concentration of 50  $\mu\text{M}$ . We included 10  $\mu\text{M}$  of the NO-competitive antagonist ODQ in patch pipette solutions to block access of NO to sGC and so block stimulation of cGMP synthesis. Figure 4 shows that ODQ abolished the SNP-induced pump stimulation.

The inhibition of the SNP-induced pump stimulation by ODQ implicates protein kinase G (PKG) in the downstream messenger pathways that mediate the stimulation. However, since cGMP can directly activate effector proteins independent of phosphorylation (Dimmeler *et al.* 1999), we examined the effect of inhibiting PKG by including 0.5  $\mu\text{M}$  KT-5823 in patch pipette solutions. KT-5823 abolished the SNP-induced pump stimulation, implicating a role for PKG in the stimulation. If the pump stimulation is due to PKG directly phosphorylating  $\text{Na}^+$ - $\text{K}^+$  pump molecules, inhibition of phosphatase-mediated dephosphorylation might enhance the effect of SNP. To examine this we included 0.1  $\mu\text{M}$  okadaic acid in patch pipette solutions. Figure 4 shows that phosphatase inhibition abolished, rather than enhanced, the SNP-induced pump stimulation.



**Figure 3. Dependence of  $\text{Na}^+$ - $\text{K}^+$  pump current on the concentration of SNP**

The  $\text{Na}^+$  concentration in patch pipette filling solutions was 10 mM. The superfusate contained 150 mM  $\text{Na}^+$  and 5.6 mM  $\text{K}^+$ . Superoxide dismutase (SOD) was included in the patch pipette solution as indicated. Mean ( $\pm$  s.e.m.)  $I_p$  values at different concentrations of SNP are indicated. The numbers of experiments are indicated in parentheses. Asterisk indicates a statistically significant SNP-induced increase in  $I_p$ .

Figure 3 shows that there is not a simple concentration-dependent effect of the NO donor SNP on  $I_p$ . To examine the effect of activating messengers downstream from the NO-activated sGC we activated PKG by including a cGMP analogue in patch pipette solutions. We used the non-hydrolysable analogue 8-pCPT-cGMP to prevent its rapid intracellular breakdown. Figure 5 shows that 8-pCPT-cGMP induced a concentration-dependent increase in  $I_p$ . Since 8-pCPT-cGMP in high concentrations might activate protein kinase A (PKA), we examined the effect of adding  $0.5 \mu\text{M}$  of H-89 (N-[2-(p-bromocinnamylamino)ethyl]-5-isoquinolinesulfonamide dihydrochloride) to pipette solutions containing  $1 \text{ mM}$  8-pCPT-cGMP. The mean  $I_p$  recorded in such experiments is included in Fig. 5. The 8-pCPT-cGMP-induced increase in  $I_p$  persisted after PKA blockade.

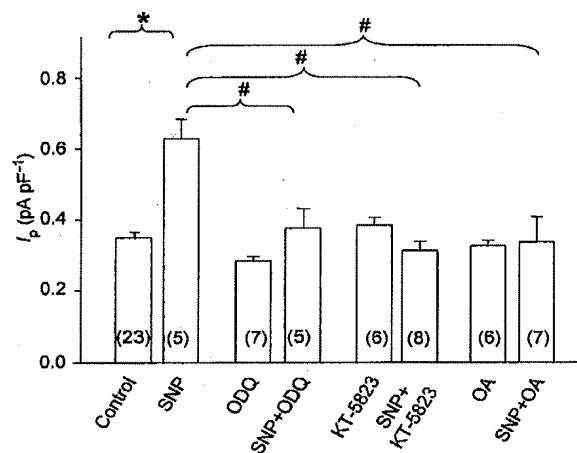
To avoid non-specific effects of high concentrations of 8-pCPT-cGMP we also examined the effect of including  $400 \text{ U ml}^{-1}$  recombinant bovine PKG in the patch pipette solution (White *et al.* 2000). To activate PKG we included  $20 \mu\text{M}$  8-pCPT-cGMP in the solution. Figure 5 shows that  $20 \mu\text{M}$  8-pCPT-cGMP in patch pipette solutions had no effect on  $I_p$  in the absence of recombinant PKG. However, the combination of  $20 \mu\text{M}$  8-pCPT-cGMP and

recombinant PKG in pipette solutions induced a large increase in  $I_p$ .

#### Activation of sGC with YC-1

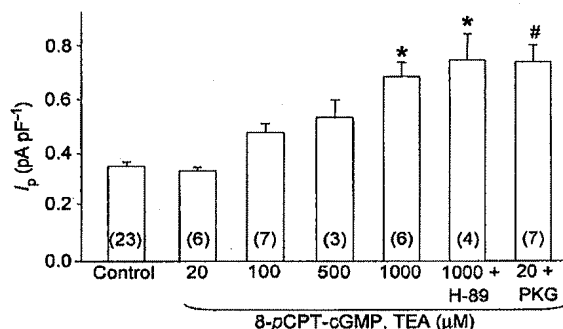
Figure 5 shows that there is a clear concentration-dependent effect of an analogue of the product of sGC, cGMP, while Fig. 3 shows that the relationship between the NO donor SNP and  $I_p$  is much less predictable. In addition, activation of sGC by exposure to SNP causes a smaller increase in  $I_p$  than activation of messenger pathways downstream from sGC. This suggests that there are non-specific effects of SNP. To avoid this while still inducing activation *via* the classical target molecule for NO, sGC, we included  $1 \mu\text{M}$  YC-1 in patch pipette solutions. The solutions included  $10 \text{ mM}$   $\text{Na}^+$  and  $70 \text{ mM}$   $\text{K}^+$ . Superfusates included  $5.6 \text{ mM}$   $\text{K}^+$  and they contained  $150 \text{ mM}$   $\text{Na}^+$  or they were  $\text{Na}^+$  free. Figure 6A shows that ouabain induced a shift in holding current in the presence or absence of extracellular  $\text{Na}^+$ . All experiments using the same protocols are summarized in Fig. 6B. YC-1 induced a large, statistically significant increase in  $I_p$  that was independent of the presence or absence of extracellular  $\text{Na}^+$ .

The  $\text{Na}^+/\text{K}^+$  pump stimulation induced by YC-1 might be due to an increase in maximal turnover of the pump or due to an increase in its sensitivity to intracellular  $\text{Na}^+$ . An increase in maximal turnover should be reflected by



**Figure 4. Intracellular messenger pathways linking SNP to  $\text{Na}^+/\text{K}^+$  pump stimulation**

Myocytes were superfused with control solutions or solutions containing SNP. Patch pipettes contained control solutions or solutions containing inhibitors of soluble guanylyl cyclase (ODQ), protein kinase G (KT-5823) or protein phosphatase (okadaic acid, OA). Numbers in parentheses indicate the number of myocytes studied with each set of conditions. \* Significant difference between mean ( $\pm$  S.E.M.)  $I_p$  values of myocytes exposed or not exposed to SNP; # significant difference between  $I_p$  of myocytes exposed to SNP and patch clamped using control pipette filling solutions and solutions containing ODQ, KT-5823 or OA. Results of experiments using an SNP-free superfusate and ODQ, KT-5823 or OA in pipette solutions are also shown.



**Figure 5. Effect of 8-pCPT-cGMP, TEA and recombinant PKG on  $\text{Na}^+/\text{K}^+$  pump current**

Myocytes were exposed to control superfusates, and the compounds indicated in the figure were included in patch pipette solutions. Numbers in parentheses indicate the number of myocytes for each set of experimental conditions. Asterisk indicates a significant difference ( $P < 0.05$ ) between  $I_p$  values of myocytes patch clamped using control patch pipette filling solutions and solutions containing 8-pCPT-cGMP, TEA. The protein kinase A inhibitor H-89 did not prevent the activation of  $I_p$  induced by the highest concentration of 8-pCPT-cGMP, TEA. # Significant difference ( $P < 0.05$ ) between  $I_p$  values of myocytes patch clamped using filling solutions containing  $20 \mu\text{M}$  8-pCPT-cGMP, TEA with or without recombinant bovine protein kinase G (PKG).

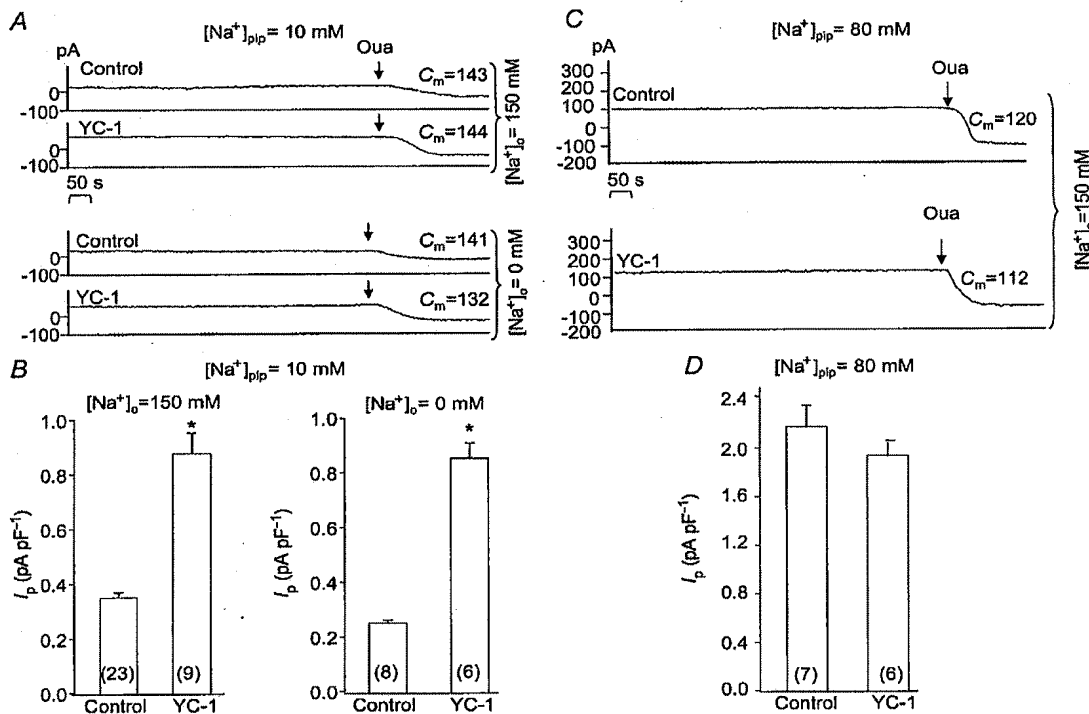
an increase in  $I_p$  recorded when intracellular pump sites are nearly saturated by a high concentration of  $\text{Na}^+$ . We patch clamped myocytes using 80 mM  $\text{Na}^+$  and 70 mM  $\text{K}^+$  in pipette solutions. The superfusate included 150 and 5.6 mM  $\text{K}^+$ . Figure 6C shows examples of ouabain-induced shifts in holding currents of a control myocyte and a myocyte exposed to YC-1. The ouabain-induced shifts in currents were much larger than those recorded using 10 mM  $\text{Na}^+$  in pipette solutions (Fig. 6A) and they were similar for a myocyte exposed or not exposed to YC-1. All experiments performed using 80 mM  $\text{Na}^+$  in the pipette solution are summarized in Fig. 6D. There was no significant difference between the mean  $I_p$  of control myocytes and that of myocytes exposed to YC-1, suggesting that YC-1 does not stimulate maximal pump turnover.

To ascertain that YC-1 activates the same messenger pathways as those implicated for SNP-induced  $\text{Na}^+$ - $\text{K}^+$  pump stimulation we examined the effects of ODQ, KT-5823 or okadaic acid in patch pipette solutions. The

pipette solutions included 10 mM  $\text{Na}^+$  and 70 mM  $\text{K}^+$ . Figure 7 shows that ODQ, KT-5823 and okadaic acid abolished the YC-1-induced  $\text{Na}^+$ - $\text{K}^+$  pump stimulation, i.e. the pattern of inhibition by blockers of implicated messenger pathways was identical to that shown for SNP in Fig. 4.

#### Dependence of $\text{Na}^+$ - $\text{K}^+$ pump stimulation on intracellular $\text{Na}^+$ and $\text{K}^+$

The dependence of pump stimulation on a non-saturating concentration of intracellular  $\text{Na}^+$  suggests that YC-1 causes a change in the sensitivity of the pump to intracellular  $\text{Na}^+$ . Since  $\text{Na}^+$  binds at two sites on the cytosolic side of the pump in competition with  $\text{K}^+$  and at a third site selective for  $\text{Na}^+$ , we examined the dependence of pump stimulation induced by YC-1 on the intracellular  $\text{K}^+$  concentration. We voltage clamped myocytes



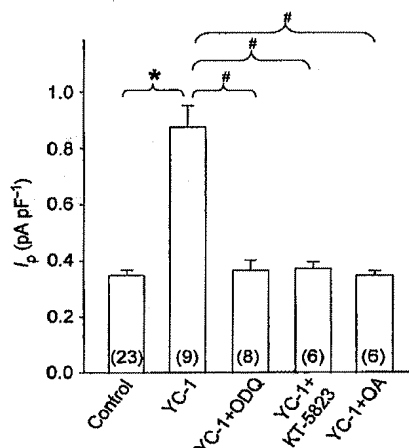
**Figure 6.** Effect of activation of soluble guanylyl cyclase with YC-1 on  $\text{Na}^+$ - $\text{K}^+$  pump current

Inclusion of  $1 \mu\text{M}$  YC-1 in pipette solutions is indicated in the figure. A shows shifts in holding current induced by ouabain (Oua) recorded using  $\text{Na}^+$  at a concentration ( $[\text{Na}^+]_{\text{pip}}$ ) of 10 mM  $\text{Na}^+$  in patch pipette solutions. The  $\text{Na}^+$  concentration in superfusates ( $[\text{Na}^+]_o$ ) was 150 mM (upper traces) or 0 mM (lower traces). B summarizes mean ( $\pm$  S.E.M.)  $I_p$  values recorded in  $\text{Na}^+$ -containing and  $\text{Na}^+$ -free superfusates. C shows ouabain-induced shifts in holding current recorded using 80 mM  $\text{Na}^+$  in patch pipette solutions, recorded in  $\text{Na}^+$ -containing superfusates. D summarizes mean  $I_p$  values recorded using 80 mM  $\text{Na}^+$  in pipette solutions.  $C_m$  indicates membrane capacitance in pF. \* $P < 0.05$ .



using patch pipettes containing 10 mM  $\text{Na}^+$  and  $\text{K}^+$  at a concentration ( $[\text{K}]_{\text{pip}}$ ) ranging from 0 to 140 mM. Superfusates included 150 mM  $\text{Na}^+$  and 5.6 mM  $\text{K}^+$ . Figure 8 shows a summary of  $I_p$  of control myocytes and of myocytes patch clamped using 1  $\mu\text{M}$  YC-1 in pipette filling solutions. As expected, there was a  $[\text{K}]_{\text{pip}}$ -dependent decrease in  $I_p$ . YC-1 induced a significant increase in  $I_p$  in the presence or absence of  $\text{K}^+$  in patch pipette filling solutions.

Since YC-1 induces  $\text{Na}^+$ - $\text{K}^+$  pump stimulation at a non-saturating concentration of intracellular  $\text{Na}^+$  only and since stimulation can occur in the nominal absence of intracellular  $\text{K}^+$ , we examined whether YC-1 alters the sensitivity of the pump to intracellular  $\text{Na}^+$  in the absence of intracellular  $\text{K}^+$ . We patch clamped myocytes using pipette solutions that were  $\text{K}^+$  free and included  $\text{Na}^+$  at concentrations ranging from 0 to 80 mM. To eliminate binding of  $\text{K}^+$  at extracellular sites as a rate-limiting step at high pipette  $\text{Na}^+$  concentration we used a  $\text{K}^+$  concentration of 15 mM in the superfusate in these experiments. The  $\text{Na}^+$  concentration in the superfusate was 150 mM. Figure 9A shows the ouabain-induced shift in holding current of a control myocyte patch clamped using a pipette solution that contained 80 mM



**Figure 7. Intracellular messenger pathways linking YC-1 to  $\text{Na}^+$ - $\text{K}^+$  pump stimulation**

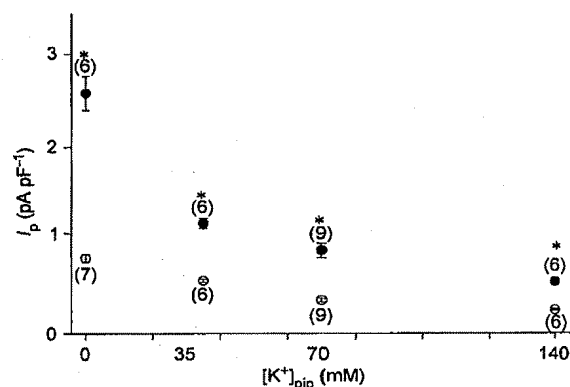
Patch pipettes contained control solutions or solutions that contained YC-1 and inhibitors of soluble guanylyl cyclase (ODQ), protein kinase G (KT-5823) or protein phosphatase (okadaic acid, OA) as indicated. The  $\text{Na}^+$  concentrations in pipette solutions and superfusates were 10 and 150 mM, respectively. Numbers in parentheses indicate the number of myocytes studied with each set of conditions. \* Significant difference ( $*P < 0.05$ ) between mean ( $\pm$  s.e.m.)  $I_p$  values of myocytes perfused or not perfused with pipette solutions containing YC-1; # significant difference ( $*P < 0.05$ ) between mean  $I_p$  values of myocytes perfused with pipette solutions containing YC-1 alone and solutions containing both YC-1 and ODQ, KT-5823 or OA. Results of control experiments using ODQ, KT-5823 or OA alone are shown in Fig. 4.

$\text{Na}^+$ . The ouabain-induced shift in current appeared much larger than the shift recorded using  $\text{K}^+$ -containing pipette filling solutions with the same  $\text{Na}^+$  concentration (Fig. 6). Figure 9B summarizes experiments performed using different  $\text{Na}^+$  concentrations in pipette solutions.

For the purpose of assigning a value to the intracellular  $\text{Na}^+$  concentration that induces half-maximal pump activation we fitted the Hill equation to the data. We derived values of 24.0 mM for control myocytes and 14.1 mM for myocytes perfused with pipette solutions containing YC-1. Since  $I_p$  is not a direct measure of  $\text{Na}^+$  binding, the fit is without mechanistic implications and is therefore not shown. Mean  $I_p$  is similar for control myocytes and for myocytes exposed to YC-1 when  $[\text{Na}^+]_{\text{pip}}$  is 0 or 80 mM. However, YC-1 seemed to induce an increase in  $I_p$  when  $[\text{Na}]_{\text{pip}}$  was at the rate-limiting concentrations of 5, 10 or 20 mM. A Mann-Whitney test indicated that YC-1 induces a significant increase in  $I_p$  when data obtained at these three  $\text{Na}^+$  concentrations are considered in combination.

## Discussion

Previous studies have reported inhibition (McKee *et al.* 1994; Liang & Knox, 2000; Ellis *et al.* 2000, 2001; Varela *et al.* 2004), the absence of any effect (Ortiz *et al.* 2001) and stimulation (Gupta *et al.* 1994, 1995) of the membrane  $\text{Na}^+$ - $\text{K}^+$  pump when various tissues were exposed to NO donor compounds. Stimulation was secondary to



**Figure 8. Dependence of  $\text{Na}^+$ - $\text{K}^+$  pump stimulation induced by YC-1 on intracellular  $\text{K}^+$**

$I_p$  was measured in myocytes voltage clamped using control pipette filling solutions ( $\circ$ ) or solutions containing YC-1 ( $\bullet$ ). The pipette filling solutions contained  $\text{Na}^+$  at a concentration of 10 mM and  $\text{K}^+$  at a concentration ( $[\text{K}^+]_{\text{pip}}$ ) ranging from 0 to 140 mM. The numbers of experiments for each set of conditions are indicated in parentheses. Mean ( $\pm$  s.e.m.)  $I_p$  values for myocytes perfused with pipette solutions containing YC-1 were significantly ( $*P < 0.05$ ) higher than for myocytes perfused with control solutions at all levels of  $[\text{K}]_{\text{pip}}$ .

enhanced  $\text{Na}^+$  influx rather than due to a direct effect on the  $\text{Na}^+$ - $\text{K}^+$  pump in vascular smooth muscle (Gupta *et al.* 1994). In our study, SNP stimulated intrinsic pump activity, independent of enhanced transsarcolemmal  $\text{Na}^+$  influx. The discrepancy between our results and previous studies may be organ dependent (Therien & Blostein, 2000). However, use of pharmacological NO donor compounds may also have contributed to inconsistent results. The pathways leading to formation of NO from the compounds and derivative side reactions are exquisitely sensitive to the local oxygen tension, and the amount of bio-active intermediate and end products that form during metabolism and degradation may exceed the amount of NO (Ignarro *et al.* 2002). The net response may arise from a balance between, at times opposing, effects of compounds generated. NO and its derivatives can directly inhibit isolated  $\text{Na}^+$ - $\text{K}^+$  ATPase, in part via effects on membrane fluidity (Muriel & Sandoval, 2000), and the derivative peroxynitrite inhibits  $\text{Na}^+$ - $\text{K}^+$  ATPase activity in renal cells exposed to NO donors. The inhibition is abolished when the peroxynitrite precursor, superoxide,

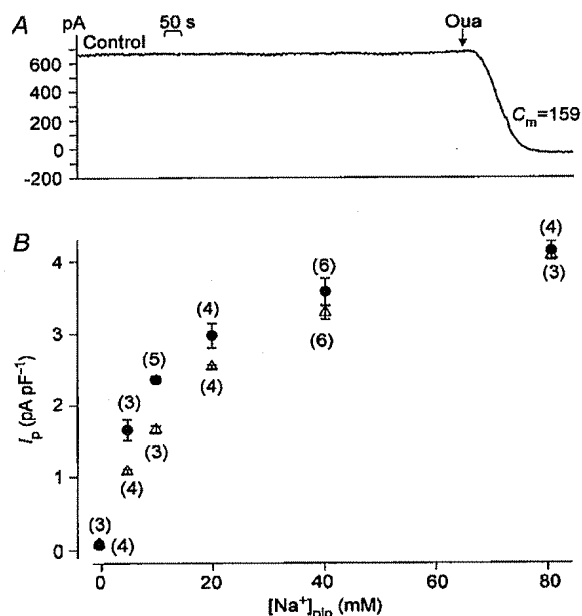
is eliminated with SOD (Varela *et al.* 2004). In our study, SNP induced  $\text{Na}^+$ - $\text{K}^+$  pump stimulation at all but the highest concentration used, and stimulation at the high SNP concentration was restored by SOD.

#### Activation and inhibition of messengers implicated in NO-mediated pathways

While SNP consistently induced  $\text{Na}^+$ - $\text{K}^+$  pump stimulation, there was not a simple concentration-dependent increase in  $I_p$  and there was considerable variability of  $I_p$  with exposure to SNP (Fig. 3). To avoid ambiguities of pharmacological NO donors we used YC-1 in patch pipette solutions to selectively activate sGC, a downstream target molecule for NO. Since a scaffold protein directs sGC to a membrane association in close proximity to nitric oxide synthase (Russwurm & Koesling, 2002), YC-1 activates sGC at sites of endogenous NO synthesis (Russwurm *et al.* 2002). Such activation may better reflect physiological signalling than exposure of cells to SNP because effects of YC-1 should be largely restricted to the microdomains of synthesis and breakdown of NO. YC-1 reproduced the SNP-induced stimulation of  $I_p$ . This, in combination with the effect of selective inhibition of sGC with ODQ (Russwurm *et al.* 2002) in pipette solutions (see Figs 4 and 7), strongly implicates sGC in  $\text{Na}^+$ - $\text{K}^+$  pump stimulation. The effect of cGMP synthesized on activation of sGC is usually mediated by PKG. However, it may also be due to a cGMP-mediated increase in cAMP and activation of PKA, it may be due to activation of PKA by cGMP in high concentrations or due to a direct effect of cGMP on effector proteins (White, 1999). The persistence of pump stimulation when H-89 is included in patch pipette solutions rules out involvement of PKA.

Since KT-5823 has imperfect selectivity for PKG we included recombinant PKG in the pipette solution and activated it with  $20 \mu\text{M}$  8-pCPT-cGMP. PKG reproduced effects of SNP and YC-1. Of note,  $20 \mu\text{M}$  8-pCPT-cGMP in the absence of PKG in pipette solutions had no effect on  $I_p$ . While a difference in sensitivity to activation of endogenous and recombinant PKG may account for this, PKG endogenous to the myocyte may have been exposed to 8-pCPT-cGMP in a concentration lower than  $20 \mu\text{M}$  because 8-pCPT-cGMP is highly membrane permeable. Rate-limiting diffusion through the patch pipette tip can result in an intracellular concentration in voltage clamped cardiac myocytes of membrane-permeable compounds that is lower than the concentration in pipette solutions (Mathias *et al.* 1990).

Taken together, the data indicate that PKG mediates  $\text{Na}^+$ - $\text{K}^+$  pump stimulation induced by SNP and YC-1. There are few established molecular targets for PKG (Moreno *et al.* 2001). Phosphorylation of the pump molecule or of the closely associated FXYD1 protein



**Figure 9. Dependence of  $\text{Na}^+$ - $\text{K}^+$  pump stimulation induced by YC-1 on intracellular  $\text{Na}^+$**

$I_p$  was measured in myocytes voltage clamped using control pipette filling solutions ( $\Delta$ ) or solutions containing YC-1 ( $\bullet$ ). The pipette filling solutions contained  $\text{Na}^+$  at concentrations ranging from 0 to 80 mM. They were nominally  $\text{K}^+$  free. The numbers of experiments for each set of conditions are indicated in parentheses. Mean ( $\pm$  S.E.M.)  $I_p$  values for myocytes perfused with pipette solutions containing YC-1 were significantly higher than for myocytes perfused with control solutions when the pipette  $\text{Na}^+$  concentration was at rate-limiting levels.

implicated in pump regulation (Cornelius *et al.* 2001) is unlikely because FXYD1 is not reported to be phosphorylated by PKG and the pump itself is not easily phosphorylated by PKG (Fotis *et al.* 1999). In addition, if Na<sup>+</sup>–K<sup>+</sup> pump stimulation were mediated by phosphorylation of the pump molecule or the FXYD1 protein, inhibition of protein phosphatases with okadaic acid should enhance rather than abolish stimulation. Protein phosphatases are often implicated in PKG-mediated signalling (White, 1999; Moreno *et al.* 2001), and phosphatase-mediated dephosphorylation of FXYD1 has been reported (Neumann *et al.* 1999). PKG can mediate phosphorylation of a regulatory protein for protein phosphatase 1 in smooth muscle myocytes. However, the phosphorylation inhibits rather than activates phosphatase activity (Tokui *et al.* 1996) and cannot account for our results. The available information cannot identify the okadaic acid-sensitive step in our study.

#### Effect of NO on functional properties of the Na<sup>+</sup>–K<sup>+</sup> pump

Interpretation of the YC-1- and SNP-induced change in the sensitivity of the Na<sup>+</sup>–K<sup>+</sup> pump to intracellular Na<sup>+</sup> depends on the assumption that Na<sup>+</sup> concentrations in the cytosol and pipette solutions are similar. Concentration gradients could exist between the pipette solution and the intracellular bulk phase (Mathias *et al.* 1990) or between the bulk phase and a diffusion-restricted subsarcolemmal space (Despa & Bers, 2003; Silverman *et al.* 2003). Transsarcolemmal influx should maintain intracellular Na<sup>+</sup> at a level high enough to support an easily detectable Na<sup>+</sup>–K<sup>+</sup> pump current when Na<sup>+</sup>-free pipette solutions are used, unless the intracellular concentration largely reflects the concentration in the patch pipettes (Mathias *et al.* 1990; Silverman *et al.* 2003). Nakao & Gadsby (1989) used wide-tipped patch pipettes to optimize control of intracellular Na<sup>+</sup>. When  $I_p$  was recorded in Na<sup>+</sup>-containing superfusates with Na<sup>+</sup>-free patch pipettes it was ~3.5% of the  $I_p$  recorded with pipettes containing 50 mM Na<sup>+</sup>. This fraction was reduced to ~0.8% when superfusates were Na<sup>+</sup> free to eliminate transsarcolemmal Na<sup>+</sup> influx. The  $I_p$  we recorded with Na<sup>+</sup>-free patch pipettes was ~0.3% of the  $I_p$  expected when pipettes contained 50 mM Na<sup>+</sup> (extrapolated from Fig. 9B). This low level was achieved in Na<sup>+</sup>-containing superfusates, and suggests that a diffusion-restricted subsarcolemmal space does not give rise to substantial Na<sup>+</sup> concentration gradients. In support of this we have reported that a ouabain-sensitive current is easily detectable when the Na<sup>+</sup> concentration in pipette solutions is as low as 0.1 mM (Hansen *et al.* 2002). We conclude that the Na<sup>+</sup> concentration at cytosolic pump sites approximates that in pipette solutions in our experiments.

Na<sup>+</sup>–K<sup>+</sup> pump regulation can depend on intracellular K<sup>+</sup> (Buhagiar *et al.* 1999, 2004), which is consistent with an effect on the backward  $E_1 + 2K^+ \rightarrow E_2(K^+)_2$  reaction (Buhagiar *et al.* 2004) where  $E_1$  is the unphosphorylated conformation of the enzyme with a high affinity for cytoplasmic Na<sup>+</sup> ions and  $E_2$  is the alternative unphosphorylated conformation which is stabilised by cytoplasmic K<sup>+</sup> ions. However, such a mechanism cannot account for the pump stimulation in this study because stimulation could be demonstrated in the absence of intracellular K<sup>+</sup> (Figs 8 and 9). A change in the apparent Na<sup>+</sup> affinity might account for pump stimulation. A change in the affinity could be due to a change in intrinsic Na<sup>+</sup> binding to the  $E_1$  conformation of pump molecules or due to an apparent change in affinity from a change in the rate of reactions determining availability of the  $E_1$  conformation (Apell & Karlisch, 2001). Mechanistic details cannot be determined from this study.

The relative increase in  $I_p$  induced by YC-1 was larger at 5.6 than at 15 mM extracellular K<sup>+</sup> (Figs 8 and 9). The higher concentration must stimulate the pump. Any additional stimulation may then be less evident if subsequent steps, independent of K<sup>+</sup>, become rate limiting. Effects of binding of K<sup>+</sup> to the  $E_2$  conformation should be small because binding is nearly saturated at 5.6 mM. Nor can an increase in the rate of the K<sup>+</sup>-stimulated dephosphorylation reaction account for stimulation because the reaction is not rate limiting (Kane *et al.* 1998; Kong & Clarke, 2004). However, allosteric binding of K<sup>+</sup> stimulates rate-limiting (Kong & Clarke, 2004) deocclusion of K<sup>+</sup> and the  $E_2 \rightarrow E_1$  conformational transition (Forbush, 1987). We considered the stimulation this may mediate. We modified a mathematical model of the Na<sup>+</sup>–K<sup>+</sup> pump cycle (Kong & Clarke, 2004) to take into account allosteric effects of extracellular K<sup>+</sup> (see Appendix for details). Simulations indicated that one might expect a 28% increase in pump rate when extracellular K<sup>+</sup> is increased from 5.6 to 15 mM. A quantitative interpretation of the simulations is not justified because most parameters in the model were derived from presteady-state kinetic measurements on purified Na<sup>+</sup>–K<sup>+</sup> ATPase from kidney while our experimental data were obtained in intact cardiac cells. However, the simulations are consistent with the qualitative conclusion that the difference in extracellular K<sup>+</sup> concentration may affect the relative size of pump stimulation induced by YC-1. This highlights how details of experimental conditions may affect analysis of Na<sup>+</sup>–K<sup>+</sup> pump regulation.

#### Appendix

To examine allosteric effects of K<sup>+</sup> we simulated steady-state Na<sup>+</sup>–K<sup>+</sup> pump activity under the experimental conditions used here (extracellular Na<sup>+</sup> concentration 150 or 140 mM, extracellular

$K^+$  concentration 5.6 or 15 mM, intracellular  $Na^+$  concentration 10 mM, intracellular  $K^+$  concentration 0 mM and intracellular ATP concentration 2 mM). We modified eqn (5) of a previously published model (Kong & Clarke, 2004) by changing the dissociation constants for the activation of enzyme dephosphorylation by the two transported  $K^+$  ions,  $K_{K1}^o$  and  $K_{K2}^o$ . They were estimated at 2.8 and 4.3 mM (Gray *et al.* 1997). In addition, the equation for the observed rate constant for the  $E_2 \rightarrow E_1$  transition,  $k_1^{obs}$ , was modified to include an allosteric effect of external  $K^+$ . The new equation was:

$$k_1^{obs} = \left\{ k_1^a + k_1^b [Na^+]_o / K_N^o + k_1^c [ATP] / K_A + k_1^d [Na^+]_o [ATP] / (K_N^o K_A) + k_1^e [K^+]_o / K_K^o + k_1^f [K^+]_o [Na^+]_o / (K_N^o K_K^o) + k_1^g [K^+]_o [ATP] / (K_K^o K_A) + k_1^h [K^+]_o [Na^+]_o [ATP] / (K_K^o K_N^o K_A) \right\} / \left\{ (1 + [Na^+]_o / K_N^o) (1 + [ATP] / K_A) (1 + [K^+]_o / K_K^o) \right\}$$

We refer to Table 1 of Kong & Clarke (2004) for the values and meanings of the parameters already included in the model.

We made the assumption that the binding of  $Na^+$  and  $K^+$  to the allosteric sites is non-competitive and that the effects of the two ions are simply additive.  $K_K^o$  represents the dissociation constant of the external allosteric  $K^+$  ions from the  $E_2$  conformation of the enzyme, irrespective of whether  $Na^+$  and/or ATP are simultaneously bound to allosteric sites. It has been set to the same value as  $K_N^o$ , the dissociation constant for allosteric external  $Na^+$  ions, i.e. 31 mM, in accord with the results of Forbush (1987) that the affinity of the enzyme for various allosteric ions is not substantially different.  $k_1^e$ ,  $k_1^f$ ,  $k_1^g$  and  $k_1^h$  represent rate constants for the  $E_2 \rightarrow E_1$  transition (with up to two  $K^+$  ions initially bound to  $E_2$  at transport sites) when the allosteric sites are saturated by  $K^+$  alone ( $k_1^e$ ), by  $K^+$  and  $Na^+$  ( $k_1^f$ ), by  $K^+$  and ATP ( $k_1^g$ ) and by  $K^+$ ,  $Na^+$  and ATP ( $k_1^h$ ). In the absence of ATP,  $Na^+$  and  $K^+$  have similar effects in accelerating the  $E_2 \rightarrow E_1$  transition (Forbush, 1987). Thus, the values of  $k_1^f$  and  $k_1^g$  have been chosen to be equal to  $k_1^b$ , the rate constant for the transition at which only allosteric external  $Na^+$  ions are bound, i.e.  $0.8 \text{ s}^{-1}$ .

External  $K^+$  causes approximately 16% greater acceleration of the  $E_2 \rightarrow E_1$  transition than external  $Na^+$  in the presence of ATP (Forbush, 1987). Accordingly, since  $k_1^d$ , the rate constant for the transition when the enzyme is saturated by allosteric  $Na^+$  and ATP, has been determined to have a value of approximately  $70 \text{ s}^{-1}$  (Lüpfert *et al.* 2001), the value of  $k_1^h$  was taken as  $81 \text{ s}^{-1}$ . When the allosteric sites are saturated by ATP,  $Na^+$  and  $K^+$ , no experimental data are presently available on the value of the rate constant, i.e.  $k_1^h$ . For the purpose of the simulation we assumed the effects of  $Na^+$  and  $K^+$  are additive, i.e.  $k_1^h = k_1^d + k_1^e = 151 \text{ s}^{-1}$ .

The simulations were carried out using the commercially available program Berkeley Madonna 7.0

via the variable step size Rosenbrock integration method for stiff systems of differential equations. The simulations yield the time course of the concentration of each enzyme intermediate, as well as the concentration of inorganic phosphate, from which the turnover number of the enzyme can be calculated. The simulations show that the turnover number of the enzyme is predicted to be  $28 \text{ s}^{-1}$  in the presence of 5.6 mM external  $K^+$  and  $36 \text{ s}^{-1}$  in the presence of 15 mM external  $K^+$ .

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## Conclusion

In this study, we conducted administration of 30 mg and 60 mg of nadolol once per day for 7 consecutive days and administration of 30 mg (per dose) twice per day for 1 day, in 20 healthy volunteers and patients, examined and considered plasma concentration, chest X-rays, electrocardiogram, blood pressure, hematology and blood biochemistry and urine during this period, and obtained the following results.

1) Plasma concentration of nadolol reached a peak 2 to 4 hours after administration, and subsequently exhibited biphasic elimination. The elimination half-life of the  $\beta$  phase was 14 to 19 hours, and it was speculated based on this elimination half-life that a steady state would be reached through repeated dosing after 4 to 5 days.

2) Assuming that the plasma concentration of nadolol follows a two-compartment model, we were able to estimate the plasma concentration after repeated dosing based on the measured values from the first day of dosing.

3) Based on  $AUC_{0-\infty}$  for the first day and the steady state  $AUC_{0-24}$  for the 7<sup>th</sup> day, it was confirmed that repeated dosing with nadolol does not have an accumulation effect in the body.

4) Compared to administration of 30 mg once per day, administration of 60 mg of nadolol once per day or 30 mg twice per day lead to greater changes in cardiovascular dynamics, such as pulse rate, blood pressure and double product, with a significant difference as compared to the value before administration being observed at nearly all measurement points.

5) Based on the long half-life of plasma concentration, the significant decrease in double product, etc., as described above, it was concluded that nadolol is a clinically useful drug for angina pectoris, with one dose per day being sufficient.

6) No side effects were found during the study period either in terms of subjective symptoms or clinical laboratory tests.



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I, Molly Stone, Director, hereby certify that the following is, a true and accurate translation following our ISO 9001:2008 quality assurance process of the conclusion only of Niitani H. et al., Studies of plasma level and hemodynamics of Nadolol, a new  $\beta$  adrenergic blocking agent  
(June 1984, Vol. 12, No. 6),

*Molly Stone*

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Director

Date: September 23, 2011